

EXHIBIT 28

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1A0001 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1A0001 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1A0001 | 8/31/2002 | 7/31/2002 | - | 2,400,000 | 99.54 | U S TREASURY BILL |
| 1A0001 | 8/31/2002 | 7/31/2002 | 2,400,000 | - | 99.54 | U S TREASURY BILL |
| 1A0001 | 8/31/2002 | 7/31/2002 | 2,400,000 | - | 99.48 | U S TREASURY BILL |
| 1A0001 | 8/31/2002 | 7/31/2002 | - | 2,400,000 | 99.48 | U S TREASURY BILL |
| 1A0035 | 3/31/1998 | 1/30/1998 | 7,209 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 1/30/1998 | 180 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 1/31/1998 | 180 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 4/30/1998 | 180 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 6/11/1998 | - | 1,000 | 83.00 | INTERNATIONAL BUSINESS MACHS |
| 1A0035 | 3/31/1998 | 6/17/1998 | - | 700 | 98.13 | COMPAQ COMPUTER CORP |
| 1A0035 | 3/31/1998 | 6/17/1998 | - | 21,800 | 108.13 | DELL COMPUTER CORP |
| 1A0035 | 3/31/1998 | 6/24/1998 | - | 300 | 73.75 | ALLSTATE |
| 1A0035 | 3/31/1998 | 6/27/1998 | 11,000 | - | 77.50 | EASTMAN KODAK CO |
| 1A0035 | 3/31/1998 | 6/30/1998 | 5,000 | - | 78.00 | EASTMAN KODAK CO |
| 1A0035 | 3/31/1998 | 6/30/1998 | 1,360,000 | - | 94.64 | U S TREASURY BILL |
| 1A0035 | 3/31/1998 | 6/30/1998 | 1,334 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 6/30/1998 | 827 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 7/31/1998 | 20,980 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 8/14/1998 | 200 | - | 56.38 | COMPAQ COMPUTER CORP |
| 1A0035 | 3/31/1998 | 8/29/1998 | - | 25,485 | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 8/29/1998 | 15,010 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 9/30/1998 | 572 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 10/8/1998 | - | 500 | 87.00 | GILLETTE CO |
| 1A0035 | 3/31/1998 | 10/21/1998 | 2,400 | - | 35.00 | TOYS R US |
| 1A0035 | 3/31/1998 | 10/23/1998 | - | 800 | 54.06 | HERSHEY FOODS CORP |
| 1A0035 | 3/31/1998 | 10/31/1998 | 9,970 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 12/9/1998 | - | 2,000 | 28.00 | GATEWAY 2000 INC |
| 1A0035 | 3/31/1998 | 12/12/1998 | 900 | - | 88.00 | SCHLUMBERGER LTD |
| 1A0035 | 3/31/1998 | 12/23/1998 | - | 1,100 | 25.00 | U S SURGICAL CORP |
| 1A0035 | 3/31/1998 | 12/31/1998 | 1,199 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 12/31/1998 | 1,199 | - | 1.00 | FIDELITY SPARTAN |
| 1A0035 | 3/31/1998 | 12/31/1998 | 3,389 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 1/2/1998 | - | 18,000 | 124.25 | MICROSOFT CORP |
| 1A0036 | 3/31/1998 | 1/30/1998 | 2,250,000 | - | 97.94 | U S TREASURY BILL |
| 1A0036 | 3/31/1998 | 1/30/1998 | 124,773 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 4/30/1998 | 720 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 6/11/1998 | - | 3,000 | 83.00 | INTERNATIONAL BUSINESS MACHS |
| 1A0036 | 3/31/1998 | 6/17/1998 | - | 50,600 | 108.25 | DELL COMPUTER CORP |
| 1A0036 | 3/31/1998 | 6/17/1998 | - | 75,000 | 108.25 | DELL COMPUTER CORP |
| 1A0036 | 3/31/1998 | 6/17/1998 | - | 2,300 | 98.13 | COMPAQ COMPUTER CORP |
| 1A0036 | 3/31/1998 | 6/17/1998 | - | 75,000 | 108.13 | DELL COMPUTER CORP |
| 1A0036 | 3/31/1998 | 6/17/1998 | - | 80,000 | 108.25 | DELL COMPUTER CORP |
| 1A0036 | 3/31/1998 | 6/24/1998 | - | 1,150 | 73.75 | ALLSTATE |
| 1A0036 | 3/31/1998 | 6/25/1998 | - | 27,000 | 39.00 | FORD MOTOR COMPANY |
| 1A0036 | 3/31/1998 | 6/26/1998 | 76,000 | - | 78.50 | EASTMAN KODAK CO |
| 1A0036 | 3/31/1998 | 6/27/1998 | 75,000 | - | 77.50 | EASTMAN KODAK CO |
| 1A0036 | 3/31/1998 | 6/30/1998 | 16,375 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 6/30/1998 | 55,000 | - | 78.00 | EASTMAN KODAK CO |
| 1A0036 | 3/31/1998 | 6/30/1998 | 16,800,000 | - | 94.64 | U S TREASURY BILL |
| 1A0036 | 3/31/1998 | 6/30/1998 | 10,055 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 7/31/1998 | 76,120 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 8/14/1998 | 1,000 | - | 56.38 | COMPAQ COMPUTER CORP |
| 1A0036 | 3/31/1998 | 8/29/1998 | - | 128,102 | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 8/29/1998 | 76,539 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 9/30/1998 | 4,220 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 10/8/1998 | - | 1,700 | 87.00 | GILLETTE CO |
| 1A0036 | 3/31/1998 | 10/21/1998 | 9,000 | - | 35.00 | TOYS R US |
| 1A0036 | 3/31/1998 | 10/23/1998 | - | 3,100 | 54.06 | HERSHEY FOODS CORP |
| 1A0036 | 3/31/1998 | 10/31/1998 | 91,854 | - | 1.00 | FIDELITY SPARTAN |
| 1A0036 | 3/31/1998 | 12/9/1998 | - | 6,800 | 28.00 | GATEWAY 2000 INC |
| 1A0036 | 3/31/1998 | 12/12/1998 | 3,000 | - | 88.00 | SCHLUMBERGER LTD |
| 1A0036 | 3/31/1998 | 12/23/1998 | - | 3,500 | 25.00 | U S SURGICAL CORP |
| 1A0036 | 3/31/1998 | 12/31/1998 | 17,073 | - | 1.00 | FIDELITY SPARTAN |

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|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1A0036 | 3/31/2008 | 2/8/2008 | - | 64,000 | 120.57 | WYNN RESORTS LTD |
| 1A0036 | 3/31/2008 | 2/13/2008 | 64,000 | - | 120.57 | WYNN RESORTS LTD |
| 1A0037 | 3/31/1998 | 1/2/1998 | - | 46,000 | 124.25 | MICROSOFT CORP |
| 1A0037 | 3/31/1998 | 1/5/1998 | - | 8,000 | 69.00 | AETNA INC |
| 1A0037 | 3/31/1998 | 1/30/1998 | 6,390,000 | - | 97.94 | U S TREASURY BILL |
| 1A0037 | 3/31/1998 | 1/30/1998 | 33,530 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 6/11/1998 | - | 59,000 | 83.00 | INTERNATIONAL BUSINESS MACHS |
| 1A0037 | 3/31/1998 | 6/17/1998 | - | 9,600 | 108.13 | DELL COMPUTER CORP |
| 1A0037 | 3/31/1998 | 6/17/1998 | - | 4,800 | 98.13 | COMPAQ COMPUTER CORP |
| 1A0037 | 3/31/1998 | 6/26/1998 | 19,000 | - | 78.50 | EASTMAN KODAK CO |
| 1A0037 | 3/31/1998 | 6/27/1998 | 15,000 | - | 77.50 | EASTMAN KODAK CO |
| 1A0037 | 3/31/1998 | 6/30/1998 | 7,000 | - | 77.00 | EASTMAN KODAK CO |
| 1A0037 | 3/31/1998 | 6/30/1998 | 3,390,000 | - | 94.64 | U S TREASURY BILL |
| 1A0037 | 3/31/1998 | 6/30/1998 | 4,704 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 7/31/1998 | 2,279 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 8/11/1998 | - | 220 | 51.50 | REEBOK INTL LTD |
| 1A0037 | 3/31/1998 | 8/14/1998 | 200 | - | 56.38 | COMPAQ COMPUTER CORP |
| 1A0037 | 3/31/1998 | 8/29/1998 | 5,290 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 9/30/1998 | 11,757 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 10/3/1998 | - | 22,000 | 29.63 | MCI COMMUNICATIONS CORP |
| 1A0037 | 3/31/1998 | 10/8/1998 | - | 3,500 | 87.00 | GILLETTE CO |
| 1A0037 | 3/31/1998 | 10/21/1998 | 25,000 | - | 35.00 | TOYS R US |
| 1A0037 | 3/31/1998 | 10/21/1998 | 11,000 | - | 34.88 | TOYS R US |
| 1A0037 | 3/31/1998 | 10/23/1998 | - | 5,600 | 54.06 | HERSHEY FOODS CORP |
| 1A0037 | 3/31/1998 | 10/31/1998 | 24,535 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 11/3/1998 | - | 23,500 | 19.13 | WOOLWORTH CORP |
| 1A0037 | 3/31/1998 | 11/3/1998 | - | 16,000 | 32.00 | ELECTRONIC DATA SYSTEMS CORP |
| 1A0037 | 3/31/1998 | 11/4/1998 | - | 75,000 | 33.50 | SUN MICROSYSTEMS INC |
| 1A0037 | 3/31/1998 | 11/4/1998 | - | 58,000 | 33.75 | SUN MICROSYSTEMS INC |
| 1A0037 | 3/31/1998 | 11/4/1998 | - | 55,000 | 33.38 | SUN MICROSYSTEMS INC |
| 1A0037 | 3/31/1998 | 11/28/1998 | 43,653 | - | 1.00 | FIDELITY SPARTAN |
| 1A0037 | 3/31/1998 | 11/28/1998 | 7,450,000 | - | 96.98 | U S TREASURY BILL |
| 1A0037 | 3/31/1998 | 12/9/1998 | - | 14,200 | 28.00 | GATEWAY 2000 INC |
| 1A0037 | 3/31/1998 | 12/12/1998 | 3,900 | - | 88.13 | SCHLUMBERGER LTD |
| 1A0037 | 3/31/1998 | 12/12/1998 | 30,000 | - | 87.75 | SCHLUMBERGER LTD |
| 1A0037 | 3/31/1998 | 12/23/1998 | - | 7,400 | 25.00 | U S SURGICAL CORP |
| 1A0037 | 3/31/1998 | 12/23/1998 | - | 72,000 | 43.25 | TEXAS INSTRUMENTS INC |
| 1A0037 | 3/31/1998 | 12/29/1998 | - | 65,000 | 44.06 | BOSTON SCIENTIFIC |
| 1A0037 | 3/31/1998 | 12/30/1998 | - | 20,000 | 70.13 | INTEL CORP |
| 1A0037 | 3/31/1998 | 12/31/1998 | 5,100,000 | - | 97.45 | U S TREASURY BILL |
| 1A0037 | 3/31/1998 | 12/31/1998 | 24,398 | - | 1.00 | FIDELITY SPARTAN |
| 1A0039 | 6/30/1999 | 5/26/1999 | 3,275,000 | - | 99.66 | U S TREASURY BILL |
| 1A0039 | 6/30/1999 | 5/26/1999 | - | 3,275,000 | 98.66 | U S TREASURY BILL |
| 1A0039 | 8/31/2002 | 7/31/2002 | - | 3,525,000 | 99.48 | U S TREASURY BILL |
| 1A0039 | 8/31/2002 | 7/31/2002 | 3,525,000 | - | 99.54 | U S TREASURY BILL |
| 1A0039 | 8/31/2002 | 7/31/2002 | - | 3,525,000 | 99.54 | U S TREASURY BILL |
| 1A0039 | 8/31/2002 | 7/31/2002 | 3,525,000 | - | 99.48 | U S TREASURY BILL |
| 1A0040 | 12/31/1998 | 6/30/1998 | - | 51,022 | 1.00 | FIDELITY SPARTAN |
| 1A0040 | 12/31/1998 | 6/30/1998 | 51,022 | - | 1.00 | FIDELITY SPARTAN |
| 1A0044 | 6/30/1999 | 5/26/1999 | 3,600,000 | - | 99.66 | U S TREASURY BILL |
| 1A0044 | 6/30/1999 | 5/26/1999 | - | 3,600,000 | 98.66 | U S TREASURY BILL |
| 1A0044 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.54 | U S TREASURY BILL |
| 1A0044 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.54 | U S TREASURY BILL |
| 1A0044 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.48 | U S TREASURY BILL |
| 1A0044 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.48 | U S TREASURY BILL |
| 1A0058 | 6/30/1999 | 5/26/1999 | - | 48,250,000 | 98.66 | U S TREASURY BILL |
| 1A0058 | 6/30/1999 | 5/26/1999 | 48,250,000 | - | 99.66 | U S TREASURY BILL |
| 1A0058 | 8/31/2002 | 7/31/2002 | - | 57,725,000 | 99.54 | U S TREASURY BILL |
| 1A0058 | 8/31/2002 | 7/31/2002 | 57,725,000 | - | 99.48 | U S TREASURY BILL |
| 1A0058 | 8/31/2002 | 7/31/2002 | - | 57,725,000 | 99.48 | U S TREASURY BILL |
| 1A0058 | 8/31/2002 | 7/31/2002 | 57,725,000 | - | 99.54 | U S TREASURY BILL |
| 1A0065 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1A0065 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1A0072 | 6/30/1999 | 5/26/1999 | - | 2,525,000 | 98.66 | U S TREASURY BILL |
| 1A0072 | 6/30/1999 | 5/26/1999 | 2,525,000 | - | 99.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1A0072 | 8/31/2002 | 7/31/2002 | - | 3,325,000 | 99.48 | U S TREASURY BILL |
| 1A0072 | 8/31/2002 | 7/31/2002 | - | 3,325,000 | 99.54 | U S TREASURY BILL |
| 1A0072 | 8/31/2002 | 7/31/2002 | 3,325,000 | - | 99.48 | U S TREASURY BILL |
| 1A0072 | 8/31/2002 | 7/31/2002 | 3,325,000 | - | 99.54 | U S TREASURY BILL |
| 1A0072 | 5/31/2005 | 3/18/2005 | 2,200,000 | - | 98.64 | U S TREASURY BILL |
| 1A0080 | 6/30/1999 | 5/26/1999 | 225,000 | - | 99.66 | U S TREASURY BILL |
| 1A0080 | 6/30/1999 | 5/26/1999 | - | 225,000 | 98.66 | U S TREASURY BILL |
| 1A0100 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1A0100 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1A0107 | 6/30/1999 | 5/26/1999 | 2,625,000 | - | 99.66 | U S TREASURY BILL |
| 1A0107 | 6/30/1999 | 5/26/1999 | - | 2,625,000 | 98.66 | U S TREASURY BILL |
| 1A0107 | 8/31/2002 | 7/31/2002 | - | 3,225,000 | 99.48 | U S TREASURY BILL |
| 1A0107 | 8/31/2002 | 7/31/2002 | - | 3,225,000 | 99.54 | U S TREASURY BILL |
| 1A0107 | 8/31/2002 | 7/31/2002 | 3,225,000 | - | 99.48 | U S TREASURY BILL |
| 1A0107 | 8/31/2002 | 7/31/2002 | 3,225,000 | - | 99.54 | U S TREASURY BILL |
| 1A0112 | 12/31/1998 | 6/2/1998 | 51,022 | - | 1.00 | FIDELITY SPARTAN |
| 1A0116 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.48 | U S TREASURY BILL |
| 1A0116 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.48 | U S TREASURY BILL |
| 1A0116 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.54 | U S TREASURY BILL |
| 1A0116 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.54 | U S TREASURY BILL |
| 1B0008 | 2/29/2000 | 1/7/2000 | - | 18,000 | 60.00 | UNITED TECHNOLOGIES CORP |
| 1B0008 | 2/29/2000 | 1/7/2000 | - | 40,000 | 61.00 | COLGATE PALMOLIVE CO |
| 1B0008 | 2/29/2000 | 1/20/2000 | - | 30,000 | 75.50 | THE CHASE MANHATTAN CORP NEW |
| 1B0008 | 3/31/2001 | 2/13/2001 | - | 6,000 | 89.00 | QUALCOMM INC |
| 1B0008 | 6/30/2002 | 5/2/2002 | - | 50,000 | 14.90 | ADAPTEC INC |
| 1B0008 | 11/30/2006 | 10/4/2006 | - | 50,000 | 36.71 | HEWLETT PACKARD CO |
| 1B0008 | 11/30/2006 | 10/4/2006 | - | 12,000 | 77.01 | APPLE COMPUTER INC |
| 1B0008 | 11/30/2006 | 10/5/2006 | - | 15,000 | 71.52 | AMGEN INC |
| 1B0008 | 11/30/2006 | 10/5/2006 | - | 30,000 | 76.60 | ALTRIA GROUP INC |
| 1B0008 | 11/30/2006 | 10/5/2006 | - | 25,000 | 33.24 | GENERAL MOTORS CORP |
| 1B0008 | 11/30/2008 | 10/3/2008 | - | 10,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0008 | 11/30/2008 | 10/3/2008 | 10,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0015 | 6/30/1999 | 5/26/1999 | 1,375,000 | - | 99.66 | U S TREASURY BILL |
| 1B0015 | 6/30/1999 | 5/26/1999 | - | 1,375,000 | 98.66 | U S TREASURY BILL |
| 1B0020 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1B0020 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1B0020 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.54 | U S TREASURY BILL |
| 1B0020 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.48 | U S TREASURY BILL |
| 1B0020 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.54 | U S TREASURY BILL |
| 1B0020 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.48 | U S TREASURY BILL |
| 1B0042 | 6/30/1999 | 5/26/1999 | - | 3,050,000 | 98.66 | U S TREASURY BILL |
| 1B0042 | 6/30/1999 | 5/26/1999 | 3,050,000 | - | 99.66 | U S TREASURY BILL |
| 1B0042 | 8/31/2002 | 7/31/2002 | 2,500,000 | - | 99.48 | U S TREASURY BILL |
| 1B0042 | 8/31/2002 | 7/31/2002 | 2,500,000 | - | 99.54 | U S TREASURY BILL |
| 1B0042 | 8/31/2002 | 7/31/2002 | - | 2,500,000 | 99.54 | U S TREASURY BILL |
| 1B0042 | 8/31/2002 | 7/31/2002 | - | 2,500,000 | 99.48 | U S TREASURY BILL |
| 1B0048 | 5/31/2002 | 1/16/2002 | - | 50,000 | 13.95 | WORLDCOM GROUP |
| 1B0048 | 5/31/2002 | 1/16/2002 | - | 35,000 | 13.80 | WORLDCOM GROUP |
| 1B0048 | 6/30/2002 | 4/1/2002 | 85,000 | - | 6.20 | WORLDCOM GROUP |
| 1B0048 | 2/28/2002 | 8/30/2002 | 100,000 | - | 14.65 | SUN MICROSYSTEMS INC |
| 1B0048 | 2/28/2002 | 10/23/2002 | - | 100,000 | 8.70 | SUN MICROSYSTEMS INC |
| 1B0048 | 1/31/2003 | 12/5/2003 | 85,000 | - | 8.62 | LSI LOGIC CORP |
| 1B0048 | 1/31/2003 | 12/5/2003 | 100,000 | - | 9.35 | ADVANCED MICRO DEVICES |
| 1B0048 | 1/31/2003 | 12/26/2003 | - | 100,000 | 6.57 | ADVANCED MICRO DEVICES |
| 1B0048 | 1/31/2003 | 12/26/2003 | - | 85,000 | 5.65 | LSI LOGIC CORP |
| 1B0048 | 7/31/2008 | 5/2/2008 | - | 60,000 | 30.07 | FANNIE MAE |
| 1B0048 | 11/30/2008 | 10/1/2008 | 95,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0048 | 11/30/2008 | 10/1/2008 | - | 95,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0048 | 11/30/2008 | 10/3/2008 | 80,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0048 | 11/30/2008 | 10/3/2008 | - | 80,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0049 | 2/28/2002 | 8/30/2002 | 100,000 | - | 14.65 | SUN MICROSYSTEMS INC |
| 1B0049 | 2/28/2002 | 10/23/2002 | - | 100,000 | 8.70 | SUN MICROSYSTEMS INC |
| 1B0049 | 1/31/2003 | 12/5/2003 | 100,000 | - | 9.35 | ADVANCED MICRO DEVICES |
| 1B0049 | 1/31/2003 | 12/5/2003 | 90,000 | - | 8.62 | LSI LOGIC CORP |
| 1B0049 | 1/31/2003 | 12/26/2003 | - | 100,000 | 6.57 | ADVANCED MICRO DEVICES |

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| 1B0049 | 1/31/2003 | 12/26/2003 | - | 90,000 | 5.65 | LSI LOGIC CORP |
| 1B0049 | 7/31/2008 | 5/2/2008 | - | 65,000 | 30.07 | FANNIE MAE |
| 1B0049 | 9/30/2008 | 8/29/2008 | 105,000 | - | 29.00 | WELLS FARGO & CO NEW |
| 1B0049 | 9/30/2008 | 8/29/2008 | 95,000 | - | 28.73 | WELLS FARGO & CO NEW |
| 1B0049 | 10/31/2008 | 9/23/2008 | - | 100,000 | 38.28 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/23/2008 | 100,000 | - | 38.28 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/23/2008 | 50,000 | - | 38.07 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/23/2008 | - | 50,000 | 38.07 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | 90,000 | - | 42.27 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | 100,000 | - | 42.75 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | - | 100,000 | 42.75 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | - | 60,000 | 42.59 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | - | 90,000 | 42.27 | AETNA INC |
| 1B0049 | 10/31/2008 | 9/24/2008 | 60,000 | - | 42.59 | AETNA INC |
| 1B0049 | 11/30/2008 | 10/1/2008 | - | 90,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0049 | 11/30/2008 | 10/1/2008 | 90,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0049 | 11/30/2008 | 10/3/2008 | 90,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0049 | 11/30/2008 | 10/3/2008 | - | 90,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0050 | 1/31/2003 | 12/5/2003 | 75,000 | - | 50.00 | HARTFORD FINL SERVICE GROUP |
| 1B0050 | 1/31/2003 | 12/5/2003 | 60,000 | - | 49.90 | HARTFORD FINL SERVICE GROUP |
| 1B0050 | 1/31/2003 | 12/24/2003 | - | 60,000 | 45.58 | HARTFORD FINL SERVICE GROUP |
| 1B0050 | 1/31/2003 | 12/24/2003 | - | 75,000 | 45.51 | HARTFORD FINL SERVICE GROUP |
| 1B0050 | 9/30/2005 | 8/29/2005 | - | 40,000 | 11.07 | MICRON TECHNOLOGY INC |
| 1B0050 | 9/30/2005 | 8/29/2005 | 20,000 | - | 11.07 | MICRON TECHNOLOGY INC |
| 1B0050 | 9/30/2008 | 8/5/2008 | 5,150,000 | - | 99.02 | U S TREASURY BILL |
| 1B0050 | 11/30/2008 | 10/1/2008 | 75,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0050 | 11/30/2008 | 10/1/2008 | - | 75,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0050 | 11/30/2008 | 10/3/2008 | - | 75,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0050 | 11/30/2008 | 10/3/2008 | 75,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0053 | 11/30/2002 | 2/7/2002 | 40,000 | - | 2.25 | BIG LOTS INC OHIO |
| 1B0053 | 5/31/2002 | 2/28/2002 | - | 180,000 | 99.59 | U S TREASURY BILL |
| 1B0053 | 11/30/2002 | 10/1/2002 | - | 62,500 | 17.43 | BIG LOTS INC OHIO |
| 1B0053 | 7/31/2004 | 3/14/2004 | 90,000 | - | 1.60 | LUCENT TECHNOLOGIES INC |
| 1B0053 | 7/31/2004 | 3/17/2004 | 67,000 | - | 1.53 | LUCENT TECHNOLOGIES INC |
| 1B0053 | 7/31/2004 | 4/22/2004 | - | 67,000 | 4.26 | LUCENT TECHNOLOGIES INC |
| 1B0053 | 7/31/2004 | 4/23/2004 | - | 90,000 | 4.01 | LUCENT TECHNOLOGIES INC |
| 1B0053 | 3/31/2006 | 1/28/2006 | 8,000 | - | 72.22 | APPLE COMPUTER INC |
| 1B0061 | 9/30/1996 | 7/23/1996 | - | 62,848 | 27.75 | IOMEGA CORP |
| 1B0061 | 9/30/1996 | 7/23/1996 | - | 80,000 | 27.75 | IOMEGA CORP |
| 1B0061 | 9/30/1996 | 7/23/1996 | - | 85,000 | 27.75 | IOMEGA CORP |
| 1B0061 | 12/31/1997 | 9/30/1997 | - | 39,200,000 | 99.12 | U S TREASURY BILL |
| 1B0061 | 12/31/1997 | 9/30/1997 | 39,200,000 | - | 99.02 | U S TREASURY BILL |
| 1B0061 | 12/31/1997 | 10/22/1997 | - | 64,548 | 55.63 | HOME DEPOT INC |
| 1B0061 | 12/31/1997 | 10/22/1997 | 74,548 | - | 55.63 | HOME DEPOT INC |
| 1B0061 | 6/30/1998 | 5/21/1998 | - | 93,800 | 63.88 | WARNER LAMBERT CO |
| 1B0061 | 6/30/1998 | 5/21/1998 | 6,655 | - | 1.00 | FIDELITY SPARTAN |
| 1B0061 | 6/30/1998 | 5/21/1998 | 6,150,000 | - | 99.03 | U S TREASURY BILL |
| 1B0061 | 12/31/1999 | 11/29/1999 | - | 115,000 | 78.38 | AMERICA ONLINE CORP |
| 1B0061 | 1/31/2002 | 12/31/2002 | - | 171,262,741 | 1.00 | FIDELITY SPARTAN |
| 1B0061 | 1/31/2002 | 12/31/2002 | 17,736 | - | 1.00 | FIDELITY SPARTAN |
| 1B0061 | 1/31/2002 | 12/31/2002 | 171,950,000 | - | 99.59 | U S TREASURY BILL |
| 1B0061 | 8/31/2008 | 7/31/2008 | - | 23,390 | 1.00 | FIDELITY SPARTAN |
| 1B0061 | 8/31/2008 | 7/31/2008 | - | 125,000 | 99.76 | U S TREASURY BILL |
| 1B0073 | 6/30/1996 | 5/13/1996 | - | 16,000 | 35.25 | FORD MOTOR COMPANY |
| 1B0073 | 6/30/1996 | 5/13/1996 | 16,200 | - | 35.25 | FORD MOTOR COMPANY |
| 1B0073 | 5/31/1997 | 4/4/1997 | - | 70,000 | 90.00 | MICROSOFT CORP |
| 1B0073 | 5/31/1997 | 4/4/1997 | - | 51,500 | 90.00 | MICROSOFT CORP |
| 1B0073 | 5/31/1997 | 4/8/1997 | - | 13,500 | 80.75 | AMR CORP |
| 1B0073 | 5/31/1997 | 4/8/1997 | - | 28,000 | 97.38 | GENERAL ELECTRIC CO |
| 1B0073 | 8/31/1999 | 7/1/1999 | - | 50,000 | 56.25 | FDX CORPORATION |
| 1B0073 | 8/31/1999 | 7/1/1999 | 50,000 | - | 56.25 | FDX CORPORATION |
| 1B0073 | 8/31/1999 | 7/7/1999 | - | 50,000 | 55.00 | FDX CORPORATION |
| 1B0073 | 8/31/1999 | 7/13/1999 | - | 62,000 | 58.63 | AT & T CORP |
| 1B0073 | 8/31/1999 | 7/15/1999 | - | 13,400 | 51.00 | PRAXAIR INC |
| 1B0073 | 2/29/2000 | 1/6/2000 | - | 51,000 | 82.94 | AMERICA ONLINE CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1B0073 | 2/29/2000 | 1/6/2000 | - | 46,000 | 82.81 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/6/2000 | - | 50,000 | 82.88 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/6/2000 | - | 53,000 | 83.00 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/10/2000 | 17,472 | - | 63.00 | KIMBERLY CLARK CORP |
| 1B0073 | 2/29/2000 | 1/11/2000 | 21,600 | - | 73.00 | BIOGEN INC |
| 1B0073 | 2/29/2000 | 1/12/2000 | - | 21,200 | 73.50 | DU PONT E I DE NEMOURS & CO |
| 1B0073 | 2/29/2000 | 1/14/2000 | - | 17,472 | 67.75 | KIMBERLY CLARK CORP |
| 1B0073 | 2/29/2000 | 1/18/2000 | 51,000 | - | 59.13 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/18/2000 | 50,000 | - | 59.25 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/18/2000 | 53,000 | - | 59.00 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/18/2000 | 46,000 | - | 59.75 | AMERICA ONLINE CORP |
| 1B0073 | 2/29/2000 | 1/28/2000 | 21,200 | - | 60.00 | DU PONT E I DE NEMOURS & CO |
| 1B0073 | 2/29/2000 | 1/28/2000 | - | 21,600 | 98.25 | BIOGEN INC |
| 1B0073 | 3/31/2001 | 2/6/2001 | - | 85,000 | 51.30 | AOL TIME WARNER INC |
| 1B0073 | 3/31/2001 | 2/6/2001 | - | 115,000 | 51.25 | AOL TIME WARNER INC |
| 1B0073 | 3/31/2001 | 2/8/2001 | - | 30,000 | 68.00 | SIEBEL SYSTEMS INC |
| 1B0073 | 3/31/2001 | 2/9/2001 | - | 36,725 | 56.15 | CITI GROUP INC |
| 1B0073 | 3/31/2001 | 2/13/2001 | - | 100,000 | 89.00 | QUALCOMM INC |
| 1B0073 | 3/31/2001 | 2/13/2001 | - | 25,000 | 88.75 | QUALCOMM INC |
| 1B0073 | 3/31/2001 | 2/21/2001 | - | 4,000 | 118.50 | INTERNATIONAL BUSINESS MACHS |
| 1B0073 | 6/30/2002 | 4/4/2002 | - | 30,000 | 68.06 | KLA TENCOR CORPORATION |
| 1B0073 | 6/30/2002 | 4/8/2002 | - | 75,000 | 30.00 | DELTA AIRLINES INC |
| 1B0073 | 6/30/2002 | 4/24/2002 | - | 70,000 | 42.75 | XILINX INC |
| 1B0073 | 6/30/2002 | 5/2/2002 | - | 50,000 | 14.90 | ADAPTEC INC |
| 1B0073 | 3/31/2005 | 2/1/2005 | - | 36,725 | 48.52 | CITI GROUP INC |
| 1B0073 | 3/31/2005 | 2/1/2005 | 55,000 | - | 34.88 | ANALOG DEVICES |
| 1B0073 | 11/30/2008 | 10/3/2008 | 20,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0073 | 11/30/2008 | 10/3/2008 | - | 20,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0074 | 3/31/2001 | 2/13/2001 | - | 100,000 | 89.00 | QUALCOMM INC |
| 1B0074 | 3/31/2001 | 2/13/2001 | - | 25,000 | 88.75 | QUALCOMM INC |
| 1B0078 | 5/31/1997 | 4/4/1997 | - | 25,800 | 47.00 | MCDONALDS CORP |
| 1B0078 | 5/31/1997 | 4/4/1997 | - | 90,000 | 90.13 | MICROSOFT CORP |
| 1B0078 | 5/31/1997 | 4/8/1997 | - | 32,800 | 97.38 | GENERAL ELECTRIC CO |
| 1B0078 | 5/31/1997 | 4/8/1997 | - | 21,000 | 80.75 | AMR CORP |
| 1B0078 | 5/31/1997 | 4/8/1997 | - | 52,200 | 31.50 | PEPSICO INC |
| 1B0078 | 8/31/1999 | 7/1/1999 | - | 50,000 | 56.25 | FDX CORPORATION |
| 1B0078 | 8/31/1999 | 7/1/1999 | 50,000 | - | 56.25 | FDX CORPORATION |
| 1B0078 | 8/31/1999 | 7/7/1999 | - | 50,000 | 55.00 | FDX CORPORATION |
| 1B0078 | 8/31/1999 | 7/8/1999 | - | 41,425 | 52.00 | ALZA CORP |
| 1B0078 | 8/31/1999 | 7/15/1999 | - | 11,900 | 51.00 | PRAXAIR INC |
| 1B0078 | 8/31/1999 | 7/20/1999 | - | 18,000 | 30.50 | SEAGATE TECHNOLOGY INC |
| 1B0078 | 8/31/1999 | 7/21/1999 | - | 14,000 | 27.88 | 3COM CORP |
| 1B0078 | 2/29/2000 | 1/6/2000 | - | 8,400 | 51.75 | TRW INC |
| 1B0078 | 2/29/2000 | 1/6/2000 | - | 39,200 | 118.44 | HEWLETT PACKARD CO |
| 1B0078 | 2/29/2000 | 1/10/2000 | 15,288 | - | 63.00 | KIMBERLY CLARK CORP |
| 1B0078 | 2/29/2000 | 1/11/2000 | 18,800 | - | 73.00 | BIOGEN INC |
| 1B0078 | 2/29/2000 | 1/11/2000 | 29,820 | - | 29.13 | COMPAQ COMPUTER CORP |
| 1B0078 | 2/29/2000 | 1/11/2000 | 50,000 | - | 29.00 | COMPAQ COMPUTER CORP |
| 1B0078 | 2/29/2000 | 1/12/2000 | - | 8,200 | 73.50 | DU PONT E I DE NEMOURS & CO |
| 1B0078 | 2/29/2000 | 1/13/2000 | - | 40,000 | 38.31 | NOVELL INC |
| 1B0078 | 2/29/2000 | 1/14/2000 | - | 15,288 | 67.75 | KIMBERLY CLARK CORP |
| 1B0078 | 2/29/2000 | 1/19/2000 | - | 51,600 | 43.25 | MCDONALDS CORP |
| 1B0078 | 2/29/2000 | 1/19/2000 | - | 22,104 | 27.25 | BURLINGTON NORTHERN SANTA FE |
| 1B0078 | 2/29/2000 | 1/28/2000 | - | 29,820 | 33.69 | COMPAQ COMPUTER CORP |
| 1B0078 | 2/29/2000 | 1/28/2000 | 8,200 | - | 60.00 | DU PONT E I DE NEMOURS & CO |
| 1B0078 | 2/29/2000 | 1/28/2000 | 8,400 | - | 46.00 | TRW INC |
| 1B0078 | 2/29/2000 | 1/28/2000 | - | 50,000 | 33.75 | COMPAQ COMPUTER CORP |
| 1B0078 | 2/29/2000 | 1/28/2000 | - | 18,800 | 98.19 | BIOGEN INC |
| 1B0078 | 3/31/2001 | 2/8/2001 | - | 30,000 | 68.00 | SIEBEL SYSTEMS INC |
| 1B0078 | 3/31/2001 | 2/9/2001 | - | 26,999 | 56.15 | CITI GROUP INC |
| 1B0078 | 3/31/2001 | 2/21/2001 | - | 79,820 | 24.25 | COMPAQ COMPUTER CORP |
| 1B0078 | 3/31/2001 | 2/26/2001 | - | 51,600 | 30.50 | MCDONALDS CORP |
| 1B0078 | 6/30/2002 | 4/8/2002 | - | 75,000 | 30.00 | DELTA AIRLINES INC |
| 1B0078 | 6/30/2002 | 4/24/2002 | - | 70,000 | 42.75 | XILINX INC |
| 1B0078 | 6/30/2002 | 5/2/2002 | - | 50,000 | 14.90 | ADAPTEC INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1B0078 | 3/31/2005 | 2/1/2005 | 55,000 | - | 34.85 | ANALOG DEVICES |
| 1B0078 | 3/31/2005 | 2/1/2005 | - | 26,999 | 48.52 | CITI GROUP INC |
| 1B0078 | 11/30/2008 | 10/1/2008 | - | 48,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0078 | 11/30/2008 | 10/1/2008 | 48,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1B0078 | 11/30/2008 | 10/3/2008 | 22,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0078 | 11/30/2008 | 10/3/2008 | - | 22,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1B0081 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1B0081 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1B0081 | 8/31/2002 | 7/31/2002 | - | 3,175,000 | 99.48 | U S TREASURY BILL |
| 1B0081 | 8/31/2002 | 7/31/2002 | 3,175,000 | - | 99.54 | U S TREASURY BILL |
| 1B0081 | 8/31/2002 | 7/31/2002 | 3,175,000 | - | 99.48 | U S TREASURY BILL |
| 1B0081 | 8/31/2002 | 7/31/2002 | - | 3,175,000 | 99.54 | U S TREASURY BILL |
| 1B0082 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1B0082 | 2/28/1998 | 1/23/1998 | - | 10,000 | 13.25 | IOMEGA CORP |
| 1B0082 | 2/29/2000 | 1/6/2000 | - | 10,000 | 67.25 | ADOBE SYS INC |
| 1B0083 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1B0083 | 2/28/1998 | 1/23/1998 | - | 10,000 | 13.25 | IOMEGA CORP |
| 1B0083 | 2/29/2000 | 1/6/2000 | - | 20,000 | 67.25 | ADOBE SYS INC |
| 1B0107 | 6/30/1999 | 5/26/1999 | 2,150,000 | - | 99.66 | U S TREASURY BILL |
| 1B0107 | 6/30/1999 | 5/26/1999 | - | 2,150,000 | 98.66 | U S TREASURY BILL |
| 1B0107 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.54 | U S TREASURY BILL |
| 1B0107 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.48 | U S TREASURY BILL |
| 1B0107 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.48 | U S TREASURY BILL |
| 1B0107 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.54 | U S TREASURY BILL |
| 1B0111 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1B0111 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1B0116 | 1/31/1996 | 12/29/1996 | - | 21,990,000 | 98.77 | U S TREASURY BILL |
| 1B0116 | 1/31/1996 | 12/29/1996 | 6,520 | - | - | FIDELITY CASH RESERVES SBI |
| 1B0116 | 1/31/1996 | 12/29/1996 | 14,390,000 | - | 98.77 | U S TREASURY BILL |
| 1B0116 | 6/30/1999 | 5/26/1999 | 3,475,000 | - | 99.66 | U S TREASURY BILL |
| 1B0116 | 6/30/1999 | 5/26/1999 | - | 3,475,000 | 98.66 | U S TREASURY BILL |
| 1B0116 | 8/31/2002 | 7/31/2002 | - | 3,750,000 | 99.54 | U S TREASURY BILL |
| 1B0116 | 8/31/2002 | 7/31/2002 | - | 3,750,000 | 99.48 | U S TREASURY BILL |
| 1B0116 | 8/31/2002 | 7/31/2002 | 3,750,000 | - | 99.54 | U S TREASURY BILL |
| 1B0116 | 8/31/2002 | 7/31/2002 | 3,750,000 | - | 99.48 | U S TREASURY BILL |
| 1B0118 | 6/30/1999 | 5/26/1999 | 7,725,000 | - | 99.66 | U S TREASURY BILL |
| 1B0118 | 6/30/1999 | 5/26/1999 | - | 7,725,000 | 98.66 | U S TREASURY BILL |
| 1B0118 | 8/31/2002 | 7/31/2002 | 18,800,000 | - | 99.54 | U S TREASURY BILL |
| 1B0118 | 8/31/2002 | 7/31/2002 | - | 18,800,000 | 99.48 | U S TREASURY BILL |
| 1B0118 | 8/31/2002 | 7/31/2002 | - | 18,800,000 | 99.54 | U S TREASURY BILL |
| 1B0118 | 8/31/2002 | 7/31/2002 | 18,800,000 | - | 99.48 | U S TREASURY BILL |
| 1B0121 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.48 | U S TREASURY BILL |
| 1B0121 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.54 | U S TREASURY BILL |
| 1B0121 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.54 | U S TREASURY BILL |
| 1B0121 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.48 | U S TREASURY BILL |
| 1B0126 | 1/31/1996 | 12/29/1996 | 7,600,000 | - | 98.77 | U S TREASURY BILL |
| 1B0126 | 6/30/1999 | 5/26/1999 | - | 2,100,000 | 98.66 | U S TREASURY BILL |
| 1B0126 | 6/30/1999 | 5/26/1999 | 2,100,000 | - | 99.66 | U S TREASURY BILL |
| 1B0126 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.48 | U S TREASURY BILL |
| 1B0126 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.54 | U S TREASURY BILL |
| 1B0126 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.48 | U S TREASURY BILL |
| 1B0126 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.54 | U S TREASURY BILL |
| 1B0128 | 6/30/1999 | 5/26/1999 | 2,150,000 | - | 99.66 | U S TREASURY BILL |
| 1B0128 | 6/30/1999 | 5/26/1999 | - | 2,150,000 | 98.66 | U S TREASURY BILL |
| 1B0128 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.54 | U S TREASURY BILL |
| 1B0128 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.48 | U S TREASURY BILL |
| 1B0128 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.48 | U S TREASURY BILL |
| 1B0128 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.54 | U S TREASURY BILL |
| 1B0142 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1B0142 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1B0142 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.54 | U S TREASURY BILL |
| 1B0142 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.48 | U S TREASURY BILL |
| 1B0142 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.54 | U S TREASURY BILL |
| 1B0142 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.48 | U S TREASURY BILL |
| 1B0146 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1B0146 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1B0169 | 6/30/1999 | 5/26/1999 | - | 675,000 | 98.66 | U S TREASURY BILL |
| 1B0169 | 6/30/1999 | 5/26/1999 | 675,000 | - | 99.66 | U S TREASURY BILL |
| 1B0198 | 6/30/1999 | 5/26/1999 | - | 1,875,000 | 98.66 | U S TREASURY BILL |
| 1B0198 | 6/30/1999 | 5/26/1999 | 1,875,000 | - | 99.66 | U S TREASURY BILL |
| 1B0198 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.54 | U S TREASURY BILL |
| 1B0198 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.48 | U S TREASURY BILL |
| 1B0198 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.48 | U S TREASURY BILL |
| 1B0198 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.54 | U S TREASURY BILL |
| 1B0200 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1B0200 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1B0208 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1B0208 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1B0209 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.48 | U S TREASURY BILL |
| 1B0209 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.54 | U S TREASURY BILL |
| 1B0209 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.48 | U S TREASURY BILL |
| 1B0209 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.54 | U S TREASURY BILL |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 13,400 | 32.69 | PRAXAIR INC |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 50,000 | 31.88 | COMPAQ COMPUTER CORP |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 27,675 | 29.13 | ORACLE CORPORATION |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 2,734 | 24.25 | NEWMONT MNG CORP |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 4,600 | 52.25 | PHELPS DODGE CORP |
| 1B0212 | 12/31/1998 | 10/5/1998 | - | 18,234 | 32.00 | COMPAQ COMPUTER CORP |
| 1B0212 | 12/31/1998 | 10/6/1998 | - | 17,472 | 40.50 | KIMBERLY CLARK CORP |
| 1B0212 | 12/31/1998 | 10/6/1998 | - | 21,200 | 56.25 | DU PONT E I DE NEMOURS & CO |
| 1B0212 | 12/31/1998 | 10/6/1998 | - | 10,000 | 44.50 | CATERPILLAR INC |
| 1B0212 | 12/31/1998 | 10/6/1998 | - | 13,700 | 9.75 | NATIONAL SEMICONDUCTOR CORP |
| 1B0212 | 12/31/1998 | 10/6/1998 | - | 6,400 | 69.81 | ALUMINUM COMPANY OF AMERICA |
| 1B0212 | 12/31/1998 | 10/7/1998 | - | 10,200 | 43.13 | UNION CARBIDE CORP |
| 1B0212 | 12/31/1998 | 10/19/1998 | - | 16,200 | 47.00 | FORD MOTOR COMPANY |
| 1B0212 | 12/31/1998 | 10/19/1998 | - | 10,800 | 66.00 | BIOGEN INC |
| 1B0212 | 12/31/1998 | 10/19/1998 | - | 11,000 | 128.50 | INTERNATIONAL BUSINESS MACHS |
| 1B0212 | 12/31/1998 | 10/19/1998 | - | 4,245 | 65.25 | ASSOCIATES FIRST CAPITAL CORP |
| 1B0212 | 12/31/1998 | 10/20/1998 | - | 14,160 | 63.00 | CHUBB CORP |
| 1B0212 | 12/31/1998 | 10/20/1998 | - | 56,000 | 79.63 | GENERAL ELECTRIC CO |
| 1B0212 | 12/31/1998 | 10/20/1998 | - | 8,458 | 48.88 | MCI WORLD COM INC |
| 1B0212 | 12/31/1998 | 10/27/1998 | - | 25,000 | 111.63 | AMERICA ONLINE CORP |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 11,900 | 32.69 | PRAXAIR INC |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 50,000 | 31.88 | COMPAQ COMPUTER CORP |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 41,425 | 43.38 | ALZA CORP |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 8,400 | 44.50 | TRW INC |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 9,820 | 32.00 | COMPAQ COMPUTER CORP |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 24,637 | 29.13 | ORACLE CORPORATION |
| 1B0213 | 12/31/1998 | 10/5/1998 | - | 4,000 | 52.25 | PHELPS DODGE CORP |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 6,000 | 50.88 | SCHLUMBERGER LTD |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 8,200 | 56.25 | DU PONT E I DE NEMOURS & CO |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 2,100 | 25.50 | ARGONAUT GROUP INC |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 5,600 | 69.81 | ALUMINUM COMPANY OF AMERICA |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 8,800 | 44.50 | CATERPILLAR INC |
| 1B0213 | 12/31/1998 | 10/6/1998 | - | 15,288 | 40.50 | KIMBERLY CLARK CORP |
| 1B0213 | 12/31/1998 | 10/7/1998 | - | 9,100 | 43.13 | UNION CARBIDE CORP |
| 1B0213 | 12/31/1998 | 10/19/1998 | - | 3,500 | 64.25 | UNITRIN INC |
| 1B0213 | 12/31/1998 | 10/19/1998 | - | 9,400 | 66.00 | BIOGEN INC |
| 1B0213 | 12/31/1998 | 10/20/1998 | - | 56,000 | 79.63 | GENERAL ELECTRIC CO |
| 1B0213 | 12/31/1998 | 10/20/1998 | - | 37,317 | 48.88 | MCI WORLD COM INC |
| 1B0213 | 12/31/1998 | 10/20/1998 | - | 9,600 | 79.75 | GENERAL ELECTRIC CO |
| 1B0213 | 12/31/1998 | 10/20/1998 | - | 11,400 | 63.00 | CHUBB CORP |
| 1B0213 | 12/31/1998 | 10/27/1998 | - | 62,000 | 111.63 | AMERICA ONLINE CORP |
| 1B0213 | 6/30/2002 | 5/1/2002 | - | 7,500 | 35.49 | BROADCOM CORP CL A |
| 1B0214 | 8/31/2002 | 7/31/2002 | - | 4,875,000 | 99.54 | U S TREASURY BILL |
| 1B0214 | 8/31/2002 | 7/31/2002 | 4,875,000 | - | 99.48 | U S TREASURY BILL |
| 1B0214 | 8/31/2002 | 7/31/2002 | - | 4,875,000 | 99.48 | U S TREASURY BILL |
| 1B0214 | 8/31/2002 | 7/31/2002 | 4,875,000 | - | 99.54 | U S TREASURY BILL |
| 1B0215 | 6/30/2002 | 5/1/2002 | 7,500 | - | 35.49 | BROADCOM CORP CL A |
| 1B0217 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1B0217 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1B0225 | 8/31/2002 | 7/31/2002 | - | 3,675,000 | 99.48 | U S TREASURY BILL |
| 1B0225 | 8/31/2002 | 7/31/2002 | 3,675,000 | - | 99.48 | U S TREASURY BILL |
| 1B0225 | 8/31/2002 | 7/31/2002 | 3,675,000 | - | 99.54 | U S TREASURY BILL |
| 1B0225 | 8/31/2002 | 7/31/2002 | - | 3,675,000 | 99.54 | U S TREASURY BILL |
| 1B0232 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.48 | U S TREASURY BILL |
| 1B0232 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.48 | U S TREASURY BILL |
| 1B0232 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.54 | U S TREASURY BILL |
| 1B0232 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.54 | U S TREASURY BILL |
| 1C0010 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.54 | U S TREASURY BILL |
| 1C0010 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.48 | U S TREASURY BILL |
| 1C1006 | 8/31/1996 | 7/3/1996 | 900 | - | 87.75 | GENERAL ELECTRIC CO |
| 1C1006 | 8/31/1996 | 7/3/1996 | 5,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1C1006 | 8/31/1996 | 7/3/1996 | 1,800 | - | 34.13 | FEDERAL NATIONAL MTG ASSN |
| 1C1006 | 8/31/1996 | 7/3/1996 | 1,000 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1C1006 | 8/31/1996 | 7/3/1996 | 3,100 | - | 44.75 | GTE CORP |
| 1C1006 | 8/31/1996 | 7/5/1996 | 300 | - | 71.50 | THE CHASE MANHATTAN CORP NEW |
| 1C1006 | 8/31/1996 | 7/5/1996 | 5,000 | - | 75.00 | ANHEUSER BUSCH COS INC |
| 1C1006 | 8/31/1996 | 7/5/1996 | 5,000 | - | 29.00 | BROWNING FERRIS IND INC |
| 1C1006 | 8/31/1996 | 7/5/1996 | 600 | - | 47.38 | MCDONALDS CORP |
| 1C1006 | 8/31/1996 | 7/8/1996 | 21,800 | - | 49.75 | JOHNSON & JOHNSON |
| 1C1006 | 8/31/1996 | 7/8/1996 | 300 | - | 93.88 | BOEING CO |
| 1C1006 | 8/31/1996 | 7/9/1996 | 26,000 | - | 47.38 | 3COM CORP |
| 1C1006 | 8/31/1996 | 7/9/1996 | 300 | - | 70.63 | CATERPILLAR INC |
| 1C1006 | 8/31/1996 | 7/9/1996 | 200 | - | 35.75 | EARTHGRAINS COMPANY |
| 1C1006 | 8/31/1996 | 7/9/1996 | 21,800 | - | 49.63 | COCA COLA CO |
| 1C1006 | 8/31/1996 | 7/9/1996 | 24,000 | - | 66.63 | MERCK & CO |
| 1C1006 | 8/31/1996 | 7/16/1996 | 1,200 | - | 73.88 | PFIZER INC |
| 1C1006 | 8/31/1996 | 7/16/1996 | 5,000 | - | 79.75 | DOW CHEMICAL CO |
| 1C1006 | 5/31/1997 | 4/17/1997 | 21,000,000 | - | 97.70 | U S TREASURY BILL |
| 1C1006 | 6/30/1997 | 4/17/1997 | 2,100,000 | - | 97.70 | U S TREASURY BILL |
| 1C1006 | 6/30/1997 | 4/17/1997 | - | 21,000,000 | 97.70 | U S TREASURY BILL |
| 1C1006 | 12/31/2001 | 11/26/2001 | - | 92,000 | 12.22 | JDS UNIPHASE CORP |
| 1C1006 | 12/31/2001 | 11/26/2001 | - | 50,000 | 12.27 | JDS UNIPHASE CORP |
| 1C1006 | 12/31/2001 | 11/26/2001 | - | 80,000 | 12.20 | JDS UNIPHASE CORP |
| 1C1006 | 12/31/2001 | 11/29/2001 | - | 65,000 | 67.00 | AMGEN INC |
| 1C1006 | 12/31/2001 | 11/29/2001 | - | 79,000 | 66.91 | AMGEN INC |
| 1C1006 | 12/31/2002 | 1/10/2002 | 14,000 | - | 59.63 | MORGAN STANLEY |
| 1C1006 | 12/31/2002 | 1/10/2002 | - | 14,000 | 59.63 | MORGAN STANLEY |
| 1C1006 | 12/31/2002 | 1/22/2002 | 23,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1C1006 | 12/31/2002 | 1/22/2002 | - | 23,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1C1016 | 3/31/1998 | 1/2/1998 | - | 75,000 | 124.25 | MICROSOFT CORP |
| 1C1016 | 3/31/1998 | 1/2/1998 | - | 75,000 | 124.38 | MICROSOFT CORP |
| 1C1016 | 3/31/1998 | 1/2/1998 | - | 4,600 | 124.50 | MICROSOFT CORP |
| 1C1016 | 3/31/1998 | 1/2/1998 | - | 80,000 | 124.50 | MICROSOFT CORP |
| 1C1016 | 3/31/1998 | 1/30/1998 | 29,750,000 | - | 97.94 | U S TREASURY BILL |
| 1C1016 | 3/31/1998 | 1/30/1998 | 59,690 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 2/27/1998 | 900 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 5/30/1998 | 6,677 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 6/6/1998 | - | 8,400 | 95.00 | THE CHASE MANHATTAN CORP NEW |
| 1C1016 | 3/31/1998 | 6/11/1998 | - | 10,400 | 83.25 | INTERNATIONAL BUSINESS MACHS |
| 1C1016 | 3/31/1998 | 6/11/1998 | - | 25,000 | 80.50 | THE WALT DISNEY CO |
| 1C1016 | 3/31/1998 | 6/17/1998 | - | 12,400 | 108.38 | DELL COMPUTER CORP |
| 1C1016 | 3/31/1998 | 6/17/1998 | - | 6,000 | 98.13 | COMPAQ COMPUTER CORP |
| 1C1016 | 3/31/1998 | 6/27/1998 | 25,000 | - | 77.50 | EASTMAN KODAK CO |
| 1C1016 | 3/31/1998 | 6/30/1998 | 7,923 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 6/30/1998 | 2,970,000 | - | 94.64 | U S TREASURY BILL |
| 1C1016 | 3/31/1998 | 6/30/1998 | 2,592 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 6/30/1998 | 11,000 | - | 78.00 | EASTMAN KODAK CO |
| 1C1016 | 3/31/1998 | 8/29/1998 | 848 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 9/30/1998 | 6,113 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 10/8/1998 | - | 8,000 | 87.00 | GILLETTE CO |
| 1C1016 | 3/31/1998 | 10/21/1998 | 5,700 | - | 35.00 | TOYS R US |
| 1C1016 | 3/31/1998 | 10/21/1998 | 45,000 | - | 34.88 | TOYS R US |
| 1C1016 | 3/31/1998 | 10/23/1998 | - | 8,200 | 54.13 | HERSHEY FOODS CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1C1016 | 3/31/1998 | 10/31/1998 | 2,000,000 | - | 96.64 | U S TREASURY BILL |
| 1C1016 | 3/31/1998 | 10/31/1998 | - | 57,150 | 45.50 | AMGEN INC |
| 1C1016 | 3/31/1998 | 10/31/1998 | 25,515 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 11/28/1998 | 1,098 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/1998 | 12/9/1998 | - | 18,200 | 28.00 | GATEWAY 2000 INC |
| 1C1016 | 3/31/1998 | 12/12/1998 | 7,600 | - | 87.75 | SCHLUMBERGER LTD |
| 1C1016 | 3/31/1998 | 12/23/1998 | - | 6,700 | 25.00 | U S SURGICAL CORP |
| 1C1016 | 3/31/1998 | 12/31/1998 | 12,240 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 9/30/2001 | 8/9/2001 | 5,600 | - | 59.50 | BOEING CO |
| 1C1016 | 9/30/2001 | 8/9/2001 | - | 5,600 | 59.50 | BOEING CO |
| 1C1016 | 9/30/2001 | 8/9/2001 | - | 5,600 | 59.50 | BOEING CO |
| 1C1016 | 9/30/2001 | 8/10/2001 | - | 7,500 | 68.50 | QUALCOMM INC |
| 1C1016 | 9/30/2001 | 8/31/2001 | 850,000 | - | 99.06 | U S TREASURY BILL |
| 1C1016 | 9/30/2001 | 8/31/2001 | 4,940 | - | 1.00 | FIDELITY SPARTAN |
| 1C1016 | 3/31/2008 | 2/8/2008 | - | 64,000 | 120.57 | WYNN RESORTS LTD |
| 1C1016 | 3/31/2008 | 2/13/2008 | 64,000 | - | 120.57 | WYNN RESORTS LTD |
| 1C1017 | 11/30/1997 | 10/31/1997 | - | 20,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1017 | 7/31/1998 | 6/8/1998 | - | 6,600 | 82.06 | BANKAMERICA CORP |
| 1C1017 | 7/31/1998 | 6/8/1998 | - | 15,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1017 | 7/31/1998 | 6/8/1998 | - | 38,000 | 67.13 | INTEL CORP |
| 1C1017 | 7/31/1998 | 6/8/1998 | - | 2,300 | 60.94 | AMGEN INC |
| 1C1017 | 7/31/1998 | 6/30/1998 | 2,600,000 | - | 96.91 | U S TREASURY BILL |
| 1C1017 | 7/31/1998 | 6/30/1998 | 30,860 | - | 1.00 | FIDELITY SPARTAN |
| 1C1017 | 9/30/2001 | 8/9/2001 | - | 2,300 | 59.50 | BOEING CO |
| 1C1017 | 9/30/2001 | 8/10/2001 | - | 3,800 | 34.05 | AMR CORP |
| 1C1017 | 9/30/2001 | 8/10/2001 | - | 2,746 | 50.18 | SABRE HOLDINGS CORP |
| 1C1017 | 9/30/2001 | 8/10/2001 | - | 3,300 | 68.50 | QUALCOMM INC |
| 1C1017 | 9/30/2001 | 8/31/2001 | 3,165 | - | 1.00 | FIDELITY SPARTAN |
| 1C1017 | 9/30/2001 | 8/31/2001 | 225,000 | - | 99.06 | U S TREASURY BILL |
| 1C1018 | 11/30/1997 | 10/31/1997 | - | 80,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1018 | 7/31/1998 | 1/8/1998 | - | 75,000 | 40.75 | MONSANTO CO |
| 1C1018 | 7/31/1998 | 1/8/1998 | - | 74,000 | 41.00 | MONSANTO CO |
| 1C1018 | 7/31/1998 | 1/9/1998 | - | 75,000 | 40.00 | MONSANTO CO |
| 1C1018 | 7/31/1998 | 1/12/1998 | - | 75,000 | 64.13 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | - | 50,000 | 64.19 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | 75,000 | - | 64.25 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | 50,000 | - | 64.19 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | - | 75,000 | 64.25 | JOHNSON & JOHNSON |
| 1C1018 | 7/31/1998 | 1/12/1998 | - | 50,000 | 64.19 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | 75,000 | - | 64.13 | JOHNSON & JOHNSON |
| 1C1018 | 7/31/1998 | 1/12/1998 | - | 75,000 | 64.25 | JOHNSON & JOHNSON |
| 1C1018 | 10/31/1998 | 1/12/1998 | - | 75,000 | 64.13 | JOHNSON & JOHNSON |
| 1C1018 | 7/31/1998 | 1/14/1998 | - | 100,000 | 126.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | - | 125,000 | 127.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | 125,000 | - | 127.00 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/14/1998 | 43,000 | - | 62.81 | UNION PACIFIC CORP |
| 1C1018 | 7/31/1998 | 1/14/1998 | - | 50,000 | 130.00 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/14/1998 | - | 125,000 | 127.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | 50,000 | - | 130.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | 100,000 | - | 126.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | - | 50,000 | 130.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/14/1998 | - | 100,000 | 126.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/15/1998 | - | 50,000 | 128.00 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | - | 50,000 | 128.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/15/1998 | 50,000 | - | 128.00 | MICROSOFT CORP |
| 1C1018 | 10/31/1998 | 1/15/1998 | 65,000 | - | 129.50 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | 55,000 | - | 62.63 | UNION PACIFIC CORP |
| 1C1018 | 10/31/1998 | 1/15/1998 | 45,448 | - | 101.75 | THE CHASE MANHATTAN CORP NEW |
| 1C1018 | 10/31/1998 | 1/15/1998 | - | 65,000 | 129.50 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | 75,000 | - | 61.31 | UNION PACIFIC CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | - | 45,448 | 101.75 | THE CHASE MANHATTAN CORP NEW |
| 1C1018 | 7/31/1998 | 1/15/1998 | - | 56,400 | 45.50 | MCDONALDS CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | - | 65,000 | 129.50 | MICROSOFT CORP |
| 1C1018 | 7/31/1998 | 1/15/1998 | - | 77,000 | 34.00 | PHARMACIA & UPJOHN INC |
| 1C1018 | 7/31/1998 | 1/16/1998 | 25,000 | - | 20.56 | ADVANCED MICRO DEVICES |

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|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1C1018 | 7/31/1998 | 1/16/1998 | - | 80,470 | 34.56 | PHARMACIA & UPJOHN INC |
| 1C1018 | 7/31/1998 | 1/16/1998 | 75,000 | - | 20.63 | ADVANCED MICRO DEVICES |
| 1C1018 | 7/31/1998 | 1/16/1998 | 85,000 | - | 20.50 | ADVANCED MICRO DEVICES |
| 1C1018 | 7/31/1998 | 1/20/1998 | 75,000 | - | 25.31 | NATIONAL SEMICONDUCTOR CORP |
| 1C1018 | 7/31/1998 | 1/20/1998 | 90,000 | - | 25.19 | NATIONAL SEMICONDUCTOR CORP |
| 1C1018 | 7/31/1998 | 1/20/1998 | 75,000 | - | 25.38 | NATIONAL SEMICONDUCTOR CORP |
| 1C1018 | 7/31/1998 | 1/21/1998 | 60,000 | - | 25.25 | NATIONAL SEMICONDUCTOR CORP |
| 1C1018 | 7/31/1998 | 1/22/1998 | 25,000 | - | 34.44 | 3COM CORP |
| 1C1018 | 7/31/1998 | 1/22/1998 | 75,000 | - | 34.50 | 3COM CORP |
| 1C1018 | 7/31/1998 | 1/22/1998 | 80,000 | - | 34.38 | 3COM CORP |
| 1C1018 | 7/31/1998 | 1/26/1998 | 24,000 | - | 81.19 | SCHLUMBERGER LTD |
| 1C1018 | 7/31/1998 | 1/26/1998 | 70,000 | - | 81.25 | SCHLUMBERGER LTD |
| 1C1018 | 7/31/1998 | 1/26/1998 | 25,000 | - | 81.13 | SCHLUMBERGER LTD |
| 1C1018 | 7/31/1998 | 1/27/1998 | 85,000 | - | 33.50 | MICRON TECHNOLOGY INC |
| 1C1018 | 7/31/1998 | 1/27/1998 | 40,000 | - | 33.38 | MICRON TECHNOLOGY INC |
| 1C1018 | 7/31/1998 | 1/29/1998 | - | 50,000 | 64.50 | BANKAMERICA CORP |
| 1C1018 | 10/31/1998 | 1/29/1998 | 50,000 | - | 64.50 | BANKAMERICA CORP |
| 1C1018 | 7/31/1998 | 1/29/1998 | 57,000 | - | 66.25 | AT & T CORP |
| 1C1018 | 7/31/1998 | 1/29/1998 | - | 70,000 | 64.38 | BANKAMERICA CORP |
| 1C1018 | 10/31/1998 | 1/29/1998 | 70,000 | - | 64.38 | BANKAMERICA CORP |
| 1C1018 | 7/31/1998 | 1/29/1998 | 60,000 | - | 66.06 | AT & T CORP |
| 1C1018 | 7/31/1998 | 1/30/1998 | 75,000 | - | 57.31 | MOTOROLA INC |
| 1C1018 | 7/31/1998 | 1/30/1998 | - | 50,000 | 122.00 | AMR CORP |
| 1C1018 | 10/31/1998 | 1/30/1998 | 50,000 | - | 122.00 | AMR CORP |
| 1C1018 | 7/31/1998 | 1/30/1998 | 78,000 | - | 57.25 | MOTOROLA INC |
| 1C1018 | 10/31/1998 | 1/30/1998 | 21,900 | - | 122.13 | AMR CORP |
| 1C1018 | 7/31/1998 | 1/30/1998 | - | 21,900 | 122.13 | AMR CORP |
| 1C1018 | 7/31/1998 | 1/30/1998 | 182,927 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 2/27/1998 | 46,390 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 3/20/1998 | - | 70,000 | 23.88 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | - | 75,000 | 23.94 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | - | 125,000 | 23.69 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | - | 100,000 | 24.00 | COMPAQ COMPUTER CORP |
| 1C1018 | 10/31/1998 | 3/20/1998 | 100,000 | - | 24.00 | COMPAQ COMPUTER CORP |
| 1C1018 | 10/31/1998 | 3/20/1998 | 75,000 | - | 23.94 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | - | 72,500 | 23.81 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | 75,000 | - | 72.63 | FDX CORPORATION |
| 1C1018 | 10/31/1998 | 3/20/1998 | 70,000 | - | 23.88 | COMPAQ COMPUTER CORP |
| 1C1018 | 10/31/1998 | 3/20/1998 | 72,500 | - | 23.81 | COMPAQ COMPUTER CORP |
| 1C1018 | 10/31/1998 | 3/20/1998 | 125,000 | - | 23.69 | COMPAQ COMPUTER CORP |
| 1C1018 | 7/31/1998 | 3/20/1998 | 70,000 | - | 72.75 | FDX CORPORATION |
| 1C1018 | 7/31/1998 | 3/31/1998 | 81,166 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 4/30/1998 | 232,600 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 5/29/1998 | 111,466 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 6/1/1998 | - | 654,549 | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 6/8/1998 | - | 30,000 | 113.00 | MERCK & CO |
| 1C1018 | 7/31/1998 | 6/8/1998 | - | 24,000 | 60.94 | AMGEN INC |
| 1C1018 | 7/31/1998 | 6/8/1998 | - | 106,400 | 67.00 | INTEL CORP |
| 1C1018 | 7/31/1998 | 6/30/1998 | 12,400,000 | - | 96.91 | U S TREASURY BILL |
| 1C1018 | 11/30/1998 | 6/30/1998 | - | 700,000 | 96.91 | U S TREASURY BILL |
| 1C1018 | 7/31/1998 | 6/30/1998 | 5,324 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 7/31/1998 | 6/30/1998 | 9,593 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 6/30/1998 | - | 12,400,000 | 96.91 | U S TREASURY BILL |
| 1C1018 | 7/31/1998 | 6/30/1998 | 700,000 | - | 96.91 | U S TREASURY BILL |
| 1C1018 | 8/31/1998 | 7/31/1998 | 854 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 8/31/1998 | 7/31/1998 | 1,638 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 7/31/1998 | - | 854 | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 9/30/1998 | 7/31/1998 | 854 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 8/31/1998 | 7/31/1998 | - | 17,500,000 | 97.36 | U S TREASURY BILL |
| 1C1018 | 8/31/1998 | 7/31/1998 | 17,484,000 | - | 97.36 | U S TREASURY BILL |
| 1C1018 | 11/30/1998 | 7/31/1998 | - | 17,484,000 | 97.36 | U S TREASURY BILL |
| 1C1018 | 11/30/1998 | 8/28/1998 | - | 2,140,000 | 97.85 | U S TREASURY BILL |
| 1C1018 | 9/30/1998 | 8/31/1998 | - | 22,151 | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 8/31/1998 | - | 21,297 | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 8/31/1998 | - | 100,000 | 97.93 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------|
| 1C1018 | 9/30/1998 | 8/31/1998 | 21,297 | - | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 9/30/1998 | - | 605 | 1.00 | FIDELITY SPARTAN |
| 1C1018 | 11/30/1998 | 9/30/1998 | - | 33,380,000 | 98.45 | U S TREASURY BILL |
| 1C1018 | 9/30/2001 | 8/9/2001 | - | 46,000 | 36.00 | ABERCROMBIE & FITCH CO |
| 1C1018 | 9/30/2001 | 8/9/2001 | - | 125,000 | 15.30 | COMPAQ COMPUTER CORP |
| 1C1018 | 9/30/2001 | 8/9/2001 | - | 58,200 | 59.50 | BOEING CO |
| 1C1018 | 9/30/2001 | 8/10/2001 | - | 53,000 | 27.50 | THE WALT DISNEY CO |
| 1C1018 | 9/30/2001 | 8/10/2001 | - | 142,500 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1018 | 9/30/2001 | 8/10/2001 | - | 52,200 | 68.50 | QUALCOMM INC |
| 1C1018 | 9/30/2001 | 8/10/2001 | - | 67,000 | 27.50 | THE WALT DISNEY CO |
| 1C1018 | 9/30/2001 | 8/10/2001 | - | 50,000 | 37.25 | ABERCROMBIE & FITCH CO |
| 1C1018 | 9/30/2001 | 8/13/2001 | - | 175,000 | 15.00 | COMPAQ COMPUTER CORP |
| 1C1018 | 9/30/2001 | 8/13/2001 | - | 54,000 | 38.25 | ABERCROMBIE & FITCH CO |
| 1C1018 | 1/31/2001 | 12/20/2001 | 65,000 | - | 50.00 | COMPUTER ASSOC INTL INC |
| 1C1018 | 1/31/2001 | 12/20/2001 | 50,000 | - | 50.06 | COMPUTER ASSOC INTL INC |
| 1C1018 | 2/28/2002 | 1/10/2002 | 65,000 | - | 18.75 | TIME WARNER TELECOM CL A |
| 1C1018 | 2/28/2002 | 1/10/2002 | 35,000 | - | 18.69 | TIME WARNER TELECOM CL A |
| 1C1019 | 11/30/1997 | 10/31/1997 | - | 20,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1019 | 7/31/1998 | 6/8/1998 | - | 31,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1019 | 7/31/1998 | 6/8/1998 | - | 4,700 | 60.94 | AMGEN INC |
| 1C1019 | 7/31/1998 | 6/8/1998 | - | 18,400 | 67.13 | INTEL CORP |
| 1C1019 | 7/31/1998 | 6/30/1998 | 2,400,000 | - | 96.91 | U S TREASURY BILL |
| 1C1019 | 7/31/1998 | 6/30/1998 | 44,198 | - | 1.00 | FIDELITY SPARTAN |
| 1C1019 | 9/30/2001 | 8/9/2001 | - | 2,300 | 59.50 | BOEING CO |
| 1C1019 | 9/30/2001 | 8/10/2001 | - | 4,000 | 34.05 | AMR CORP |
| 1C1019 | 9/30/2001 | 8/10/2001 | - | 3,300 | 68.50 | QUALCOMM INC |
| 1C1019 | 9/30/2001 | 8/10/2001 | - | 2,890 | 50.18 | SABRE HOLDINGS CORP |
| 1C1020 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1020 | 7/31/1998 | 6/8/1998 | - | 3,300 | 113.00 | MERCK & CO |
| 1C1020 | 7/31/1998 | 6/8/1998 | - | 23,200 | 67.13 | INTEL CORP |
| 1C1020 | 7/31/1998 | 6/8/1998 | - | 12,750 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1020 | 7/31/1998 | 6/8/1998 | - | 16,800 | 82.06 | BANKAMERICA CORP |
| 1C1020 | 7/31/1998 | 6/30/1998 | 15,055 | - | 1.00 | FIDELITY SPARTAN |
| 1C1020 | 7/31/1998 | 6/30/1998 | 1,600,000 | - | 96.91 | U S TREASURY BILL |
| 1C1020 | 9/30/2001 | 8/9/2001 | - | 1,100 | 59.50 | BOEING CO |
| 1C1020 | 9/30/2001 | 8/9/2001 | - | 18,500 | 36.25 | ABERCROMBIE & FITCH CO |
| 1C1020 | 9/30/2001 | 8/10/2001 | - | 4,624 | 50.18 | SABRE HOLDINGS CORP |
| 1C1020 | 9/30/2001 | 8/10/2001 | - | 950 | 68.50 | QUALCOMM INC |
| 1C1020 | 9/30/2001 | 8/10/2001 | - | 6,400 | 34.05 | AMR CORP |
| 1C1021 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1021 | 7/31/1998 | 6/8/1998 | - | 1,500 | 113.00 | MERCK & CO |
| 1C1021 | 7/31/1998 | 6/8/1998 | - | 60,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1021 | 7/31/1998 | 6/30/1998 | 50,000 | - | 96.91 | U S TREASURY BILL |
| 1C1021 | 7/31/1998 | 6/30/1998 | 41,618 | - | 1.00 | FIDELITY SPARTAN |
| 1C1021 | 9/30/2001 | 8/7/2001 | - | 33,334 | 37.00 | ABERCROMBIE & FITCH CO |
| 1C1021 | 9/30/2001 | 8/7/2001 | - | 33,333 | 37.00 | ABERCROMBIE & FITCH CO |
| 1C1021 | 9/30/2001 | 8/7/2001 | - | 33,333 | 37.00 | ABERCROMBIE & FITCH CO |
| 1C1021 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1021 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1021 | 9/30/2001 | 8/10/2001 | - | 2,938 | 50.18 | SABRE HOLDINGS CORP |
| 1C1021 | 9/30/2001 | 8/10/2001 | - | 3,066 | 68.38 | QUALCOMM INC |
| 1C1021 | 9/30/2001 | 8/10/2001 | - | 2,939 | 50.18 | SABRE HOLDINGS CORP |
| 1C1021 | 9/30/2001 | 8/13/2001 | - | 2,939 | 50.00 | SABRE HOLDINGS CORP |
| 1C1021 | 9/30/2001 | 8/13/2001 | - | 4,067 | 34.05 | AMR CORP |
| 1C1021 | 9/30/2001 | 8/13/2001 | - | 4,067 | 34.05 | AMR CORP |
| 1C1021 | 9/30/2001 | 8/13/2001 | - | 4,066 | 34.05 | AMR CORP |
| 1C1021 | 9/30/2001 | 8/14/2001 | - | 3,334 | 57.49 | BOEING CO |
| 1C1021 | 9/30/2001 | 8/14/2001 | - | 3,333 | 57.49 | BOEING CO |
| 1C1021 | 9/30/2001 | 8/14/2001 | - | 3,333 | 57.49 | BOEING CO |
| 1C1021 | 10/31/2001 | 9/28/2001 | - | 9,536 | 1.00 | FIDELITY SPARTAN |
| 1C1021 | 5/31/2008 | 4/30/2008 | - | 100,000 | 99.54 | U S TREASURY BILL |
| 1C1022 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1022 | 7/31/1998 | 6/8/1998 | - | 46,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1022 | 7/31/1998 | 6/8/1998 | - | 2,400 | 67.13 | INTEL CORP |
| 1C1022 | 7/31/1998 | 6/30/1998 | 14,125 | - | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1C1022 | 7/31/1998 | 6/30/1998 | 750,000 | - | 96.91 | U S TREASURY BILL |
| 1C1022 | 9/30/2001 | 8/9/2001 | - | 6,600 | 59.50 | BOEING CO |
| 1C1022 | 9/30/2001 | 8/10/2001 | - | 9,600 | 34.05 | AMR CORP |
| 1C1022 | 9/30/2001 | 8/10/2001 | - | 6,937 | 50.18 | SABRE HOLDINGS CORP |
| 1C1022 | 9/30/2001 | 8/10/2001 | - | 40,000 | 36.25 | ABERCROMBIE & FITCH CO |
| 1C1022 | 9/30/2001 | 8/10/2001 | - | 6,000 | 68.50 | QUALCOMM INC |
| 1C1022 | 9/30/2001 | 8/13/2001 | - | 34,000 | 38.25 | ABERCROMBIE & FITCH CO |
| 1C1023 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1023 | 7/31/1998 | 6/8/1998 | - | 18,800 | 67.13 | INTEL CORP |
| 1C1023 | 7/31/1998 | 6/8/1998 | - | 43,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1023 | 7/31/1998 | 6/30/1998 | 47,281 | - | 1.00 | FIDELITY SPARTAN |
| 1C1023 | 7/31/1998 | 6/30/1998 | 1,050,000 | - | 96.91 | U S TREASURY BILL |
| 1C1023 | 9/30/2001 | 8/9/2001 | - | 9,700 | 59.50 | BOEING CO |
| 1C1023 | 9/30/2001 | 8/10/2001 | - | 7,949 | 50.18 | SABRE HOLDINGS CORP |
| 1C1023 | 9/30/2001 | 8/10/2001 | - | 45,500 | 37.25 | ABERCROMBIE & FITCH CO |
| 1C1023 | 9/30/2001 | 8/10/2001 | - | 8,700 | 68.50 | QUALCOMM INC |
| 1C1023 | 9/30/2001 | 8/10/2001 | - | 11,000 | 34.05 | AMR CORP |
| 1C1023 | 9/30/2001 | 8/13/2001 | - | 40,000 | 38.25 | ABERCROMBIE & FITCH CO |
| 1C1024 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1024 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1024 | 7/31/1998 | 6/8/1998 | - | 11,700 | 82.06 | BANKAMERICA CORP |
| 1C1024 | 7/31/1998 | 6/8/1998 | - | 34,400 | 67.13 | INTEL CORP |
| 1C1024 | 7/31/1998 | 6/8/1998 | - | 300 | 113.00 | MERCK & CO |
| 1C1024 | 7/31/1998 | 6/30/1998 | 2,600,000 | - | 96.91 | U S TREASURY BILL |
| 1C1024 | 7/31/1998 | 6/30/1998 | 10,116 | - | 1.00 | FIDELITY SPARTAN |
| 1C1024 | 9/30/2001 | 8/9/2001 | - | 1,400 | 59.50 | BOEING CO |
| 1C1024 | 9/30/2001 | 8/10/2001 | - | 1,373 | 50.18 | SABRE HOLDINGS CORP |
| 1C1024 | 9/30/2001 | 8/10/2001 | - | 1,200 | 68.50 | QUALCOMM INC |
| 1C1024 | 9/30/2001 | 8/10/2001 | - | 1,900 | 34.05 | AMR CORP |
| 1C1024 | 9/30/2001 | 8/31/2001 | 2,926 | - | 1.00 | FIDELITY SPARTAN |
| 1C1024 | 9/30/2001 | 8/31/2001 | 75,000 | - | 99.06 | U S TREASURY BILL |
| 1C1025 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1025 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1025 | 7/31/1998 | 6/8/1998 | - | 9,700 | 82.06 | BANKAMERICA CORP |
| 1C1025 | 7/31/1998 | 6/8/1998 | - | 32,600 | 67.13 | INTEL CORP |
| 1C1025 | 7/31/1998 | 6/30/1998 | 2,200,000 | - | 96.91 | U S TREASURY BILL |
| 1C1025 | 7/31/1998 | 6/30/1998 | 1,303 | - | 1.00 | FIDELITY SPARTAN |
| 1C1025 | 9/30/2001 | 8/9/2001 | - | 1,500 | 59.50 | BOEING CO |
| 1C1025 | 9/30/2001 | 8/10/2001 | - | 7,200 | 27.50 | THE WALT DISNEY CO |
| 1C1025 | 9/30/2001 | 8/10/2001 | - | 1,200 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1025 | 9/30/2001 | 8/10/2001 | - | 1,300 | 68.50 | QUALCOMM INC |
| 1C1025 | 9/30/2001 | 8/10/2001 | - | 1,600 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1025 | 9/30/2001 | 8/10/2001 | - | 1,200 | 64.00 | EBAY INC |
| 1C1025 | 9/30/2001 | 8/31/2001 | 280,000 | - | 99.06 | U S TREASURY BILL |
| 1C1025 | 9/30/2001 | 8/31/2001 | 567 | - | 1.00 | FIDELITY SPARTAN |
| 1C1026 | 11/30/1997 | 10/31/1997 | - | 40,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1026 | 7/31/1998 | 6/8/1998 | - | 47,400 | 82.06 | BANKAMERICA CORP |
| 1C1026 | 10/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1026 | 7/31/1998 | 6/8/1998 | - | 120,000 | 26.81 | COMPAQ COMPUTER CORP |
| 1C1026 | 7/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1026 | 10/31/1998 | 6/8/1998 | 120,000 | - | 26.81 | COMPAQ COMPUTER CORP |
| 1C1026 | 10/31/1998 | 6/8/1998 | 165,600 | - | 67.00 | INTEL CORP |
| 1C1026 | 7/31/1998 | 6/30/1998 | 710 | - | 1.00 | FIDELITY SPARTAN |
| 1C1026 | 11/30/1998 | 6/30/1998 | - | 7,500,000 | 96.91 | U S TREASURY BILL |
| 1C1026 | 7/31/1998 | 6/30/1998 | 7,500,000 | - | 96.91 | U S TREASURY BILL |
| 1C1026 | 11/30/1998 | 7/31/1998 | - | 2,450,000 | 97.36 | U S TREASURY BILL |
| 1C1026 | 11/30/1998 | 8/28/1998 | - | 2,110,000 | 97.85 | U S TREASURY BILL |
| 1C1026 | 11/30/1998 | 8/31/1998 | - | 2,278 | 1.00 | FIDELITY SPARTAN |
| 1C1026 | 11/30/1998 | 9/30/1998 | - | 10,252 | 1.00 | FIDELITY SPARTAN |
| 1C1026 | 9/30/2001 | 8/9/2001 | - | 5,900 | 59.50 | BOEING CO |
| 1C1026 | 9/30/2001 | 8/9/2001 | - | 53,000 | 15.30 | COMPAQ COMPUTER CORP |
| 1C1026 | 9/30/2001 | 8/10/2001 | - | 5,300 | 68.50 | QUALCOMM INC |
| 1C1026 | 9/30/2001 | 8/10/2001 | - | 67,000 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1027 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1027 | 7/31/1998 | 6/8/1998 | - | 3,300 | 113.00 | MERCK & CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1C1027 | 7/31/1998 | 6/8/1998 | - | 16,800 | 82.06 | BANKAMERICA CORP |
| 1C1027 | 7/31/1998 | 6/8/1998 | - | 23,200 | 67.13 | INTEL CORP |
| 1C1027 | 7/31/1998 | 6/8/1998 | - | 12,750 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1027 | 7/31/1998 | 6/30/1998 | 20,587 | - | 1.00 | FIDELITY SPARTAN |
| 1C1027 | 7/31/1998 | 6/30/1998 | 200,000 | - | 96.91 | U S TREASURY BILL |
| 1C1027 | 9/30/2001 | 8/10/2001 | - | 4,624 | 50.18 | SABRE HOLDINGS CORP |
| 1C1027 | 9/30/2001 | 8/10/2001 | - | 6,400 | 34.05 | AMR CORP |
| 1C1028 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1028 | 7/31/1998 | 6/8/1998 | - | 60,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1028 | 7/31/1998 | 6/8/1998 | - | 1,500 | 113.00 | MERCK & CO |
| 1C1028 | 9/30/2001 | 8/8/2001 | - | 50,000 | 37.05 | ABERCROMBIE & FITCH CO |
| 1C1028 | 9/30/2001 | 8/8/2001 | - | 50,000 | 37.05 | ABERCROMBIE & FITCH CO |
| 1C1028 | 9/30/2001 | 8/10/2001 | - | 4,600 | 68.38 | QUALCOMM INC |
| 1C1028 | 9/30/2001 | 8/10/2001 | - | 4,600 | 68.38 | QUALCOMM INC |
| 1C1028 | 9/30/2001 | 8/10/2001 | - | 4,408 | 50.18 | SABRE HOLDINGS CORP |
| 1C1028 | 9/30/2001 | 8/13/2001 | - | 6,100 | 34.05 | AMR CORP |
| 1C1028 | 9/30/2001 | 8/13/2001 | - | 4,408 | 50.00 | SABRE HOLDINGS CORP |
| 1C1028 | 9/30/2001 | 8/13/2001 | - | 5,000 | 57.49 | BOEING CO |
| 1C1028 | 9/30/2001 | 8/13/2001 | - | 6,100 | 34.05 | AMR CORP |
| 1C1028 | 9/30/2001 | 8/13/2001 | - | 5,000 | 57.49 | BOEING CO |
| 1C1028 | 10/31/2001 | 9/28/2001 | - | 9,536 | 1.00 | FIDELITY SPARTAN |
| 1C1029 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1029 | 7/31/1998 | 6/8/1998 | - | 46,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1029 | 7/31/1998 | 6/8/1998 | - | 2,400 | 67.13 | INTEL CORP |
| 1C1029 | 7/31/1998 | 6/30/1998 | 48,225 | - | 1.00 | FIDELITY SPARTAN |
| 1C1029 | 7/31/1998 | 6/30/1998 | 500,000 | - | 96.91 | U S TREASURY BILL |
| 1C1029 | 9/30/2001 | 8/9/2001 | - | 6,600 | 59.50 | BOEING CO |
| 1C1029 | 9/30/2001 | 8/10/2001 | - | 9,600 | 34.05 | AMR CORP |
| 1C1029 | 9/30/2001 | 8/10/2001 | - | 64,000 | 37.25 | ABERCROMBIE & FITCH CO |
| 1C1029 | 9/30/2001 | 8/10/2001 | - | 6,937 | 50.18 | SABRE HOLDINGS CORP |
| 1C1029 | 9/30/2001 | 8/10/2001 | - | 6,000 | 68.50 | QUALCOMM INC |
| 1C1029 | 5/31/2003 | 8/2/2004 | 11,000 | - | - | R J REYNOLDS TOBACCO HLDS |
| 1C1030 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1030 | 7/31/1998 | 6/8/1998 | - | 43,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1030 | 7/31/1998 | 6/8/1998 | - | 18,800 | 67.13 | INTEL CORP |
| 1C1030 | 7/31/1998 | 6/30/1998 | 29,284 | - | 1.00 | FIDELITY SPARTAN |
| 1C1030 | 7/31/1998 | 6/30/1998 | 1,200,000 | - | 96.91 | U S TREASURY BILL |
| 1C1030 | 9/30/2001 | 8/9/2001 | - | 40,000 | 36.00 | ABERCROMBIE & FITCH CO |
| 1C1030 | 9/30/2001 | 8/9/2001 | - | 9,700 | 59.50 | BOEING CO |
| 1C1030 | 9/30/2001 | 8/10/2001 | - | 8,700 | 68.50 | QUALCOMM INC |
| 1C1030 | 9/30/2001 | 8/10/2001 | - | 7,949 | 50.18 | SABRE HOLDINGS CORP |
| 1C1030 | 9/30/2001 | 8/10/2001 | - | 11,000 | 34.05 | AMR CORP |
| 1C1030 | 9/30/2001 | 8/10/2001 | - | 45,500 | 37.25 | ABERCROMBIE & FITCH CO |
| 1C1031 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1031 | 7/31/1998 | 6/8/1998 | - | 11,700 | 82.06 | BANKAMERICA CORP |
| 1C1031 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1031 | 7/31/1998 | 6/8/1998 | - | 34,400 | 67.13 | INTEL CORP |
| 1C1031 | 7/31/1998 | 6/8/1998 | - | 300 | 113.00 | MERCK & CO |
| 1C1031 | 7/31/1998 | 6/30/1998 | 34,772 | - | 1.00 | FIDELITY SPARTAN |
| 1C1031 | 7/31/1998 | 6/30/1998 | 2,550,000 | - | 96.91 | U S TREASURY BILL |
| 1C1031 | 9/30/2001 | 8/9/2001 | - | 1,400 | 59.50 | BOEING CO |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,000 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,100 | 64.00 | EBAY INC |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,500 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,900 | 34.05 | AMR CORP |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,200 | 68.50 | QUALCOMM INC |
| 1C1031 | 9/30/2001 | 8/10/2001 | - | 1,373 | 50.18 | SABRE HOLDINGS CORP |
| 1C1031 | 9/30/2001 | 8/31/2001 | 250,000 | - | 99.06 | U S TREASURY BILL |
| 1C1031 | 9/30/2001 | 8/31/2001 | 3,196 | - | 1.00 | FIDELITY SPARTAN |
| 1C1032 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1032 | 7/31/1998 | 6/8/1998 | - | 32,600 | 67.13 | INTEL CORP |
| 1C1032 | 7/31/1998 | 6/8/1998 | - | 9,700 | 82.06 | BANKAMERICA CORP |
| 1C1032 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1032 | 7/31/1998 | 6/30/1998 | 33,860 | - | 1.00 | FIDELITY SPARTAN |
| 1C1032 | 7/31/1998 | 6/30/1998 | 2,150,000 | - | 96.91 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1C1032 | 9/30/2001 | 8/9/2001 | - | 1,500 | 59.50 | BOEING CO |
| 1C1032 | 9/30/2001 | 8/10/2001 | - | 1,300 | 68.50 | QUALCOMM INC |
| 1C1032 | 9/30/2001 | 8/10/2001 | - | 2,700 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1032 | 9/30/2001 | 8/10/2001 | - | 44,300 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1032 | 9/30/2001 | 8/10/2001 | - | 7,200 | 27.50 | THE WALT DISNEY CO |
| 1C1032 | 9/30/2001 | 8/31/2001 | 750,000 | - | 99.06 | U S TREASURY BILL |
| 1C1032 | 9/30/2001 | 8/31/2001 | 1,877 | - | 1.00 | FIDELITY SPARTAN |
| 1C1032 | 10/31/2001 | 9/28/2001 | 3,408 | - | 1.00 | FIDELITY SPARTAN |
| 1C1033 | 11/30/1997 | 10/31/1997 | - | 40,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1033 | 7/31/1998 | 6/8/1998 | - | 120,000 | 26.81 | COMPAQ COMPUTER CORP |
| 1C1033 | 10/31/1998 | 6/8/1998 | 120,000 | - | 26.81 | COMPAQ COMPUTER CORP |
| 1C1033 | 7/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1033 | 10/31/1998 | 6/8/1998 | 165,600 | - | 67.00 | INTEL CORP |
| 1C1033 | 10/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1033 | 7/31/1998 | 6/8/1998 | - | 47,400 | 82.06 | BANKAMERICA CORP |
| 1C1033 | 7/31/1998 | 6/30/1998 | 28,579 | - | 1.00 | FIDELITY SPARTAN |
| 1C1033 | 7/31/1998 | 6/30/1998 | 7,200,000 | - | 96.91 | U S TREASURY BILL |
| 1C1033 | 11/30/1998 | 6/30/1998 | - | 7,200,000 | 96.91 | U S TREASURY BILL |
| 1C1033 | 11/30/1998 | 7/31/1998 | - | 2,500,000 | 97.36 | U S TREASURY BILL |
| 1C1033 | 11/30/1998 | 8/28/1998 | - | 2,090,000 | 97.85 | U S TREASURY BILL |
| 1C1033 | 11/30/1998 | 8/31/1998 | - | 774 | 1.00 | FIDELITY SPARTAN |
| 1C1033 | 11/30/1998 | 9/30/1998 | - | 10,252 | 1.00 | FIDELITY SPARTAN |
| 1C1033 | 9/30/2001 | 8/9/2001 | - | 53,000 | 15.30 | COMPAQ COMPUTER CORP |
| 1C1033 | 9/30/2001 | 8/10/2001 | - | 5,300 | 68.50 | QUALCOMM INC |
| 1C1033 | 9/30/2001 | 8/10/2001 | - | 5,900 | 57.65 | BOEING CO |
| 1C1033 | 9/30/2001 | 8/10/2001 | - | 67,000 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1034 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1034 | 7/31/1998 | 6/8/1998 | - | 12,750 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1034 | 7/31/1998 | 6/8/1998 | - | 16,800 | 82.06 | BANKAMERICA CORP |
| 1C1034 | 7/31/1998 | 6/8/1998 | - | 23,200 | 67.13 | INTEL CORP |
| 1C1034 | 7/31/1998 | 6/8/1998 | - | 3,300 | 113.00 | MERCK & CO |
| 1C1034 | 7/31/1998 | 6/30/1998 | 900,000 | - | 96.91 | U S TREASURY BILL |
| 1C1034 | 7/31/1998 | 6/30/1998 | 45,956 | - | 1.00 | FIDELITY SPARTAN |
| 1C1034 | 9/30/2001 | 8/10/2001 | - | 4,624 | 50.18 | SABRE HOLDINGS CORP |
| 1C1034 | 9/30/2001 | 8/10/2001 | - | 6,400 | 34.05 | AMR CORP |
| 1C1034 | 2/28/2005 | 1/28/2005 | - | 1,775,000 | 98.91 | U S TREASURY BILL |
| 1C1034 | 2/28/2005 | 1/31/2005 | - | 15,407 | 1.00 | FIDELITY SPARTAN |
| 1C1035 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1035 | 7/31/1998 | 6/8/1998 | - | 1,500 | 113.00 | MERCK & CO |
| 1C1035 | 7/31/1998 | 6/8/1998 | - | 60,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1035 | 7/31/1998 | 6/30/1998 | 350,000 | - | 96.91 | U S TREASURY BILL |
| 1C1035 | 7/31/1998 | 6/30/1998 | 21,016 | - | 1.00 | FIDELITY SPARTAN |
| 1C1035 | 9/30/2001 | 8/7/2001 | - | 33,333 | 37.00 | ABERCROMBIE & FITCH CO |
| 1C1035 | 9/30/2001 | 8/8/2001 | - | 33,334 | 37.05 | ABERCROMBIE & FITCH CO |
| 1C1035 | 9/30/2001 | 8/8/2001 | - | 33,333 | 37.05 | ABERCROMBIE & FITCH CO |
| 1C1035 | 9/30/2001 | 8/10/2001 | - | 3,066 | 68.38 | QUALCOMM INC |
| 1C1035 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1035 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 2,939 | 50.00 | SABRE HOLDINGS CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 4,067 | 34.05 | AMR CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 3,333 | 57.49 | BOEING CO |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 2,939 | 50.00 | SABRE HOLDINGS CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 4,066 | 34.05 | AMR CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 2,938 | 50.00 | SABRE HOLDINGS CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 4,067 | 34.05 | AMR CORP |
| 1C1035 | 9/30/2001 | 8/13/2001 | - | 3,334 | 57.49 | BOEING CO |
| 1C1035 | 9/30/2001 | 8/14/2001 | - | 3,333 | 57.49 | BOEING CO |
| 1C1035 | 10/31/2001 | 9/28/2001 | - | 9,536 | 1.00 | FIDELITY SPARTAN |
| 1C1036 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1036 | 7/31/1998 | 6/8/1998 | - | 46,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1036 | 7/31/1998 | 6/8/1998 | - | 2,400 | 67.13 | INTEL CORP |
| 1C1036 | 7/31/1998 | 6/30/1998 | 600,000 | - | 96.91 | U S TREASURY BILL |
| 1C1036 | 7/31/1998 | 6/30/1998 | 37,539 | - | 1.00 | FIDELITY SPARTAN |
| 1C1036 | 9/30/2001 | 8/9/2001 | - | 64,000 | 36.25 | ABERCROMBIE & FITCH CO |
| 1C1036 | 9/30/2001 | 8/10/2001 | - | 6,600 | 57.65 | BOEING CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1C1036 | 9/30/2001 | 8/10/2001 | - | 9,600 | 34.05 | AMR CORP |
| 1C1036 | 9/30/2001 | 8/10/2001 | - | 6,000 | 68.38 | QUALCOMM INC |
| 1C1036 | 9/30/2001 | 8/10/2001 | - | 6,937 | 50.18 | SABRE HOLDINGS CORP |
| 1C1037 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1037 | 7/31/1998 | 6/8/1998 | - | 43,500 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1037 | 7/31/1998 | 6/8/1998 | - | 18,800 | 67.13 | INTEL CORP |
| 1C1037 | 7/31/1998 | 6/30/1998 | 700,000 | - | 96.91 | U S TREASURY BILL |
| 1C1037 | 7/31/1998 | 6/30/1998 | 12,306 | - | 1.00 | FIDELITY SPARTAN |
| 1C1037 | 9/30/2001 | 8/9/2001 | - | 40,000 | 36.00 | ABERCROMBIE & FITCH CO |
| 1C1037 | 9/30/2001 | 8/10/2001 | - | 8,700 | 68.38 | QUALCOMM INC |
| 1C1037 | 9/30/2001 | 8/10/2001 | - | 11,000 | 34.05 | AMR CORP |
| 1C1037 | 9/30/2001 | 8/10/2001 | - | 9,700 | 57.65 | BOEING CO |
| 1C1037 | 9/30/2001 | 8/13/2001 | - | 45,500 | 38.25 | ABERCROMBIE & FITCH CO |
| 1C1037 | 9/30/2001 | 8/13/2001 | - | 7,949 | 50.00 | SABRE HOLDINGS CORP |
| 1C1038 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1038 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1038 | 7/31/1998 | 6/8/1998 | - | 11,700 | 82.06 | BANKAMERICA CORP |
| 1C1038 | 7/31/1998 | 6/8/1998 | - | 300 | 113.00 | MERCK & CO |
| 1C1038 | 7/31/1998 | 6/8/1998 | - | 34,400 | 67.13 | INTEL CORP |
| 1C1038 | 7/31/1998 | 6/30/1998 | 2,550,000 | - | 96.91 | U S TREASURY BILL |
| 1C1038 | 7/31/1998 | 6/30/1998 | 16,938 | - | 1.00 | FIDELITY SPARTAN |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 1,300 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 1,400 | 57.65 | BOEING CO |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 1,900 | 34.05 | AMR CORP |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 900 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 1,000 | 64.00 | EBAY INC |
| 1C1038 | 9/30/2001 | 8/10/2001 | - | 1,200 | 68.38 | QUALCOMM INC |
| 1C1038 | 9/30/2001 | 8/13/2001 | - | 1,373 | 50.00 | SABRE HOLDINGS CORP |
| 1C1038 | 9/30/2001 | 8/31/2001 | 230,000 | - | 99.06 | U S TREASURY BILL |
| 1C1038 | 9/30/2001 | 8/31/2001 | 4,392 | - | 1.00 | FIDELITY SPARTAN |
| 1C1039 | 11/30/1997 | 10/31/1997 | - | 6,000 | 33.75 | MCI COMMUNICATIONS CORP |
| 1C1039 | 7/31/1998 | 6/8/1998 | - | 32,600 | 67.13 | INTEL CORP |
| 1C1039 | 7/31/1998 | 6/8/1998 | - | 9,700 | 82.06 | BANKAMERICA CORP |
| 1C1039 | 7/31/1998 | 6/8/1998 | - | 21,000 | 26.94 | COMPAQ COMPUTER CORP |
| 1C1039 | 7/31/1998 | 6/30/1998 | 19,067 | - | 1.00 | FIDELITY SPARTAN |
| 1C1039 | 7/31/1998 | 6/30/1998 | 2,200,000 | - | 96.91 | U S TREASURY BILL |
| 1C1039 | 9/30/2001 | 8/10/2001 | - | 7,200 | 27.50 | THE WALT DISNEY CO |
| 1C1039 | 9/30/2001 | 8/10/2001 | - | 1,300 | 68.38 | QUALCOMM INC |
| 1C1039 | 9/30/2001 | 8/10/2001 | - | 1,500 | 57.65 | BOEING CO |
| 1C1039 | 9/30/2001 | 8/31/2001 | 4,708 | - | 1.00 | FIDELITY SPARTAN |
| 1C1039 | 9/30/2001 | 8/31/2001 | 80,000 | - | 99.06 | U S TREASURY BILL |
| 1C1040 | 11/30/1997 | 10/31/1997 | - | 40,000 | 33.50 | MCI COMMUNICATIONS CORP |
| 1C1040 | 10/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1040 | 10/31/1998 | 6/8/1998 | 120,000 | - | 26.81 | COMPAQ COMPUTER CORP |
| 1C1040 | 7/31/1998 | 6/8/1998 | - | 120,000 | 26.81 | COMPAQ COMPUTER CORP |
| 1C1040 | 7/31/1998 | 6/8/1998 | - | 47,400 | 82.06 | BANKAMERICA CORP |
| 1C1040 | 7/31/1998 | 6/8/1998 | - | 165,600 | 67.00 | INTEL CORP |
| 1C1040 | 10/31/1998 | 6/8/1998 | 165,600 | - | 67.00 | INTEL CORP |
| 1C1040 | 7/31/1998 | 6/30/1998 | 47,897 | - | 1.00 | FIDELITY SPARTAN |
| 1C1040 | 7/31/1998 | 6/30/1998 | 7,850,000 | - | 96.91 | U S TREASURY BILL |
| 1C1040 | 11/30/1998 | 6/30/1998 | - | 7,850,000 | 96.91 | U S TREASURY BILL |
| 1C1040 | 11/30/1998 | 7/31/1998 | - | 2,500,000 | 97.36 | U S TREASURY BILL |
| 1C1040 | 11/30/1998 | 8/28/1998 | - | 2,110,000 | 97.85 | U S TREASURY BILL |
| 1C1040 | 11/30/1998 | 8/31/1998 | - | 1,511 | 1.00 | FIDELITY SPARTAN |
| 1C1040 | 11/30/1998 | 9/30/1998 | - | 10,252 | 1.00 | FIDELITY SPARTAN |
| 1C1040 | 9/30/2001 | 8/9/2001 | - | 67,000 | 15.30 | COMPAQ COMPUTER CORP |
| 1C1040 | 9/30/2001 | 8/10/2001 | - | 5,900 | 57.65 | BOEING CO |
| 1C1040 | 9/30/2001 | 8/10/2001 | - | 5,300 | 68.38 | QUALCOMM INC |
| 1C1040 | 9/30/2001 | 8/10/2001 | - | 53,000 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1065 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1C1065 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1C1071 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1C1071 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1C1071 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.48 | U S TREASURY BILL |
| 1C1071 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.54 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1C1071 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.54 | U S TREASURY BILL |
| 1C1071 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.48 | U S TREASURY BILL |
| 1C1095 | 6/30/1999 | 5/26/1999 | 3,300,000 | - | 99.66 | U S TREASURY BILL |
| 1C1095 | 6/30/1999 | 5/26/1999 | - | 3,300,000 | 98.66 | U S TREASURY BILL |
| 1C1095 | 8/31/2002 | 7/31/2002 | - | 3,750,000 | 99.54 | U S TREASURY BILL |
| 1C1095 | 8/31/2002 | 7/31/2002 | 3,750,000 | - | 99.48 | U S TREASURY BILL |
| 1C1095 | 8/31/2002 | 7/31/2002 | 3,750,000 | - | 99.54 | U S TREASURY BILL |
| 1C1095 | 8/31/2002 | 7/31/2002 | - | 3,750,000 | 99.48 | U S TREASURY BILL |
| 1C1098 | 6/30/1999 | 5/26/1999 | - | 1,625,000 | 98.66 | U S TREASURY BILL |
| 1C1098 | 6/30/1999 | 5/26/1999 | 1,625,000 | - | 99.66 | U S TREASURY BILL |
| 1C1098 | 8/31/2002 | 7/31/2002 | 1,950,000 | - | 99.54 | U S TREASURY BILL |
| 1C1098 | 8/31/2002 | 7/31/2002 | - | 1,950,000 | 99.54 | U S TREASURY BILL |
| 1C1098 | 8/31/2002 | 7/31/2002 | 1,950,000 | - | 99.48 | U S TREASURY BILL |
| 1C1098 | 8/31/2002 | 7/31/2002 | - | 1,950,000 | 99.48 | U S TREASURY BILL |
| 1C1204 | 9/30/2001 | 8/10/2001 | - | 3,600 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1204 | 9/30/2001 | 8/10/2001 | - | 25,500 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1204 | 9/30/2001 | 8/10/2001 | - | 2,800 | 64.00 | EBAY INC |
| 1C1204 | 9/30/2001 | 8/10/2001 | - | 2,500 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1204 | 9/30/2001 | 8/10/2001 | - | 2,500 | 46.04 | AOL TIME WARNER INC |
| 1C1204 | 9/30/2001 | 8/30/2001 | - | 14,700 | 7.00 | LUCENT TECHNOLOGIES INC |
| 1C1205 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1C1205 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1C1205 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.48 | U S TREASURY BILL |
| 1C1205 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.54 | U S TREASURY BILL |
| 1C1205 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.54 | U S TREASURY BILL |
| 1C1205 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.48 | U S TREASURY BILL |
| 1C1210 | 1/31/1996 | 12/4/1996 | 100 | - | 14.25 | CENTOCOR INC |
| 1C1210 | 1/31/1996 | 12/4/1996 | - | 500 | 14.25 | CENTOCOR INC |
| 1C1210 | 1/31/1996 | 12/20/1996 | 500 | - | 20.63 | CENTOCOR INC |
| 1C1210 | 1/31/1996 | 12/20/1996 | - | 100 | 20.63 | CENTOCOR INC |
| 1C1210 | 9/30/2001 | 8/10/2001 | - | 3,000 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1210 | 7/31/2002 | 6/28/2002 | - | 285,000 | 99.59 | U S TREASURY BILL |
| 1C1210 | 12/31/2006 | 5/30/2006 | - | 2,700 | 41.90 | UNITEDHEALTH GROUP INC |
| 1C1210 | 12/31/2006 | 5/30/2006 | 4,200 | - | 31.40 | YAHOO INC |
| 1C1210 | 12/31/2006 | 6/14/2006 | - | 1,700 | 21.95 | MICROSOFT CORP |
| 1C1210 | 12/31/2006 | 6/14/2006 | 1,800 | - | 30.50 | YAHOO INC |
| 1C1210 | 12/31/2006 | 10/23/2006 | - | 6,000 | 23.05 | YAHOO INC |
| 1C1210 | 12/31/2006 | 10/23/2006 | - | 5,016 | 1.00 | FIDELITY SPARTAN |
| 1C1210 | 12/31/2006 | 10/23/2006 | 125,000 | - | 97.74 | U S TREASURY BILL |
| 1C1212 | 9/30/2001 | 8/10/2001 | - | 9,560 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1215 | 11/30/1997 | 10/31/1997 | - | 8,000 | 34.00 | MCI COMMUNICATIONS CORP |
| 1C1215 | 7/31/1998 | 6/18/1998 | - | 24,000 | 24.00 | 3COM CORP |
| 1C1215 | 9/30/2001 | 8/10/2001 | - | 1,800 | 57.65 | BOEING CO |
| 1C1215 | 9/30/2001 | 8/10/2001 | - | 50,000 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1215 | 9/30/2001 | 8/10/2001 | - | 1,650 | 68.38 | QUALCOMM INC |
| 1C1215 | 8/31/2006 | 7/3/2006 | - | 8,000 | 21.80 | XILINX INC |
| 1C1221 | 6/30/1999 | 5/26/1999 | 2,150,000 | - | 99.66 | U S TREASURY BILL |
| 1C1221 | 6/30/1999 | 5/26/1999 | - | 2,150,000 | 98.66 | U S TREASURY BILL |
| 1C1224 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.54 | U S TREASURY BILL |
| 1C1224 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.48 | U S TREASURY BILL |
| 1C1224 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.48 | U S TREASURY BILL |
| 1C1224 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.54 | U S TREASURY BILL |
| 1C1225 | 6/30/2004 | 4/19/2004 | - | 4,000 | 1.00 | FIDELITY SPARTAN |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 700 | 64.00 | EBAY INC |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 600 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 400 | 68.38 | QUALCOMM INC |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 900 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 600 | 46.04 | AOL TIME WARNER INC |
| 1C1227 | 9/30/2001 | 8/10/2001 | - | 1,400 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1227 | 9/30/2001 | 8/13/2001 | - | 450 | 57.49 | BOEING CO |
| 1C1227 | 9/30/2001 | 8/30/2001 | - | 3,600 | 7.00 | LUCENT TECHNOLOGIES INC |
| 1C1227 | 6/30/2002 | 5/21/2002 | 3,000 | - | 26.99 | APPLIED MATERIALS INC |
| 1C1227 | 6/30/2002 | 5/21/2002 | - | 2,000 | 26.99 | APPLIED MATERIALS INC |
| 1C1227 | 8/31/2006 | 7/3/2006 | - | 1,600 | 21.80 | XILINX INC |
| 1C1260 | 6/30/1999 | 5/26/1999 | 43,850,000 | - | 99.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1C1260 | 6/30/1999 | 5/26/1999 | - | 43,850,000 | 98.66 | U S TREASURY BILL |
| 1C1260 | 8/31/2002 | 7/31/2002 | - | 87,925,000 | 99.54 | U S TREASURY BILL |
| 1C1260 | 8/31/2002 | 7/31/2002 | 87,925,000 | - | 99.54 | U S TREASURY BILL |
| 1C1260 | 8/31/2002 | 7/31/2002 | - | 87,925,000 | 99.48 | U S TREASURY BILL |
| 1C1260 | 8/31/2002 | 7/31/2002 | 87,925,000 | - | 99.48 | U S TREASURY BILL |
| 1C1261 | 6/30/1999 | 5/26/1999 | - | 1,850,000 | 98.66 | U S TREASURY BILL |
| 1C1261 | 6/30/1999 | 5/26/1999 | 1,850,000 | - | 99.66 | U S TREASURY BILL |
| 1C1270 | 9/30/2001 | 8/10/2001 | - | 4,937 | 36.25 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1C1270 | 9/30/2001 | 8/10/2001 | - | 600 | 68.38 | QUALCOMM INC |
| 1C1270 | 9/30/2001 | 8/13/2001 | - | 700 | 57.49 | BOEING CO |
| 1C1271 | 9/30/2001 | 8/10/2001 | - | 900 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1271 | 9/30/2001 | 8/10/2001 | - | 1,300 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1271 | 9/30/2001 | 8/10/2001 | - | 1,000 | 64.00 | EBAY INC |
| 1C1271 | 9/30/2001 | 8/10/2001 | - | 1,400 | 15.25 | COMPAQ COMPUTER CORP |
| 1C1271 | 7/31/2002 | 6/28/2002 | - | 380,000 | 99.59 | U S TREASURY BILL |
| 1C1275 | 6/30/1998 | 5/21/1998 | - | 400 | 63.88 | WARNER LAMBERT CO |
| 1C1275 | 6/30/1998 | 5/21/1998 | 25,000 | - | 99.03 | U S TREASURY BILL |
| 1C1275 | 6/30/1998 | 5/21/1998 | 1,242 | - | 1.00 | FIDELITY SPARTAN |
| 1C1276 | 6/30/1999 | 5/26/1999 | - | 1,425,000 | 98.66 | U S TREASURY BILL |
| 1C1276 | 6/30/1999 | 5/26/1999 | 1,425,000 | - | 99.66 | U S TREASURY BILL |
| 1C1276 | 8/31/2002 | 7/31/2002 | - | 3,050,000 | 99.48 | U S TREASURY BILL |
| 1C1276 | 8/31/2002 | 7/31/2002 | 3,050,000 | - | 99.54 | U S TREASURY BILL |
| 1C1276 | 8/31/2002 | 7/31/2002 | - | 3,050,000 | 99.54 | U S TREASURY BILL |
| 1C1276 | 8/31/2002 | 7/31/2002 | 3,050,000 | - | 99.48 | U S TREASURY BILL |
| 1C1284 | 9/30/2001 | 8/10/2001 | - | 700 | 64.00 | EBAY INC |
| 1C1284 | 9/30/2001 | 8/10/2001 | - | 650 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1284 | 9/30/2001 | 8/10/2001 | - | 950 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1285 | 1/31/2002 | 12/31/2002 | - | 14,199,616 | 1.00 | FIDELITY SPARTAN |
| 1C1285 | 1/31/2002 | 12/31/2002 | 8,041 | - | 1.00 | FIDELITY SPARTAN |
| 1C1285 | 1/31/2002 | 12/31/2002 | 14,250,000 | - | 99.59 | U S TREASURY BILL |
| 1C1285 | 8/31/2008 | 7/31/2008 | - | 3,540 | 1.00 | FIDELITY SPARTAN |
| 1C1289 | 9/30/2001 | 8/10/2001 | - | 1,000 | 64.00 | EBAY INC |
| 1C1289 | 9/30/2001 | 8/10/2001 | - | 950 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1289 | 9/30/2001 | 8/10/2001 | - | 950 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1289 | 9/30/2001 | 8/31/2001 | 85,000 | - | 99.06 | U S TREASURY BILL |
| 1C1289 | 9/30/2001 | 8/31/2001 | 2,012 | - | 1.00 | FIDELITY SPARTAN |
| 1C1290 | 9/30/2001 | 8/10/2001 | - | 1,000 | 64.00 | EBAY INC |
| 1C1290 | 9/30/2001 | 8/10/2001 | - | 950 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1290 | 9/30/2001 | 8/10/2001 | - | 950 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1290 | 9/30/2001 | 8/31/2001 | 2,012 | - | 1.00 | FIDELITY SPARTAN |
| 1C1290 | 9/30/2001 | 8/31/2001 | 85,000 | - | 99.06 | U S TREASURY BILL |
| 1C1291 | 9/30/2001 | 8/10/2001 | - | 950 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1291 | 9/30/2001 | 8/10/2001 | - | 950 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1291 | 9/30/2001 | 8/10/2001 | - | 1,000 | 64.00 | EBAY INC |
| 1C1291 | 9/30/2001 | 8/31/2001 | 2,012 | - | 1.00 | FIDELITY SPARTAN |
| 1C1291 | 9/30/2001 | 8/31/2001 | 85,000 | - | 99.06 | U S TREASURY BILL |
| 1C1292 | 9/30/2001 | 8/8/2001 | - | 11,500 | 37.05 | ABERCROMBIE & FITCH CO |
| 1C1292 | 1/31/2001 | 12/20/2001 | 8,200 | - | 50.00 | COMPUTER ASSOC INTL INC |
| 1C1292 | 1/31/2001 | 12/29/2001 | - | 3,273 | 1.00 | FIDELITY SPARTAN |
| 1C1292 | 1/31/2001 | 12/29/2001 | 130,000 | - | 98.57 | U S TREASURY BILL |
| 1C1292 | 1/31/2001 | 12/29/2001 | - | 130,000 | 98.57 | U S TREASURY BILL |
| 1C1292 | 1/31/2001 | 12/29/2001 | 3,273 | - | 1.00 | FIDELITY SPARTAN |
| 1C1293 | 9/30/2001 | 8/10/2001 | - | 750 | 64.00 | EBAY INC |
| 1C1293 | 9/30/2001 | 8/10/2001 | - | 700 | 50.74 | NOVELLUS SYSTEMS INC |
| 1C1293 | 9/30/2001 | 8/10/2001 | - | 1,000 | 34.99 | SIEBEL SYSTEMS INC |
| 1C1293 | 9/30/2001 | 8/31/2001 | 50,000 | - | 99.06 | U S TREASURY BILL |
| 1C1293 | 9/30/2001 | 8/31/2001 | 4,198 | - | 1.00 | FIDELITY SPARTAN |
| 1C1294 | 9/30/2001 | 8/13/2001 | - | 900 | 57.49 | BOEING CO |
| 1C1294 | 2/28/2005 | 1/28/2005 | 1,775,000 | - | 98.91 | U S TREASURY BILL |
| 1C1294 | 2/28/2005 | 1/31/2005 | 15,407 | - | 1.00 | FIDELITY SPARTAN |
| 1C1302 | 9/30/2001 | 8/10/2001 | - | 4,600 | 68.38 | QUALCOMM INC |
| 1C1302 | 9/30/2001 | 8/10/2001 | 4,600 | - | 68.38 | QUALCOMM INC |
| 1C1302 | 2/28/2002 | 9/30/2001 | 267,431 | - | - | FANNIE MAE |
| 1C1302 | 6/30/2002 | 5/21/2002 | 37,000 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1302 | 6/30/2002 | 5/21/2002 | - | 8,000 | 26.80 | APPLIED MATERIALS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1C1303 | 9/30/2001 | 8/10/2001 | - | 4,600 | 68.38 | QUALCOMM INC |
| 1C1303 | 9/30/2001 | 8/10/2001 | 4,600 | - | 68.38 | QUALCOMM INC |
| 1C1303 | 6/30/2002 | 5/21/2002 | 37,000 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1303 | 6/30/2002 | 5/21/2002 | - | 8,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1304 | 9/30/2001 | 8/10/2001 | 3,067 | - | 68.38 | QUALCOMM INC |
| 1C1304 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1304 | 6/30/2002 | 5/21/2002 | - | 9,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1304 | 6/30/2002 | 5/21/2002 | 33,500 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 15,000 | - | 22.72 | TEXAS INSTRUMENTS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 17,000 | - | 20.22 | NETWORK APPLIANCE INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 9,000 | - | 24.40 | MEDCO HEALTH SOLUTIONS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 12,000 | - | 19.90 | NETWORK APPLIANCE INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 14,000 | - | 24.24 | MEDCO HEALTH SOLUTIONS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 22,000 | - | 10.99 | ADVANCED MICRO DEVICES |
| 1C1304 | 11/30/2003 | 10/1/2003 | 25,000 | - | 9.69 | SIEBEL SYSTEMS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 10,000 | - | 22.93 | TEXAS INSTRUMENTS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 36,000 | - | 9.56 | SIEBEL SYSTEMS INC |
| 1C1304 | 11/30/2003 | 10/1/2003 | 30,000 | - | 11.17 | ADVANCED MICRO DEVICES |
| 1C1305 | 9/30/2001 | 8/10/2001 | 3,067 | - | 68.38 | QUALCOMM INC |
| 1C1305 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1305 | 6/30/2002 | 5/21/2002 | 33,500 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1305 | 6/30/2002 | 5/21/2002 | - | 9,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1306 | 9/30/2001 | 8/10/2001 | 3,066 | - | 68.38 | QUALCOMM INC |
| 1C1306 | 9/30/2001 | 8/10/2001 | - | 3,066 | 68.38 | QUALCOMM INC |
| 1C1306 | 6/30/2002 | 5/21/2002 | 33,500 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1306 | 6/30/2002 | 5/21/2002 | - | 9,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1307 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1307 | 9/30/2001 | 8/10/2001 | 3,067 | - | 68.38 | QUALCOMM INC |
| 1C1307 | 6/30/2002 | 5/21/2002 | - | 8,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1307 | 6/30/2002 | 5/21/2002 | 32,000 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1308 | 9/30/2001 | 8/10/2001 | - | 3,067 | 68.38 | QUALCOMM INC |
| 1C1308 | 9/30/2001 | 8/10/2001 | 3,067 | - | 68.38 | QUALCOMM INC |
| 1C1308 | 6/30/2002 | 5/21/2002 | - | 8,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1308 | 6/30/2002 | 5/21/2002 | 32,000 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1309 | 9/30/2001 | 8/10/2001 | - | 3,066 | 68.38 | QUALCOMM INC |
| 1C1309 | 9/30/2001 | 8/10/2001 | 3,066 | - | 68.38 | QUALCOMM INC |
| 1C1309 | 6/30/2002 | 5/21/2002 | - | 8,000 | 26.80 | APPLIED MATERIALS INC |
| 1C1309 | 6/30/2002 | 5/21/2002 | 32,000 | - | 26.80 | APPLIED MATERIALS INC |
| 1C1311 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.54 | U S TREASURY BILL |
| 1C1311 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.54 | U S TREASURY BILL |
| 1C1311 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.48 | U S TREASURY BILL |
| 1C1311 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.48 | U S TREASURY BILL |
| 1CM021 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM021 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM022 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.48 | U S TREASURY BILL |
| 1CM022 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.48 | U S TREASURY BILL |
| 1CM022 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.54 | U S TREASURY BILL |
| 1CM022 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.54 | U S TREASURY BILL |
| 1CM025 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM025 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM025 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.54 | U S TREASURY BILL |
| 1CM025 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.54 | U S TREASURY BILL |
| 1CM025 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.48 | U S TREASURY BILL |
| 1CM025 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.48 | U S TREASURY BILL |
| 1CM084 | 6/30/1999 | 5/26/1999 | - | 550,000 | 98.66 | U S TREASURY BILL |
| 1CM084 | 6/30/1999 | 5/26/1999 | 550,000 | - | 99.66 | U S TREASURY BILL |
| 1CM093 | 6/30/1999 | 5/26/1999 | - | 1,600,000 | 98.66 | U S TREASURY BILL |
| 1CM093 | 6/30/1999 | 5/26/1999 | 1,600,000 | - | 99.66 | U S TREASURY BILL |
| 1CM188 | 6/30/1999 | 5/26/1999 | 275,000 | - | 99.66 | U S TREASURY BILL |
| 1CM188 | 6/30/1999 | 5/26/1999 | - | 275,000 | 98.66 | U S TREASURY BILL |
| 1CM214 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1CM214 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |
| 1CM214 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.48 | U S TREASURY BILL |
| 1CM214 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.54 | U S TREASURY BILL |
| 1CM214 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.48 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1CM214 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.54 | U S TREASURY BILL |
| 1CM223 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |
| 1CM223 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1CM223 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1CM223 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1CM241 | 6/30/1999 | 5/26/1999 | 1,050,000 | - | 99.66 | U S TREASURY BILL |
| 1CM241 | 6/30/1999 | 5/26/1999 | - | 1,050,000 | 98.66 | U S TREASURY BILL |
| 1CM241 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.48 | U S TREASURY BILL |
| 1CM241 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.48 | U S TREASURY BILL |
| 1CM241 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.54 | U S TREASURY BILL |
| 1CM241 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.54 | U S TREASURY BILL |
| 1CM242 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM242 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM252 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1CM252 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1CM252 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.48 | U S TREASURY BILL |
| 1CM252 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.48 | U S TREASURY BILL |
| 1CM252 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.54 | U S TREASURY BILL |
| 1CM252 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.54 | U S TREASURY BILL |
| 1CM253 | 6/30/1999 | 5/26/1999 | - | 2,200,000 | 98.66 | U S TREASURY BILL |
| 1CM253 | 6/30/1999 | 5/26/1999 | 2,200,000 | - | 99.66 | U S TREASURY BILL |
| 1CM258 | 6/30/1999 | 5/26/1999 | 11,825,000 | - | 99.66 | U S TREASURY BILL |
| 1CM258 | 6/30/1999 | 5/26/1999 | - | 11,825,000 | 98.66 | U S TREASURY BILL |
| 1CM289 | 8/31/2002 | 7/31/2002 | - | 50,000 | 99.54 | U S TREASURY BILL |
| 1CM289 | 8/31/2002 | 7/31/2002 | 50,000 | - | 99.48 | U S TREASURY BILL |
| 1CM306 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM306 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM309 | 6/30/1999 | 5/26/1999 | 425,000 | - | 99.66 | U S TREASURY BILL |
| 1CM309 | 6/30/1999 | 5/26/1999 | - | 425,000 | 98.66 | U S TREASURY BILL |
| 1CM320 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.54 | U S TREASURY BILL |
| 1CM320 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.48 | U S TREASURY BILL |
| 1CM320 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.54 | U S TREASURY BILL |
| 1CM320 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.48 | U S TREASURY BILL |
| 1CM326 | 6/30/1999 | 5/26/1999 | 2,775,000 | - | 99.66 | U S TREASURY BILL |
| 1CM326 | 6/30/1999 | 5/26/1999 | - | 2,775,000 | 98.66 | U S TREASURY BILL |
| 1CM326 | 8/31/2002 | 7/31/2002 | 3,825,000 | - | 99.48 | U S TREASURY BILL |
| 1CM326 | 8/31/2002 | 7/31/2002 | 3,825,000 | - | 99.54 | U S TREASURY BILL |
| 1CM326 | 8/31/2002 | 7/31/2002 | - | 3,825,000 | 99.54 | U S TREASURY BILL |
| 1CM326 | 8/31/2002 | 7/31/2002 | - | 3,825,000 | 99.48 | U S TREASURY BILL |
| 1CM330 | 5/31/1998 | 4/3/1998 | 100,000 | - | 98.94 | U S TREASURY BILL |
| 1CM330 | 5/31/1998 | 4/3/1998 | - | 100,000 | 99.94 | U S TREASURY BILL |
| 1CM343 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM343 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM344 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.54 | U S TREASURY BILL |
| 1CM344 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.48 | U S TREASURY BILL |
| 1CM344 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.54 | U S TREASURY BILL |
| 1CM344 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.48 | U S TREASURY BILL |
| 1CM348 | 6/30/1999 | 5/26/1999 | 3,725,000 | - | 99.66 | U S TREASURY BILL |
| 1CM348 | 6/30/1999 | 5/26/1999 | - | 3,725,000 | 98.66 | U S TREASURY BILL |
| 1CM349 | 6/30/1999 | 5/26/1999 | - | 3,275,000 | 98.66 | U S TREASURY BILL |
| 1CM349 | 6/30/1999 | 5/26/1999 | 3,275,000 | - | 99.66 | U S TREASURY BILL |
| 1CM354 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.54 | U S TREASURY BILL |
| 1CM354 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.48 | U S TREASURY BILL |
| 1CM354 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.54 | U S TREASURY BILL |
| 1CM354 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.48 | U S TREASURY BILL |
| 1CM355 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.48 | U S TREASURY BILL |
| 1CM355 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.54 | U S TREASURY BILL |
| 1CM355 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.48 | U S TREASURY BILL |
| 1CM355 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.54 | U S TREASURY BILL |
| 1CM363 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.48 | U S TREASURY BILL |
| 1CM363 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.54 | U S TREASURY BILL |
| 1CM363 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.54 | U S TREASURY BILL |
| 1CM363 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.48 | U S TREASURY BILL |
| 1CM377 | 6/30/1999 | 5/26/1999 | - | 6,325,000 | 98.66 | U S TREASURY BILL |
| 1CM377 | 6/30/1999 | 5/26/1999 | 6,325,000 | - | 99.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1CM377 | 8/31/2002 | 7/31/2002 | 7,825,000 | - | 99.54 | U S TREASURY BILL |
| 1CM377 | 8/31/2002 | 7/31/2002 | - | 7,825,000 | 99.54 | U S TREASURY BILL |
| 1CM377 | 8/31/2002 | 7/31/2002 | 7,825,000 | - | 99.48 | U S TREASURY BILL |
| 1CM377 | 8/31/2002 | 7/31/2002 | - | 7,825,000 | 99.48 | U S TREASURY BILL |
| 1CM380 | 6/30/1999 | 5/26/1999 | 425,000 | - | 99.66 | U S TREASURY BILL |
| 1CM380 | 6/30/1999 | 5/26/1999 | - | 425,000 | 98.66 | U S TREASURY BILL |
| 1CM382 | 6/30/1999 | 5/26/1999 | - | 1,150,000 | 98.66 | U S TREASURY BILL |
| 1CM382 | 6/30/1999 | 5/26/1999 | 1,150,000 | - | 99.66 | U S TREASURY BILL |
| 1CM400 | 11/30/1999 | 10/29/1999 | - | 930,000 | 98.10 | U S TREASURY BILL |
| 1CM400 | 11/30/1999 | 10/29/1999 | - | 9,176 | 1.00 | FIDELITY SPARTAN |
| 1CM404 | 6/30/1999 | 5/26/1999 | - | 1,350,000 | 98.66 | U S TREASURY BILL |
| 1CM404 | 6/30/1999 | 5/26/1999 | 1,350,000 | - | 99.66 | U S TREASURY BILL |
| 1CM424 | 6/30/1999 | 5/26/1999 | 575,000 | - | 99.66 | U S TREASURY BILL |
| 1CM424 | 6/30/1999 | 5/26/1999 | - | 575,000 | 98.66 | U S TREASURY BILL |
| 1CM427 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |
| 1CM427 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1CM428 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM428 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM438 | 6/30/1999 | 5/26/1999 | 1,475,000 | - | 99.66 | U S TREASURY BILL |
| 1CM438 | 6/30/1999 | 5/26/1999 | - | 1,475,000 | 98.66 | U S TREASURY BILL |
| 1CM442 | 6/30/1999 | 5/26/1999 | - | 2,850,000 | 98.66 | U S TREASURY BILL |
| 1CM442 | 6/30/1999 | 5/26/1999 | 2,850,000 | - | 99.66 | U S TREASURY BILL |
| 1CM448 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM448 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM459 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1CM459 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1CM459 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |
| 1CM459 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1CM480 | 6/30/1999 | 5/26/1999 | - | 1,050,000 | 98.66 | U S TREASURY BILL |
| 1CM480 | 6/30/1999 | 5/26/1999 | 1,050,000 | - | 99.66 | U S TREASURY BILL |
| 1CM480 | 8/31/2002 | 7/31/2002 | - | 1,300,000 | 99.54 | U S TREASURY BILL |
| 1CM480 | 8/31/2002 | 7/31/2002 | 1,300,000 | - | 99.48 | U S TREASURY BILL |
| 1CM480 | 8/31/2002 | 7/31/2002 | - | 1,100,000 | 99.48 | U S TREASURY BILL |
| 1CM480 | 8/31/2002 | 7/31/2002 | 1,100,000 | - | 99.54 | U S TREASURY BILL |
| 1CM481 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.48 | U S TREASURY BILL |
| 1CM481 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.54 | U S TREASURY BILL |
| 1CM481 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.48 | U S TREASURY BILL |
| 1CM481 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.54 | U S TREASURY BILL |
| 1CM482 | 6/30/1999 | 5/26/1999 | 450,000 | - | 99.66 | U S TREASURY BILL |
| 1CM482 | 6/30/1999 | 5/26/1999 | - | 450,000 | 98.66 | U S TREASURY BILL |
| 1CM491 | 6/30/1999 | 5/26/1999 | 10,525,000 | - | 99.66 | U S TREASURY BILL |
| 1CM491 | 6/30/1999 | 5/26/1999 | - | 10,525,000 | 98.66 | U S TREASURY BILL |
| 1CM491 | 8/31/2002 | 7/31/2002 | - | 13,075,000 | 99.48 | U S TREASURY BILL |
| 1CM491 | 8/31/2002 | 7/31/2002 | - | 13,075,000 | 99.54 | U S TREASURY BILL |
| 1CM491 | 8/31/2002 | 7/31/2002 | 13,075,000 | - | 99.48 | U S TREASURY BILL |
| 1CM491 | 8/31/2002 | 7/31/2002 | 13,075,000 | - | 99.54 | U S TREASURY BILL |
| 1CM505 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1CM505 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1CM505 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1CM505 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1CM510 | 6/30/1999 | 5/26/1999 | - | 225,000 | 98.66 | U S TREASURY BILL |
| 1CM510 | 6/30/1999 | 5/26/1999 | 225,000 | - | 99.66 | U S TREASURY BILL |
| 1CM512 | 8/31/2002 | 7/31/2002 | - | 2,375,000 | 99.54 | U S TREASURY BILL |
| 1CM512 | 8/31/2002 | 7/31/2002 | 2,375,000 | - | 99.48 | U S TREASURY BILL |
| 1CM512 | 8/31/2002 | 7/31/2002 | - | 2,375,000 | 99.48 | U S TREASURY BILL |
| 1CM512 | 8/31/2002 | 7/31/2002 | 2,375,000 | - | 99.54 | U S TREASURY BILL |
| 1CM514 | 6/30/1999 | 5/26/1999 | 450,000 | - | 99.66 | U S TREASURY BILL |
| 1CM514 | 6/30/1999 | 5/26/1999 | - | 450,000 | 98.66 | U S TREASURY BILL |
| 1CM515 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM515 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM515 | 8/31/2002 | 7/31/2002 | - | 2,725,000 | 99.48 | U S TREASURY BILL |
| 1CM515 | 8/31/2002 | 7/31/2002 | 2,725,000 | - | 99.54 | U S TREASURY BILL |
| 1CM515 | 8/31/2002 | 7/31/2002 | - | 2,725,000 | 99.54 | U S TREASURY BILL |
| 1CM515 | 8/31/2002 | 7/31/2002 | 2,725,000 | - | 99.48 | U S TREASURY BILL |
| 1CM520 | 6/30/1999 | 5/26/1999 | - | 1,525,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1CM520 | 6/30/1999 | 5/26/1999 | 1,525,000 | - | 99.66 | U S TREASURY BILL |
| 1CM520 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.48 | U S TREASURY BILL |
| 1CM520 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.54 | U S TREASURY BILL |
| 1CM520 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.48 | U S TREASURY BILL |
| 1CM520 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.54 | U S TREASURY BILL |
| 1CM547 | 6/30/1999 | 5/26/1999 | 1,825,000 | - | 99.66 | U S TREASURY BILL |
| 1CM547 | 6/30/1999 | 5/26/1999 | - | 1,825,000 | 98.66 | U S TREASURY BILL |
| 1CM548 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.48 | U S TREASURY BILL |
| 1CM548 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.54 | U S TREASURY BILL |
| 1CM548 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.54 | U S TREASURY BILL |
| 1CM548 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.48 | U S TREASURY BILL |
| 1CM558 | 6/30/1999 | 5/26/1999 | - | 250,000 | 98.66 | U S TREASURY BILL |
| 1CM558 | 6/30/1999 | 5/26/1999 | 250,000 | - | 99.66 | U S TREASURY BILL |
| 1CM562 | 1/31/2001 | 12/29/2001 | - | 22,955 | 1.00 | FIDELITY SPARTAN |
| 1CM562 | 1/31/2001 | 12/29/2001 | - | 1,025,000 | 97.85 | U S TREASURY BILL |
| 1CM562 | 1/31/2001 | 12/29/2001 | - | 1,025,000 | 97.75 | U S TREASURY BILL |
| 1CM563 | 6/30/1999 | 5/26/1999 | - | 600,000 | 98.66 | U S TREASURY BILL |
| 1CM563 | 6/30/1999 | 5/26/1999 | 600,000 | - | 99.66 | U S TREASURY BILL |
| 1CM563 | 8/31/2002 | 7/31/2002 | - | 2,250,000 | 99.48 | U S TREASURY BILL |
| 1CM563 | 8/31/2002 | 7/31/2002 | - | 2,250,000 | 99.54 | U S TREASURY BILL |
| 1CM563 | 8/31/2002 | 7/31/2002 | 2,250,000 | - | 99.54 | U S TREASURY BILL |
| 1CM563 | 8/31/2002 | 7/31/2002 | 2,250,000 | - | 99.48 | U S TREASURY BILL |
| 1CM566 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.48 | U S TREASURY BILL |
| 1CM566 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.54 | U S TREASURY BILL |
| 1CM566 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.48 | U S TREASURY BILL |
| 1CM566 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.54 | U S TREASURY BILL |
| 1CM574 | 6/30/1999 | 5/26/1999 | 2,375,000 | - | 99.66 | U S TREASURY BILL |
| 1CM574 | 6/30/1999 | 5/26/1999 | - | 2,375,000 | 98.66 | U S TREASURY BILL |
| 1CM580 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1CM580 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1CM580 | 8/31/2002 | 7/31/2002 | 7,550,000 | - | 99.48 | U S TREASURY BILL |
| 1CM580 | 8/31/2002 | 7/31/2002 | - | 7,550,000 | 99.48 | U S TREASURY BILL |
| 1CM580 | 8/31/2002 | 7/31/2002 | 7,550,000 | - | 99.54 | U S TREASURY BILL |
| 1CM580 | 8/31/2002 | 7/31/2002 | - | 7,550,000 | 99.54 | U S TREASURY BILL |
| 1CM583 | 6/30/1999 | 5/26/1999 | 100,000 | - | 99.66 | U S TREASURY BILL |
| 1CM583 | 6/30/1999 | 5/26/1999 | - | 100,000 | 98.66 | U S TREASURY BILL |
| 1CM584 | 6/30/1999 | 5/26/1999 | 375,000 | - | 99.66 | U S TREASURY BILL |
| 1CM584 | 6/30/1999 | 5/26/1999 | - | 375,000 | 98.66 | U S TREASURY BILL |
| 1CM585 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1CM585 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |
| 1CM586 | 6/30/1999 | 5/26/1999 | - | 2,000,000 | 98.66 | U S TREASURY BILL |
| 1CM586 | 6/30/1999 | 5/26/1999 | 2,000,000 | - | 99.66 | U S TREASURY BILL |
| 1CM591 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.54 | U S TREASURY BILL |
| 1CM591 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.48 | U S TREASURY BILL |
| 1CM591 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.48 | U S TREASURY BILL |
| 1CM591 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.54 | U S TREASURY BILL |
| 1CM602 | 11/30/1999 | 10/29/1999 | 930,000 | - | 98.10 | U S TREASURY BILL |
| 1CM602 | 11/30/1999 | 10/29/1999 | 9,176 | - | 1.00 | FIDELITY SPARTAN |
| 1CM604 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.48 | U S TREASURY BILL |
| 1CM604 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.54 | U S TREASURY BILL |
| 1CM604 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.48 | U S TREASURY BILL |
| 1CM604 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.54 | U S TREASURY BILL |
| 1CM620 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.48 | U S TREASURY BILL |
| 1CM620 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.48 | U S TREASURY BILL |
| 1CM620 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.54 | U S TREASURY BILL |
| 1CM620 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.54 | U S TREASURY BILL |
| 1CM641 | 1/31/2001 | 12/29/2001 | 1,025,000 | - | 97.75 | U S TREASURY BILL |
| 1CM641 | 1/31/2001 | 12/29/2001 | 22,955 | - | 1.00 | FIDELITY SPARTAN |
| 1CM641 | 1/31/2001 | 12/29/2001 | 1,025,000 | - | 97.85 | U S TREASURY BILL |
| 1CM643 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.54 | U S TREASURY BILL |
| 1CM643 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.48 | U S TREASURY BILL |
| 1CM643 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.54 | U S TREASURY BILL |
| 1CM643 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.48 | U S TREASURY BILL |
| 1CM644 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1CM644 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |

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|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1CM644 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1CM644 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1CM647 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.54 | U S TREASURY BILL |
| 1CM647 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.54 | U S TREASURY BILL |
| 1CM647 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.48 | U S TREASURY BILL |
| 1CM647 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.48 | U S TREASURY BILL |
| 1CM651 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.54 | U S TREASURY BILL |
| 1CM651 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.48 | U S TREASURY BILL |
| 1CM651 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.48 | U S TREASURY BILL |
| 1CM651 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.54 | U S TREASURY BILL |
| 1CM877 | 2/28/2006 | 11/22/2006 | 4,000,000 | - | 98.52 | U S TREASURY BILL |
| 1CM877 | 2/28/2006 | 11/22/2006 | - | 4,000,000 | 99.52 | U S TREASURY BILL |
| 1CM949 | 1/31/2006 | 11/22/2006 | - | 3,000,000 | 99.52 | U S TREASURY BILL |
| 1CM949 | 1/31/2006 | 11/22/2006 | 3,000,000 | - | 98.52 | U S TREASURY BILL |
| 1CM949 | 1/31/2006 | 11/22/2006 | 3,000,000 | - | 98.52 | U S TREASURY BILL |
| 1CM949 | 1/31/2006 | 11/22/2006 | - | 3,000,000 | 98.52 | U S TREASURY BILL |
| 1D0001 | 8/31/1999 | 7/16/1999 | - | 100,000 | 138.75 | INTERNATIONAL BUSINESS MACHS |
| 1D0001 | 2/29/2000 | 1/6/2000 | - | 40,000 | 42.06 | NOVELL INC |
| 1D0001 | 2/29/2000 | 1/6/2000 | - | 35,000 | 124.50 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/6/2000 | - | 65,000 | 124.56 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/6/2000 | - | 47,400 | 124.63 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/6/2000 | - | 54,000 | 42.13 | NOVELL INC |
| 1D0001 | 2/29/2000 | 1/7/2000 | 68,200 | - | 111.06 | INTERNATIONAL BUSINESS MACHS |
| 1D0001 | 2/29/2000 | 1/7/2000 | - | 12,600 | 82.75 | CHEVRON CORP |
| 1D0001 | 2/29/2000 | 1/10/2000 | - | 12,600 | 36.94 | HALIBURTON CO |
| 1D0001 | 2/29/2000 | 1/10/2000 | 54,000 | - | 32.38 | NOVELL INC |
| 1D0001 | 2/29/2000 | 1/10/2000 | 40,000 | - | 32.31 | NOVELL INC |
| 1D0001 | 2/29/2000 | 1/10/2000 | 47,400 | - | 98.25 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/10/2000 | - | 20,200 | 53.75 | SCHLUMBERGER LTD |
| 1D0001 | 2/29/2000 | 1/10/2000 | 65,000 | - | 98.38 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/10/2000 | 35,000 | - | 98.75 | ORACLE CORPORATION |
| 1D0001 | 2/29/2000 | 1/24/2000 | - | 13,190 | 75.25 | ATLANTIC RICHFIELD CO |
| 1D0001 | 2/29/2000 | 1/25/2000 | - | 68,200 | 124.50 | INTERNATIONAL BUSINESS MACHS |
| 1D0001 | 12/31/2001 | 1/18/2001 | - | 59,000 | 53.00 | JDS UNIPHASE CORP |
| 1D0001 | 12/31/2001 | 1/18/2001 | - | 75,000 | 53.13 | JDS UNIPHASE CORP |
| 1D0001 | 12/31/2001 | 1/18/2001 | - | 90,000 | 52.75 | JDS UNIPHASE CORP |
| 1D0001 | 12/31/2001 | 1/22/2001 | - | 80,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1D0001 | 12/31/2001 | 1/22/2001 | - | 70,000 | 18.75 | LUCENT TECHNOLOGIES INC |
| 1D0001 | 12/31/2001 | 1/22/2001 | - | 72,000 | 34.75 | NORTEL NETWORKS CORP HLDS CO |
| 1D0001 | 12/31/2001 | 1/22/2001 | - | 150,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1D0001 | 12/31/2001 | 1/22/2001 | - | 85,000 | 18.90 | LUCENT TECHNOLOGIES INC |
| 1D0001 | 12/31/2001 | 1/26/2001 | - | 92,000 | 38.63 | YAHOO INC |
| 1D0001 | 12/31/2001 | 1/26/2001 | - | 65,000 | 38.50 | YAHOO INC |
| 1D0001 | 12/31/2001 | 1/26/2001 | - | 75,000 | 38.75 | YAHOO INC |
| 1D0001 | 12/31/2001 | 7/16/2001 | - | 79,000 | 39.82 | AUTOZONE INC |
| 1D0001 | 12/31/2001 | 7/16/2001 | - | 96,000 | 39.57 | AUTOZONE INC |
| 1D0001 | 12/31/2001 | 8/28/2001 | - | 60,000 | 10.62 | ADAPTEC INC |
| 1D0001 | 12/31/2001 | 8/28/2001 | - | 90,000 | 10.55 | ADAPTEC INC |
| 1D0001 | 12/31/2001 | 8/29/2001 | - | 95,000 | 10.70 | ADAPTEC INC |
| 1D0001 | 12/31/2001 | 9/24/2001 | - | 85,000 | 58.52 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 9/25/2001 | - | 60,000 | 56.70 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 9/26/2001 | - | 95,000 | 55.10 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 10/1/2001 | - | 85,000 | 34.20 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 10/1/2001 | - | 75,000 | 34.10 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 10/2/2001 | - | 110,000 | 33.90 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 10/4/2001 | - | 75,000 | 38.00 | TRICON GLOBAL RESTAURANTS INC |
| 1D0001 | 12/31/2001 | 10/5/2001 | - | 65,000 | 37.70 | TRICON GLOBAL RESTAURANTS INC |
| 1D0001 | 12/31/2001 | 11/8/2001 | 85,000 | - | 51.75 | TRICON GLOBAL RESTAURANTS INC |
| 1D0001 | 12/31/2001 | 11/9/2001 | 55,000 | - | 51.90 | TRICON GLOBAL RESTAURANTS INC |
| 1D0001 | 12/31/2001 | 11/16/2001 | 19,000 | - | 79.53 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 11/16/2001 | 85,000 | - | 61.00 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 11/16/2001 | 75,000 | - | 15.13 | ADAPTEC INC |
| 1D0001 | 12/31/2001 | 11/16/2001 | 90,000 | - | 61.33 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 11/19/2001 | 80,000 | - | 67.50 | AUTOZONE INC |
| 1D0001 | 12/31/2001 | 11/19/2001 | 80,000 | - | 15.00 | ADAPTEC INC |

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|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1D0001 | 12/31/2001 | 11/19/2001 | 66,000 | - | 80.49 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 11/19/2001 | 90,000 | - | 15.09 | ADAPTEC INC |
| 1D0001 | 12/31/2001 | 11/19/2001 | 95,000 | - | 61.52 | SYMANTEC CORP |
| 1D0001 | 12/31/2001 | 11/20/2001 | 60,000 | - | 81.60 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 11/21/2001 | 45,000 | - | 80.75 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 11/21/2001 | 50,000 | - | 80.70 | JOHNSON CTLS INC |
| 1D0001 | 12/31/2001 | 11/21/2001 | 95,000 | - | 66.22 | AUTOZONE INC |
| 1D0001 | 6/30/2002 | 5/31/2002 | - | 75,000 | 13.00 | ADAPTEC INC |
| 1D0001 | 8/31/2003 | 7/10/2003 | - | 125,000 | 28.22 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | 95,000 | - | 28.35 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | - | 35,000 | 28.19 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | - | 110,000 | 28.30 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | 35,000 | - | 28.19 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | 110,000 | - | 28.30 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | 125,000 | - | 28.22 | BROADCOM CORP CL A |
| 1D0001 | 8/31/2003 | 7/10/2003 | - | 95,000 | 28.35 | BROADCOM CORP CL A |
| 1D0001 | 12/31/2003 | 11/5/2003 | 50,000 | - | 29.57 | PRICELINE COM INC |
| 1D0001 | 12/31/2003 | 11/5/2003 | 28,000 | - | 29.81 | PRICELINE COM INC |
| 1D0001 | 12/31/2003 | 11/5/2003 | 100,000 | - | 29.99 | PRICELINE COM INC |
| 1D0001 | 12/31/2003 | 11/5/2003 | - | 100,000 | 29.99 | PRICELINE COM INC |
| 1D0001 | 12/31/2003 | 11/5/2003 | 50,000 | - | 29.57 | PRICELINE COM INC |
| 1D0001 | 12/31/2003 | 11/5/2003 | - | 28,000 | 29.81 | PRICELINE COM INC |
| 1D0001 | 12/31/2004 | 11/3/2004 | 15,000 | - | 45.01 | FOREST LABS INC |
| 1D0001 | 12/31/2004 | 11/30/2004 | - | 15,000 | 37.79 | FOREST LABS INC |
| 1D0001 | 11/30/2005 | 10/4/2005 | - | 68,000 | 60.58 | EXPRESS SCRIPTS INC CL A |
| 1D0001 | 8/31/2007 | 5/2/2007 | 75,000 | - | 102.85 | UNITED STATES STEEL CORP |
| 1D0001 | 8/31/2007 | 5/2/2007 | 100,000 | - | 102.77 | UNITED STATES STEEL CORP |
| 1D0001 | 3/31/2008 | 2/5/2008 | 30,000 | - | 81.88 | DEVON ENERGY CORP NEW |
| 1D0001 | 3/31/2008 | 2/5/2008 | - | 85,000 | 78.51 | AMAZON COM INC |
| 1D0001 | 3/31/2008 | 2/5/2008 | - | 89,000 | 78.58 | AMAZON COM INC |
| 1D0001 | 11/30/2008 | 10/1/2008 | 90,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1D0001 | 11/30/2008 | 10/1/2008 | - | 90,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1D0001 | 11/30/2008 | 10/1/2008 | - | 95,000 | 120.42 | SPDR TRUST SER 1 UNITS |
| 1D0001 | 11/30/2008 | 10/1/2008 | 95,000 | - | 120.42 | SPDR TRUST SER 1 UNITS |
| 1D0010 | 8/31/1996 | 7/1/1996 | 115,000 | - | 19.13 | STAPLES INC |
| 1D0010 | 8/31/1996 | 7/2/1996 | 50,000 | - | 49.13 | SEARS ROEBUCK & CO |
| 1D0010 | 8/31/1996 | 7/2/1996 | 132,000 | - | 13.63 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/3/1996 | 30,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1D0010 | 8/31/1996 | 7/3/1996 | 125,000 | - | 19.25 | STAPLES INC |
| 1D0010 | 8/31/1996 | 7/3/1996 | 100,000 | - | 14.38 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/3/1996 | 94,000 | - | 14.25 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/3/1996 | 115,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1D0010 | 8/31/1996 | 7/3/1996 | 123,150 | - | 37.13 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/3/1996 | 33,000 | - | 29.38 | PROMUS HOTEL CORP |
| 1D0010 | 8/31/1996 | 7/3/1996 | 51,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1D0010 | 8/31/1996 | 7/5/1996 | 66,000 | - | 14.38 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/5/1996 | 45,998 | - | 45.13 | AMERICAN EXPRESS COMPANY |
| 1D0010 | 8/31/1996 | 7/5/1996 | 90,000 | - | 75.13 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/5/1996 | 67,000 | - | 45.13 | AMERICAN EXPRESS COMPANY |
| 1D0010 | 8/31/1996 | 7/5/1996 | 18,000 | - | 29.38 | PROMUS HOTEL CORP |
| 1D0010 | 8/31/1996 | 7/5/1996 | 45,000 | - | 75.13 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/5/1996 | 100,000 | - | 14.50 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/5/1996 | 80,000 | - | 38.00 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/5/1996 | 19,515 | - | 57.50 | DEAN WITTER DISCOVER & CO |
| 1D0010 | 8/31/1996 | 7/5/1996 | 102,000 | - | 38.00 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 22,000 | - | 29.75 | PROMUS HOTEL CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 106,150 | - | 38.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 111,000 | - | 14.00 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/8/1996 | 100,000 | - | 19.50 | STAPLES INC |
| 1D0010 | 8/31/1996 | 7/8/1996 | 137,000 | - | 75.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 12,000 | - | 42.88 | MILLIPORE CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 73,625 | - | 19.50 | STAPLES INC |
| 1D0010 | 8/31/1996 | 7/8/1996 | 150,000 | - | 38.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/8/1996 | 46,351 | - | 46.38 | ALLSTATE |
| 1D0010 | 8/31/1996 | 7/8/1996 | 96,000 | - | 19.50 | STAPLES INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1D0010 | 8/31/1996 | 7/9/1996 | 134,000 | - | 13.88 | VLSI TECHNOLOGY INC |
| 1D0010 | 8/31/1996 | 7/9/1996 | 136,000 | - | 37.63 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/9/1996 | 4,000 | - | 42.63 | MILLIPORE CORP |
| 1D0010 | 8/31/1996 | 7/9/1996 | 3,500 | - | 29.38 | PROMUS HOTEL CORP |
| 1D0010 | 8/31/1996 | 7/11/1996 | 88,000 | - | 38.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/11/1996 | 150,000 | - | 37.00 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/12/1996 | 150,000 | - | 37.00 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/12/1996 | 46,000 | - | 42.63 | MILLIPORE CORP |
| 1D0010 | 8/31/1996 | 7/12/1996 | 108,000 | - | 37.00 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/15/1996 | 38,000 | - | 42.75 | MILLIPORE CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 135,000 | - | 37.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 85,000 | - | 37.88 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 144,200 | - | 37.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 147,500 | - | 37.88 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 113,000 | - | 37.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 130,000 | - | 37.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 118,000 | - | 37.75 | INTEL CORP |
| 1D0010 | 8/31/1996 | 7/22/1996 | 126,000 | - | 37.63 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 284,000 | 32.61 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 315,000 | 33.00 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 216,000 | 33.03 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 321,000 | 32.94 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 325,000 | 32.82 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/8/2003 | - | 339,000 | 32.77 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 245,000 | 32.47 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 310,000 | 32.79 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 299,000 | 32.50 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 306,000 | 32.61 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 318,000 | 32.74 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/11/2003 | - | 322,000 | 32.68 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 274,000 | 33.34 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 324,000 | 33.40 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 200,000 | 33.20 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 302,000 | 33.15 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 338,000 | 33.18 | INTEL CORP |
| 1D0010 | 2/28/2003 | 3/12/2003 | - | 362,000 | 33.29 | INTEL CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 150,000 | - | 121.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 75,000 | - | 121.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 50,000 | - | 121.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 90,000 | - | 121.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 97,000 | - | 19.13 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/1/1996 | 121,000 | - | 61.25 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/1/1996 | 125,000 | - | 121.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 150,000 | - | 13.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/1/1996 | 150,000 | - | 121.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 100,000 | - | 13.38 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/1/1996 | 70,000 | - | 32.38 | INCO LTD |
| 1D0011 | 8/31/1996 | 7/1/1996 | 143,000 | - | 49.13 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/1/1996 | 58,000 | - | 49.13 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/1/1996 | 125,000 | - | 121.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/1/1996 | 134,000 | - | 19.13 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/1/1996 | 150,000 | - | 13.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/2/1996 | 65,000 | - | 32.00 | INCO LTD |
| 1D0011 | 8/31/1996 | 7/2/1996 | 78,000 | - | 26.50 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/2/1996 | 150,000 | - | 13.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/2/1996 | 10,000 | - | 39.25 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/2/1996 | 31,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/2/1996 | 36,000 | - | 61.25 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/2/1996 | 62,000 | - | 13.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/2/1996 | 70,000 | - | 18.75 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/2/1996 | 74,000 | - | 61.25 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/2/1996 | 112,000 | - | 39.25 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/2/1996 | 80,000 | - | 25.13 | OCCIDENTAL PETROLEUM CORP |
| 1D0011 | 8/31/1996 | 7/2/1996 | 121,000 | - | 39.25 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/2/1996 | 131,000 | - | 18.75 | STAPLES INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1D0011 | 8/31/1996 | 7/2/1996 | 17,000 | - | 34.25 | LIZ CLAIBORNE INC |
| 1D0011 | 8/31/1996 | 7/2/1996 | 85,000 | - | 61.25 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/2/1996 | 105,000 | - | 39.25 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/2/1996 | 125,000 | - | 25.13 | OCCIDENTAL PETROLEUM CORP |
| 1D0011 | 8/31/1996 | 7/2/1996 | 115,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/2/1996 | 73,200 | - | 26.50 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/2/1996 | 150,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/2/1996 | 150,000 | - | 13.38 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 57,400 | - | 83.00 | CITICORP |
| 1D0011 | 8/31/1996 | 7/3/1996 | 135,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/3/1996 | 150,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/3/1996 | 70,000 | - | 31.13 | CENTOCOR INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 93,000 | - | 71.13 | THE CHASE MANHATTAN CORP NEW |
| 1D0011 | 8/31/1996 | 7/3/1996 | 118,000 | - | 31.00 | CENTOCOR INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 58,000 | - | 34.63 | LIZ CLAIBORNE INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 150,000 | - | 13.75 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 4,000 | - | 13.63 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 100,000 | - | 19.25 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 115,000 | - | 35.50 | PEPSICO INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 150,000 | - | 13.63 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 150,000 | - | 27.25 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/3/1996 | 44,000 | - | 31.00 | CENTOCOR INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 125,000 | - | 35.63 | PEPSICO INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 127,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/3/1996 | 133,000 | - | 44.75 | GTE CORP |
| 1D0011 | 8/31/1996 | 7/3/1996 | 135,000 | - | 13.75 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/3/1996 | 140,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0011 | 8/31/1996 | 7/5/1996 | 91,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/5/1996 | 112,000 | - | 60.75 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 125,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 126,000 | - | 60.75 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 150,000 | - | 13.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 68,000 | - | 62.13 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/5/1996 | 107,000 | - | 60.75 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 120,000 | - | 60.75 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 136,000 | - | 27.25 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 150,000 | - | 19.50 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 30,700 | - | 44.75 | GTE CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 60,000 | - | 60.63 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 125,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 80,400 | - | 75.13 | INTEL CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 135,000 | - | 13.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 10,000 | - | 19.50 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 100,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 150,000 | - | 14.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 150,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/5/1996 | 100,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 25,000 | - | 34.38 | LIZ CLAIBORNE INC |
| 1D0011 | 8/31/1996 | 7/5/1996 | 65,600 | - | 42.88 | BELLSOUTH CORP |
| 1D0011 | 8/31/1996 | 7/5/1996 | 150,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 60,600 | - | 35.63 | PEPSICO INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 76,000 | - | 62.00 | EASTMAN CHEMICAL CO |
| 1D0011 | 8/31/1996 | 7/8/1996 | 91,000 | - | 60.25 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 108,000 | - | 75.75 | INTEL CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 50,000 | - | 49.75 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/8/1996 | 78,000 | - | 37.88 | BOISE CASCADE CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 87,376 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0011 | 8/31/1996 | 7/8/1996 | 37,000 | - | 13.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 90,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 96,800 | - | 66.75 | BELL ATLANTIC CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 150,000 | - | 13.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 51,000 | - | 25.00 | OCCIDENTAL PETROLEUM CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 150,000 | - | 13.63 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 100,000 | - | 122.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 102,000 | - | 27.25 | LAM RESEARCH CORP |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1D0011 | 8/31/1996 | 7/8/1996 | 150,000 | - | 49.75 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/8/1996 | 150,000 | - | 122.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/8/1996 | 44,000 | - | 60.25 | SUN MICROSYSTEMS INC |
| 1D0011 | 8/31/1996 | 7/8/1996 | 117,000 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0011 | 8/31/1996 | 7/8/1996 | 150,000 | - | 122.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 83,000 | - | 13.75 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 125,000 | - | 122.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 4,000 | - | 109.13 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 135,000 | - | 43.13 | BELLSOUTH CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 30,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/9/1996 | 74,000 | - | 19.00 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 120,000 | - | 83.13 | CITICORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 70,000 | - | 25.63 | OCCIDENTAL PETROLEUM CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 149,000 | - | 58.13 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/9/1996 | 150,000 | - | 122.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 39,450 | - | 26.50 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 120,000 | - | 13.75 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 151,000 | - | 109.25 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 85,000 | - | 58.00 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/9/1996 | 130,600 | - | 48.13 | NYNEX CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 150,000 | - | 66.63 | MERCK & CO |
| 1D0011 | 8/31/1996 | 7/9/1996 | 83,000 | - | 26.50 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 100,000 | - | 122.63 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/9/1996 | 120,000 | - | 13.63 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/9/1996 | 136,000 | - | 71.38 | THE CHASE MANHATTAN CORP NEW |
| 1D0011 | 8/31/1996 | 7/9/1996 | 150,000 | - | 66.63 | MERCK & CO |
| 1D0011 | 8/31/1996 | 7/9/1996 | 91,000 | - | 32.13 | INCO LTD |
| 1D0011 | 8/31/1996 | 7/10/1996 | 23,000 | - | 43.00 | BELLSOUTH CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 90,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 100,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 52,000 | - | 57.25 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/10/1996 | 130,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 49,000 | - | 25.13 | OCCIDENTAL PETROLEUM CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 75,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/10/1996 | 85,000 | - | 43.00 | BELLSOUTH CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 125,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/10/1996 | 107,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1D0011 | 8/31/1996 | 7/10/1996 | 150,000 | - | 13.13 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/11/1996 | 34,625 | - | 18.75 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/11/1996 | 67,000 | - | 18.75 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/11/1996 | 33,350 | - | 26.50 | LAM RESEARCH CORP |
| 1D0011 | 8/31/1996 | 7/11/1996 | 120,000 | - | 13.13 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/11/1996 | 125,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/11/1996 | 58,000 | - | 108.50 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 75,000 | - | 110.13 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 150,000 | - | 13.13 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 110,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 125,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 125,000 | - | 121.13 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/12/1996 | 125,000 | - | 121.13 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/12/1996 | 128,000 | - | 18.75 | STAPLES INC |
| 1D0011 | 8/31/1996 | 7/12/1996 | 150,000 | - | 121.13 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/12/1996 | 151,000 | - | 110.25 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 101,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 122,000 | - | 57.75 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/15/1996 | 140,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 49,000 | - | 32.38 | INCO LTD |
| 1D0011 | 8/31/1996 | 7/15/1996 | 81,000 | - | 109.00 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 101,000 | - | 109.00 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 150,000 | - | 12.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 19,000 | - | 109.00 | NIKE INC |
| 1D0011 | 8/31/1996 | 7/15/1996 | 57,000 | - | 37.50 | BOISE CASCADE CORP |
| 1D0011 | 8/31/1996 | 7/16/1996 | 125,000 | - | 13.00 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/16/1996 | 53,000 | - | 57.75 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/16/1996 | 55,000 | - | 37.50 | BOISE CASCADE CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1D0011 | 8/31/1996 | 7/16/1996 | 125,000 | - | 12.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/16/1996 | 150,000 | - | 12.88 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/16/1996 | 60,000 | - | 37.50 | BOISE CASCADE CORP |
| 1D0011 | 8/31/1996 | 7/16/1996 | 75,000 | - | 57.75 | WARNER LAMBERT CO |
| 1D0011 | 8/31/1996 | 7/16/1996 | 100,000 | - | 26.13 | MCI COMMUNICATIONS CORP |
| 1D0011 | 8/31/1996 | 7/16/1996 | 117,900 | - | 26.00 | MCI COMMUNICATIONS CORP |
| 1D0011 | 8/31/1996 | 7/16/1996 | 129,000 | - | 12.75 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 25,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 42,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 76,500 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 63,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 88,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 76,500 | - | 12.38 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 21,000 | - | 12.38 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 21,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/17/1996 | 49,000 | - | 12.50 | NOVELL INC |
| 1D0011 | 8/31/1996 | 7/24/1996 | 100,000 | - | 121.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 125,000 | - | 121.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 100,000 | - | 121.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 150,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 150,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 150,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 75,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 125,000 | - | 121.25 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 150,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/24/1996 | 150,000 | - | 121.38 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 125,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 140,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 50,000 | - | 120.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 75,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 75,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 90,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 100,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 125,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 50,000 | - | 120.75 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 100,000 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 8/31/1996 | 7/26/1996 | 93,200 | - | 121.00 | MICROSOFT CORP |
| 1D0011 | 12/31/2002 | 1/10/2002 | - | 82,000 | 14.12 | SUN MICROSYSTEMS INC |
| 1D0011 | 12/31/2002 | 1/10/2002 | - | 75,000 | 13.95 | SUN MICROSYSTEMS INC |
| 1D0011 | 12/31/2002 | 1/10/2002 | - | 86,000 | 14.00 | SUN MICROSYSTEMS INC |
| 1D0011 | 12/31/2005 | 1/12/2005 | 125,000 | - | 38.81 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/12/2005 | 75,000 | - | 39.01 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/12/2005 | 90,000 | - | 38.96 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/13/2005 | 85,000 | - | 39.12 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/14/2005 | 75,000 | - | 39.49 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/14/2005 | 25,000 | - | 39.41 | DIAMOND OFFSHORE DRILLING |
| 1D0011 | 12/31/2005 | 1/18/2005 | 30,000 | - | 194.88 | GOOGLE |
| 1D0011 | 12/31/2005 | 1/18/2005 | 50,000 | - | 195.09 | GOOGLE |
| 1D0011 | 12/31/2005 | 1/19/2005 | 70,000 | - | 42.11 | BURLINGTON RESOURCES INC |
| 1D0011 | 12/31/2005 | 1/19/2005 | 126,000 | - | 42.06 | BURLINGTON RESOURCES INC |
| 1D0011 | 12/31/2005 | 1/19/2005 | 27,000 | - | 195.02 | GOOGLE |
| 1D0011 | 12/31/2005 | 1/19/2005 | 55,000 | - | 195.50 | GOOGLE |
| 1D0011 | 12/31/2005 | 1/19/2005 | 110,000 | - | 41.99 | BURLINGTON RESOURCES INC |
| 1D0011 | 12/31/2005 | 1/20/2005 | 70,000 | - | 196.08 | GOOGLE |
| 1D0017 | 2/28/2003 | 6/15/2003 | 9,920 | - | 1.00 | FIDELITY SPARTAN |
| 1D0026 | 6/30/1999 | 5/26/1999 | - | 31,775,000 | 98.66 | U S TREASURY BILL |
| 1D0026 | 6/30/1999 | 5/26/1999 | 31,775,000 | - | 99.66 | U S TREASURY BILL |
| 1D0026 | 8/31/2002 | 7/31/2002 | - | 47,000,000 | 99.54 | U S TREASURY BILL |
| 1D0026 | 8/31/2002 | 7/31/2002 | 47,000,000 | - | 99.54 | U S TREASURY BILL |
| 1D0026 | 8/31/2002 | 7/31/2002 | - | 47,000,000 | 99.48 | U S TREASURY BILL |
| 1D0026 | 8/31/2002 | 7/31/2002 | 47,000,000 | - | 99.48 | U S TREASURY BILL |
| 1D0030 | 8/31/1996 | 7/1/1996 | 123,000 | - | 70.63 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/1/1996 | 144,000 | - | 82.25 | CITICORP |
| 1D0030 | 8/31/1996 | 7/1/1996 | 138,000 | - | 70.63 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/1/1996 | 27,000 | - | 55.00 | HOME DEPOT INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1D0030 | 8/31/1996 | 7/1/1996 | 101,000 | - | 55.00 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/1/1996 | 150,000 | - | 55.00 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/2/1996 | 102,000 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/2/1996 | 95,000 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/2/1996 | 132,000 | - | 83.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/2/1996 | 147,000 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/3/1996 | 150,000 | - | 83.00 | CITICORP |
| 1D0030 | 8/31/1996 | 7/3/1996 | 25,000 | - | 54.63 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/3/1996 | 32,000 | - | 54.63 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/3/1996 | 87,600 | - | 35.63 | PEPSICO INC |
| 1D0030 | 8/31/1996 | 7/3/1996 | 150,000 | - | 71.13 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/3/1996 | 87,400 | - | 71.13 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/5/1996 | 139,000 | - | 75.13 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 122,000 | - | 75.13 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 126,000 | - | 71.50 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/5/1996 | 60,400 | - | 42.88 | BELLSOUTH CORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 47,700 | - | 44.75 | GTE CORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 150,000 | - | 75.13 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 78,000 | - | 83.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/5/1996 | 116,000 | - | 83.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/8/1996 | 98,000 | - | 60.13 | SUN MICROSYSTEMS INC |
| 1D0030 | 8/31/1996 | 7/8/1996 | 147,000 | - | 75.75 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/8/1996 | 150,000 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/8/1996 | 34,000 | - | 83.00 | CITICORP |
| 1D0030 | 8/31/1996 | 7/8/1996 | 116,000 | - | 60.13 | SUN MICROSYSTEMS INC |
| 1D0030 | 8/31/1996 | 7/8/1996 | 55,000 | - | 60.13 | SUN MICROSYSTEMS INC |
| 1D0030 | 8/31/1996 | 7/8/1996 | 108,000 | - | 75.75 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/8/1996 | 142,000 | - | 60.13 | SUN MICROSYSTEMS INC |
| 1D0030 | 8/31/1996 | 7/8/1996 | 57,092 | - | 71.00 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/8/1996 | 150,000 | - | 75.75 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/8/1996 | 109,000 | - | 60.13 | SUN MICROSYSTEMS INC |
| 1D0030 | 8/31/1996 | 7/9/1996 | 143,000 | - | 55.25 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/9/1996 | 28,200 | - | 63.75 | BELL ATLANTIC CORP |
| 1D0030 | 8/31/1996 | 7/9/1996 | 47,200 | - | 122.63 | MICROSOFT CORP |
| 1D0030 | 8/31/1996 | 7/9/1996 | 55,000 | - | 55.25 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/9/1996 | 67,000 | - | 55.25 | HOME DEPOT INC |
| 1D0030 | 8/31/1996 | 7/9/1996 | 40,600 | - | 59.38 | AMERITECH CORP |
| 1D0030 | 8/31/1996 | 7/10/1996 | 86,000 | - | 74.63 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/10/1996 | 38,000 | - | 47.75 | NYNEX CORP |
| 1D0030 | 8/31/1996 | 7/10/1996 | 118,000 | - | 69.75 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/10/1996 | 46,000 | - | 69.75 | THE CHASE MANHATTAN CORP NEW |
| 1D0030 | 8/31/1996 | 7/10/1996 | 79,000 | - | 74.63 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/10/1996 | 97,000 | - | 74.63 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/10/1996 | 81,000 | - | 74.63 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/11/1996 | 40,000 | - | 74.25 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/11/1996 | 56,000 | - | 74.25 | INTEL CORP |
| 1D0030 | 8/31/1996 | 7/11/1996 | 100,000 | - | 108.50 | NIKE INC |
| 1D0030 | 8/31/1996 | 7/12/1996 | 150,000 | - | 110.25 | NIKE INC |
| 1D0030 | 8/31/1996 | 7/15/1996 | 10,000 | - | 109.00 | NIKE INC |
| 1D0030 | 8/31/1996 | 7/23/1996 | 140,000 | - | 82.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/25/1996 | 82,450 | - | 82.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/25/1996 | 42,450 | - | 82.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/25/1996 | 126,000 | - | 82.13 | CITICORP |
| 1D0030 | 8/31/1996 | 7/26/1996 | 93,000 | - | 81.88 | CITICORP |
| 1D0030 | 8/31/1996 | 7/26/1996 | 101,000 | - | 81.88 | CITICORP |
| 1D0030 | 7/31/2000 | 6/7/2000 | - | 100,000 | 70.00 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/7/2000 | - | 220,000 | 69.81 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/7/2000 | - | 175,000 | 69.75 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/7/2000 | - | 145,000 | 69.88 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/7/2000 | - | 125,000 | 69.94 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/15/2000 | 220,000 | - | 69.81 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/15/2000 | 100,000 | - | 70.00 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/15/2000 | 125,000 | - | 69.94 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/15/2000 | 175,000 | - | 69.75 | EBAY INC |
| 1D0030 | 7/31/2000 | 6/15/2000 | 145,000 | - | 69.88 | EBAY INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1D0030 | 12/31/2002 | 1/9/2002 | - | 558,000 | 36.17 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/9/2002 | - | 462,000 | 36.11 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/9/2002 | - | 542,000 | 36.21 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/9/2002 | - | 438,000 | 36.07 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/10/2002 | - | 453,000 | 36.07 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/10/2002 | - | 547,000 | 36.12 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/11/2002 | - | 540,000 | 35.70 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/11/2002 | - | 460,000 | 35.61 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/14/2002 | - | 427,000 | 36.36 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/14/2002 | - | 511,000 | 36.57 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/14/2002 | - | 573,000 | 36.48 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/14/2002 | - | 489,000 | 36.51 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/15/2002 | - | 203,200 | 35.21 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/15/2002 | - | 570,000 | 35.13 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/15/2002 | - | 490,000 | 35.18 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/15/2002 | - | 430,000 | 35.08 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/16/2002 | - | 538,000 | 34.92 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/16/2002 | - | 502,000 | 34.84 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/16/2002 | - | 517,000 | 34.89 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/16/2002 | - | 481,000 | 34.77 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/17/2002 | - | 308,800 | 34.99 | INTEL CORP |
| 1D0030 | 12/31/2002 | 1/29/2002 | - | 297,000 | 48.91 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/29/2002 | - | 300,000 | 49.00 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/30/2002 | - | 265,000 | 47.13 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/30/2002 | - | 299,000 | 47.30 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/30/2002 | - | 280,000 | 47.09 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/30/2002 | - | 170,000 | 47.01 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/30/2002 | - | 285,000 | 47.28 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/31/2002 | - | 264,000 | 47.68 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/31/2002 | - | 240,000 | 47.50 | HOME DEPOT INC |
| 1D0030 | 12/31/2002 | 1/31/2002 | - | 300,000 | 47.41 | HOME DEPOT INC |
| 1D0030 | 11/30/2002 | 6/28/2002 | - | 596 | 1.00 | FIDELITY SPARTAN |
| 1D0030 | 11/30/2002 | 9/30/2002 | - | 95,537,232 | 1.00 | FIDELITY SPARTAN |
| 1D0031 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1D0031 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1D0032 | 8/31/1996 | 7/1/1996 | 55,000 | - | 56.25 | DEAN WITTER DISCOVER & CO |
| 1D0032 | 8/31/1996 | 7/1/1996 | 147,000 | - | 82.25 | CITICORP |
| 1D0032 | 8/31/1996 | 7/1/1996 | 8,000 | - | 48.25 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/2/1996 | 135,000 | - | 39.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/2/1996 | 148,000 | - | 49.13 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/2/1996 | 25,000 | - | 49.13 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/2/1996 | 7,000 | - | 48.63 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/2/1996 | 110,000 | - | 39.25 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/2/1996 | 125,000 | - | 39.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/2/1996 | 138,000 | - | 39.25 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/2/1996 | 36,000 | - | 39.25 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/2/1996 | 51,600 | - | 57.00 | DEAN WITTER DISCOVER & CO |
| 1D0032 | 8/31/1996 | 7/3/1996 | 11,000 | - | 48.13 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 75,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 83,000 | - | 83.00 | CITICORP |
| 1D0032 | 8/31/1996 | 7/3/1996 | 137,000 | - | 48.88 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/3/1996 | 10,000 | - | 48.88 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/3/1996 | 113,000 | - | 39.75 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/3/1996 | 150,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 43,000 | - | 57.13 | DEAN WITTER DISCOVER & CO |
| 1D0032 | 8/31/1996 | 7/3/1996 | 100,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 125,000 | - | 48.88 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/3/1996 | 150,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 14,000 | - | 39.75 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/3/1996 | 10,421 | - | 45.63 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/3/1996 | 30,000 | - | 45.63 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/3/1996 | 150,000 | - | 40.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/3/1996 | 135,000 | - | 45.63 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/5/1996 | 150,000 | - | 46.50 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/5/1996 | 150,000 | - | 54.13 | GENERAL MOTORS CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1D0032 | 8/31/1996 | 7/5/1996 | 13,000 | - | 46.50 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/5/1996 | 50,422 | - | 46.50 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/5/1996 | 140,000 | - | 39.63 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/5/1996 | 75,000 | - | 48.88 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/5/1996 | 74,000 | - | 40.38 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/5/1996 | 90,000 | - | 48.88 | SEARS ROEBUCK & CO |
| 1D0032 | 8/31/1996 | 7/5/1996 | 140,000 | - | 39.75 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/5/1996 | 150,000 | - | 39.75 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/5/1996 | 20,000 | - | 28.50 | AIRTOUCH COMMUNICATIONS |
| 1D0032 | 8/31/1996 | 7/5/1996 | 120,000 | - | 28.50 | AIRTOUCH COMMUNICATIONS |
| 1D0032 | 8/31/1996 | 7/5/1996 | 27,004 | - | 57.50 | DEAN WITTER DISCOVER & CO |
| 1D0032 | 8/31/1996 | 7/5/1996 | 50,000 | - | 54.25 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/5/1996 | 101,000 | - | 44.25 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/5/1996 | 150,000 | - | 54.25 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/5/1996 | 5,000 | - | 47.50 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 18,000 | - | 46.38 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/8/1996 | 85,000 | - | 46.38 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/8/1996 | 120,000 | - | 54.13 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 123,000 | - | 39.00 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 124,000 | - | 39.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 125,000 | - | 39.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 35,000 | - | 44.38 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/8/1996 | 60,000 | - | 28.50 | AIRTOUCH COMMUNICATIONS |
| 1D0032 | 8/31/1996 | 7/8/1996 | 69,000 | - | 39.50 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 85,000 | - | 28.50 | AIRTOUCH COMMUNICATIONS |
| 1D0032 | 8/31/1996 | 7/8/1996 | 122,000 | - | 39.00 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 134,000 | - | 44.38 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/8/1996 | 145,000 | - | 44.38 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/8/1996 | 21,000 | - | 47.63 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 46,000 | - | 75.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 150,000 | - | 75.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 81,000 | - | 57.25 | DEAN WITTER DISCOVER & CO |
| 1D0032 | 8/31/1996 | 7/8/1996 | 140,000 | - | 39.13 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/8/1996 | 150,000 | - | 83.00 | CITICORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 150,000 | - | 75.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 40,000 | - | 54.25 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/8/1996 | 60,000 | - | 39.00 | ORACLE CORPORATION |
| 1D0032 | 8/31/1996 | 7/9/1996 | 150,000 | - | 74.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 86,800 | - | 44.25 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/9/1996 | 33,000 | - | 83.13 | CITICORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 112,000 | - | 44.25 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/9/1996 | 13,000 | - | 47.63 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/9/1996 | 82,000 | - | 39.50 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 146,000 | - | 74.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 120,000 | - | 46.25 | ALLSTATE |
| 1D0032 | 8/31/1996 | 7/9/1996 | 125,000 | - | 53.50 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 150,000 | - | 74.75 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 88,000 | - | 44.25 | PHARMACIA & UPJOHN INC |
| 1D0032 | 8/31/1996 | 7/9/1996 | 100,000 | - | 53.38 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/9/1996 | 100,000 | - | 53.63 | GENERAL MOTORS CORP |
| 1D0032 | 8/31/1996 | 7/10/1996 | 142,000 | - | 74.63 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/10/1996 | 139,000 | - | 74.63 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/10/1996 | 2,000 | - | 47.63 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/11/1996 | 111,000 | - | 74.13 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 43,000 | - | 38.50 | STORAGE TECHNOLOGY CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 137,000 | - | 74.25 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 27,000 | - | 47.50 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/11/1996 | 95,000 | - | 74.13 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 123,000 | - | 74.25 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 125,000 | - | 74.25 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 131,000 | - | 74.25 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 99,000 | - | 74.13 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 107,000 | - | 74.13 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/11/1996 | 119,000 | - | 74.13 | INTEL CORP |
| 1D0032 | 8/31/1996 | 7/12/1996 | 6,000 | - | 46.63 | MCKESSON CORPORATION |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1D0032 | 8/31/1996 | 7/15/1996 | 9,000 | - | 46.13 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/16/1996 | 6,000 | - | 46.25 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/17/1996 | 3,000 | - | 45.88 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/18/1996 | 7,000 | - | 45.88 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/19/1996 | 18,000 | - | 45.63 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/24/1996 | 52,000 | - | 44.38 | MCKESSON CORPORATION |
| 1D0032 | 8/31/1996 | 7/25/1996 | 102,000 | - | 82.13 | CITICORP |
| 1D0032 | 2/29/2000 | 1/26/2000 | 400,000 | - | 61.38 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 235,000 | 122.69 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 281,000 | 122.56 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 219,000 | 122.50 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 255,000 | 122.38 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 300,000 | 122.63 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 400,000 | - | 61.38 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 470,000 | - | 61.34 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 510,000 | - | 61.19 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 438,000 | - | 61.25 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 600,000 | - | 61.31 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 490,000 | - | 61.22 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | 562,000 | - | 61.28 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 245,000 | 122.44 | ORACLE CORPORATION |
| 1D0032 | 2/29/2000 | 1/26/2000 | - | 400,000 | 122.75 | ORACLE CORPORATION |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 558,000 | 36.17 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 600,000 | 35.94 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 603,000 | 36.00 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 561,000 | 36.21 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 597,000 | 36.02 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 518,000 | 36.07 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/9/2002 | - | 563,000 | 36.11 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/10/2002 | - | 544,000 | 36.07 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/10/2002 | - | 569,000 | 36.12 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/10/2002 | - | 587,000 | 35.80 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/10/2002 | - | 300,000 | 35.91 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/11/2002 | - | 54,000 | 5.60 | PRICELINE.COM INC |
| 1D0032 | 12/31/2002 | 1/11/2002 | - | 45,000 | 5.66 | PRICELINE.COM INC |
| 1D0032 | 12/31/2002 | 1/11/2002 | - | 55,000 | 5.70 | PRICELINE.COM INC |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 642,000 | 36.22 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 680,000 | 36.31 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 672,000 | 36.48 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 700,000 | 36.14 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 658,000 | 36.19 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 630,000 | 36.57 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 643,000 | 36.36 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 655,000 | 36.51 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/14/2002 | - | 720,000 | 36.27 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/15/2002 | - | 410,000 | 35.13 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/15/2002 | - | 532,000 | 35.18 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/15/2002 | - | 390,000 | 35.08 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/15/2002 | - | 668,000 | 35.21 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 560,000 | 34.92 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 391,200 | 34.73 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 549,000 | 34.89 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 557,000 | 34.84 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 75,000 | 31.30 | NATIONAL SEMICONDUCTOR CORP |
| 1D0032 | 12/31/2002 | 1/16/2002 | - | 594,000 | 34.77 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/17/2002 | - | 150,000 | 34.86 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/17/2002 | - | 158,800 | 34.91 | INTEL CORP |
| 1D0032 | 12/31/2002 | 1/22/2002 | - | 69,000 | 56.04 | BIOGEN INC |
| 1D0032 | 12/31/2002 | 1/22/2002 | - | 75,000 | 55.96 | BIOGEN INC |
| 1D0032 | 12/31/2002 | 1/22/2002 | - | 81,000 | 56.00 | BIOGEN INC |
| 1D0032 | 12/31/2002 | 1/28/2002 | - | 75,000 | 3.51 | PALM INC |
| 1D0032 | 12/31/2002 | 1/28/2002 | - | 78,000 | 3.60 | PALM INC |
| 1D0032 | 12/31/2002 | 1/28/2002 | - | 47,000 | 3.66 | PALM INC |
| 1D0036 | 8/31/1996 | 7/1/1996 | 100,000 | - | 13.63 | ADVANCED MICRO DEVICES |
| 1D0036 | 8/31/1996 | 7/1/1996 | 87,000 | - | 9.75 | BORLAND INTL INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1D0036 | 8/31/1996 | 7/1/1996 | 93,000 | - | 9.75 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/2/1996 | 71,000 | - | 9.50 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/2/1996 | 115,000 | - | 35.13 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/3/1996 | 150,000 | - | 36.38 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/3/1996 | 85,000 | - | 9.00 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/5/1996 | 125,000 | - | 36.13 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/5/1996 | 150,000 | - | 10.00 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/5/1996 | 150,000 | - | 75.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/5/1996 | 35,000 | - | 10.00 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/5/1996 | 87,000 | - | 75.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/5/1996 | 125,000 | - | 13.88 | ADVANCED MICRO DEVICES |
| 1D0036 | 8/31/1996 | 7/8/1996 | 45,000 | - | 14.13 | ADVANCED MICRO DEVICES |
| 1D0036 | 8/31/1996 | 7/8/1996 | 150,000 | - | 14.13 | ADVANCED MICRO DEVICES |
| 1D0036 | 8/31/1996 | 7/8/1996 | 100,000 | - | 36.38 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/8/1996 | 64,000 | - | 8.00 | BORLAND INTL INC |
| 1D0036 | 8/31/1996 | 7/9/1996 | 85,000 | - | 13.50 | ADVANCED MICRO DEVICES |
| 1D0036 | 8/31/1996 | 7/9/1996 | 150,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 53,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 69,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 72,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 81,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 95,000 | - | 35.75 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/9/1996 | 75,000 | - | 35.75 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/9/1996 | 147,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 149,000 | - | 74.75 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/9/1996 | 67,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 36,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 108,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 31,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 100,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 94,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 132,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 48,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/10/1996 | 104,000 | - | 74.63 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/11/1996 | 27,000 | - | 74.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/11/1996 | 39,000 | - | 74.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/11/1996 | 44,000 | - | 74.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/11/1996 | 130,000 | - | 35.38 | ADOBE SYS INC |
| 1D0036 | 8/31/1996 | 7/11/1996 | 12,000 | - | 74.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/11/1996 | 90,000 | - | 74.13 | INTEL CORP |
| 1D0036 | 8/31/1996 | 7/12/1996 | 75,000 | - | 35.00 | ADOBE SYS INC |
| 1D0036 | 12/31/2002 | 1/11/2002 | - | 84,100 | 5.60 | PRICELINE.COM INC |
| 1D0036 | 3/31/2006 | 4/4/2006 | 153,000 | - | 27.35 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/4/2006 | 100,000 | - | 27.49 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/5/2006 | 125,000 | - | 27.65 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/5/2006 | 90,000 | - | 27.71 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/6/2006 | 130,000 | - | 27.97 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/6/2006 | 200,000 | - | 27.80 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/6/2006 | 120,000 | - | 27.77 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/7/2006 | 150,000 | - | 27.87 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/8/2006 | 97,000 | - | 28.03 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/8/2006 | 92,000 | - | 28.11 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/8/2006 | 175,000 | - | 28.09 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/11/2006 | 85,000 | - | 28.40 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/11/2006 | 68,000 | - | 28.39 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/11/2006 | 122,000 | - | 28.28 | SANDISK CORP |
| 1D0036 | 3/31/2006 | 4/19/2006 | 100,000 | - | 49.75 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/19/2006 | 155,000 | - | 49.21 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/19/2006 | 175,000 | - | 50.29 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/19/2006 | 180,000 | - | 48.90 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/19/2006 | 195,000 | - | 50.21 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/19/2006 | 105,000 | - | 49.99 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/20/2006 | 95,000 | - | 47.05 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/20/2006 | 110,000 | - | 47.11 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/20/2006 | 130,000 | - | 47.81 | BURLINGTON NORTHERN SANTA FE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1D0036 | 3/31/2006 | 4/20/2006 | 80,000 | - | 47.70 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 4/20/2006 | 115,000 | - | 47.22 | BURLINGTON NORTHERN SANTA FE |
| 1D0036 | 3/31/2006 | 6/8/2006 | 90,000 | - | 38.35 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/8/2006 | 93,000 | - | 38.09 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/8/2006 | 125,000 | - | 37.87 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/8/2006 | 75,000 | - | 37.99 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/8/2006 | 100,000 | - | 38.18 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 105,000 | - | 38.13 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 150,000 | - | 37.90 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 170,000 | - | 38.00 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 182,000 | - | 37.95 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 110,000 | - | 38.00 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/9/2006 | 200,000 | - | 38.03 | APPLE COMPUTER INC |
| 1D0036 | 3/31/2006 | 6/27/2006 | 50,000 | - | 37.86 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/27/2006 | 120,000 | - | 37.91 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/27/2006 | 90,000 | - | 37.77 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 95,000 | - | 36.86 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 150,000 | - | 37.03 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 100,000 | - | 36.95 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 120,000 | - | 36.99 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 75,000 | - | 36.72 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 77,000 | - | 36.80 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/28/2006 | 80,000 | - | 35.99 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/29/2006 | 60,000 | - | 34.99 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/29/2006 | 53,000 | - | 35.20 | UNITED STATES STEEL CORP |
| 1D0036 | 3/31/2006 | 6/29/2006 | 100,000 | - | 35.11 | UNITED STATES STEEL CORP |
| 1D0049 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1D0049 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1D0053 | 6/30/1999 | 5/26/1999 | - | 1,625,000 | 98.66 | U S TREASURY BILL |
| 1D0053 | 6/30/1999 | 5/26/1999 | 1,625,000 | - | 99.66 | U S TREASURY BILL |
| 1D0058 | 8/31/2002 | 7/31/2002 | - | 75,000 | 99.54 | U S TREASURY BILL |
| 1D0058 | 8/31/2002 | 7/31/2002 | 75,000 | - | 99.48 | U S TREASURY BILL |
| 1D0061 | 8/31/2002 | 7/31/2002 | - | 1,975,000 | 99.48 | U S TREASURY BILL |
| 1D0061 | 8/31/2002 | 7/31/2002 | - | 1,975,000 | 99.54 | U S TREASURY BILL |
| 1D0061 | 8/31/2002 | 7/31/2002 | 1,975,000 | - | 99.48 | U S TREASURY BILL |
| 1D0061 | 8/31/2002 | 7/31/2002 | 1,975,000 | - | 99.54 | U S TREASURY BILL |
| 1D0082 | 4/30/2006 | 1/10/2006 | 68,000 | - | 9.04 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 75,000 | - | 8.97 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 90,000 | - | 9.00 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 50,000 | - | 9.05 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 90,000 | - | 8.90 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 65,000 | - | 8.89 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 80,000 | - | 9.02 | PMC SIERRA INC |
| 1D0082 | 4/30/2006 | 1/10/2006 | 82,000 | - | 9.07 | PMC SIERRA INC |
| 1D0082 | 5/31/2006 | 1/11/2006 | 80,000 | - | 43.99 | JOY GLOBAL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 50,000 | - | 44.20 | JOY GLOBAL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 60,000 | - | 40.47 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 75,000 | - | 26.47 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 5/31/2006 | 1/12/2006 | - | 45,000 | 31.32 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 44,000 | - | 8.70 | ALLIED WASTE INDUSTRIES INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 45,000 | - | 31.32 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 55,000 | - | 8.75 | ALLIED WASTE INDUSTRIES INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 70,000 | - | 31.11 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 70,000 | - | 40.50 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 80,000 | - | 31.27 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 55,000 | - | 26.56 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 4/30/2006 | 1/12/2006 | 70,000 | - | 8.77 | ALLIED WASTE INDUSTRIES INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 49,000 | - | 8.79 | ALLIED WASTE INDUSTRIES INC |
| 1D0082 | 5/31/2006 | 1/12/2006 | - | 80,000 | 31.27 | DELL INC |
| 1D0082 | 5/31/2006 | 1/12/2006 | - | 50,000 | 31.25 | DELL INC |
| 1D0082 | 5/31/2006 | 1/12/2006 | - | 70,000 | 31.11 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 8,000 | - | 44.73 | JOY GLOBAL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 90,000 | - | 26.50 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 4/30/2006 | 1/12/2006 | 50,000 | - | 31.25 | DELL INC |
| 1D0082 | 4/30/2006 | 1/12/2006 | 55,000 | - | 44.54 | JOY GLOBAL INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1D0082 | 4/30/2006 | 1/12/2006 | 80,000 | - | 26.65 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 4/30/2006 | 1/12/2006 | 90,000 | - | 8.80 | ALLIED WASTE INDUSTRIES INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 75,000 | - | 78.07 | AMGEN INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 80,000 | - | 78.23 | AMGEN INC |
| 1D0082 | 5/31/2006 | 1/13/2006 | - | 75,000 | 78.07 | AMGEN INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 65,000 | - | 26.46 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 5/31/2006 | 1/13/2006 | - | 80,000 | 78.23 | AMGEN INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 50,000 | - | 40.51 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 75,000 | - | 40.20 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 82,000 | - | 26.51 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 4/30/2006 | 1/13/2006 | 78,000 | - | 26.29 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 5/31/2006 | 1/13/2006 | 100,000 | - | 43.84 | JOY GLOBAL INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 50,000 | - | 26.32 | ARCHER DANIELS MIDLAND CO |
| 1D0082 | 4/30/2006 | 1/13/2006 | 60,000 | - | 78.15 | AMGEN INC |
| 1D0082 | 4/30/2006 | 1/13/2006 | 40,000 | - | 40.42 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 5/31/2006 | 1/13/2006 | - | 60,000 | 78.15 | AMGEN INC |
| 1D0082 | 5/31/2006 | 1/17/2006 | 85,000 | - | 40.70 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 5/31/2006 | 1/17/2006 | 90,000 | - | 40.72 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 5/31/2006 | 1/17/2006 | 70,000 | - | 40.66 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 5/31/2006 | 1/17/2006 | 50,000 | - | 40.59 | ALLEGHENY TECHNOLOGIES INC |
| 1D0082 | 4/30/2006 | 1/18/2006 | 80,000 | - | 10.48 | BEA SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/18/2006 | 45,000 | - | 70.01 | NUCOR CORP |
| 1D0082 | 4/30/2006 | 1/18/2006 | 65,000 | - | 70.10 | NUCOR CORP |
| 1D0082 | 4/30/2006 | 1/18/2006 | 75,000 | - | 10.51 | BEA SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/18/2006 | 75,000 | - | 70.15 | NUCOR CORP |
| 1D0082 | 4/30/2006 | 1/18/2006 | 80,000 | - | 70.06 | NUCOR CORP |
| 1D0082 | 4/30/2006 | 1/18/2006 | 90,000 | - | 10.55 | BEA SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 75,000 | - | 33.03 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 76,000 | - | 32.70 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 93,000 | - | 43.52 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 67,000 | - | 33.19 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 63,000 | - | 43.70 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 75,000 | - | 43.61 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 90,000 | - | 43.48 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 70,000 | - | 32.62 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 50,000 | - | 32.29 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 59,000 | - | 43.65 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 60,000 | - | 43.30 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 82,000 | - | 43.50 | NVIDIA CORP |
| 1D0082 | 4/30/2006 | 1/24/2006 | 83,000 | - | 32.55 | CITRIX SYSTEMS INC |
| 1D0082 | 4/30/2006 | 1/24/2006 | 79,000 | - | 33.08 | CITRIX SYSTEMS INC |
| 1D0082 | 5/31/2006 | 1/25/2006 | 50,000 | - | 69.01 | NUCOR CORP |
| 1D0082 | 5/31/2006 | 1/25/2006 | 80,000 | - | 68.99 | NUCOR CORP |
| 1D0082 | 5/31/2006 | 1/25/2006 | 90,000 | - | 68.94 | NUCOR CORP |
| 1D0082 | 5/31/2006 | 4/28/2006 | - | 18,562 | 1.00 | FIDELITY SPARTAN |
| 1D0082 | 5/31/2006 | 4/28/2006 | - | 100,000 | 98.09 | U S TREASURY BILL |
| 1E0107 | 6/30/1999 | 5/26/1999 | - | 4,825,000 | 98.66 | U S TREASURY BILL |
| 1E0107 | 6/30/1999 | 5/26/1999 | 4,825,000 | - | 99.66 | U S TREASURY BILL |
| 1E0107 | 8/31/2002 | 7/31/2002 | - | 9,725,000 | 99.54 | U S TREASURY BILL |
| 1E0107 | 8/31/2002 | 7/31/2002 | 9,725,000 | - | 99.54 | U S TREASURY BILL |
| 1E0107 | 8/31/2002 | 7/31/2002 | - | 9,725,000 | 99.48 | U S TREASURY BILL |
| 1E0107 | 8/31/2002 | 7/31/2002 | 9,725,000 | - | 99.48 | U S TREASURY BILL |
| 1E0112 | 6/30/1996 | 5/20/1996 | 1,775,000 | - | 129.75 | STARBUCKS CORP |
| 1E0112 | 6/30/1996 | 5/20/1996 | - | 1,775,000 | 129.75 | STARBUCKS CORPORATION |
| 1E0112 | 6/30/1999 | 5/26/1999 | - | 7,075,000 | 98.66 | U S TREASURY BILL |
| 1E0112 | 6/30/1999 | 5/26/1999 | 7,075,000 | - | 99.66 | U S TREASURY BILL |
| 1E0112 | 8/31/2002 | 7/31/2002 | - | 6,075,000 | 99.54 | U S TREASURY BILL |
| 1E0112 | 8/31/2002 | 7/31/2002 | 6,075,000 | - | 99.54 | U S TREASURY BILL |
| 1E0112 | 8/31/2002 | 7/31/2002 | - | 6,075,000 | 99.48 | U S TREASURY BILL |
| 1E0112 | 8/31/2002 | 7/31/2002 | 6,075,000 | - | 99.48 | U S TREASURY BILL |
| 1E0114 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.54 | U S TREASURY BILL |
| 1E0114 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.48 | U S TREASURY BILL |
| 1E0114 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.48 | U S TREASURY BILL |
| 1E0114 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.54 | U S TREASURY BILL |
| 1E0122 | 3/31/1997 | 1/10/1997 | - | 7,874 | 41.38 | COOPER IND INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0122 | 3/31/1997 | 1/10/1997 | 7,874 | - | 42.38 | COOPER IND INC |
| 1E0123 | 12/31/1995 | 11/28/1995 | - | 20,000 | 70.00 | AMR CORP |
| 1E0123 | 12/31/1995 | 11/28/1995 | 6,000 | - | 70.00 | AMR CORP |
| 1E0123 | 12/31/1995 | 11/30/1995 | 467 | - | - | FIDELITY CASH RESERVES SBI |
| 1E0123 | 2/28/1997 | 1/31/1997 | - | 440,000 | 95.04 | U S TREASURY BILL |
| 1E0123 | 2/28/1997 | 1/31/1997 | 32,936 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 2/28/1997 | 1/31/1997 | 400,000 | - | 95.04 | U S TREASURY BILL |
| 1E0123 | 5/31/1997 | 4/17/1997 | 765,000 | - | 97.70 | U S TREASURY BILL |
| 1E0123 | 8/31/2000 | 7/31/2000 | 3,852 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2000 | 7/31/2000 | 725,000 | - | 98.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2000 | 7/31/2000 | - | 718,267 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 4/30/2001 | 3/30/2001 | 1,444 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 4/30/2001 | 3/30/2001 | - | 750,000 | 98.81 | U S TREASURY BILL |
| 1E0123 | 4/30/2001 | 3/30/2001 | 710,000 | - | 98.81 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/7/2002 | - | 1,450 | 20.95 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2002 | 1/7/2002 | - | 26,000 | 6.39 | 3COM CORP |
| 1E0123 | 8/31/2002 | 1/7/2002 | 375,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/8/2002 | - | 53,000 | 50.01 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 1/8/2002 | 6,605,000 | - | 99.30 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/8/2002 | - | 38,386 | 49.88 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 1/8/2002 | - | 40,000 | 49.95 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 1/9/2002 | - | 282,000 | 29.42 | DELL COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/9/2002 | - | 200,200 | 29.35 | DELL COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/9/2002 | - | 210,000 | 29.58 | DELL COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/9/2002 | 32,900,000 | - | 99.31 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/9/2002 | - | 200,000 | 29.75 | DELL COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/9/2002 | - | 215,000 | 29.50 | DELL COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/10/2002 | 5,480,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/10/2002 | - | 42,949 | 36.70 | DOW CHEMICAL CO |
| 1E0123 | 8/31/2002 | 1/10/2002 | - | 52,392 | 55.70 | TYCO INTERNATIONAL LTD |
| 1E0123 | 8/31/2002 | 1/10/2002 | - | 18,850 | 30.70 | WASTE MANAGEMENT INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 48,652 | 58.69 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 152,000 | 39.50 | SBC COMMUNICATIONS INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 156,612 | 39.60 | SBC COMMUNICATIONS INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 40,000 | 57.00 | BIOGEN INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 48,800 | 57.03 | BIOGEN INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 100,000 | 39.57 | SBC COMMUNICATIONS INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 40,000 | 58.50 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 50,000 | 17.81 | ALCATEL ALSTHOMCGE |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 58,400 | 125.02 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 52,000 | 58.73 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 76,000 | 124.58 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 1,740 | 12.37 | AVAYA INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 56,000 | 124.96 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 98,000 | 39.63 | SBC COMMUNICATIONS INC |
| 1E0123 | 8/31/2002 | 1/11/2002 | 70,035,000 | - | 99.37 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 54,157 | 17.90 | ALCATEL ALSTHOMCGE |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 57,000 | 58.87 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 1/11/2002 | - | 58,000 | 124.73 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 55,000 | 38.99 | BELLSOUTH CORP |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 65,000 | 39.05 | BELLSOUTH CORP |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 39,920 | 69.51 | LEHMAN BROS HOLDING INC |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 82,486 | 32.12 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 94,800 | 39.14 | BELLSOUTH CORP |
| 1E0123 | 8/31/2002 | 1/14/2002 | - | 50,000 | 32.00 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 1/14/2002 | 15,515,000 | - | 99.35 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 65,000 | 26.32 | J C PENNEY CO INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 92,000 | 13.50 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 150,000 | 35.22 | INTEL CORP |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 158,800 | 35.07 | INTEL CORP |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 230,000 | 50.93 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 55,000 | 26.11 | J C PENNEY CO INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 86,000 | 13.55 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 72,000 | 13.45 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 232,497 | 50.80 | HOME DEPOT INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1E0123 | 8/31/2002 | 1/15/2002 | 41,740,000 | - | 99.35 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/15/2002 | - | 42,260 | 13.51 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 56,000 | 13.32 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 70,000 | 13.36 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 210,000 | 50.79 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | 77,550,000 | - | 99.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 45,022 | 23.22 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 29,600 | 23.22 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 200,000 | 34.80 | INTEL CORP |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 54,000 | 38.79 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 78,270 | 13.45 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 44,870 | 39.02 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 252,000 | 50.98 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 46,000 | 38.95 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 88,000 | 13.50 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 108,800 | 34.74 | INTEL CORP |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 265,000 | 50.91 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 140,000 | 50.72 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | - | 235,000 | 50.85 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 1/16/2002 | 45,022 | - | 23.22 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 1/17/2002 | - | 52,270 | 13.00 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/17/2002 | - | 62,000 | 12.91 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/17/2002 | - | 83,000 | 12.89 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/17/2002 | - | 38,563 | 4.22 | PALM INC |
| 1E0123 | 8/31/2002 | 1/17/2002 | 3,955,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/17/2002 | - | 95,000 | 12.80 | SUN MICROSYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 2,800 | 44.59 | BANK OF NEW YORK |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 135,200 | 68.95 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 145,000 | 69.53 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 162,000 | 69.42 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 156,000 | 69.40 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 165,000 | 69.04 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | 75,210,000 | - | 99.31 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 157,000 | 69.60 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/18/2002 | - | 155,000 | 69.50 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 1/24/2002 | - | 24,790,000 | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/24/2002 | 24,855,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/25/2002 | - | 28,474 | 79.01 | AMERICAN INTL GROUP INC |
| 1E0123 | 8/31/2002 | 1/25/2002 | - | 35,500 | 5.20 | NOVELL INC |
| 1E0123 | 8/31/2002 | 1/25/2002 | 2,450,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/29/2002 | 19,450,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/29/2002 | - | 166,300 | 41.63 | PFIZER INC |
| 1E0123 | 8/31/2002 | 1/29/2002 | - | 200,000 | 41.70 | PFIZER INC |
| 1E0123 | 8/31/2002 | 1/29/2002 | - | 71,000 | 57.12 | ABBOTT LABORATORIES |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 57,000 | 16.87 | ORACLE CORPORATION |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 136,300 | 41.69 | PFIZER INC |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 230,000 | 41.74 | PFIZER INC |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 65,000 | 17.07 | ORACLE CORPORATION |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 78,000 | 16.99 | ORACLE CORPORATION |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 84,620 | 17.12 | ORACLE CORPORATION |
| 1E0123 | 8/31/2002 | 1/30/2002 | 21,495,000 | - | 99.31 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 24,384 | 12.08 | COMPAQ COMPUTER CORP |
| 1E0123 | 8/31/2002 | 1/30/2002 | - | 31,900 | 28.99 | REEBOK INTL LTD |
| 1E0123 | 8/31/2002 | 1/31/2002 | - | 1,345,000 | 99.74 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/31/2002 | 775,000 | - | 99.06 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 1/31/2002 | 4,817 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 1/31/2002 | - | 1,904 | 37.15 | SIEBEL SYSTEMS INC |
| 1E0123 | 8/31/2002 | 1/31/2002 | 70,000 | - | 99.31 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/12/2002 | 135,000 | - | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/12/2002 | - | 5,600 | 24.84 | APPLE COMPUTER INC |
| 1E0123 | 8/31/2002 | 2/14/2002 | 785,000 | - | 99.40 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/19/2002 | - | 50,000 | 53.47 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2002 | 2/19/2002 | 11,225,000 | - | 99.42 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/19/2002 | - | 46,600 | 53.51 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2002 | 2/19/2002 | - | 54,000 | 53.32 | SEARS ROEBUCK & CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1E0123 | 8/31/2002 | 2/19/2002 | - | 21,235 | 31.13 | PLUM CREEK TIMBER CO |
| 1E0123 | 8/31/2002 | 2/19/2002 | - | 46,000 | 53.41 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2002 | 2/21/2002 | 645,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/27/2002 | - | 32,400 | 34.79 | KELLOGG CO |
| 1E0123 | 8/31/2002 | 2/27/2002 | 1,130,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/28/2002 | 3,885 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 2/28/2002 | 620,000 | - | 99.24 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/28/2002 | 720,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/28/2002 | - | 655,000 | 99.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 2/28/2002 | - | 2,155,000 | 99.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/4/2002 | - | 10,500 | 24.30 | THE WALT DISNEY CO |
| 1E0123 | 8/31/2002 | 3/4/2002 | 255,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/7/2002 | 17,310,000 | - | 99.49 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 53,817 | 30.81 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 44,000 | 68.62 | HARTFORD FINL SERVICE GROUP |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 50,000 | 38.20 | MICRON TECHNOLOGY INC |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 56,000 | 68.70 | HARTFORD FINL SERVICE GROUP |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 20,000 | 68.55 | HARTFORD FINL SERVICE GROUP |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 50,200 | 38.25 | MICRON TECHNOLOGY INC |
| 1E0123 | 8/31/2002 | 3/7/2002 | - | 51,000 | 68.59 | HARTFORD FINL SERVICE GROUP |
| 1E0123 | 8/31/2002 | 3/8/2002 | - | 198,000 | 38.85 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/8/2002 | - | 125,000 | 39.02 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/8/2002 | - | 59,000 | 68.51 | HARTFORD FINL SERVICE GROUP |
| 1E0123 | 8/31/2002 | 3/8/2002 | - | 155,000 | 38.98 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/8/2002 | - | 172,000 | 38.90 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/8/2002 | 29,495,000 | - | 99.49 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 64,000 | 45.00 | INTERNATIONAL PAPER CO |
| 1E0123 | 8/31/2002 | 3/11/2002 | 16,895,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 4,050 | 53.06 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 56,000 | 45.10 | INTERNATIONAL PAPER CO |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 28,000 | 33.70 | CNF INC |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 150,000 | 39.50 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/11/2002 | - | 108,800 | 39.65 | ALCOA INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 18,600 | 17.31 | FOOT LOCKER INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 50,000 | 39.79 | ADOBE SYS INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 50,000 | 61.09 | GENERAL MOTORS CORP |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 51,700 | 61.22 | GENERAL MOTORS CORP |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 65,000 | 40.22 | ADOBE SYS INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 44,000 | 61.02 | GENERAL MOTORS CORP |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 56,000 | 61.17 | GENERAL MOTORS CORP |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 63,000 | 40.17 | ADOBE SYS INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 66,000 | 40.00 | ADOBE SYS INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | - | 42,000 | 39.85 | ADOBE SYS INC |
| 1E0123 | 8/31/2002 | 3/12/2002 | 24,230,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/14/2002 | 730,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/14/2002 | - | 730,000 | 99.39 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/14/2002 | 730,000 | - | 99.39 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/14/2002 | - | 730,000 | 99.39 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/15/2002 | - | 1,450 | 43.00 | FLUOR CORP NEW |
| 1E0123 | 8/31/2002 | 3/15/2002 | 7,575,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/15/2002 | 3,110,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/15/2002 | 3,110,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/15/2002 | - | 48,000 | 63.19 | MERCK & CO |
| 1E0123 | 8/31/2002 | 3/15/2002 | - | 3,110,000 | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/15/2002 | - | 7,575,000 | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 32,000 | 49.90 | BOEING CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 263,000 | 40.21 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 2,652 | 47.12 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 255,000 | 40.41 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 262,000 | 40.17 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 285,000 | 40.30 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 204,200 | 40.50 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 275,000 | 40.20 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | - | 260,000 | 40.25 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 3/18/2002 | 74,790,000 | - | 99.48 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 55,000 | 39.99 | HONEYWELL INTERNATIONAL INC |
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 65,800 | 40.18 | HONEYWELL INTERNATIONAL INC |
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 4,750 | 53.07 | RAYONIER INC |
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 45,000 | 39.90 | HONEYWELL INTERNATIONAL INC |
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 1,256 | 16.00 | VISTEN CORP |
| 1E0123 | 8/31/2002 | 3/19/2002 | - | 32,000 | 63.05 | ITT INDUSTRIES INC INDIANA |
| 1E0123 | 8/31/2002 | 3/19/2002 | 8,970,000 | - | 99.49 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 64,300 | 50.82 | PEPSICO INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 68,000 | 50.61 | PEPSICO INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | 95,000 | - | 20.51 | STAPLES INC (RTL & DEL) |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 1,100 | 46.89 | DAIMLER CHRYSLER AG |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 2,400 | 60.17 | PRAXAIR INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 43,820 | 90.03 | CHEVRONTXACO CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 65,000 | 50.75 | PEPSICO INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 80,000 | 16.30 | DELPHI CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 1,400 | 81.40 | FANNIE MAE |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 52,370 | 20.37 | STAPLES INC (RTL & DEL) |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 54,400 | 41.79 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 57,000 | 40.70 | PHELPS DODGE CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 60,000 | 41.60 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 60,974 | 16.22 | DELPHI CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 64,000 | 28.70 | MCDONALDS CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 67,000 | 50.67 | PEPSICO INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 68,000 | 41.65 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 83,000 | 63.17 | ITT INDUSTRIES INC INDIANA |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 95,000 | 20.51 | STAPLES INC (RTL & DEL) |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 97,000 | 59.55 | CATERPILLAR INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 24,000 | 53.18 | RAYONIER INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 58,000 | 41.73 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 90,000 | 20.51 | STAPLES INC (RTL & DEL) |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 103,529 | 49.50 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 130,000 | 49.27 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 117,270,000 | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 12,862 | 41.22 | RAYTHEON COMPANY NEW |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 20,280 | 64.71 | KIMBERLY CLARK CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 47,000 | 59.18 | CATERPILLAR INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 50,000 | 40.63 | PHELPS DODGE CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 51,973 | 69.03 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 152,000 | 49.40 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | 117,270,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 2,500 | 41.22 | BURLINGTON RESOURCES INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 13,600 | 8.77 | IOMEGA CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 54,000 | 68.99 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 10,810 | 25.02 | NEWMONT MNG CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 50,000 | 89.90 | CHEVRONTXACO CORP |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 59,000 | 42.00 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 86,000 | 59.32 | CATERPILLAR INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 98,100 | 59.60 | CATERPILLAR INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 103,259 | 49.50 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | 121,640,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 26,430 | 58.04 | YUM BRANDS INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 46,000 | 68.74 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 70,000 | 20.44 | STAPLES INC (RTL & DEL) |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 120,000 | 49.48 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | - | 165,000 | 49.32 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/20/2002 | 103,529 | - | 49.50 | CITI GROUP INC |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 800 | 68.03 | HERSHEY FOODS CORP |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 62,000 | 63.21 | PHILLIPS PETROLEUM CO |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 2,172 | 38.98 | AETNA INC |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 740,000 | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/21/2002 | 19,180,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 40,025 | 41.37 | HEINZ H J CO |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 2,400 | 34.12 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 10,000 | 19.29 | CATELLUS DEVELOPMENT CORP |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 10,200 | 34.09 | GILLETTE CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 40,000 | 41.29 | HEINZ H J CO |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 75,200 | 38.20 | MCKESSON CORP |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 834 | 44.51 | NCR CORP |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 15,000 | 48.07 | FORTUNE BRANDS INC |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 50,000 | 63.17 | PHILLIPS PETROLEUM CO |
| 1E0123 | 8/31/2002 | 3/21/2002 | - | 54,800 | 64.89 | JOHNSON & JOHNSON |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 31,000 | 30.02 | GEORGIA PACIFIC CORP COMMON |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 5,644 | 37.00 | AGILENT TECHNOLOGIES |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 14,426 | 14.05 | HILTON HOTELS CORP |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 47,445 | 39.06 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 56,200 | 39.19 | BAKER HUGHES INC |
| 1E0123 | 8/31/2002 | 3/22/2002 | 22,975,000 | - | 99.40 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 3,400 | 50.15 | WELLS FARGO & CO NEW |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 16,782 | 17.09 | FORD MOTOR COMPANY |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 70,798 | 52.45 | BP PLC |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 42,000 | 38.70 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 85,000 | 49.56 | FORTUNE BRANDS INC |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 40,000 | 38.81 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 60,000 | 49.70 | FORTUNE BRANDS INC |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 9,700 | 44.31 | HARRAHS ENTERTAINMENT INC |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 21,650 | 27.65 | BRUNSWICK CORP |
| 1E0123 | 8/31/2002 | 3/22/2002 | - | 48,000 | 38.99 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1E0123 | 8/31/2002 | 3/25/2002 | - | 40,000 | 80.41 | LILLY ELI & CO |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 55,000 | 36.92 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 22,975,000 | 99.42 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 140,820,000 | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 158,045,000 | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 163,970,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 163,975,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 170,715,000 | 96.65 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 136,450,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | 161,030,000 | - | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,975,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 171,260,000 | - | 96.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 64,510 | 37.01 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 103,000 | 61.67 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 140,000 | 61.50 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 182,000 | 61.58 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 158,045,000 | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 163,975,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 162,010,000 | - | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,970,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 165,000,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 169,770,000 | - | 96.65 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 170,310,000 | - | 96.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 45,000 | 36.97 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 69,000 | 36.90 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 115,395,000 | 99.65 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 138,760,000 | 99.61 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 159,030,000 | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 205,230,000 | 99.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | 133,190,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 1,600 | 48.69 | DU PONT E I DE NEMOURS & CO |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 128,725,000 | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 161,030,000 | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 162,010,000 | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 165,000,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 169,770,000 | 96.65 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 159,030,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 161,030,000 | - | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,975,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 169,770,000 | - | 96.65 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 165,000 | 61.55 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 136,450,000 | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 161,030,000 | 101.84 | U S TREASURY NOTE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------|
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 171,260,000 | 96.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 158,045,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 158,045,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,970,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 170,310,000 | - | 96.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 64,000 | 36.78 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 67,000 | 36.87 | ALLSTATE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 110,000 | 61.60 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 158,045,000 | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 162,010,000 | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 163,970,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 165,000,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 133,190,000 | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 165,000,000 | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 170,310,000 | 96.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 158,045,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 159,030,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,970,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 163,975,000 | - | 104.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 164,400 | 61.75 | AMGEN INC |
| 1E0123 | 8/31/2002 | 3/26/2002 | - | 159,030,000 | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 144,250,000 | - | 103.75 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 161,030,000 | - | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 162,010,000 | - | 101.84 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/26/2002 | 170,715,000 | - | 96.65 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/28/2002 | - | 695,000 | 99.48 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/28/2002 | - | 8,143 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 3/28/2002 | - | 13,250,000 | 104.14 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/28/2002 | 3,556 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 3/28/2002 | 13,250,000 | - | 104.14 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 3/28/2002 | - | 13,990,000 | 99.48 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 3/28/2002 | 8,143 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 3/28/2002 | 15,000 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 3/28/2002 | 13,725,000 | - | 104.14 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 4/30/2002 | - | 750,000 | 99.65 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 4/30/2002 | 535,000 | - | 99.55 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 4/30/2002 | 2,001 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 4/30/2002 | 1 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 5/2/2002 | - | 26,265,000 | 99.49 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 5/23/2002 | - | 2,850,000 | 99.50 | U S TREASURY BILL |
| 1E0123 | 6/30/2002 | 5/31/2002 | - | 20,666 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 5/31/2002 | - | 190,240 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 6/30/2002 | 5/31/2002 | 8,428 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 5/31/2002 | 177,986 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 5/31/2002 | - | 900,000 | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 5/31/2002 | 190,240 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 6/28/2002 | - | 700,000 | 99.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 6/28/2002 | - | 6,840 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 7/1/2002 | 295,000 | - | 99.86 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/2/2002 | 165,000 | - | 99.86 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/3/2002 | 680,000 | - | 99.87 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/5/2002 | 225,000 | - | 99.87 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/8/2002 | 230,000 | - | 99.89 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/9/2002 | 195,000 | - | 99.89 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/10/2002 | 60,000 | - | 99.90 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/11/2002 | - | 17,000,000 | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/11/2002 | 300,000 | - | 99.90 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/12/2002 | 140,000 | - | 99.91 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/15/2002 | 95,000 | - | 99.92 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/16/2002 | 345,000 | - | 99.93 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/17/2002 | 340,000 | - | 99.93 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/18/2002 | 295,000 | - | 99.94 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/19/2002 | 390,000 | - | 99.94 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/22/2002 | 530,000 | - | 99.95 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/23/2002 | 275,000 | - | 99.96 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 8/31/2002 | 7/24/2002 | - | 200,673 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 7/24/2002 | - | 15,001 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 7/24/2002 | 285,000 | - | 99.96 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/25/2002 | 550,000 | - | 99.97 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/26/2002 | 375,000 | - | 99.97 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 46,000 | 28.10 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 158,045,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 161,030,000 | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 31.57 | EXXON MOBIL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 116,000 | - | 16.25 | LIMITED BRANDS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 135,000 | - | 17.76 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 145,000 | - | 27.49 | CHIRON CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 150,000 | - | 27.03 | CHIRON CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 155,000 | - | 28.00 | CHIRON CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 162,000 | - | 45.00 | FEDEX CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 12.50 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 16.81 | SARA LEE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 49.30 | AMERICAN INTL GROUP INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 210,000 | - | 34.95 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 216,000 | - | 26.12 | PFIZER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 10.79 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 250,000 | - | 43.99 | COCA COLA CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 264,000 | - | 30.79 | EXXON MOBIL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 335,000 | - | 32.06 | BANC ONE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 349,000 | - | 11.16 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 158,045,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 159,030,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 161,030,000 | - | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 171,260,000 | - | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 171,260,000 | - | 105.21 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 155,000 | 28.00 | CHIRON CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 200,000 | 49.30 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 225,000 | 47.99 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 230,000 | 10.79 | AOL TIME WARNER INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 349,000 | 11.16 | HEWLETT PACKARD CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 157,975,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | 103,000 | - | 34.72 | AMGEN INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 126,000 | - | 42.09 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 27.62 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 9/30/2002 | 7/29/2002 | 140,000 | - | 78.59 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 141,000 | - | 43.49 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 152,000 | - | 32.82 | MEDTRONIC INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 152,000 | - | 41.63 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 178,000 | - | 17.49 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 194,000 | - | 10.79 | AOL TIME WARNER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 46,000 | 17.15 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 76,000 | 48.01 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 43.81 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 112,000 | 30.97 | EXXON MOBIL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 115,000 | 15.89 | LIMITED BRANDS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 116,000 | 17.50 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 119,000 | 48.42 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 127,000 | 17.38 | U S BANCORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 130,000 | 25.01 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 131,000 | 34.52 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 141,000 | 43.49 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 145,000 | 12.12 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 149,000 | 18.71 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 154,000 | 42.17 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 163,000 | 24.51 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 164,000 | 79.12 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 169,000 | 12.26 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 190,000 | 45.51 | JOHNSON & JOHNSON |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 8.75 | AT & T CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 28.51 | AMERICAN EXPRESS COMPANY |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 225,000 | 8.42 | AT & T CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 230,000 | 56.53 | BANK OF AMERICA |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 238,000 | 18.55 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 239,000 | 48.08 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 245,000 | 10.60 | AOL TIME WARNER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 256,000 | 42.15 | MICROSOFT CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 2,625,000 | 99.99 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 7/29/2002 | 163,970,000 | - | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 265,000 | 55.37 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 162,010,000 | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 163,970,000 | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 165,000,000 | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 170,310,000 | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 170,310,000 | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 58,000 | - | 16.79 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 8/31/2002 | 7/29/2002 | 62,000 | - | 16.53 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 8/31/2002 | 7/29/2002 | 68,000 | - | 21.62 | CAMPBELL SOUP CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 115,000 | - | 17.77 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 126,000 | - | 44.05 | FEDEX CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 132,000 | - | 43.00 | HCA INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 140,000 | - | 24.13 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 141,000 | - | 12.48 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 142,000 | - | 15.56 | LIMITED BRANDS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 189,000 | - | 20.31 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 18.00 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 214,000 | - | 44.79 | COCA COLA CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 220,000 | - | 43.83 | COCA COLA CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 28.46 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 12.31 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 33.86 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 262,000 | - | 19.62 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 31.90 | BANC ONE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 330,000 | - | 9.95 | EL PASO CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 331,000 | - | 38.89 | MERCK & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 159,030,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 200,000 | 18.00 | INTEL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 225,000 | 27.27 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 245,000 | 12.31 | CISCO SYSTEMS INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 245,000 | 33.86 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 262,000 | 19.62 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 267,000 | 78.22 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 300,000 | 31.90 | BANC ONE CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 330,000 | 9.95 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 350,000 | 32.79 | MEDTRONIC INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 122,000 | - | 43.56 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 132,000 | - | 44.56 | JOHNSON & JOHNSON |
| 1E0123 | 9/30/2002 | 7/29/2002 | 137,000 | - | 41.77 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 146,000 | - | 30.27 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 149,000 | - | 27.89 | HOME DEPOT INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 164,000 | - | 39.07 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 173,000 | - | 33.86 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | 173,000 | - | 47.97 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 179,000 | - | 41.97 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 188,000 | - | 32.79 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 48,000 | 21.56 | CAMPBELL SOUP CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 57,000 | 21.74 | CAMPBELL SOUP CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 76,000 | 25.00 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 87,000 | 20.52 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 93,000 | 12.27 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 124,000 | 11.46 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 137,000 | 41.77 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 9.89 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 142,000 | 32.84 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 149,000 | 12.31 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 153,000 | 39.16 | MERCK & CO |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 154,000 | 43.97 | FEDEX CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 157,000 | 11.09 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 162,000 | 30.17 | VIACOM INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 173,000 | 47.97 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 179,000 | 41.97 | MICROSOFT CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 182,000 | 79.06 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 30.22 | VIACOM INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 265,000 | 10.92 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 265,000 | 31.83 | BANC ONE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 158,045,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 169,770,000 | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 55,000 | - | 21.89 | CAMPBELL SOUP CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 66,000 | - | 25.09 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 76,000 | - | 25.00 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 42.50 | WELLS FARGO & CO NEW |
| 1E0123 | 8/31/2002 | 7/29/2002 | 124,000 | - | 11.46 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 142,000 | - | 12.22 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 147,000 | - | 21.59 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 30.22 | VIACOM INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 225,000 | - | 27.27 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 34.12 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 236,000 | - | 25.47 | PFIZER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 262,000 | - | 17.32 | U S BANCORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 265,000 | - | 10.92 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 265,000 | - | 32.84 | MEDTRONIC INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 286,000 | - | 44.26 | JOHNSON & JOHNSON |
| 1E0123 | 8/31/2002 | 7/29/2002 | 293,000 | - | 43.78 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 17.53 | U S BANCORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 350,000 | - | 32.79 | MEDTRONIC INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 158,045,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 161,030,000 | - | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 162,010,000 | - | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 163,970,000 | - | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 165,000,000 | - | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 170,310,000 | - | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 170,715,000 | - | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 170,715,000 | - | 105.41 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 230,000 | 34.12 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 262,000 | 17.32 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 286,000 | 44.26 | JOHNSON & JOHNSON |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 300,000 | 17.53 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 331,000 | 38.89 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 87,000 | - | 20.52 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 9/30/2002 | 7/29/2002 | 93,000 | - | 12.27 | CISCO SYSTEMS INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 119,000 | - | 8.60 | AT & T CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 137,000 | - | 27.78 | HOME DEPOT INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 9.89 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 17.42 | INTEL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 41.59 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 142,000 | - | 32.84 | MEDTRONIC INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 156,000 | - | 18.83 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 157,000 | - | 9.97 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 157,000 | - | 11.09 | HEWLETT PACKARD CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 161,000 | - | 17.41 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 162,000 | - | 30.17 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 187,000 | - | 43.78 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 196,000 | - | 25.34 | PFIZER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 86,000 | 44.06 | COCA COLA CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 16.37 | LIMITED BRANDS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 28.10 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 115,000 | 17.77 | NORFOLK SOUTHERN CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 125,000 | 17.31 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 128,000 | 18.89 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 132,000 | 43.00 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 17.32 | U S BANCORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 41.59 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 144,000 | 17.68 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 153,000 | 21.63 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 164,000 | 39.07 | MERCK & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 167,000 | 8.58 | AT & T CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 168,000 | 41.87 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 174,000 | 44.58 | FEDEX CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 184,000 | 26.00 | PFIZER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 184,000 | 28.12 | HOME DEPOT INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 189,000 | 20.31 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 35.78 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 55.61 | BANK OF AMERICA |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 230,000 | 8.61 | AT & T CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 236,000 | 28.00 | HOME DEPOT INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 245,000 | 27.35 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 159,030,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 159,030,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 163,970,000 | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 171,260,000 | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 171,260,000 | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 18,000 | - | 11.52 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 46,000 | - | 28.10 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 96,000 | - | 28.00 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 103,000 | - | 25.20 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 112,000 | - | 19.89 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 115,000 | - | 15.89 | LIMITED BRANDS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 116,000 | - | 17.50 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 138,000 | - | 45.15 | FEDEX CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 169,000 | - | 16.07 | LIMITED BRANDS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 190,000 | - | 45.51 | JOHNSON & JOHNSON |
| 1E0123 | 8/31/2002 | 7/29/2002 | 205,000 | - | 44.19 | JOHNSON & JOHNSON |
| 1E0123 | 8/31/2002 | 7/29/2002 | 225,000 | - | 34.49 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 35.63 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 238,000 | - | 18.55 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 239,000 | - | 48.08 | AMERICAN INTL GROUP INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 10.60 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 281,000 | - | 31.22 | EXXON MOBIL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 285,000 | - | 32.91 | MEDTRONIC INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 10.03 | EL PASO CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 39.07 | MERCK & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 355,000 | - | 41.52 | HCA INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 360,000 | - | 41.71 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 162,010,000 | - | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 165,000,000 | - | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 169,770,000 | - | 105.41 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 250,000 | 43.99 | COCA COLA CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 300,000 | 39.07 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 355,000 | 41.52 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 360,000 | 41.71 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 76,000 | - | 17.94 | INTEL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 76,000 | - | 48.01 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 112,000 | - | 9.78 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 127,000 | - | 43.97 | COCA COLA CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 128,000 | - | 41.83 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 131,000 | - | 34.52 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | 152,000 | - | 43.69 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 153,000 | - | 9.70 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 169,000 | - | 11.16 | HEWLETT PACKARD CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 183,000 | - | 17.53 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 184,000 | - | 30.06 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 186,000 | - | 27.27 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 49,000 | 27.63 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 68,000 | 34.61 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 86,000 | 41.89 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 31.57 | EXXON MOBIL CORP |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 35.88 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 105,000 | 25.15 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 106,000 | 27.81 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 112,000 | 23.92 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 118,000 | 41.92 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 125,000 | 20.25 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 127,000 | 43.97 | COCA COLA CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 135,000 | 17.76 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 78.91 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 140,000 | 78.59 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 142,000 | 17.57 | U S BANCORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 147,000 | 24.68 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 152,000 | 32.82 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 152,000 | 41.63 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 159,000 | 34.12 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 160,000 | 30.19 | VIACOM INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 162,000 | 45.00 | FEDEX CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 205,000 | 55.49 | BANK OF AMERICA |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 216,000 | 44.40 | COCA COLA CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 219,000 | 45.39 | JOHNSON & JOHNSON |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 220,000 | 27.59 | HOME DEPOT INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 230,000 | 48.12 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 245,000 | 41.75 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 264,000 | 30.79 | EXXON MOBIL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | 300,000 | - | 99.99 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 7/29/2002 | 169,770,000 | - | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 13,250,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 161,030,000 | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 163,970,000 | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 170,715,000 | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 105,000 | - | 25.15 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 120,000 | - | 17.43 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 128,000 | - | 16.66 | SARA LEE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 129,000 | - | 26.75 | CHIRON CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 130,000 | - | 25.01 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 147,000 | - | 24.68 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 154,000 | - | 43.97 | FEDEX CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 163,000 | - | 24.51 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 180,000 | - | 16.74 | SARA LEE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 184,000 | - | 28.12 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 35.78 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 205,000 | - | 55.49 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 8.61 | AT & T CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 48.12 | AMERICAN INTL GROUP INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 41.75 | HCA INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 248,000 | - | 41.83 | WELLS FARGO & CO NEW |
| 1E0123 | 8/31/2002 | 7/29/2002 | 265,000 | - | 55.37 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 7/29/2002 | 286,000 | - | 11.07 | HEWLETT PACKARD CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 288,000 | - | 18.71 | JP MORGAN CHASE & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 42.00 | HCA INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 333,000 | - | 79.06 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 338,000 | - | 17.49 | U S BANCORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 158,045,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 248,000 | 41.83 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 288,000 | 18.71 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 300,000 | 42.00 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 333,000 | 79.06 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 338,000 | 17.49 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 112,000 | - | 30.97 | EXXON MOBIL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 118,000 | - | 41.92 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 127,000 | - | 34.47 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | 128,000 | - | 42.00 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 17.32 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 139,000 | - | 78.91 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 142,000 | - | 17.57 | U S BANCORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 9/30/2002 | 7/29/2002 | 146,000 | - | 9.86 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 154,000 | - | 42.17 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 164,000 | - | 79.12 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 167,000 | - | 31.09 | EXXON MOBIL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 168,000 | - | 41.87 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 174,000 | - | 18.94 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 174,000 | - | 32.91 | MEDTRONIC INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 217,000 | - | 39.04 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 279,000 | - | 31.90 | BANC ONE CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 13,250,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | 144,250,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 54,000 | 17.01 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 58,000 | 16.79 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 105,000 | 18.02 | NORFOLK SOUTHERN CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 116,000 | 16.25 | LIMITED BRANDS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 118,000 | 44.37 | JOHNSON & JOHNSON |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 127,000 | 34.47 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 128,000 | 41.83 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 140,000 | 24.13 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 146,000 | 30.27 | VIACOM INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 150,000 | 27.03 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 152,000 | 43.69 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 153,000 | 9.70 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 161,000 | 17.41 | U S BANCORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 167,000 | 31.09 | EXXON MOBIL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 178,000 | 78.64 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 183,000 | 17.53 | U S BANCORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 184,000 | 30.06 | VIACOM INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 215,000 | 33.77 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 236,000 | 25.47 | PFIZER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 244,000 | 43.53 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 265,000 | 32.84 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 279,000 | 31.90 | BANC ONE CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 281,000 | 31.22 | EXXON MOBIL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 300,000 | 10.03 | EL PASO CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 161,030,000 | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 169,770,000 | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 10,000 | - | 43.10 | HCA INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 16.37 | LIMITED BRANDS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 28.10 | CHIRON CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 35.88 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 144,000 | - | 17.68 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 169,000 | - | 12.26 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 174,000 | - | 44.58 | FEDEX CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 180,000 | - | 17.42 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 184,000 | - | 26.00 | PFIZER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 8.75 | AT & T CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 10.84 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 225,000 | - | 8.42 | AT & T CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 225,000 | - | 10.58 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 225,000 | - | 47.99 | AMERICAN INTL GROUP INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 236,000 | - | 28.00 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 256,000 | - | 42.15 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 263,000 | - | 43.49 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 265,000 | - | 31.83 | BANC ONE CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 287,000 | - | 42.20 | WELLS FARGO & CO NEW |
| 1E0123 | 8/31/2002 | 7/29/2002 | 360,000 | - | 30.06 | VIACOM INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 163,970,000 | - | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 169,770,000 | - | 105.41 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 180,000 | 17.42 | INTEL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 287,000 | 42.20 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 360,000 | 30.06 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 97,000 | - | 48.61 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 104,000 | - | 17.88 | INTEL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 106,000 | - | 27.81 | CHIRON CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 9/30/2002 | 7/29/2002 | 149,000 | - | 12.31 | CISCO SYSTEMS INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 149,000 | - | 18.71 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 167,000 | - | 8.58 | AT & T CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 178,000 | - | 78.97 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 182,000 | - | 79.06 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 18,000 | 11.52 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 42,000 | 36.00 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 96,000 | 28.00 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 97,000 | 48.61 | AMERICAN INTL GROUP INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 103,000 | 25.20 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 104,000 | 20.47 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 122,000 | 43.56 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 126,000 | 9.93 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 132,000 | 44.56 | JOHNSON & JOHNSON |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 137,000 | 27.78 | HOME DEPOT INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 138,000 | 45.15 | FEDEX CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 27.62 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 149,000 | 27.89 | HOME DEPOT INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 153,000 | 41.86 | MICROSOFT CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 156,000 | 18.83 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 169,000 | 11.16 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 178,000 | 78.97 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 186,000 | 27.27 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 188,000 | 32.79 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 210,000 | 34.95 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 269,000 | 38.71 | MERCK & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | 161,030,000 | - | 110.69 | U S TREASURY NOTE |
| 1E0123 | 10/31/2002 | 7/29/2002 | 170,310,000 | - | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 158,045,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 162,010,000 | 110.69 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 165,000,000 | 112.26 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 169,770,000 | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 42,000 | - | 36.00 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 46,000 | - | 17.15 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 8/31/2002 | 7/29/2002 | 48,000 | - | 21.56 | CAMPBELL SOUP CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 125,000 | - | 17.31 | INTEL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 153,000 | - | 21.63 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 216,000 | - | 44.40 | COCA COLA CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 220,000 | - | 27.59 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 260,000 | - | 27.78 | HOME DEPOT INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 265,000 | - | 41.77 | WELLS FARGO & CO NEW |
| 1E0123 | 8/31/2002 | 7/29/2002 | 267,000 | - | 78.22 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 269,000 | - | 38.71 | MERCK & CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 284,000 | - | 42.00 | MICROSOFT CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 300,000 | - | 79.40 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 340,000 | - | 30.15 | VIACOM INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 170,310,000 | - | 105.21 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 260,000 | 27.78 | HOME DEPOT INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 263,000 | 43.49 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 265,000 | 41.77 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 284,000 | 42.00 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 285,000 | 32.91 | MEDTRONIC INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 300,000 | 79.40 | PROCTER & GAMBLE CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 340,000 | 30.15 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 49,000 | - | 27.63 | CHIRON CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 68,000 | - | 34.61 | AMGEN INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 104,000 | - | 20.47 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 9/30/2002 | 7/29/2002 | 117,000 | - | 41.93 | HCA INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 126,000 | - | 9.93 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | 153,000 | - | 39.16 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 153,000 | - | 41.86 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 159,000 | - | 34.12 | MORGAN STANLEY |
| 1E0123 | 9/30/2002 | 7/29/2002 | 160,000 | - | 30.19 | VIACOM INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 179,000 | - | 38.89 | MERCK & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 2,625,000 | - | 99.99 | U S TREASURY BILL |

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December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 10,000 | 43.10 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 76,000 | 17.94 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 103,000 | 34.72 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 104,000 | 17.88 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 112,000 | 9.78 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 112,000 | 19.89 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 117,000 | 41.93 | HCA INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 141,000 | 12.48 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 145,000 | 27.49 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 147,000 | 21.59 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 178,000 | 17.49 | U S BANCORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 179,000 | 38.89 | MERCK & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 194,000 | 10.79 | AOL TIME WARNER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 10.84 | AOL TIME WARNER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 214,000 | 44.79 | COCA COLA CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 216,000 | 26.12 | PFIZER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 225,000 | 10.58 | AOL TIME WARNER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 144,250,000 | 112.68 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 170,310,000 | 105.21 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | - | 170,715,000 | 105.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2002 | 7/29/2002 | 54,000 | - | 17.01 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 8/31/2002 | 7/29/2002 | 57,000 | - | 21.74 | CAMPBELL SOUP CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 100,000 | - | 43.81 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 105,000 | - | 18.02 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 112,000 | - | 23.92 | GENERAL ELECTRIC CO |
| 1E0123 | 8/31/2002 | 7/29/2002 | 125,000 | - | 20.25 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 8/31/2002 | 7/29/2002 | 145,000 | - | 12.12 | CISCO SYSTEMS INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 28.51 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 200,000 | - | 55.61 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 7/29/2002 | 215,000 | - | 33.77 | MORGAN STANLEY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 219,000 | - | 45.39 | JOHNSON & JOHNSON |
| 1E0123 | 8/31/2002 | 7/29/2002 | 230,000 | - | 56.53 | BANK OF AMERICA |
| 1E0123 | 8/31/2002 | 7/29/2002 | 244,000 | - | 43.53 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 8.59 | AT & T CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 27.35 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 8/31/2002 | 7/29/2002 | 245,000 | - | 34.52 | AMGEN INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 255,000 | - | 30.90 | EXXON MOBIL CORP |
| 1E0123 | 8/31/2002 | 7/29/2002 | 264,000 | - | 25.34 | PFIZER INC |
| 1E0123 | 8/31/2002 | 7/29/2002 | 270,000 | - | 9.70 | EL PASO CORPORATION |
| 1E0123 | 8/31/2002 | 7/29/2002 | 286,000 | - | 20.47 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 245,000 | 8.59 | AT & T CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 245,000 | 34.52 | AMGEN INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 255,000 | 30.90 | EXXON MOBIL CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 264,000 | 25.34 | PFIZER INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 270,000 | 9.70 | EL PASO CORPORATION |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 286,000 | 20.47 | CLEAR CHANNEL COMMUNICATIONS |
| 1E0123 | 9/30/2002 | 7/29/2002 | - | 293,000 | 43.78 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 86,000 | - | 41.89 | WELLS FARGO & CO NEW |
| 1E0123 | 9/30/2002 | 7/29/2002 | 86,000 | - | 44.06 | COCA COLA CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 118,000 | - | 44.37 | JOHNSON & JOHNSON |
| 1E0123 | 9/30/2002 | 7/29/2002 | 119,000 | - | 48.42 | AMERICAN INTL GROUP INC |
| 1E0123 | 9/30/2002 | 7/29/2002 | 127,000 | - | 17.38 | U S BANCORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 128,000 | - | 18.89 | JP MORGAN CHASE & CO |
| 1E0123 | 9/30/2002 | 7/29/2002 | 167,000 | - | 41.71 | MICROSOFT CORP |
| 1E0123 | 9/30/2002 | 7/29/2002 | 178,000 | - | 78.64 | PROCTER & GAMBLE CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 55,000 | 21.89 | CAMPBELL SOUP CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 62,000 | 16.53 | FOX ENTERTAINMENT GRP INC A |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 66,000 | 25.09 | GENERAL ELECTRIC CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 68,000 | 21.62 | CAMPBELL SOUP CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 100,000 | 42.50 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 119,000 | 8.60 | AT & T CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 120,000 | 17.43 | NORFOLK SOUTHERN CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 126,000 | 42.09 | WELLS FARGO & CO NEW |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 126,000 | 44.05 | FEDEX CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 128,000 | 16.66 | SARA LEE CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 128,000 | 42.00 | MICROSOFT CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 129,000 | 26.75 | CHIRON CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 139,000 | 17.42 | INTEL CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 142,000 | 12.22 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 142,000 | 15.56 | LIMITED BRANDS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 146,000 | 9.86 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 157,000 | 9.97 | EL PASO CORPORATION |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 167,000 | 41.71 | MICROSOFT CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 169,000 | 16.07 | LIMITED BRANDS |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 173,000 | 33.86 | MORGAN STANLEY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 174,000 | 18.94 | JP MORGAN CHASE & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 174,000 | 32.91 | MEDTRONIC INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 180,000 | 16.74 | SARA LEE CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 187,000 | 43.78 | PHILIP MORRIS COMPANIES INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 196,000 | 25.34 | PFIZER INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 12.50 | CISCO SYSTEMS INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 200,000 | 16.81 | SARA LEE CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 205,000 | 44.19 | JOHNSON & JOHNSON |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 217,000 | 39.04 | MERCK & CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 220,000 | 43.83 | COCA COLA CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 225,000 | 34.49 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 230,000 | 28.46 | AMERICAN EXPRESS COMPANY |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 230,000 | 35.63 | AMGEN INC |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 286,000 | 11.07 | HEWLETT PACKARD CO |
| 1E0123 | 10/31/2002 | 7/29/2002 | - | 335,000 | 32.06 | BANC ONE CORP |
| 1E0123 | 10/31/2002 | 7/29/2002 | 157,975,000 | - | 112.68 | U S TREASURY NOTE |
| 1E0123 | 9/30/2002 | 7/30/2002 | 150,000 | - | 99.99 | U S TREASURY BILL |
| 1E0123 | 9/30/2002 | 7/31/2002 | 4,930 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 7/31/2002 | - | 5,880 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 10/31/2002 | 7/31/2002 | 14,693 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 10/31/2002 | 7/31/2002 | 200,000 | - | 100.00 | U S TREASURY BILL |
| 1E0123 | 8/31/2002 | 7/31/2002 | 45,541 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 9/30/2002 | 7/31/2002 | - | 45,541 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 10/31/2002 | 7/31/2002 | - | 275,000 | 100.00 | U S TREASURY BILL |
| 1E0123 | 9/30/2002 | 7/31/2002 | 275,000 | - | 100.00 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 7/31/2002 | - | 4,930 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2002 | 7/31/2002 | - | 6,970,000 | 99.39 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 8/1/2002 | 8,400,000 | - | 99.18 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 8/15/2002 | 23,050,000 | - | 99.25 | U S TREASURY BILL |
| 1E0123 | 10/31/2002 | 8/30/2002 | 33,423 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 10/31/2002 | 9/30/2002 | - | 12,021,648 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 1/2/2003 | 250,000 | - | 99.51 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 1/30/2003 | - | 31,500,000 | 99.73 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 1/30/2003 | 32,650,000 | - | 99.62 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 1/31/2003 | 275,000 | - | 99.63 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 1/31/2003 | 3,934 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 2/3/2003 | 675,000 | - | 99.63 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 2/14/2003 | 4,925,000 | - | 99.67 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 2/14/2003 | - | 22,945,000 | 99.76 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 2/25/2003 | 275,000 | - | 99.70 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 2/28/2003 | 200,000 | - | 99.71 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 2/28/2003 | 1,049 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 3/7/2003 | 300,000 | - | 99.75 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 3/14/2003 | 300,000 | - | 99.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 3/31/2003 | 11,894 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 4/1/2003 | 525,000 | - | 99.82 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 4/24/2003 | - | 31,725,000 | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 4/30/2003 | 9,159 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 4/30/2003 | 275,000 | - | 99.91 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/1/2003 | 675,000 | - | 99.92 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/1/2003 | - | 23,045,000 | 99.55 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/15/2003 | 600,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/27/2003 | 275,000 | - | 99.58 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/28/2003 | 200,000 | - | 99.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 5/29/2003 | 42,375,000 | - | 99.59 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 8/31/2003 | 5/30/2003 | 1,280 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 5/30/2003 | - | 4,560 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 6/6/2003 | 300,000 | - | 99.63 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 6/16/2003 | 300,000 | - | 99.71 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 6/30/2003 | 12,419 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 7/1/2003 | 550,000 | - | 99.74 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 7/31/2003 | 14,693 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 7/31/2003 | 11,105 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 7/31/2003 | 275,000 | - | 99.80 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 9/3/2003 | - | 1,000,000 | 108.28 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/3/2003 | 35,000 | - | 29.07 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/4/2003 | 15,000 | - | 22.00 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/4/2003 | 25,000 | - | 21.94 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/4/2003 | 38,000 | - | 28.77 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/4/2003 | - | 1,775,000 | 108.31 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/5/2003 | 35,000 | - | 20.89 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/5/2003 | - | 2,025,000 | 108.56 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/5/2003 | 15,000 | - | 28.79 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/5/2003 | 50,000 | - | 20.79 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | 50,000 | - | 10.05 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | - | 4,375,000 | 107.88 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/6/2003 | 42,000 | - | 19.85 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | 100,000 | - | 9.90 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/6/2003 | 88,000 | - | 10.10 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | 34,000 | - | 14.99 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | 45,000 | - | 9.99 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/6/2003 | 75,000 | - | 10.00 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/9/2003 | 90,000 | - | 9.82 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/9/2003 | 55,000 | - | 9.99 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/9/2003 | 40,000 | - | 10.11 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/9/2003 | 105,000 | - | 9.60 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/9/2003 | - | 3,825,000 | 107.50 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/9/2003 | 36,000 | - | 19.57 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/9/2003 | 40,000 | - | 14.40 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 50,000 | - | 10.00 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 35,000 | - | 10.03 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 52,000 | - | 9.83 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | - | 5,250,000 | 107.97 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/10/2003 | 50,000 | - | 13.63 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 34,000 | - | 27.91 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/10/2003 | 35,000 | - | 9.95 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 95,000 | - | 9.40 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/10/2003 | 42,000 | - | 19.15 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/10/2003 | 65,000 | - | 9.89 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | - | 6,425,000 | 107.47 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/11/2003 | 55,000 | - | 9.63 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/11/2003 | 60,000 | - | 10.20 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 18,000 | - | 28.31 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/11/2003 | 37,000 | - | 10.25 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 37,000 | - | 10.18 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 40,000 | - | 13.50 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 55,000 | - | 10.22 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 30,000 | - | 28.22 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/11/2003 | 30,000 | - | 31.82 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/11/2003 | 33,000 | - | 19.63 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/11/2003 | 75,000 | - | 9.70 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/11/2003 | 18,000 | - | 13.62 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/12/2003 | 25,000 | - | 31.10 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/12/2003 | 50,000 | - | 28.73 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/12/2003 | 65,000 | - | 90.61 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/12/2003 | 55,000 | - | 18.70 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/12/2003 | - | 12,550,000 | 108.25 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/12/2003 | 47,000 | - | 9.95 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/12/2003 | 50,000 | - | 13.21 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/12/2003 | 100,000 | - | 9.75 | YAHOO INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 8/31/2003 | 9/12/2003 | 28,000 | - | 28.89 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/12/2003 | 38,000 | - | 30.99 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/12/2003 | 43,000 | - | 9.80 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 30,000 | - | 19.71 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/13/2003 | 75,000 | - | 91.61 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/13/2003 | 45,000 | - | 13.70 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/13/2003 | 50,000 | - | 10.40 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/13/2003 | 49,000 | - | 10.30 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 100,000 | - | 27.71 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | - | 7,425,000 | 113.91 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/13/2003 | - | 10,685,000 | 108.22 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/13/2003 | 13,000 | - | 10.32 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 32,000 | - | 10.53 | ALTERA CORP |
| 1E0123 | 8/31/2003 | 9/13/2003 | 60,000 | - | 27.70 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 75,000 | - | 27.82 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 43,000 | - | 29.59 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 63,000 | - | 10.27 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/13/2003 | 75,000 | - | 27.77 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/16/2003 | 23,000 | - | 20.11 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/16/2003 | 86,000 | - | 91.76 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/16/2003 | 18,000 | - | 14.21 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/16/2003 | 25,000 | - | 30.34 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/16/2003 | 45,000 | - | 10.71 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/16/2003 | - | 10,000,000 | 113.91 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/16/2003 | 46,000 | - | 29.42 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/16/2003 | 50,000 | - | 10.65 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/17/2003 | 45,000 | - | 10.53 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/17/2003 | 15,000 | - | 19.35 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/17/2003 | 25,000 | - | 13.77 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/17/2003 | 40,000 | - | 29.99 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/17/2003 | 95,000 | - | 91.03 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/17/2003 | - | 12,125,000 | 114.59 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/17/2003 | 33,000 | - | 28.61 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/17/2003 | 37,000 | - | 19.29 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/17/2003 | 40,000 | - | 10.50 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/17/2003 | 30,000 | - | 13.65 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/17/2003 | 50,000 | - | 10.49 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/18/2003 | 33,000 | - | 18.33 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/18/2003 | - | 13,775,000 | 114.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/18/2003 | 9,000 | - | 10.40 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/18/2003 | 100,000 | - | 91.69 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/18/2003 | 30,000 | - | 30.05 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2003 | 9/18/2003 | 43,000 | - | 10.33 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/18/2003 | 10,000 | - | 91.50 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/18/2003 | 55,000 | - | 28.70 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/18/2003 | 45,000 | - | 18.27 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/18/2003 | 50,000 | - | 18.18 | GENZYME CORP |
| 1E0123 | 8/31/2003 | 9/18/2003 | 38,000 | - | 10.32 | YAHOO INC |
| 1E0123 | 8/31/2003 | 9/19/2003 | 25,000 | - | 12.48 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/19/2003 | 35,000 | - | 92.77 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/19/2003 | - | 10,650,000 | 114.91 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/19/2003 | 44,000 | - | 30.21 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/19/2003 | 80,000 | - | 92.70 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/20/2003 | 40,000 | - | 31.77 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/20/2003 | 75,000 | - | 16.69 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/20/2003 | 50,000 | - | 93.07 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/20/2003 | 30,000 | - | 12.29 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/20/2003 | - | 14,950,000 | 114.88 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/20/2003 | 40,000 | - | 17.00 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/20/2003 | 20,000 | - | 31.84 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/20/2003 | 90,000 | - | 93.26 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/23/2003 | 35,000 | - | 21.21 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/23/2003 | 75,000 | - | 15.55 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/23/2003 | 88,000 | - | 15.68 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/23/2003 | - | 13,925,000 | 115.56 | U S TREASURY NOTE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1E0123 | 8/31/2003 | 9/23/2003 | 28,000 | - | 21.31 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/23/2003 | 40,000 | - | 30.70 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/23/2003 | 80,000 | - | 92.69 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/23/2003 | 33,000 | - | 12.02 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/23/2003 | 35,000 | - | 92.58 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/24/2003 | - | 16,150,000 | 115.88 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/24/2003 | 15,000 | - | 20.51 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/24/2003 | 40,000 | - | 11.87 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/24/2003 | 42,000 | - | 30.27 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/24/2003 | 50,000 | - | 20.36 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/24/2003 | 15,000 | - | 11.90 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/24/2003 | 63,000 | - | 15.46 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/24/2003 | 50,000 | - | 15.25 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/24/2003 | 110,000 | - | 92.27 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/24/2003 | 40,000 | - | 92.18 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/25/2003 | 15,000 | - | 12.11 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/25/2003 | 80,000 | - | 91.25 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/25/2003 | 75,000 | - | 91.09 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/25/2003 | 80,000 | - | 13.57 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/25/2003 | 48,000 | - | 12.06 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/25/2003 | - | 24,875,000 | 115.03 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/25/2003 | 30,000 | - | 19.99 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/25/2003 | 40,000 | - | 29.69 | GENENTECH INC |
| 1E0123 | 8/31/2003 | 9/25/2003 | 45,000 | - | 19.82 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/25/2003 | 75,000 | - | 13.44 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/25/2003 | 100,000 | - | 91.11 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/26/2003 | 60,000 | - | 90.71 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/26/2003 | 15,000 | - | 11.99 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/26/2003 | 50,000 | - | 11.86 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/26/2003 | 53,000 | - | 19.27 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/26/2003 | 85,000 | - | 13.79 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/26/2003 | 62,000 | - | 13.60 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/26/2003 | - | 8,500,000 | 115.00 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/26/2003 | 30,000 | - | 19.35 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/27/2003 | 40,000 | - | 19.29 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/27/2003 | 63,000 | - | 13.41 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/27/2003 | 15,000 | - | 19.40 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/27/2003 | 50,000 | - | 19.31 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/27/2003 | 85,000 | - | 90.09 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/27/2003 | 48,000 | - | 11.49 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 8/31/2003 | 9/27/2003 | 50,000 | - | 13.66 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/27/2003 | 55,000 | - | 13.59 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/27/2003 | - | 10,750,000 | 115.72 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/30/2003 | - | 12,525,000 | 116.31 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 9/30/2003 | 60,000 | - | 20.25 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/30/2003 | 75,000 | - | 14.62 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/30/2003 | 38,000 | - | 20.32 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 9/30/2003 | 64,000 | - | 14.80 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/30/2003 | 60,000 | - | 15.00 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/30/2003 | 75,000 | - | 89.70 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 9/30/2003 | 50,000 | - | 14.69 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 9/30/2003 | 27,270 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 9/30/2003 | 25,000 | - | 89.62 | PROCTER & GAMBLE CO |
| 1E0123 | 8/31/2003 | 10/1/2003 | 10,000 | - | 20.88 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 10/1/2003 | 50,000 | - | 20.76 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 10/1/2003 | 48,000 | - | 15.38 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/1/2003 | 60,000 | - | 15.75 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/1/2003 | 40,000 | - | 15.50 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/1/2003 | 50,000 | - | 15.29 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/1/2003 | 40,000 | - | 20.98 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 10/1/2003 | - | 4,225,000 | 115.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/2/2003 | - | 1,155,000 | 115.72 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/2/2003 | - | 4,375,000 | 116.66 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/2/2003 | 48,000 | - | 15.37 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/2/2003 | 115,000 | - | 10.99 | BROADCOM CORP CL A |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1E0123 | 8/31/2003 | 10/2/2003 | 45,000 | - | 15.08 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/2/2003 | 100,000 | - | 11.05 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/2/2003 | 35,000 | - | 20.80 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 10/2/2003 | 42,000 | - | 14.99 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/2/2003 | 60,000 | - | 15.17 | VERITAS SOFTWARE CORP |
| 1E0123 | 8/31/2003 | 10/2/2003 | 20,000 | - | 20.99 | ANALOG DEVICES |
| 1E0123 | 8/31/2003 | 10/3/2003 | 50,000 | - | 10.71 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/3/2003 | - | 1,225,000 | 116.56 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/3/2003 | 85,000 | - | 10.64 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/4/2003 | - | 4,675,000 | 116.59 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/4/2003 | 65,000 | - | 10.93 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/4/2003 | 50,000 | - | 28.08 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/4/2003 | 87,000 | - | 27.99 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/4/2003 | 85,000 | - | 11.00 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/7/2003 | 75,000 | - | 11.35 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/7/2003 | 75,000 | - | 29.29 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/7/2003 | 85,000 | - | 33.34 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/7/2003 | - | 10,600,000 | 116.94 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/7/2003 | 40,000 | - | 33.30 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/7/2003 | 125,000 | - | 29.15 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/7/2003 | 100,000 | - | 11.40 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/7/2003 | 15,000 | - | 33.49 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | 50,000 | - | 31.20 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | - | 10,750,000 | 116.72 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/8/2003 | 50,000 | - | 31.18 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | 50,000 | - | 31.30 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | 75,000 | - | 10.45 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/8/2003 | 50,000 | - | 31.17 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | 56,000 | - | 27.54 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/8/2003 | 23,000 | - | 31.22 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/8/2003 | 57,500 | - | 10.38 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/8/2003 | 100,000 | - | 27.60 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/9/2003 | - | 10,300,000 | 117.25 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/9/2003 | 42,000 | - | 30.09 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/9/2003 | 75,000 | - | 30.00 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/9/2003 | 88,000 | - | 10.17 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/9/2003 | 30,000 | - | 30.18 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/9/2003 | 113,000 | - | 26.41 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/9/2003 | 45,000 | - | 30.03 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/9/2003 | 62,500 | - | 26.35 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/9/2003 | 88,000 | - | 10.00 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/10/2003 | - | 12,325,000 | 116.72 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/10/2003 | 48,000 | - | 28.62 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/10/2003 | 75,000 | - | 26.35 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/10/2003 | 75,000 | - | 32.49 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/10/2003 | 50,000 | - | 9.87 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/10/2003 | 55,000 | - | 28.80 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/10/2003 | 47,000 | - | 28.60 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/10/2003 | 60,000 | - | 32.56 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/10/2003 | 100,000 | - | 9.80 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/10/2003 | 88,000 | - | 26.51 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/11/2003 | 30,000 | - | 28.72 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/11/2003 | 43,000 | - | 10.03 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/11/2003 | 55,000 | - | 9.85 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/11/2003 | 80,000 | - | 25.88 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/11/2003 | 62,000 | - | 25.70 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/11/2003 | - | 11,350,000 | 115.88 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/11/2003 | 50,000 | - | 9.99 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/11/2003 | 60,000 | - | 29.79 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/11/2003 | 40,000 | - | 28.50 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/11/2003 | 50,000 | - | 29.82 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/11/2003 | 100,000 | - | 28.44 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/15/2003 | - | 12,625,000 | 114.41 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/15/2003 | 40,000 | - | 26.29 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/15/2003 | 40,000 | - | 28.60 | MERRILL LYNCH & CO INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1E0123 | 8/31/2003 | 10/15/2003 | 50,000 | - | 26.21 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/15/2003 | 63,000 | - | 9.70 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/15/2003 | 25,000 | - | 9.89 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/15/2003 | 25,000 | - | 26.38 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/15/2003 | 25,000 | - | 28.55 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/15/2003 | 37,000 | - | 26.32 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/15/2003 | 55,000 | - | 28.56 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/15/2003 | 35,000 | - | 9.86 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/15/2003 | 40,000 | - | 28.79 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/15/2003 | 34,000 | - | 10.08 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/15/2003 | 48,000 | - | 28.58 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/15/2003 | 50,000 | - | 28.48 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/15/2003 | 63,000 | - | 26.17 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/16/2003 | 15,000 | - | 28.68 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/16/2003 | 30,000 | - | 25.60 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/16/2003 | 40,000 | - | 25.53 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/16/2003 | 50,000 | - | 10.71 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/16/2003 | 50,000 | - | 28.51 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/16/2003 | 60,000 | - | 25.35 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/16/2003 | - | 9,100,000 | 114.06 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/16/2003 | 55,000 | - | 25.41 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/16/2003 | 40,000 | - | 28.53 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/16/2003 | 40,000 | - | 28.59 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2003 | 10/16/2003 | 48,000 | - | 10.56 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/16/2003 | 55,000 | - | 10.63 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/17/2003 | - | 10,550,000 | 113.13 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/17/2003 | 33,000 | - | 19.82 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/17/2003 | 55,000 | - | 11.52 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/17/2003 | 38,000 | - | 28.12 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/17/2003 | 55,000 | - | 28.40 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/17/2003 | 28,000 | - | 19.07 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/17/2003 | 35,000 | - | 18.99 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/17/2003 | 43,000 | - | 11.61 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/17/2003 | 37,000 | - | 18.91 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/17/2003 | 50,000 | - | 11.45 | BROADCOM CORP CL A |
| 1E0123 | 8/31/2003 | 10/17/2003 | 70,000 | - | 28.04 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/17/2003 | 36,000 | - | 19.77 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/17/2003 | 43,000 | - | 28.26 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/17/2003 | 45,000 | - | 28.37 | KLA TENCOR CORPORATION |
| 1E0123 | 8/31/2003 | 10/18/2003 | 50,000 | - | 21.74 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/18/2003 | 80,000 | - | 21.70 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/18/2003 | - | 2,475,000 | 113.81 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/21/2003 | - | 3,375,000 | 112.97 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/21/2003 | 25,000 | - | 19.36 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/21/2003 | 53,000 | - | 19.19 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/21/2003 | 73,000 | - | 19.22 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/21/2003 | 50,000 | - | 19.30 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/22/2003 | 40,000 | - | 20.31 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/22/2003 | 45,000 | - | 20.25 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/22/2003 | - | 2,925,000 | 112.97 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/22/2003 | 55,000 | - | 20.12 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/22/2003 | 25,000 | - | 20.34 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/23/2003 | 49,000 | - | 19.89 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/23/2003 | - | 1,375,000 | 113.16 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/23/2003 | 30,000 | - | 19.98 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/24/2003 | 83,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/24/2003 | - | 6,975,000 | 113.88 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/24/2003 | 28,000 | - | 20.51 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/24/2003 | 45,000 | - | 20.35 | BEST BUY CO INC |
| 1E0123 | 8/31/2003 | 10/24/2003 | 100,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/25/2003 | 60,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/25/2003 | 80,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/25/2003 | - | 9,575,000 | 114.06 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/25/2003 | 75,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/25/2003 | 95,000 | - | 35.60 | VERIZON COMMUNICATIONS |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1E0123 | 8/31/2003 | 10/28/2003 | 35,000 | - | 25.49 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/28/2003 | - | 11,550,000 | 114.25 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/28/2003 | 45,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/28/2003 | 80,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/28/2003 | 90,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/28/2003 | 70,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/28/2003 | 40,000 | - | 25.53 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/28/2003 | 50,000 | - | 25.32 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/29/2003 | - | 12,175,000 | 115.25 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/29/2003 | 70,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/29/2003 | 55,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/29/2003 | 80,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/29/2003 | 55,000 | - | 25.49 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/29/2003 | 45,000 | - | 25.37 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/29/2003 | 63,000 | - | 25.30 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/29/2003 | 37,000 | - | 26.00 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/29/2003 | 50,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 40,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 60,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 15,000 | - | 25.99 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/30/2003 | 50,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | - | 3,175,000 | 114.63 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/30/2003 | - | 9,675,000 | 115.16 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/30/2003 | 90,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 25,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 38,000 | - | 25.68 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/30/2003 | 75,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/30/2003 | 58,000 | - | 25.59 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/31/2003 | 55,000 | - | 25.55 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 10/31/2003 | - | 6,200,000 | 115.00 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 10/31/2003 | 100,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/31/2003 | 70,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 10/31/2003 | 29,482 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 11/1/2003 | 100,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 11/1/2003 | 125,000 | - | 35.60 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2003 | 11/1/2003 | - | 124,746 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 11/1/2003 | 50,000 | - | 25.75 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/1/2003 | 60,000 | - | 25.71 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/1/2003 | - | 9,275,000 | 114.34 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 11/4/2003 | - | 2,050,000 | 114.16 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 11/4/2003 | 33,000 | - | 26.78 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/4/2003 | 55,000 | - | 26.69 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/5/2003 | 68,000 | - | 26.24 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/5/2003 | - | 1,525,000 | 114.09 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 11/6/2003 | - | 1,025,000 | 114.13 | U S TREASURY NOTE |
| 1E0123 | 8/31/2003 | 11/6/2003 | 45,000 | - | 26.29 | SEARS ROEBUCK & CO |
| 1E0123 | 8/31/2003 | 11/15/2003 | 590,000 | - | 99.75 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 11/25/2003 | 280,000 | - | 99.78 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 11/27/2003 | 185,000 | - | 99.79 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 11/29/2003 | 17,345 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2003 | 12/6/2003 | 300,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 12/16/2003 | 575,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2003 | 12/31/2003 | 99 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 1/2/2004 | - | 260,000 | 99.56 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 1/22/2004 | - | 79,500,000 | 99.70 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 1/22/2004 | 60,900,000 | - | 99.70 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 1/22/2004 | 79,500,000 | - | 99.70 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 1/26/2004 | - | 95,000 | 55.00 | GENZYME CORP |
| 1E0123 | 6/30/2004 | 1/26/2004 | - | 98,000 | 55.05 | GENZYME CORP |
| 1E0123 | 6/30/2004 | 1/26/2004 | - | 100,000 | 54.93 | GENZYME CORP |
| 1E0123 | 7/31/2004 | 1/26/2004 | 21,450,000 | - | 99.71 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 1/26/2004 | - | 96,500 | 54.88 | GENZYME CORP |
| 1E0123 | 6/30/2004 | 1/27/2004 | - | 76,000 | 56.71 | GENZYME CORP |
| 1E0123 | 7/31/2004 | 1/27/2004 | 10,025,000 | - | 99.71 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 1/27/2004 | - | 100,500 | 56.77 | GENZYME CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------|
| 1E0123 | 7/31/2004 | 1/29/2004 | 50,000 | - | 99.65 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 1/29/2004 | - | 110,000 | 99.65 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 1/30/2004 | 5,264 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 1/30/2004 | 14,777 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 1/30/2004 | 14,777 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 1/30/2004 | 5,264 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 2/2/2004 | - | 940,000 | 99.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 2/3/2004 | 500,000 | - | 99.72 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 2/3/2004 | - | 500,000 | 99.72 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 2/3/2004 | 1,175,000 | - | 99.72 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 2/27/2004 | - | 470,000 | 99.80 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 2/27/2004 | 4,436 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 2/27/2004 | 4,436 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 2/27/2004 | 7,612 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 2/27/2004 | 7,612 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 6/30/2004 | 3/8/2004 | - | 162,000 | 42.02 | JP MORGAN CHASE & CO |
| 1E0123 | 6/30/2004 | 3/8/2004 | - | 150,000 | 41.91 | JP MORGAN CHASE & CO |
| 1E0123 | 6/30/2004 | 3/8/2004 | - | 155,000 | 41.95 | JP MORGAN CHASE & CO |
| 1E0123 | 7/31/2004 | 3/8/2004 | 19,675,000 | - | 99.59 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 3/9/2004 | 12,550,000 | - | 99.59 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 3/9/2004 | - | 195,000 | 42.34 | JP MORGAN CHASE & CO |
| 1E0123 | 6/30/2004 | 3/9/2004 | - | 100,000 | 42.44 | JP MORGAN CHASE & CO |
| 1E0123 | 7/31/2004 | 3/31/2004 | 4,451 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 3/31/2004 | 4,451 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 4/1/2004 | 1,350,000 | - | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/1/2004 | - | 1,175,000 | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/15/2004 | 210,550,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 4/26/2004 | - | 77,000 | 115.40 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/26/2004 | - | 78,000 | 115.52 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/26/2004 | - | 81,500 | 115.66 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/26/2004 | - | 96,000 | 116.02 | GENENTECH INC |
| 1E0123 | 7/31/2004 | 4/26/2004 | 47,850,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 4/26/2004 | - | 79,000 | 115.94 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 62,000 | 118.78 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 86,000 | 118.68 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 60,000 | 118.62 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 75,000 | 118.50 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 50,000 | 118.38 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 80,000 | 118.88 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/27/2004 | - | 81,000 | 118.56 | GENENTECH INC |
| 1E0123 | 7/31/2004 | 4/27/2004 | 58,900,000 | - | 99.49 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 4/28/2004 | 14,975,000 | - | 99.48 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 4/28/2004 | - | 55,000 | 117.76 | GENENTECH INC |
| 1E0123 | 6/30/2004 | 4/28/2004 | - | 71,500 | 118.04 | GENENTECH INC |
| 1E0123 | 8/31/2004 | 4/29/2004 | - | 1,415,000 | 99.60 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/29/2004 | 450,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/30/2004 | 375,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/30/2004 | - | 850,000 | 99.60 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/30/2004 | 15,658 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 4/30/2004 | 26,369 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 4/30/2004 | 15,658 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 4/30/2004 | 400,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 4/30/2004 | - | 1,228 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 4/30/2004 | - | 400,000 | 99.60 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 4/30/2004 | 26,369 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 5/13/2004 | - | 470,000 | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 5/27/2004 | 181,250,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 5/28/2004 | - | 3,200 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 5/31/2004 | 8,526 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 5/31/2004 | 8,526 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 6/17/2004 | 50,000 | - | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 6/17/2004 | - | 350,000 | 99.56 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 6/30/2004 | - | 675,000 | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 6/30/2004 | 8,055 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 6/30/2004 | - | 239,203 | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1E0123 | 8/31/2004 | 6/30/2004 | 925,000 | - | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 6/30/2004 | 127 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 11/30/2004 | 6/30/2004 | - | 127 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 6/30/2004 | - | 669,778,690 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 6/30/2004 | 675,000 | - | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 7/30/2004 | 2,781 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 11/30/2004 | 7/30/2004 | - | 2,781 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 7/30/2004 | 2,781 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 7/30/2004 | 350,000 | - | 99.39 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 8/15/2004 | 90,000 | - | 18.02 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/15/2004 | - | 3,400,000 | 111.94 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 8/15/2004 | 120,000 | - | 17.99 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/18/2004 | 150,000 | - | 17.89 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/18/2004 | - | 4,000,000 | 112.06 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 8/18/2004 | 100,000 | - | 17.98 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/21/2004 | 110,000 | - | 18.01 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/21/2004 | - | 3,650,000 | 111.66 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 8/21/2004 | 115,000 | - | 18.11 | J C PENNEY CO INC |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 130,000 | 57.10 | KLA TENCOR CORPORATION |
| 1E0123 | 7/31/2004 | 8/27/2004 | 150,000 | - | 19.90 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/27/2004 | 61,100,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 110,000 | 57.51 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 150,000 | 57.49 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 111,500 | 57.61 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 113,000 | 57.59 | KLA TENCOR CORPORATION |
| 1E0123 | 7/31/2004 | 8/27/2004 | 125,000 | - | 19.96 | J C PENNEY CO INC |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 140,000 | 57.56 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 146,000 | 57.54 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 154,000 | 57.42 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/27/2004 | - | 100,000 | 57.43 | KLA TENCOR CORPORATION |
| 1E0123 | 7/31/2004 | 8/28/2004 | 145,000 | - | 20.03 | J C PENNEY CO INC |
| 1E0123 | 6/30/2004 | 8/28/2004 | - | 205,000 | 57.19 | KLA TENCOR CORPORATION |
| 1E0123 | 6/30/2004 | 8/28/2004 | - | 160,000 | 57.11 | KLA TENCOR CORPORATION |
| 1E0123 | 7/31/2004 | 8/28/2004 | 200,000 | - | 19.99 | J C PENNEY CO INC |
| 1E0123 | 6/30/2004 | 8/28/2004 | - | 105,000 | 57.21 | KLA TENCOR CORPORATION |
| 1E0123 | 7/31/2004 | 8/28/2004 | 20,050,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 8/29/2004 | 86,852 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 8/29/2004 | 125,000 | - | 20.20 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 8/29/2004 | - | 5,900,000 | 111.52 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 8/29/2004 | 200,000 | - | 20.19 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 9/2/2004 | 160,000 | - | 20.30 | J C PENNEY CO INC |
| 1E0123 | 7/31/2004 | 9/2/2004 | - | 6,400,000 | 110.94 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 9/2/2004 | 190,000 | - | 20.22 | J C PENNEY CO INC |
| 1E0123 | 6/30/2004 | 9/8/2004 | - | 55,000 | 38.10 | CARMAX INC |
| 1E0123 | 7/31/2004 | 9/8/2004 | 4,250,000 | - | 99.64 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 9/8/2004 | - | 56,000 | 38.42 | CARMAX INC |
| 1E0123 | 8/31/2004 | 9/10/2004 | 10,400 | - | 13.20 | ORACLE CORPORATION |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 60,000 | 15.03 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 88,000 | 14.90 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 90,000 | 15.05 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 80,000 | 15.01 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 73,000 | 14.99 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/11/2004 | - | 94,000 | 14.95 | DELTA AIRLINES INC |
| 1E0123 | 7/31/2004 | 9/11/2004 | 7,300,000 | - | 99.64 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 9/12/2004 | - | 96,000 | 14.78 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/12/2004 | - | 97,000 | 14.71 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/12/2004 | - | 99,000 | 14.77 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/12/2004 | - | 33,000 | 14.74 | DELTA AIRLINES INC |
| 1E0123 | 6/30/2004 | 9/12/2004 | - | 95,000 | 14.76 | DELTA AIRLINES INC |
| 1E0123 | 7/31/2004 | 9/12/2004 | - | 13,875,000 | 112.84 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 9/12/2004 | 80,000 | - | 50.87 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/12/2004 | 250,000 | - | 50.81 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/12/2004 | 100,000 | - | 50.75 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/15/2004 | - | 14,375,000 | 112.91 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 9/15/2004 | 50,000 | - | 50.81 | EBAY INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1E0123 | 7/31/2004 | 9/15/2004 | 120,000 | - | 50.73 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/15/2004 | 150,000 | - | 50.64 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/16/2004 | - | 16,050,000 | 112.97 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 9/16/2004 | 90,000 | - | 51.88 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/16/2004 | 110,000 | - | 51.79 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/16/2004 | 150,000 | - | 51.73 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/17/2004 | - | 20,775,000 | 113.13 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 9/17/2004 | 175,000 | - | 51.36 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/17/2004 | 100,000 | - | 51.21 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/17/2004 | 183,000 | - | 51.29 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/18/2004 | 170,000 | - | 53.27 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/18/2004 | 200,000 | - | 53.21 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/18/2004 | 150,000 | - | 53.30 | EBAY INC |
| 1E0123 | 7/31/2004 | 9/18/2004 | - | 24,100,000 | 113.09 | U S TREASURY NOTE |
| 1E0123 | 8/31/2004 | 9/30/2004 | 23,965 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 9/30/2004 | - | 23,966 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 11/30/2004 | 9/30/2004 | - | 92,116,020 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 9/30/2004 | 75,000 | - | 99.70 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 9/30/2004 | 420 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 9/30/2004 | 23,965 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 9/30/2004 | 23,966 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 6/30/2004 | 10/21/2004 | - | 186,000 | 59.51 | AMAZON COM INC |
| 1E0123 | 7/31/2004 | 10/21/2004 | 26,875,000 | - | 99.50 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 10/21/2004 | - | 105,000 | 59.49 | AMAZON COM INC |
| 1E0123 | 6/30/2004 | 10/21/2004 | - | 159,000 | 59.29 | AMAZON COM INC |
| 1E0123 | 6/30/2004 | 10/22/2004 | - | 192,000 | 59.71 | AMAZON COM INC |
| 1E0123 | 7/31/2004 | 10/22/2004 | 26,850,000 | - | 99.51 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 10/22/2004 | - | 106,000 | 59.70 | AMAZON COM INC |
| 1E0123 | 6/30/2004 | 10/22/2004 | - | 150,000 | 59.63 | AMAZON COM INC |
| 1E0123 | 7/31/2004 | 10/24/2004 | 150,000 | - | 62.26 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/24/2004 | - | 14,400,000 | 112.52 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 10/24/2004 | 110,000 | - | 62.31 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/27/2004 | 125,000 | - | 62.50 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/27/2004 | - | 12,525,000 | 112.31 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 10/27/2004 | 100,000 | - | 62.42 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/28/2004 | - | 1,270,000 | 112.72 | U S TREASURY NOTE |
| 1E0123 | 7/31/2004 | 10/28/2004 | - | 7,000,000 | 99.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 10/28/2004 | 100,000 | - | 62.44 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/28/2004 | 40,000 | - | 62.56 | AETNA INC |
| 1E0123 | 11/30/2004 | 10/29/2004 | - | 38,530,723 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 120,000 | 57.54 | MERRILL LYNCH & CO INC |
| 1E0123 | 7/31/2004 | 10/31/2004 | 22,300,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 137,000 | 57.41 | MERRILL LYNCH & CO INC |
| 1E0123 | 7/31/2004 | 10/31/2004 | 160,000 | - | 60.07 | AETNA INC |
| 1E0123 | 7/31/2004 | 10/31/2004 | 200,000 | - | 59.99 | AETNA INC |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 140,000 | 57.26 | MERRILL LYNCH & CO INC |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 104,000 | 57.37 | MERRILL LYNCH & CO INC |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 116,000 | 57.49 | MERRILL LYNCH & CO INC |
| 1E0123 | 6/30/2004 | 10/31/2004 | - | 145,000 | 57.60 | MERRILL LYNCH & CO INC |
| 1E0123 | 8/31/2004 | 10/31/2004 | 14,976 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 10/31/2004 | 14,976 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 11/3/2004 | 175,000 | - | 58.55 | AETNA INC |
| 1E0123 | 6/30/2004 | 11/3/2004 | - | 103,000 | 58.01 | MERRILL LYNCH & CO INC |
| 1E0123 | 7/31/2004 | 11/3/2004 | 5,250,000 | - | 99.55 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 11/3/2004 | - | 100,000 | 57.97 | MERRILL LYNCH & CO INC |
| 1E0123 | 6/30/2004 | 11/3/2004 | - | 105,000 | 58.20 | MERRILL LYNCH & CO INC |
| 1E0123 | 6/30/2004 | 11/3/2004 | - | 110,000 | 58.16 | MERRILL LYNCH & CO INC |
| 1E0123 | 7/31/2004 | 11/3/2004 | 150,000 | - | 58.61 | AETNA INC |
| 1E0123 | 7/31/2004 | 11/14/2004 | 150,000 | - | 21.77 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/14/2004 | - | 150,000 | 38.40 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/14/2004 | 175,000 | - | 21.69 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/14/2004 | - | 140,000 | 37.97 | VERITAS SOFTWARE CORP |
| 1E0123 | 6/30/2004 | 11/14/2004 | - | 155,000 | 38.63 | VERITAS SOFTWARE CORP |
| 1E0123 | 6/30/2004 | 11/14/2004 | - | 198,000 | 37.88 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/14/2004 | 23,750,000 | - | 99.59 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1E0123 | 6/30/2004 | 11/14/2004 | - | 160,000 | 38.55 | VERITAS SOFTWARE CORP |
| 1E0123 | 6/30/2004 | 11/17/2004 | - | 135,000 | 38.43 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/17/2004 | 145,000 | - | 21.73 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/17/2004 | 6,175,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 11/17/2004 | - | 200,000 | 38.51 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/17/2004 | 165,000 | - | 21.66 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/18/2004 | - | 140,000 | 38.38 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/18/2004 | 170,000 | - | 22.12 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/18/2004 | - | 120,000 | 38.19 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/18/2004 | 155,000 | - | 22.24 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/18/2004 | 6,775,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 11/18/2004 | - | 105,000 | 38.27 | VERITAS SOFTWARE CORP |
| 1E0123 | 7/31/2004 | 11/19/2004 | - | 6,500,000 | 99.84 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 11/19/2004 | 125,000 | - | 21.50 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/19/2004 | 175,000 | - | 21.62 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/20/2004 | - | 140,000 | 53.85 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/20/2004 | - | 152,000 | 53.77 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/20/2004 | - | 165,000 | 53.72 | SEARS ROEBUCK & CO |
| 1E0123 | 7/31/2004 | 11/20/2004 | 31,550,000 | - | 99.62 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 11/20/2004 | - | 152,000 | 53.80 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/20/2004 | - | 159,000 | 53.69 | SEARS ROEBUCK & CO |
| 1E0123 | 7/31/2004 | 11/20/2004 | 150,000 | - | 21.22 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/20/2004 | 190,000 | - | 21.31 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/20/2004 | 125,000 | - | 21.02 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 41,000 | 54.49 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 183,000 | 58.42 | BEST BUY CO INC |
| 1E0123 | 7/31/2004 | 11/21/2004 | 225,000 | - | 20.71 | APPLE COMPUTER INC |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 117,000 | 58.60 | BEST BUY CO INC |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 154,000 | 58.55 | BEST BUY CO INC |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 110,000 | 54.54 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 115,000 | 54.68 | SEARS ROEBUCK & CO |
| 1E0123 | 7/31/2004 | 11/21/2004 | 200,000 | - | 20.59 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2004 | 11/21/2004 | 38,500,000 | - | 99.62 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 11/21/2004 | - | 111,000 | 54.60 | SEARS ROEBUCK & CO |
| 1E0123 | 6/30/2004 | 11/24/2004 | - | 173,000 | 58.17 | BEST BUY CO INC |
| 1E0123 | 6/30/2004 | 11/24/2004 | - | 190,000 | 58.25 | BEST BUY CO INC |
| 1E0123 | 7/31/2004 | 11/24/2004 | 21,200,000 | - | 99.63 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 11/28/2004 | - | 2,878 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 11/28/2004 | 2,878 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 11/28/2004 | 2,874 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 11/28/2004 | 2,874 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 11/28/2004 | 170,000 | - | 99.64 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/1/2004 | - | 152,000 | 24.83 | ALTERA CORP |
| 1E0123 | 7/31/2004 | 12/1/2004 | 7,450,000 | - | 99.50 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/1/2004 | - | 148,000 | 24.75 | ALTERA CORP |
| 1E0123 | 6/30/2004 | 12/2/2004 | - | 165,000 | 25.03 | ALTERA CORP |
| 1E0123 | 7/31/2004 | 12/2/2004 | 13,400,000 | - | 99.51 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/2/2004 | - | 175,000 | 24.96 | ALTERA CORP |
| 1E0123 | 6/30/2004 | 12/2/2004 | - | 193,000 | 25.14 | ALTERA CORP |
| 1E0123 | 7/31/2004 | 12/4/2004 | - | 1,925,000 | 99.88 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/4/2004 | 150,000 | - | 7.35 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/4/2004 | 110,000 | - | 7.43 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/5/2004 | 125,000 | - | 7.22 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/5/2004 | 130,000 | - | 7.25 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/5/2004 | - | 1,850,000 | 99.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/8/2004 | 150,000 | - | 7.24 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/8/2004 | 145,000 | - | 7.29 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/8/2004 | - | 2,150,000 | 99.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/9/2004 | - | 2,025,000 | 99.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/9/2004 | 110,000 | - | 7.28 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/9/2004 | 165,000 | - | 7.31 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/10/2004 | 115,000 | - | 7.22 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/10/2004 | - | 1,750,000 | 99.90 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/10/2004 | 125,000 | - | 7.25 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/11/2004 | 250,000 | - | 7.20 | AT & T WIRELESS SERVICES INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1E0123 | 7/31/2004 | 12/11/2004 | - | 3,250,000 | 99.90 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/11/2004 | 200,000 | - | 7.22 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/12/2004 | 150,000 | - | 7.17 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/12/2004 | 225,000 | - | 7.10 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/12/2004 | - | 2,700,000 | 99.90 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/15/2004 | 170,000 | - | 7.17 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/15/2004 | 230,000 | - | 7.21 | AT & T WIRELESS SERVICES INC |
| 1E0123 | 7/31/2004 | 12/15/2004 | - | 2,900,000 | 99.91 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 101,000 | 35.32 | ALCOA INC |
| 1E0123 | 7/31/2004 | 12/18/2004 | 29,225,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 130,000 | 35.17 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 136,000 | 35.41 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 166,000 | 35.28 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 142,000 | 35.37 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/18/2004 | - | 149,000 | 35.48 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 100,000 | 34.70 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 120,000 | 34.89 | ALCOA INC |
| 1E0123 | 7/31/2004 | 12/19/2004 | 27,475,000 | - | 99.60 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 106,000 | 34.78 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 140,000 | 34.84 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 153,000 | 34.95 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/19/2004 | - | 167,000 | 34.73 | ALCOA INC |
| 1E0123 | 6/30/2004 | 12/22/2004 | - | 150,000 | 35.50 | ALCOA INC |
| 1E0123 | 7/31/2004 | 12/22/2004 | 9,625,000 | - | 99.61 | U S TREASURY BILL |
| 1E0123 | 6/30/2004 | 12/22/2004 | - | 120,000 | 35.56 | ALCOA INC |
| 1E0123 | 7/31/2004 | 12/31/2004 | 175,000 | - | 99.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2004 | 12/31/2004 | - | 1,140,000 | 99.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/31/2004 | - | 33,343 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 12/31/2004 | 23,023 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 12/31/2004 | - | 175,000 | 99.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2004 | 12/31/2004 | 23,023 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2004 | 12/31/2004 | 33,343 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2004 | 12/31/2004 | 1,315,000 | - | 99.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/5/2005 | 50,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/6/2005 | 1,350,000 | - | 98.71 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/13/2005 | 524,125,000 | - | 98.92 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/20/2005 | 650,000 | - | 98.93 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/27/2005 | 139,225,000 | - | 98.91 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/31/2005 | 100,000 | - | 98.93 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 1/31/2005 | 255 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 1/31/2005 | - | 62,443 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 1/31/2005 | 4,911 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 2/1/2005 | 250,000 | - | 98.81 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 2/3/2005 | 1,825,000 | - | 98.82 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 2/15/2005 | 725,000 | - | 98.89 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 2/28/2005 | 30,600 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 3/31/2005 | 2/28/2005 | - | 339,214,171 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 3/3/2005 | 74,425,000 | - | 98.98 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 3/4/2005 | 425,000 | - | 98.98 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 3/17/2005 | 76,200,000 | - | 99.01 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 3/24/2005 | 244,150,000 | - | 99.06 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 3/31/2005 | 1,425,000 | - | 99.12 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 3/31/2005 | 10,640 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 4/1/2005 | 350,000 | - | 98.66 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 4/29/2005 | 44,897 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 5/31/2005 | 4/29/2005 | - | 656,366,511 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 5/2/2005 | 250,000 | - | 98.93 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 5/16/2005 | 800,000 | - | 98.88 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 5/16/2005 | - | 91,048 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 5/16/2005 | 100,000 | - | 98.88 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 5/31/2005 | 251 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 5/31/2005 | 13,876 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 6/3/2005 | 425,000 | - | 99.09 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 6/15/2005 | 50,000 | - | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 6/16/2005 | 528,325,000 | - | 99.20 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------|
| 1E0123 | 8/31/2005 | 6/23/2005 | 650,000 | - | 99.17 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 6/30/2005 | 127 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2005 | 6/30/2005 | - | 658,956,671 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 6/30/2005 | 1 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 6/30/2005 | 141,450,000 | - | 98.58 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 6/30/2005 | 1,678 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 7/1/2005 | 350,000 | - | 98.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 7/7/2005 | 1,350,000 | - | 98.58 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 7/14/2005 | 78,800,000 | - | 98.53 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 7/21/2005 | 326,800,000 | - | 98.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 7/29/2005 | 18,512 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 7/29/2005 | 26,759 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 7/30/2005 | 2,781 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 9/3/2005 | 325,000 | - | 99.25 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 9/9/2005 | 1,350,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 9/16/2005 | 2,700,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 9/23/2005 | 650,000 | - | 99.42 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 9/30/2005 | 25,000 | - | 99.18 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 9/30/2005 | 17,317 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 10/1/2005 | 325,000 | - | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 60,000 | 39.25 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 70,000 | 39.55 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 86,000 | 39.30 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 30,000 | 39.50 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 60,000 | 39.45 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 76,000 | 39.53 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | 32,850,000 | - | 99.20 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 50,000 | 39.11 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 80,000 | 39.20 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 100,000 | 39.41 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 56,000 | 39.39 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 36,000 | 39.46 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 68,000 | 39.47 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/4/2005 | - | 56,000 | 39.28 | BOSTON SCIENTIFIC |
| 1E0123 | 8/31/2005 | 10/14/2005 | 515,775,000 | - | 99.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 10/21/2005 | 1,975,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 10/27/2005 | 38,500,000 | - | 99.31 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 10/29/2005 | 11,940 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 10/29/2005 | 50,000 | - | 99.22 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 11/1/2005 | 925,000 | - | 99.23 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 11/15/2005 | 725,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 40,000 | 41.58 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 55,000 | 41.33 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 60,000 | 41.61 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 75,000 | 41.29 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 90,000 | 41.45 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 90,000 | 41.55 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 100,000 | 41.46 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 100,000 | 41.37 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 60,000 | 41.34 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 80,000 | 41.48 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 25,000 | 41.56 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 45,000 | 41.40 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 70,000 | 41.41 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 80,000 | 41.31 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 95,000 | 41.25 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | 73,750,000 | - | 99.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 50,000 | 41.49 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 70,000 | 41.40 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 75,000 | 41.50 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 80,000 | 41.25 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 83,000 | 41.35 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 125,000 | 41.37 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 50,000 | 41.62 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 70,000 | 41.64 | VERIZON COMMUNICATIONS |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1E0123 | 8/31/2005 | 11/16/2005 | - | 100,000 | 41.35 | VERIZON COMMUNICATIONS |
| 1E0123 | 8/31/2005 | 11/30/2005 | 19,432 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 11/30/2005 | 50,000 | - | 99.30 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/3/2005 | 325,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/15/2005 | 325,000 | - | 99.40 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/16/2005 | 350,000 | - | 99.37 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/21/2005 | - | 145,000 | 115.78 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/21/2005 | 78,050,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/21/2005 | - | 150,000 | 115.81 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/21/2005 | - | 25,000 | 115.93 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/21/2005 | - | 175,000 | 115.99 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/21/2005 | - | 175,000 | 115.85 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/22/2005 | - | 145,000 | 115.80 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/22/2005 | - | 150,000 | 115.00 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/22/2005 | 74,400,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/22/2005 | - | 155,000 | 115.76 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/22/2005 | - | 30,000 | 115.76 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/22/2005 | - | 160,000 | 115.74 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 100,000 | 114.58 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 140,000 | 114.69 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 150,000 | 114.64 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 128,000 | 115.38 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 150,000 | 115.47 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | - | 100,000 | 114.55 | EBAY INC |
| 1E0123 | 8/31/2005 | 12/23/2005 | 88,725,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/30/2005 | 925,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2005 | 12/30/2005 | 3,437 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2005 | 12/31/2005 | 75,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 145,000 | 39.97 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | 105,000 | - | 80.72 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 100,000 | 40.13 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 125,000 | 39.99 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 150,000 | 40.19 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 155,000 | 40.22 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | - | 110,000 | 40.09 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/3/2006 | 90,000 | - | 80.69 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 1/3/2006 | 80,000 | - | 80.63 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 1/3/2006 | 9,475,000 | - | 98.02 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 105,000 | 39.84 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 120,000 | 39.78 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 130,000 | 39.90 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 115,000 | 40.19 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 128,000 | 39.88 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 135,000 | 39.77 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 140,000 | 39.93 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/4/2006 | 114,000 | - | 81.62 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 1/4/2006 | 31,600,000 | - | 98.04 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/4/2006 | - | 136,000 | 40.11 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/5/2006 | - | 142,000 | 39.39 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/5/2006 | - | 98,000 | 39.32 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/5/2006 | - | 112,000 | 39.26 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/5/2006 | - | 102,000 | 39.21 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/5/2006 | 22,975,000 | - | 98.05 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/5/2006 | - | 118,000 | 39.19 | YAHOO INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 49,000 | - | 43.64 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 50,000 | - | 43.40 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 75,000 | - | 25.09 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | 75,000 | - | 37.92 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 80,000 | - | 49.41 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 80,000 | - | 69.58 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 95,000 | - | 49.24 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 105,000 | - | 34.99 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 120,000 | - | 38.09 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 125,000 | - | 37.80 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 25,700 | - | 38.22 | ALLEGHENY TECHNOLOGIES INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1E0123 | 7/31/2006 | 1/9/2006 | 75,000 | - | 37.92 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 75,000 | - | 38.15 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 120,000 | - | 24.86 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | - | 95,000 | 34.71 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 75,000 | - | 49.33 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 80,000 | - | 49.31 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 90,000 | - | 37.95 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 100,000 | - | 24.84 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | 110,000 | - | 24.82 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | 110,000 | - | 37.88 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 55,000 | - | 43.53 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 90,000 | - | 49.57 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2006 | 1/9/2006 | - | 125,000 | 28.31 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 80,000 | - | 24.92 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | 115,000 | - | 24.90 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 8/31/2006 | 1/9/2006 | - | 100,000 | 28.26 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 70,000 | - | 43.35 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 85,000 | - | 49.46 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 95,000 | - | 24.87 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | 95,000 | - | 34.71 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 95,000 | - | 69.47 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 115,000 | - | 25.16 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2006 | 1/9/2006 | - | 105,000 | 34.99 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | - | 124,625,000 | 98.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/9/2006 | 60,000 | - | 43.60 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 80,000 | - | 43.41 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 90,000 | - | 38.00 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 95,000 | - | 69.35 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/9/2006 | 100,000 | - | 37.81 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 110,000 | - | 24.96 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 8/31/2006 | 1/9/2006 | - | 98,000 | 28.19 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/9/2006 | 50,000 | - | 43.43 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 60,000 | - | 43.59 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 90,000 | - | 43.56 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/9/2006 | 110,000 | - | 69.41 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 75,000 | - | 69.29 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 95,000 | - | 43.97 | INCO LTD |
| 1E0123 | 8/31/2006 | 1/10/2006 | - | 105,000 | 28.11 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/10/2006 | 80,000 | - | 49.89 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 90,000 | - | 34.89 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 78,000 | - | 50.12 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 90,000 | - | 49.79 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 90,000 | - | 49.83 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 80,000 | - | 44.09 | INCO LTD |
| 1E0123 | 8/31/2006 | 1/10/2006 | - | 116,000 | 28.18 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/10/2006 | - | 80,000 | 34.77 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | - | 90,000 | 34.89 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 110,000 | - | 69.37 | NUCOR CORP |
| 1E0123 | 8/31/2006 | 1/10/2006 | - | 110,000 | 28.27 | COMPUTER ASSOC INTL INC |
| 1E0123 | 7/31/2006 | 1/10/2006 | - | 53,025,000 | 99.90 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/10/2006 | 45,000 | - | 44.17 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/10/2006 | 110,000 | - | 49.77 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 115,000 | - | 69.48 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 80,000 | - | 34.77 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 88,000 | - | 50.08 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/10/2006 | 115,000 | - | 43.90 | INCO LTD |
| 1E0123 | 7/31/2006 | 1/11/2006 | 85,000 | - | 35.30 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 110,000 | - | 35.22 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 75,000 | - | 51.32 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 105,000 | - | 35.36 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 110,000 | - | 70.44 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 80,000 | - | 51.29 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 90,000 | - | 51.40 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | - | 110,000 | 35.22 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 100,000 | - | 51.25 | UNITED STATES STEEL CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1E0123 | 7/31/2006 | 1/11/2006 | - | 85,000 | 35.30 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | - | 105,000 | 35.36 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | - | 38,925,000 | 99.91 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/11/2006 | 85,000 | - | 70.60 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/11/2006 | 105,000 | - | 70.72 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/12/2006 | 105,000 | - | 71.12 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/12/2006 | - | 18,575,000 | 99.92 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/12/2006 | 80,000 | - | 71.35 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/12/2006 | 90,000 | - | 71.21 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/13/2006 | - | 6,700,000 | 99.93 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/13/2006 | 95,000 | - | 70.60 | NUCOR CORP |
| 1E0123 | 7/31/2006 | 1/19/2006 | 299,125,000 | - | 98.01 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 105,000 | 83.51 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 115,000 | 83.68 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 130,000 | 83.52 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 98,000 | 83.84 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 135,000 | 83.41 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 140,000 | 83.30 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 125,000 | 83.76 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 168,000 | 83.61 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 170,000 | 83.78 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 165,000 | 83.59 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 100,000 | 83.71 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 110,000 | 83.47 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 120,000 | 83.64 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 132,000 | 82.99 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 175,000 | 83.89 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | 207,800,000 | - | 98.05 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 145,000 | 83.22 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 150,000 | 83.80 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/23/2006 | - | 155,000 | 83.56 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 118,000 | 81.39 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 173,000 | 80.50 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 99,000 | 81.53 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 131,000 | 80.36 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 148,000 | 80.30 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 107,000 | 80.78 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 123,000 | 81.46 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 154,000 | 80.99 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | 153,700,000 | - | 98.06 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 95,000 | 80.32 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 90,000 | 80.88 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 162,000 | 80.75 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 80,000 | 80.43 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 128,000 | 81.58 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 142,000 | 81.41 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/24/2006 | - | 112,000 | 81.49 | APPLE COMPUTER INC |
| 1E0123 | 7/31/2006 | 1/26/2006 | 2,675,000 | - | 97.97 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 1/31/2006 | 17,349 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 2/6/2006 | - | 100,000 | 40.19 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/6/2006 | - | 92,722 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 2/6/2006 | - | 117,000 | 40.15 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/6/2006 | 9,250,000 | - | 97.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/7/2006 | 100,000 | - | 97.81 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | 100,000 | - | 97.99 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 110,000 | 40.51 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 105,000 | 69.03 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 113,000 | 69.17 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 120,000 | 69.20 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | 97,000 | - | 97.87 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 98,000 | 40.31 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/7/2006 | 75,000 | - | 28.29 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 112,000 | 68.88 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 124,000 | 69.08 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | 45,000 | - | 28.35 | OFFICE MAX |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1E0123 | 7/31/2006 | 2/7/2006 | 89,000 | - | 97.92 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 117,000 | 68.99 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/7/2006 | 75,000 | - | 98.01 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 105,000 | 40.35 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/7/2006 | - | 114,000 | 40.48 | ANALOG DEVICES |
| 1E0123 | 7/31/2006 | 2/7/2006 | 50,000 | - | 28.25 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/7/2006 | 100,000 | - | 28.37 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/7/2006 | 110,000 | - | 97.95 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/7/2006 | 1,450,000 | - | 97.80 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 125,000 | 67.90 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 145,000 | 68.72 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/8/2006 | 75,000 | - | 100.09 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 100,000 | 67.70 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 108,000 | 67.81 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 125,000 | 68.69 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 130,000 | 68.66 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/8/2006 | 90,000 | - | 28.01 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/8/2006 | 90,000 | - | 99.71 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 150,000 | 68.77 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/8/2006 | 20,000 | - | 28.12 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/8/2006 | 75,000 | - | 27.99 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/8/2006 | 115,000 | - | 99.67 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | 125,000 | - | 99.62 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | 17,575,000 | - | 97.81 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 90,000 | 67.69 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 102,000 | 67.75 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/8/2006 | 85,000 | - | 99.77 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | 90,000 | - | 100.15 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2006 | 2/8/2006 | - | 100,000 | 68.81 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 56,250 | 69.27 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 148,000 | 69.35 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 136,000 | 69.42 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | 88,400,000 | - | 97.82 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 98,750 | 70.07 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 109,000 | 68.38 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 110,000 | 70.11 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | 50,000 | - | 27.71 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 103,000 | 68.26 | MARVELL TECHNOLOGY GRP LTD |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 107,000 | 69.53 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 115,500 | 69.61 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | 49,000 | - | 27.83 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 105,000 | 70.01 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 114,000 | 69.48 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | - | 99,000 | 69.37 | BROADCOM CORP CL A |
| 1E0123 | 7/31/2006 | 2/9/2006 | 40,000 | - | 27.77 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/10/2006 | - | 2,550,000 | 97.82 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/10/2006 | 90,000 | - | 27.58 | OFFICE MAX |
| 1E0123 | 7/31/2006 | 2/17/2006 | - | 98,000 | 35.55 | COACH INC |
| 1E0123 | 7/31/2006 | 2/17/2006 | - | 110,000 | 35.62 | COACH INC |
| 1E0123 | 7/31/2006 | 2/17/2006 | - | 115,000 | 35.49 | COACH INC |
| 1E0123 | 7/31/2006 | 2/17/2006 | - | 120,000 | 35.41 | COACH INC |
| 1E0123 | 7/31/2006 | 2/17/2006 | - | 125,000 | 35.69 | COACH INC |
| 1E0123 | 7/31/2006 | 2/17/2006 | 21,450,000 | - | 97.92 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/21/2006 | - | 80,000 | 35.59 | COACH INC |
| 1E0123 | 7/31/2006 | 2/21/2006 | - | 100,000 | 35.65 | COACH INC |
| 1E0123 | 7/31/2006 | 2/21/2006 | - | 76,000 | 35.57 | COACH INC |
| 1E0123 | 7/31/2006 | 2/21/2006 | 9,300,000 | - | 97.96 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 2/28/2006 | 125,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 2/28/2006 | 22,257 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 60,000 | 51.96 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 100,000 | 61.58 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 160,000 | 61.77 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 145,000 | 61.48 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 150,000 | 61.72 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | 100,000 | - | 20.17 | GENERAL MOTORS CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------|
| 1E0123 | 7/31/2006 | 3/2/2006 | 73,450,000 | - | 98.14 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 98,000 | 52.22 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 102,000 | 51.99 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/2/2006 | 110,000 | - | 20.15 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 99,000 | 51.98 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 110,000 | 61.64 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | 95,000 | - | 20.20 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 100,000 | 52.20 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 125,000 | 61.67 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | - | 115,000 | 61.50 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/2/2006 | 75,000 | - | 20.22 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 120,500 | 51.91 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 123,000 | 60.52 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | 115,000 | - | 20.20 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | 90,000 | - | 20.31 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 98,000 | 60.69 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 115,000 | 51.59 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 149,000 | 60.41 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 110,000 | 51.81 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 130,000 | 61.01 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 140,000 | 60.81 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 108,000 | 51.86 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 155,000 | 60.48 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | 85,000 | - | 20.35 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | 98,250,000 | - | 98.16 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 112,000 | 51.77 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 118,000 | 60.91 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 120,000 | 60.75 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | 110,000 | - | 20.39 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | 125,000 | - | 20.27 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 105,000 | 60.83 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/3/2006 | - | 135,000 | 60.96 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 125,000 | 51.37 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 137,000 | 60.12 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | 90,000 | - | 12.97 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 123,000 | 51.28 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 128,000 | 60.27 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | 125,000 | - | 12.91 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 102,000 | 51.21 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 124,500 | 51.33 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 120,000 | 51.41 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 132,000 | 60.32 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | 80,000 | - | 13.00 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 103,000 | 60.22 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 107,000 | 60.10 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | - | 117,000 | 60.05 | PROCTER & GAMBLE CO |
| 1E0123 | 7/31/2006 | 3/6/2006 | 110,000 | - | 12.88 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/6/2006 | 70,125,000 | - | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/7/2006 | - | 118,500 | 50.99 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/7/2006 | 75,000 | - | 12.98 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/7/2006 | - | 121,000 | 51.28 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/7/2006 | 100,000 | - | 12.91 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/7/2006 | - | 119,000 | 51.22 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/7/2006 | - | 117,500 | 50.86 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/7/2006 | 26,700,000 | - | 97.67 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/7/2006 | - | 103,500 | 50.81 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/7/2006 | 95,000 | - | 12.94 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/8/2006 | - | 118,000 | 50.88 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/8/2006 | - | 110,500 | 50.72 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/8/2006 | 90,000 | - | 13.00 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/8/2006 | - | 105,000 | 50.11 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/8/2006 | 75,000 | - | 12.99 | EL PASO CORPORATION |
| 1E0123 | 7/31/2006 | 3/8/2006 | 19,250,000 | - | 97.68 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/8/2006 | - | 104,000 | 51.19 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/8/2006 | 95,000 | - | 13.01 | EL PASO CORPORATION |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1E0123 | 7/31/2006 | 3/9/2006 | - | 113,500 | 49.81 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/9/2006 | - | 114,000 | 49.75 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/9/2006 | - | 112,500 | 50.16 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/9/2006 | - | 113,000 | 50.08 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/9/2006 | - | 111,000 | 50.22 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/9/2006 | 28,875,000 | - | 97.67 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 139,000 | 49.86 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 100,000 | 28.42 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 105,000 | 28.32 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 108,000 | 28.29 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 114,500 | 50.17 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 140,000 | 49.89 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/10/2006 | 46,025,000 | - | 97.68 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 115,000 | 28.37 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 120,000 | 28.21 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 125,000 | 28.50 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/10/2006 | - | 119,500 | 50.08 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 125,000 | 50.63 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 119,000 | 27.80 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 124,000 | 27.77 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 102,000 | 27.91 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 99,000 | 27.96 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 123,000 | 27.85 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | 42,860,000 | - | 97.71 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 98,000 | 28.02 | NATIONAL SEMICONDUCTOR CORP |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 150,000 | 50.77 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/13/2006 | - | 185,000 | 50.69 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/14/2006 | - | 175,000 | 50.78 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/14/2006 | - | 160,000 | 50.68 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/14/2006 | 17,375,000 | - | 97.74 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/15/2006 | - | 145,000 | 51.03 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/15/2006 | - | 158,000 | 50.88 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/15/2006 | 100,000 | - | 21.12 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/15/2006 | 16,100,000 | - | 97.74 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/15/2006 | 95,000 | - | 20.99 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/15/2006 | 105,000 | - | 21.24 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/15/2006 | - | 130,000 | 50.98 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/16/2006 | - | 135,000 | 51.49 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/16/2006 | 15,725,000 | - | 97.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/16/2006 | - | 65,000 | 51.32 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/16/2006 | - | 99,000 | 51.40 | AETNA INC |
| 1E0123 | 7/31/2006 | 3/17/2006 | 115,000 | - | 21.00 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/17/2006 | 125,000 | - | 20.88 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/17/2006 | - | 7,250,000 | 98.24 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 3/17/2006 | 100,000 | - | 20.93 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2006 | 3/30/2006 | 450,000 | - | 98.02 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 3/31/2006 | 10,073 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 4/6/2006 | 425,000 | - | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 4/13/2006 | 25,000 | - | 98.27 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 4/20/2006 | 325,000 | - | 98.37 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 4/27/2006 | 147,175,000 | - | 98.16 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 4/28/2006 | 12,326 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 5/5/2006 | - | 98,000 | 84.52 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/5/2006 | - | 125,000 | 85.65 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/5/2006 | - | 120,000 | 85.61 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/5/2006 | - | 100,000 | 85.46 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/5/2006 | - | 110,000 | 84.58 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/5/2006 | 48,450,000 | - | 97.97 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 5/8/2006 | - | 115,000 | 85.77 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/8/2006 | - | 99,000 | 85.89 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/8/2006 | - | 105,000 | 85.84 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/8/2006 | 49,025,000 | - | 98.00 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 5/8/2006 | - | 118,000 | 85.71 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/8/2006 | - | 123,000 | 85.79 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 85,000 | 86.63 | BOEING CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 99,500 | 86.91 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 80,000 | 86.72 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 102,000 | 86.75 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 107,500 | 87.01 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/9/2006 | 51,625,000 | - | 98.01 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 5/9/2006 | - | 109,000 | 86.79 | BOEING CO |
| 1E0123 | 7/31/2006 | 5/11/2006 | 2,825,000 | - | 98.05 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 5/18/2006 | 81,725,000 | - | 98.05 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 5/25/2006 | 340,225,000 | - | 98.05 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 5/31/2006 | 8,748 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 6/1/2006 | 75,000 | - | 98.03 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 6/15/2006 | 800,000 | - | 98.61 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 6/22/2006 | 64,950,000 | - | 98.58 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 6/30/2006 | 28,208 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2006 | 7/6/2006 | 450,000 | - | 97.84 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 7/20/2006 | 100,000 | - | 97.95 | U S TREASURY BILL |
| 1E0123 | 8/31/2006 | 7/31/2006 | 30,296 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 8/1/2006 | 250,000 | - | 98.55 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 8/15/2006 | 800,000 | - | 98.65 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 8/31/2006 | - | 61,332 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 8/31/2006 | - | 850,000 | 98.76 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 8/31/2006 | 75,000 | - | 98.76 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 8/31/2006 | 850,000 | - | 98.76 | U S TREASURY BILL |
| 1E0123 | 9/30/2006 | 8/31/2006 | - | 142,756,200 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 8/31/2006 | 11,952 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 9/8/2006 | 600,000 | - | 98.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/8/2006 | - | 600,000 | 98.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/8/2006 | 1,025,000 | - | 98.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/15/2006 | 50,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/22/2006 | 534,975,000 | - | 98.84 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/29/2006 | 1,575,000 | - | 98.84 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 9/30/2006 | 60,656 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 10/4/2006 | 350,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 10/31/2006 | 22,251 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 10/31/2006 | 25,000 | - | 98.16 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 11/15/2006 | 1,050,000 | - | 99.23 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 11/30/2006 | 200,000 | - | 98.42 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 11/30/2006 | - | 94,859 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 11/30/2006 | 21,414 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2006 | 11/30/2006 | - | 100,000 | 98.42 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 11/30/2006 | 100,000 | - | 98.42 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/8/2006 | 144,475,000 | - | 98.44 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/15/2006 | 1,825,000 | - | 98.33 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/22/2006 | 80,125,000 | - | 98.34 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/29/2006 | 800,000 | - | 98.33 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/29/2006 | 332,600,000 | - | 98.33 | U S TREASURY BILL |
| 1E0123 | 7/31/2006 | 12/30/2006 | 53,959 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 1/4/2007 | - | 145,450,000 | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 1/4/2007 | 145,300,000 | - | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 1/4/2007 | 145,450,000 | - | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 1/11/2007 | 21,475,000 | - | 98.27 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 1/18/2007 | 950,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 1/25/2007 | 450,000 | - | 98.35 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 1/25/2007 | - | 77,772 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 1/25/2007 | 275,000 | - | 98.35 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 1/31/2007 | 10,777 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 2/1/2007 | 222,050,000 | - | 98.16 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/1/2007 | - | 222,050,000 | 98.16 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/1/2007 | 221,975,000 | - | 98.16 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/8/2007 | 152,800,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/9/2007 | 1,625,000 | - | 98.27 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/9/2007 | - | 1,625,000 | 98.27 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 2/22/2007 | 175,000 | - | 98.17 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 2/22/2007 | 875,000 | - | 98.17 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 2/28/2007 | 1,889 | - | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------|
| 1E0123 | 7/31/2007 | 3/1/2007 | 225,525,000 | - | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/1/2007 | 225,950,000 | - | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/1/2007 | - | 225,950,000 | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | 175,000 | - | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | 85,100,000 | - | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | 8,500,000 | - | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | - | 8,500,000 | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | - | 85,100,000 | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/8/2007 | 85,000,000 | - | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/12/2007 | 500,000 | - | 98.15 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 3/12/2007 | 175,000 | - | 98.15 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/15/2007 | 353,900,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/22/2007 | 75,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 3/22/2007 | 75,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 3/27/2007 | 100,000 | - | 98.17 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 3/30/2007 | 63,713 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 4/5/2007 | 71,350,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | 73,075,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | 73,065,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | - | 73,375,000 | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | - | 73,075,000 | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | - | 73,065,000 | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/5/2007 | 73,375,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/12/2007 | - | 175,000 | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/12/2007 | 175,000 | - | 98.10 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/19/2007 | 702,350,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/19/2007 | - | 702,350,000 | 98.11 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 4/19/2007 | 175,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/19/2007 | 701,700,000 | - | 98.11 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 4/26/2007 | 125,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 4/26/2007 | 2,925,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 4/26/2007 | - | 76,379 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 4/30/2007 | 10,264 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 5/3/2007 | 256,575,000 | - | 97.95 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/10/2007 | 100,000 | - | 98.07 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/11/2007 | 1,675,000 | - | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/11/2007 | - | 1,675,000 | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/17/2007 | 21,525,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/17/2007 | 148,075,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/17/2007 | 148,225,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/17/2007 | - | 148,225,000 | 98.12 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/17/2007 | 350,000 | - | 98.12 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 5/24/2007 | 300,000 | - | 98.09 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 5/24/2007 | 1,425,000 | - | 98.09 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 5/31/2007 | 19,045 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 6/11/2007 | 175,000 | - | 98.70 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 6/11/2007 | 500,000 | - | 98.70 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 6/14/2007 | 1,625,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 6/14/2007 | - | 1,625,000 | 98.77 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 6/14/2007 | 379,550,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 6/29/2007 | 23,974 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 7/2/2007 | - | 475,000 | 97.87 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 7/2/2007 | 23,577 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 7/2/2007 | 475,000 | - | 97.87 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 7/5/2007 | 175,000 | - | 97.86 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 7/31/2007 | 5,905 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 7/31/2007 | 50,000 | - | 97.92 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/1/2007 | - | 111,908 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 8/1/2007 | 475,000 | - | 97.85 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/3/2007 | 140,575,000 | - | 97.98 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 80,000 | 43.90 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | 110,000 | - | 22.69 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/8/2007 | 150,000 | - | 63.70 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 83,000 | 44.58 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 125,000 | 44.29 | OFFICE MAX |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1E0123 | 7/31/2007 | 8/8/2007 | 78,000 | - | 22.80 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 75,000 | 44.65 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 81,000 | 44.36 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | 67,000 | - | 60.22 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/8/2007 | 88,000 | - | 63.89 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 90,000 | 44.60 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | - | 150,000 | 44.52 | OFFICE MAX |
| 1E0123 | 7/31/2007 | 8/8/2007 | 69,100 | - | 60.30 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/8/2007 | 120,000 | - | 22.74 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/9/2007 | 75,000 | - | 27.08 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/9/2007 | - | 120,000 | 42.54 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/9/2007 | 100,000 | - | 26.99 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/9/2007 | - | 61,000 | 42.49 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/9/2007 | 109,000 | - | 27.21 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/11/2007 | 57,550 | - | 66.99 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/11/2007 | - | 88,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/11/2007 | 1,650,000 | - | 97.98 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 97,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 135,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 200,000 | 49.29 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 83,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 179,000 | 49.17 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/14/2007 | 75,000 | - | 63.91 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/14/2007 | 84,600 | - | 68.53 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/14/2007 | 110,000 | - | 63.88 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 170,000 | 49.22 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/14/2007 | 99,000 | - | 63.82 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/14/2007 | 116,000 | - | 68.66 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/14/2007 | 125,000 | - | 63.64 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 51,000 | 48.96 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/14/2007 | 85,000 | - | 63.85 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 120,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 120,000 | 49.10 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/14/2007 | - | 150,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/14/2007 | 95,000 | - | 68.46 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/14/2007 | 145,000 | - | 63.97 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 155,000 | 41.54 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 125,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 130,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | 95,000 | - | 69.30 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/15/2007 | 70,000 | - | 62.83 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 156,000 | 47.49 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/15/2007 | 70,000 | - | 68.97 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/15/2007 | 124,350 | - | 62.77 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 100,000 | 41.49 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 100,000 | 47.41 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 111,000 | 41.40 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | 76,500 | - | 62.97 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 75,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 95,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 99,000 | 41.37 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 200,000 | 43.84 | COCA COLA CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | 110,000 | - | 69.00 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/15/2007 | 21,125,000 | - | 97.95 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/15/2007 | - | 16,300 | 41.50 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/15/2007 | 40,000 | - | 69.21 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/16/2007 | - | 110,000 | 66.36 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/16/2007 | 75,000 | - | 72.98 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/16/2007 | 25,000 | - | 73.03 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/17/2007 | 41,700 | - | 73.12 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/17/2007 | - | 185,000 | 66.69 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/17/2007 | 100,000 | - | 72.99 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/17/2007 | - | 175,000 | 66.76 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/17/2007 | 77,000 | - | 73.07 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/17/2007 | 110,000 | - | 73.11 | RESEARCH IN MOTION LTD |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 188,000 | 45.17 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/18/2007 | 60,000 | - | 64.99 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 250,000 | 45.29 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/18/2007 | 100,000 | - | 73.27 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/18/2007 | 110,000 | - | 65.22 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | 150,000 | - | 26.77 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 172,000 | 45.03 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/18/2007 | 75,000 | - | 65.08 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | 99,200 | - | 26.94 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | 170,000 | - | 73.39 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/18/2007 | 82,000 | - | 65.14 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 75,000 | 67.92 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 220,000 | 45.34 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/18/2007 | 110,000 | - | 26.83 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | 205,000 | - | 26.89 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/18/2007 | - | 200,000 | 68.12 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 210,000 | 47.60 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 75,000 | - | 31.75 | PRICELINE COM INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | 100,000 | - | 59.59 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 210,000 | - | 25.49 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 80,000 | - | 74.86 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/21/2007 | 95,000 | - | 74.94 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/21/2007 | 122,000 | - | 25.25 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 127,000 | - | 59.67 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 110,000 | 67.99 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 185,000 | 47.44 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 195,000 | 47.69 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 150,000 | - | 25.40 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 121,000 | 67.86 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | 106,000 | - | 59.83 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 150,000 | 47.52 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 170,000 | 67.77 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 89,000 | 68.07 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 98,000 | 47.58 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | - | 180,000 | 47.73 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 72,500 | - | 74.69 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 8/21/2007 | 78,000 | - | 25.36 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 100,000 | - | 25.19 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 200,000 | - | 59.88 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 79,000 | - | 59.80 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/21/2007 | 125,000 | - | 59.99 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | 150,000 | - | 28.30 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 165,000 | 69.23 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 70,000 | - | 67.30 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 75,000 | - | 27.98 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 98,000 | - | 26.88 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | 99,000 | - | 28.10 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 100,000 | - | 26.79 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 95,000 | 40.59 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 156,000 | 48.60 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | 75,000 | - | 28.00 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 87,700 | 40.37 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/22/2007 | 90,000 | - | 27.98 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 207,000 | - | 26.97 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | 39,700 | - | 67.39 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 120,000 | - | 28.38 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 122,000 | - | 26.82 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 225,000 | 69.19 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 60,000 | - | 28.16 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 77,000 | - | 31.89 | PRICELINE COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 85,000 | - | 28.12 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 8/22/2007 | 170,000 | - | 27.05 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 75,000 | 40.24 | ARCHER DANIELS MIDLAND CO |
| 1E0123 | 7/31/2007 | 8/22/2007 | - | 100,000 | 48.53 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2007 | 8/23/2007 | 88,000 | - | 67.63 | APPLE INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------|
| 1E0123 | 7/31/2007 | 8/23/2007 | 66,000 | - | 67.49 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/23/2007 | - | 205,000 | 68.96 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/23/2007 | - | 150,000 | 68.89 | J C PENNEY CO INC |
| 1E0123 | 7/31/2007 | 8/23/2007 | 79,000 | - | 67.68 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/23/2007 | 129,000 | - | 67.55 | APPLE INC |
| 1E0123 | 7/31/2007 | 8/24/2007 | 775,000 | - | 97.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/30/2007 | 175,000 | - | 98.07 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 8/31/2007 | 450,000 | - | 98.00 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/1/2007 | 75,000 | - | 97.91 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/7/2007 | 217,225,000 | - | 98.01 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/8/2007 | 85,500 | - | 81.27 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/8/2007 | 100,000 | - | 27.82 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 75,000 | - | 98.02 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/8/2007 | 70,000 | - | 59.44 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 77,000 | - | 81.56 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/8/2007 | 95,000 | - | 59.37 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 75,000 | 98.02 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 110,000 | 51.33 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 41,000 | - | 81.34 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/8/2007 | 120,000 | - | 28.12 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 96,000 | 52.11 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 117,000 | 52.08 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 29,000 | - | 81.88 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/8/2007 | 78,000 | - | 27.99 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 75,000 | 51.88 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 89,000 | 51.91 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 100,000 | 52.22 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 200,000 | 52.00 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 86,000 | - | 28.20 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | 120,000 | - | 59.31 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/8/2007 | - | 110,000 | 52.17 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 70,000 | - | 60.83 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 100,000 | - | 60.53 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | - | 250,000 | 51.20 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 89,000 | - | 60.63 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 100,000 | - | 30.99 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/11/2007 | 600,000 | - | 98.06 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/11/2007 | 110,000 | - | 60.71 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | - | 129,000 | 50.91 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | - | 225,000 | 51.12 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 78,500 | - | 27.36 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 130,000 | - | 30.89 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/11/2007 | 77,000 | - | 30.92 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/11/2007 | - | 138,000 | 50.99 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/11/2007 | 55,000 | - | 31.07 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/11/2007 | 78,000 | - | 27.77 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | - | 79,000 | 50.53 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | - | 121,000 | 50.28 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 55,000 | - | 77.86 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/12/2007 | 77,000 | - | 26.53 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | - | 165,000 | 50.47 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 31,500 | - | 77.62 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/12/2007 | 60,000 | - | 26.67 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 95,000 | - | 26.81 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 140,000 | - | 29.97 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/12/2007 | - | 181,000 | 50.21 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 110,000 | - | 26.74 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | - | 215,000 | 50.41 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 53,200 | - | 77.92 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/12/2007 | 78,000 | - | 30.08 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/12/2007 | 125,000 | - | 26.86 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/12/2007 | 125,000 | - | 29.90 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/12/2007 | 50,000 | - | 77.71 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/12/2007 | 29,000 | - | 26.60 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/13/2007 | - | 150,000 | 50.15 | NUCOR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------|
| 1E0123 | 7/31/2007 | 9/13/2007 | 80,000 | - | 60.15 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/13/2007 | - | 150,000 | 50.09 | NUCOR CORP |
| 1E0123 | 7/31/2007 | 9/13/2007 | 75,000 | - | 60.07 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/13/2007 | 95,000 | - | 60.18 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/14/2007 | 150,150,000 | - | 98.02 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 115,000 | 32.86 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 100,000 | 33.15 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 125,000 | 32.77 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 150,000 | 32.80 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 220,000 | 32.94 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 100,000 | - | 28.12 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 10,350 | - | 82.22 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/15/2007 | 77,500 | - | 82.02 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 75,000 | 33.08 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 70,000 | - | 28.31 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 85,000 | - | 57.49 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | - | 90,000 | 32.91 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 90,000 | - | 57.60 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 95,000 | - | 28.24 | NVIDIA CORP |
| 1E0123 | 7/31/2007 | 9/15/2007 | 70,000 | - | 57.76 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | - | 90,000 | 33.29 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | - | 213,000 | 33.11 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | 100,000 | - | 82.73 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/18/2007 | - | 95,000 | 33.24 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | - | 130,000 | 33.38 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | 35,000 | - | 82.79 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/18/2007 | - | 142,000 | 33.06 | GENERAL MOTORS CORP |
| 1E0123 | 7/31/2007 | 9/18/2007 | 93,100 | - | 31.62 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/18/2007 | 100,000 | - | 31.50 | AMAZON COM INC |
| 1E0123 | 7/31/2007 | 9/18/2007 | 60,000 | - | 82.88 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2007 | 9/28/2007 | 800,000 | - | 98.04 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 9/29/2007 | 75,000 | - | 98.90 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 9/29/2007 | 7,435 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2007 | 9/29/2007 | 150,000 | - | 98.90 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/2/2007 | 425,000 | - | 98.00 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/2/2007 | - | 425,000 | 98.00 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/5/2007 | 221,225,000 | - | 98.03 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/12/2007 | - | 10,400 | 18.29 | ORACLE CORPORATION |
| 1E0123 | 7/31/2007 | 10/12/2007 | 175,000 | - | 97.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/12/2007 | 83,400,000 | - | 97.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/16/2007 | 100,000 | - | 98.04 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/16/2007 | - | 100,000 | 98.04 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/19/2007 | 347,200,000 | - | 97.99 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 10/26/2007 | 75,000 | - | 97.98 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 10/26/2007 | 75,000 | - | 97.98 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 10/31/2007 | 2,519 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 11/1/2007 | - | 300,000 | 97.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/1/2007 | 300,000 | - | 97.89 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/9/2007 | 70,000,000 | - | 97.98 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/10/2007 | 1,650,000 | - | 97.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/10/2007 | - | 1,650,000 | 97.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/22/2007 | 175,000 | - | 98.06 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 11/22/2007 | - | 175,000 | 98.06 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 11/30/2007 | 22,424 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 12/7/2007 | 687,975,000 | - | 98.21 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/11/2007 | 675,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/11/2007 | - | 675,000 | 98.26 | U S TREASURY BILL |
| 1E0123 | 8/31/2007 | 12/11/2007 | 175,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/11/2007 | 500,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/14/2007 | 2,875,000 | - | 98.20 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/15/2007 | 400,000 | - | 98.32 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/15/2007 | - | 400,000 | 98.32 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/18/2007 | 75,000 | - | 98.36 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/18/2007 | - | 75,000 | 98.36 | U S TREASURY BILL |
| 1E0123 | 7/31/2007 | 12/21/2007 | 251,325,000 | - | 98.21 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 8/31/2007 | 12/29/2007 | 44,259 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2007 | 12/29/2007 | 100,000 | - | 98.21 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/3/2008 | - | 112,237 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 1/3/2008 | 89,025,000 | - | 98.69 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/4/2008 | 100,000 | - | 98.74 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/9/2008 | 50,000 | - | 100.73 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/9/2008 | - | 100,000 | 100.90 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/9/2008 | - | 50,000 | 100.73 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/9/2008 | 100,000 | - | 100.90 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/10/2008 | 120,000 | - | 99.95 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/10/2008 | - | 120,000 | 99.95 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/11/2008 | 95,000 | - | 98.88 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/11/2008 | 90,000 | - | 99.01 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/11/2008 | - | 95,000 | 98.88 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/11/2008 | - | 90,000 | 99.01 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | - | 101,000 | 97.29 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | - | 89,000 | 97.53 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | - | 95,000 | 97.70 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | 75,000 | - | 47.23 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/14/2008 | 101,000 | - | 97.29 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | 125,000 | - | 46.94 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/14/2008 | - | 75,000 | 47.23 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/14/2008 | 89,000 | - | 97.53 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/14/2008 | - | 125,000 | 46.94 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/14/2008 | 95,000 | - | 97.70 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/15/2008 | - | 110,000 | 47.70 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/15/2008 | 70,000 | - | 47.83 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/15/2008 | 110,000 | - | 47.70 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/15/2008 | - | 70,000 | 47.83 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/15/2008 | 175,000 | - | 98.84 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/16/2008 | - | 90,000 | 48.35 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/16/2008 | - | 95,000 | 48.22 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/16/2008 | 90,000 | - | 48.35 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/16/2008 | 95,000 | - | 48.22 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 50,000 | 63.12 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 75,000 | 63.09 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 85,000 | 47.57 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 100,000 | 47.66 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | 97,000 | - | 102.03 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/17/2008 | 120,000 | - | 101.52 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 7/31/2008 | 1/17/2008 | - | 90,000 | 80.00 | APOLLO GROUP INC CLASS A |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 97,000 | 102.03 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/17/2008 | 85,000 | - | 47.57 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | 110,000 | - | 101.67 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 7/31/2008 | 1/17/2008 | - | 60,000 | 79.91 | APOLLO GROUP INC CLASS A |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 60,000 | 94.99 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/17/2008 | 50,000 | - | 63.12 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | 75,000 | - | 63.09 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/17/2008 | 100,000 | - | 47.66 | GILEAD SCIENCES INC |
| 1E0123 | 7/31/2008 | 1/17/2008 | - | 100,000 | 80.07 | APOLLO GROUP INC CLASS A |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 120,000 | 101.52 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/17/2008 | 60,000 | - | 94.99 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/17/2008 | 742,975,000 | - | 98.80 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/17/2008 | - | 110,000 | 101.67 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/18/2008 | 12,275,000 | - | 98.87 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 1/18/2008 | - | 40,000 | 80.88 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 1/18/2008 | - | 110,000 | 81.03 | APOLLO GROUP INC CLASS A |
| 1E0123 | 8/31/2008 | 1/18/2008 | - | 50,000 | 62.92 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/18/2008 | - | 100,000 | 93.61 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/18/2008 | - | 65,000 | 62.86 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/18/2008 | 100,000 | - | 62.78 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/18/2008 | 100,000 | - | 93.61 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/18/2008 | - | 100,000 | 62.78 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/18/2008 | 50,000 | - | 62.92 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/18/2008 | 65,000 | - | 62.86 | BAXTER INTERNATIONAL INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 8/31/2008 | 1/22/2008 | - | 55,000 | 63.41 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | - | 70,000 | 63.29 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | - | 110,000 | 63.12 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | 70,000 | - | 63.29 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | 90,000 | - | 92.97 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/22/2008 | 110,000 | - | 63.12 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | 55,000 | - | 63.41 | BAXTER INTERNATIONAL INC |
| 1E0123 | 8/31/2008 | 1/22/2008 | - | 90,000 | 92.97 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/23/2008 | 75,000 | - | 91.63 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/23/2008 | 90,000 | - | 114.87 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/23/2008 | 60,000 | - | 91.77 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/23/2008 | - | 60,000 | 91.77 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/23/2008 | - | 100,000 | 114.73 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/23/2008 | - | 75,000 | 91.63 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/23/2008 | - | 90,000 | 114.87 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/23/2008 | 100,000 | - | 114.73 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/24/2008 | 110,000 | - | 102.67 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 13,075,000 | 99.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/24/2008 | 50,000 | - | 92.09 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/24/2008 | 85,000 | - | 114.93 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/24/2008 | 113,000 | - | 45.44 | GILEAD SCIENCES INC |
| 1E0123 | 7/31/2008 | 1/24/2008 | 122,000 | - | 48.93 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 115,000 | 102.79 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/24/2008 | 50,000 | - | 91.99 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/24/2008 | 67,000 | - | 45.30 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 67,000 | 45.30 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 85,000 | 114.93 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 113,000 | 45.44 | GILEAD SCIENCES INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | 80,000 | - | 115.07 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 50,000 | 92.09 | SPX CORP |
| 1E0123 | 7/31/2008 | 1/24/2008 | 98,000 | - | 48.86 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 50,000 | 91.99 | SPX CORP |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 80,000 | 115.07 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/24/2008 | - | 110,000 | 102.67 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 7/31/2008 | 1/24/2008 | 110,000 | - | 48.77 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/24/2008 | 115,000 | - | 102.79 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 7/31/2008 | 1/25/2008 | 125,000 | - | 47.11 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/25/2008 | - | 70,000 | 108.12 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/25/2008 | - | 23,175,000 | 99.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 1/25/2008 | - | 110,000 | 107.99 | FLUOR CORP NEW |
| 1E0123 | 7/31/2008 | 1/25/2008 | 200,000 | - | 46.76 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/25/2008 | 70,000 | - | 108.12 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/25/2008 | 110,000 | - | 107.99 | FLUOR CORP NEW |
| 1E0123 | 7/31/2008 | 1/25/2008 | 170,000 | - | 46.90 | ANHEUSER BUSCH COS INC |
| 1E0123 | 8/31/2008 | 1/28/2008 | 90,000 | - | 108.33 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/28/2008 | - | 90,000 | 108.33 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 1/31/2008 | 100,000 | - | 103.90 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/31/2008 | - | 70,000 | 104.07 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/31/2008 | 6,382 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 1/31/2008 | 70,000 | - | 104.07 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/31/2008 | - | 100,000 | 103.90 | INTERNATIONAL BUSINESS MACHS |
| 1E0123 | 8/31/2008 | 1/31/2008 | 204,750,000 | - | 99.19 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 2/1/2008 | - | 66,100,000 | 99.67 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 2/1/2008 | 100,000 | - | 129.25 | APPLE INC |
| 1E0123 | 7/31/2008 | 2/1/2008 | 97,000 | - | 130.07 | APPLE INC |
| 1E0123 | 7/31/2008 | 2/1/2008 | 125,000 | - | 129.62 | APPLE INC |
| 1E0123 | 7/31/2008 | 2/1/2008 | 110,000 | - | 130.41 | APPLE INC |
| 1E0123 | 7/31/2008 | 2/1/2008 | 75,000 | - | 130.22 | APPLE INC |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 100,000 | 67.76 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/8/2008 | 115,000 | - | 85.79 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 89,000 | 85.66 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 110,000 | 85.88 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | 95,000 | - | 85.71 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | 100,000 | - | 67.76 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/8/2008 | 120,000 | - | 67.65 | CATERPILLAR INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 80,000 | 67.82 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 95,000 | 85.71 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 115,000 | 85.79 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | - | 120,000 | 67.65 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/8/2008 | 110,000 | - | 85.88 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | 89,000 | - | 85.66 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/8/2008 | 200,000 | - | 99.13 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 2/8/2008 | 80,000 | - | 67.82 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 42,000 | 84.84 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/11/2008 | 85,000 | - | 67.91 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | 110,000 | - | 67.88 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 95,000 | 67.73 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 85,000 | 67.91 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | 97,000 | - | 84.59 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 102,000 | 84.73 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 97,000 | 84.59 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/11/2008 | - | 110,000 | 67.88 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | 42,000 | - | 84.84 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/11/2008 | 95,000 | - | 67.73 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/11/2008 | 102,000 | - | 84.73 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 2/12/2008 | - | 95,000 | 67.59 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/12/2008 | - | 115,000 | 67.77 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/12/2008 | 95,000 | - | 67.59 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/12/2008 | 115,000 | - | 67.77 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 90,000 | 87.83 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 65,000 | 87.99 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 80,000 | - | 64.10 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/14/2008 | 90,000 | - | 87.83 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 110,000 | 53.96 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 80,000 | - | 53.60 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 100,000 | - | 87.77 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 80,000 | 64.10 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 100,000 | 87.77 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 65,000 | - | 87.99 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 110,000 | - | 53.96 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | 120,000 | - | 54.10 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 120,000 | 54.10 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/14/2008 | - | 80,000 | 53.60 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 90,000 | 65.36 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 85,000 | 88.23 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | 200,000 | - | 53.53 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 150,000 | 53.69 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | 85,000 | - | 88.23 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | 100,000 | - | 88.49 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 100,000 | 88.49 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 200,000 | 53.53 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | 150,000 | - | 53.69 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | - | 95,000 | 88.12 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/15/2008 | 90,000 | - | 65.36 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/15/2008 | 95,000 | - | 88.12 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 70,000 | 89.07 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 80,000 | 89.16 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 40,000 | - | 104.93 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 95,000 | - | 65.70 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/19/2008 | 100,000 | - | 53.60 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 70,000 | 104.88 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 115,000 | 53.69 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 125,000 | - | 53.71 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 70,000 | - | 89.07 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 75,000 | - | 88.98 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 80,000 | - | 89.16 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 40,000 | 104.93 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | 115,000 | - | 53.69 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 95,000 | 65.70 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 125,000 | 53.71 | PEABODY ENERGY CORP |

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December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1E0123 | 8/31/2008 | 2/19/2008 | 70,000 | - | 104.88 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 75,000 | 88.98 | HESS CORP |
| 1E0123 | 8/31/2008 | 2/19/2008 | - | 100,000 | 53.60 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 2/20/2008 | - | 95,000 | 64.44 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/20/2008 | - | 80,000 | 106.93 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/20/2008 | 75,000 | - | 107.10 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/20/2008 | 95,000 | - | 64.44 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/20/2008 | - | 75,000 | 107.10 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/20/2008 | 80,000 | - | 106.93 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/21/2008 | - | 60,000 | 62.67 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/21/2008 | - | 65,000 | 62.79 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/21/2008 | - | 60,000 | 104.59 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/21/2008 | - | 65,000 | 104.77 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/21/2008 | 65,000 | - | 104.77 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/21/2008 | 60,000 | - | 62.67 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/21/2008 | 60,000 | - | 104.59 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/21/2008 | 65,000 | - | 62.79 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 85,000 | 95.60 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 95,000 | 95.12 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/22/2008 | 45,000 | - | 108.23 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/22/2008 | 65,000 | - | 64.99 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/22/2008 | 40,000 | - | 65.07 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 40,000 | 65.07 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/22/2008 | 90,000 | - | 108.12 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/22/2008 | 95,000 | - | 95.12 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 65,000 | 64.99 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 2/22/2008 | 85,000 | - | 95.60 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 90,000 | 108.12 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/22/2008 | - | 45,000 | 108.23 | APACHE CORP |
| 1E0123 | 8/31/2008 | 2/25/2008 | 110,000 | - | 95.38 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/25/2008 | 70,000 | - | 95.65 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/25/2008 | - | 70,000 | 95.65 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/25/2008 | - | 110,000 | 95.38 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | 75,000 | - | 95.88 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | - | 75,000 | 95.88 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | - | 85,000 | 103.78 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | - | 90,000 | 95.72 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | 75,000 | - | 103.69 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | - | 75,000 | 103.69 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | 90,000 | - | 95.72 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/26/2008 | 85,000 | - | 103.78 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | - | 90,000 | 102.76 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | 90,000 | - | 94.71 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | - | 50,000 | 94.82 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | 90,000 | - | 102.76 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | 100,000 | - | 102.87 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | 50,000 | - | 94.82 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | - | 90,000 | 94.71 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/27/2008 | - | 100,000 | 102.87 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/28/2008 | - | 110,000 | 103.23 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/28/2008 | 23,475,000 | - | 99.20 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 2/28/2008 | 65,000 | - | 103.47 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/28/2008 | - | 65,000 | 103.47 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/28/2008 | 110,000 | - | 103.23 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/29/2008 | - | 60,000 | 103.88 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/29/2008 | 20,816 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 2/29/2008 | - | 90,000 | 103.79 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/29/2008 | 90,000 | - | 103.79 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 2/29/2008 | 60,000 | - | 103.88 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 88,000 | 164.76 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 100,000 | 40.71 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 150,000 | - | 164.49 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 75,000 | - | 40.89 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 90,000 | - | 40.73 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 100,000 | - | 52.77 | NORFOLK SOUTHERN CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1E0123 | 8/31/2008 | 3/6/2008 | 115,000 | - | 52.65 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 112,000 | 164.68 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 88,000 | - | 164.76 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 100,000 | 45.26 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 75,000 | 40.89 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 90,000 | 40.73 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 110,000 | 45.11 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 115,000 | 52.65 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/6/2008 | 100,000 | - | 40.71 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 241,775,000 | - | 99.51 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 100,000 | 52.77 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/6/2008 | - | 150,000 | 164.49 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 100,000 | - | 45.26 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 110,000 | - | 45.11 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/6/2008 | 112,000 | - | 164.68 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | - | 120,000 | 52.81 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/7/2008 | - | 100,000 | 160.60 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | 145,000 | - | 160.39 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | - | 125,000 | 160.47 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | - | 145,000 | 160.39 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | 95,000 | - | 52.69 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/7/2008 | 120,000 | - | 52.81 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/7/2008 | - | 95,000 | 52.69 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/7/2008 | 100,000 | - | 160.60 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/7/2008 | 125,000 | - | 160.47 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/10/2008 | 75,000 | - | 53.41 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 100,000 | 53.38 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/10/2008 | 140,000 | - | 162.92 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/10/2008 | 250,000 | - | 99.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/10/2008 | 850,000 | - | 99.57 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 100,000 | 104.52 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/10/2008 | 150,000 | - | 162.88 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 140,000 | 162.92 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/10/2008 | 150,000 | - | 104.60 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 150,000 | 162.88 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 3/10/2008 | 100,000 | - | 53.38 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/10/2008 | 100,000 | - | 104.52 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 75,000 | 53.41 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/10/2008 | - | 150,000 | 104.60 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/11/2008 | - | 125,000 | 52.41 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/11/2008 | - | 110,000 | 105.87 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/11/2008 | - | 150,000 | 52.30 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/11/2008 | 145,000 | - | 106.00 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/11/2008 | - | 145,000 | 106.00 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/11/2008 | 110,000 | - | 105.87 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/11/2008 | 125,000 | - | 52.41 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/11/2008 | 150,000 | - | 52.30 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/12/2008 | 80,000 | - | 102.76 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 65,000 | 102.70 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/12/2008 | 80,000 | - | 39.77 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/12/2008 | 110,000 | - | 51.79 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/12/2008 | 120,000 | - | 39.67 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 80,000 | 39.77 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 120,000 | 51.85 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/12/2008 | 65,000 | - | 102.70 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/12/2008 | 75,000 | - | 39.82 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 75,000 | 39.82 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 80,000 | 102.76 | FREEPORT MCMORAN COMMON |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 110,000 | 51.79 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/12/2008 | 120,000 | - | 51.85 | NORFOLK SOUTHERN CORP |
| 1E0123 | 8/31/2008 | 3/12/2008 | - | 120,000 | 39.67 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 110,000 | 82.62 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | 125,000 | - | 39.22 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 173,925,000 | - | 99.53 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 100,000 | 62.99 | AMAZON COM INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------|
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 115,000 | 39.37 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 120,000 | 43.70 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 115,000 | 82.71 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 125,000 | 39.22 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 90,000 | - | 82.49 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | 85,000 | - | 63.26 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 100,000 | - | 62.99 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 80,000 | - | 43.77 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 90,000 | - | 63.40 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | 110,000 | - | 82.62 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | 115,000 | - | 39.37 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 80,000 | 43.77 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 85,000 | 63.26 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 90,000 | 63.40 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/13/2008 | - | 90,000 | 82.49 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | 115,000 | - | 82.71 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/13/2008 | 120,000 | - | 43.70 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | 75,000 | - | 63.92 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | - | 80,000 | 63.89 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | - | 112,000 | 84.63 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/14/2008 | - | 120,000 | 84.54 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/14/2008 | 120,000 | - | 84.54 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/14/2008 | - | 75,000 | 63.92 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | - | 110,000 | 63.99 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | 112,000 | - | 84.63 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/14/2008 | 80,000 | - | 63.89 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/14/2008 | 110,000 | - | 63.99 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/17/2008 | 95,000 | - | 65.91 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/17/2008 | 90,000 | - | 65.77 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/17/2008 | 120,000 | - | 84.38 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/17/2008 | - | 88,000 | 84.29 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/17/2008 | - | 90,000 | 65.77 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/17/2008 | - | 95,000 | 65.91 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/17/2008 | - | 120,000 | 84.38 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/17/2008 | 88,000 | - | 84.29 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | 100,000 | - | 82.15 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | 110,000 | - | 64.49 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/18/2008 | - | 150,000 | 81.99 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | - | 75,000 | 82.32 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | - | 80,000 | 64.57 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/18/2008 | - | 100,000 | 82.15 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | 75,000 | - | 82.32 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | 150,000 | - | 81.99 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 3/18/2008 | - | 110,000 | 64.49 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/18/2008 | 80,000 | - | 64.57 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 90,000 | 43.37 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | 72,000 | - | 38.59 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | 75,000 | - | 43.29 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | 113,000 | - | 38.72 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | 70,000 | - | 412.29 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/20/2008 | 82,000,000 | - | 99.64 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/20/2008 | 75,000 | - | 412.57 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 70,000 | 412.29 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 75,000 | 412.57 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/20/2008 | 90,000 | - | 43.37 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 72,000 | 38.59 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 75,000 | 43.29 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/20/2008 | - | 113,000 | 38.72 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | - | 77,000 | 39.13 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | - | 3,850,000 | 99.98 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/24/2008 | 40,000 | - | 425.81 | GOOGLE |
| 1E0123 | 7/31/2008 | 3/24/2008 | 95,000 | - | 40.49 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | - | 100,000 | 39.00 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | 90,000 | - | 425.70 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/24/2008 | - | 90,000 | 425.70 | GOOGLE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1E0123 | 8/31/2008 | 3/24/2008 | 100,000 | - | 39.00 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | 77,000 | - | 39.13 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/24/2008 | - | 40,000 | 425.81 | GOOGLE |
| 1E0123 | 7/31/2008 | 3/25/2008 | 110,000 | - | 39.99 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/25/2008 | - | 80,000 | 431.94 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/25/2008 | - | 100,000 | 38.11 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/25/2008 | - | 88,000 | 38.03 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/25/2008 | 55,000 | - | 431.78 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/25/2008 | 80,000 | - | 431.94 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/25/2008 | 100,000 | - | 38.11 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/25/2008 | - | 55,000 | 431.78 | GOOGLE |
| 1E0123 | 8/31/2008 | 3/25/2008 | 88,000 | - | 38.03 | QUALCOMM INC |
| 1E0123 | 8/31/2008 | 3/25/2008 | - | 4,400,000 | 99.99 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 3/26/2008 | 75,000 | - | 39.27 | AMGEN INC |
| 1E0123 | 7/31/2008 | 3/26/2008 | 75,000 | - | 39.47 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/26/2008 | - | 120,000 | 110.68 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/26/2008 | - | 5,900,000 | 99.98 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/26/2008 | 120,000 | - | 110.68 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/26/2008 | 100,000 | - | 110.77 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/26/2008 | - | 100,000 | 110.77 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 115,000 | 39.99 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 105,000 | 131.22 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 97,000 | 112.89 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/27/2008 | 79,000 | - | 40.25 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 95,000 | 130.98 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | 100,000 | - | 83.19 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/27/2008 | 105,000 | - | 131.22 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | 110,000 | - | 131.09 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 115,000 | 83.09 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/27/2008 | 115,000 | - | 39.99 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 100,000 | 83.19 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 110,000 | 131.09 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | 95,000 | - | 130.98 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/27/2008 | - | 79,000 | 40.25 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/27/2008 | 97,000 | - | 112.89 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/27/2008 | 115,000 | - | 83.09 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 100,000 | 40.66 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/28/2008 | 95,000 | - | 83.88 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/28/2008 | 125,000 | - | 40.75 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 100,000 | 131.15 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 120,000 | 131.29 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 110,000 | 114.15 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 125,000 | 40.75 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/28/2008 | 90,000 | - | 131.38 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/28/2008 | 100,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 3/28/2008 | 120,000 | - | 83.97 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/28/2008 | 100,000 | - | 131.15 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 120,000 | 83.97 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/28/2008 | 100,000 | - | 40.66 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 90,000 | 131.38 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/28/2008 | 110,000 | - | 114.15 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/28/2008 | - | 95,000 | 83.88 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/28/2008 | 120,000 | - | 131.29 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 80,000 | 117.83 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 90,000 | 40.78 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 115,000 | 132.46 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | 97,000 | - | 132.57 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | 99,000 | - | 84.64 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 103,000 | 132.88 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 125,000 | 84.78 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/31/2008 | 95,000 | - | 40.69 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/31/2008 | 103,000 | - | 132.88 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 90,000 | 117.70 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 97,000 | 132.57 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 3/31/2008 | 5,663 | - | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1E0123 | 8/31/2008 | 3/31/2008 | 80,000 | - | 117.83 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 95,000 | 40.69 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/31/2008 | - | 99,000 | 84.64 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/31/2008 | 90,000 | - | 40.78 | AMGEN INC |
| 1E0123 | 8/31/2008 | 3/31/2008 | 125,000 | - | 84.78 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 3/31/2008 | 14,390 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 3/31/2008 | 90,000 | - | 117.70 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 3/31/2008 | 115,000 | - | 132.46 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 4/1/2008 | - | 114,000 | 84.48 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 4/1/2008 | 107,000 | - | 84.39 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 4/1/2008 | - | 107,000 | 84.39 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 4/1/2008 | 275,000 | - | 99.65 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/1/2008 | 114,000 | - | 84.48 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 4/3/2008 | 453,950,000 | - | 99.66 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/7/2008 | 18,475,000 | - | 99.67 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 4/7/2008 | - | 75,000 | 74.99 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 4/7/2008 | - | 80,000 | 75.12 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 4/7/2008 | - | 90,000 | 75.35 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 8/31/2008 | 4/8/2008 | 16,175,000 | - | 99.53 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 4/8/2008 | - | 78,000 | 76.59 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 4/8/2008 | - | 92,000 | 76.70 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 4/8/2008 | - | 40,000 | 76.37 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 8/31/2008 | 4/15/2008 | 125,000 | - | 99.61 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/17/2008 | - | 90,000 | 132.07 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/17/2008 | 90,000 | - | 132.07 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/17/2008 | 115,000 | - | 132.29 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/17/2008 | - | 115,000 | 132.29 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/18/2008 | 100,000 | - | 130.91 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/18/2008 | - | 100,000 | 130.91 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/18/2008 | 75,000 | - | 130.88 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/18/2008 | - | 75,000 | 130.88 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/21/2008 | - | 150,000 | 134.77 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/21/2008 | 67,000 | - | 134.63 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/21/2008 | 150,000 | - | 134.77 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/21/2008 | - | 67,000 | 134.63 | DIAMOND OFFSHORE DRILLING |
| 1E0123 | 8/31/2008 | 4/24/2008 | 69,425,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 4/25/2008 | - | 110,000 | 88.12 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/25/2008 | 115,000 | - | 72.07 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 4/25/2008 | - | 57,000 | 88.03 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/25/2008 | - | 115,000 | 72.07 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | 165,000 | - | 71.95 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | 14,900,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/25/2008 | 121,000 | - | 83.12 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | 98,000 | - | 83.07 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | - | 121,000 | 83.12 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | - | 98,000 | 83.07 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/25/2008 | - | 165,000 | 71.95 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | - | 90,000 | 73.47 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | 100,000 | - | 82.63 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | 25,000,000 | - | 99.54 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/28/2008 | 90,000 | - | 73.47 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | 150,000 | - | 73.27 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 4/28/2008 | - | 150,000 | 90.56 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/28/2008 | - | 150,000 | 73.27 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 4/28/2008 | - | 125,000 | 90.29 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/28/2008 | - | 100,000 | 82.63 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | 105,000 | - | 82.50 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/28/2008 | - | 105,000 | 82.50 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/29/2008 | 80,000 | - | 82.22 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/29/2008 | 100,000 | - | 82.35 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/29/2008 | 8,925,000 | - | 99.53 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 4/29/2008 | - | 100,000 | 82.35 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/29/2008 | 98,000 | - | 72.77 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 4/29/2008 | - | 100,000 | 88.87 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/29/2008 | - | 80,000 | 82.22 | CATERPILLAR INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1E0123 | 8/31/2008 | 4/29/2008 | - | 98,000 | 72.77 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/30/2008 | 112,000 | - | 71.92 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/30/2008 | - | 112,000 | 71.92 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 4/30/2008 | 95,000 | - | 82.71 | CATERPILLAR INC |
| 1E0123 | 7/31/2008 | 4/30/2008 | - | 95,000 | 91.06 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/30/2008 | - | 101,000 | 82.69 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/30/2008 | 15,402 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 4/30/2008 | - | 95,000 | 82.71 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 4/30/2008 | 16,000,000 | - | 99.56 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 4/30/2008 | - | 80,000 | 91.00 | GENERAL DYNAMICS CORP |
| 1E0123 | 8/31/2008 | 4/30/2008 | 101,000 | - | 82.69 | CATERPILLAR INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | 72,300,000 | - | 99.52 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/1/2008 | - | 110,000 | 72.22 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | - | 72,000 | 72.53 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | - | 88,000 | 72.49 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | 72,000 | - | 72.53 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | 88,000 | - | 72.49 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/1/2008 | 110,000 | - | 72.22 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | 63,000 | - | 68.21 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | - | 100,000 | 67.99 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | 100,000 | - | 67.99 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | - | 57,000 | 68.09 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | - | 63,000 | 68.21 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/2/2008 | 57,000 | - | 68.09 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/5/2008 | - | 75,000 | 67.99 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/5/2008 | 75,000 | - | 67.99 | GENENTECH INC |
| 1E0123 | 8/31/2008 | 5/8/2008 | - | 200,000 | 155.51 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/8/2008 | - | 90,000 | 155.27 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/8/2008 | 126,275,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/8/2008 | 90,000 | - | 155.27 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/8/2008 | 200,000 | - | 155.51 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/9/2008 | - | 70,000 | 158.22 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/9/2008 | 170,000 | - | 158.39 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/9/2008 | 250,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/9/2008 | - | 170,000 | 158.39 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/9/2008 | 70,000 | - | 158.22 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/12/2008 | 185,000 | - | 162.11 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/12/2008 | - | 185,000 | 162.11 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/12/2008 | 119,000 | - | 162.06 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/12/2008 | - | 119,000 | 162.06 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/13/2008 | - | 101,000 | 157.61 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/13/2008 | 101,000 | - | 157.61 | TRANSOCEAN INC NEW |
| 1E0123 | 8/31/2008 | 5/15/2008 | - | 100,000 | 586.59 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/15/2008 | 120,000 | - | 192.29 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | 70,000 | - | 192.41 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 7/31/2008 | 5/15/2008 | - | 60,000 | 140.11 | PRICELINE COM INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | - | 70,000 | 192.41 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | 80,000 | - | 586.40 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/15/2008 | 95,000 | - | 192.11 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | 231,100,000 | - | 99.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/15/2008 | - | 80,000 | 586.40 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/15/2008 | - | 95,000 | 192.11 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | - | 120,000 | 192.29 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/15/2008 | 100,000 | - | 586.59 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/15/2008 | 8,450,000 | - | 99.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 130,000 | 193.07 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 180,000 | 140.98 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | 200,000 | - | 141.06 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | 7,325,000 | - | 99.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 242,000 | 141.19 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | 60,000 | - | 586.22 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/16/2008 | 115,000 | - | 192.86 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | 115,000 | - | 193.70 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/16/2008 | 125,000 | - | 192.91 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | 125,000 | - | 192.99 | FLUOR CORP NEW |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------|
| 1E0123 | 8/31/2008 | 5/16/2008 | 150,000 | - | 192.77 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 60,000 | 586.22 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 125,000 | 192.99 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/16/2008 | 90,000 | - | 586.80 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 200,000 | 141.06 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 115,000 | 192.86 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | 180,000 | - | 140.98 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 90,000 | 586.80 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 250,000 | 141.30 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | 80,000 | - | 586.65 | GOOGLE |
| 1E0123 | 7/31/2008 | 5/16/2008 | - | 52,000 | 140.01 | PRICELINE COM INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 150,000 | 192.77 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | 242,000 | - | 141.19 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 80,000 | 586.65 | GOOGLE |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 115,000 | 193.70 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/16/2008 | - | 125,000 | 192.91 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/16/2008 | 130,000 | - | 193.07 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/16/2008 | 250,000 | - | 141.30 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/19/2008 | - | 40,000 | 142.76 | PRICELINE COM INC |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 135,000 | 192.07 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 200,000 | 144.07 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | 100,000 | - | 191.50 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | 232,000 | - | 143.99 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 35,000 | 139.90 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 200,000 | 192.12 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/19/2008 | 90,000 | - | 191.36 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | 100,000 | - | 140.11 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 90,000 | 191.36 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 232,000 | 143.99 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | 65,000 | - | 191.12 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | 75,000 | - | 140.03 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | 135,000 | - | 192.07 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/19/2008 | 200,000 | - | 192.12 | GOLDMAN SACHS GROUP INC |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 100,000 | 140.11 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 100,000 | 191.50 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 230,000 | 143.89 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 238,000 | 144.29 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | 35,000 | - | 139.90 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | 200,000 | - | 144.07 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | 230,000 | - | 143.89 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | 238,000 | - | 144.29 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 65,000 | 191.12 | FLUOR CORP NEW |
| 1E0123 | 8/31/2008 | 5/19/2008 | - | 75,000 | 140.03 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/19/2008 | 5,750,000 | - | 99.35 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/20/2008 | 50,000 | - | 137.73 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 40,000 | 80.21 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 90,000 | 137.81 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 231,000 | 140.59 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 235,000 | 140.71 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 50,000 | 137.73 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 237,000 | 140.11 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | - | 245,000 | 140.36 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | 40,000 | - | 80.21 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/20/2008 | 90,000 | - | 137.81 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/20/2008 | 231,000 | - | 140.59 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | 235,000 | - | 140.71 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | 237,000 | - | 140.11 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/20/2008 | 245,000 | - | 140.36 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/21/2008 | - | 200,000 | 181.06 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 85,000 | 143.18 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 200,000 | - | 181.06 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/21/2008 | - | 128,000 | 180.95 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 128,000 | - | 180.95 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 226,000 | - | 142.69 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 239,000 | 142.22 | RESEARCH IN MOTION LTD |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------|
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 240,000 | 142.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 74,000 | 82.00 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/21/2008 | 90,000 | - | 143.27 | APACHE CORP |
| 1E0123 | 7/31/2008 | 5/21/2008 | - | 172,000 | 180.88 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 172,000 | - | 180.88 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 85,000 | - | 143.18 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 239,000 | - | 142.22 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/21/2008 | - | 144,000 | 180.76 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 90,000 | 143.27 | APACHE CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | - | 226,000 | 142.69 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/21/2008 | 74,000 | - | 82.00 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/21/2008 | 144,000 | - | 180.76 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/21/2008 | 240,000 | - | 142.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 69,000 | 187.43 | APPLE INC |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 95,000 | 188.09 | APPLE INC |
| 1E0123 | 8/31/2008 | 5/22/2008 | - | 220,000 | 142.78 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/22/2008 | 230,000 | - | 142.53 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 156,000 | 185.29 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | 80,000 | - | 82.34 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/22/2008 | 251,100,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 90,000 | 187.99 | APPLE INC |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 103,000 | 185.17 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | - | 225,000 | 142.88 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/22/2008 | 220,000 | - | 142.78 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/22/2008 | 96,075,000 | - | 99.32 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/22/2008 | 156,000 | - | 185.29 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | 197,000 | - | 185.03 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | - | 80,000 | 82.34 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/22/2008 | - | 230,000 | 142.53 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 96,000 | 188.27 | APPLE INC |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 77,000 | 188.53 | APPLE INC |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 80,000 | 188.75 | APPLE INC |
| 1E0123 | 7/31/2008 | 5/22/2008 | - | 197,000 | 185.03 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | 103,000 | - | 185.17 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/22/2008 | 225,000 | - | 142.88 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/23/2008 | - | 142,000 | 183.99 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | 142,000 | - | 183.99 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | 158,000 | - | 184.09 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | - | 203,000 | 138.27 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/23/2008 | - | 247,000 | 138.59 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/23/2008 | - | 137,000 | 183.81 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | 137,000 | - | 183.81 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/23/2008 | - | 163,000 | 183.60 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | - | 81,000 | 80.10 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/23/2008 | 210,000 | - | 138.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/23/2008 | 203,000 | - | 138.27 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/23/2008 | 81,000 | - | 80.10 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/23/2008 | 163,000 | - | 183.60 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/23/2008 | - | 158,000 | 184.09 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/23/2008 | 247,000 | - | 138.59 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/23/2008 | - | 210,000 | 138.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/27/2008 | - | 157,000 | 185.29 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/27/2008 | - | 161,000 | 185.07 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/27/2008 | - | 240,000 | 140.19 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/27/2008 | - | 147,000 | 184.99 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/27/2008 | 113,350,000 | - | 99.34 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 5/27/2008 | - | 143,000 | 185.46 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/27/2008 | - | 85,000 | 81.71 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/27/2008 | - | 200,000 | 140.38 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/27/2008 | 240,000 | - | 140.19 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/27/2008 | - | 216,000 | 140.51 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/27/2008 | - | 113,350,000 | 99.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/27/2008 | 200,000 | - | 140.38 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/27/2008 | 216,000 | - | 140.51 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/27/2008 | 85,000 | - | 81.71 | JOY GLOBAL INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 8/31/2008 | 5/27/2008 | 113,425,000 | - | 99.26 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 160,000 | 103.18 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 205,000 | 133.60 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 241,000 | 133.51 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | 85,000 | - | 79.25 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/28/2008 | 205,000 | - | 133.79 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | 130,375,000 | - | 99.15 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 5/28/2008 | - | 162,000 | 181.76 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/28/2008 | - | 184,000 | 181.27 | UNITED STATES STEEL CORP |
| 1E0123 | 7/31/2008 | 5/28/2008 | - | 192,000 | 181.81 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | 241,000 | - | 133.40 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | 130,150,000 | - | 99.33 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 241,000 | 133.40 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 130,150,000 | 99.33 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/28/2008 | 100,000 | - | 103.11 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | 150,000 | - | 103.27 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | 160,000 | - | 103.18 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 100,000 | 103.11 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 150,000 | 103.27 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 85,000 | 79.25 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/28/2008 | 241,000 | - | 133.51 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/28/2008 | - | 174,000 | 181.53 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/28/2008 | - | 205,000 | 133.79 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/28/2008 | 205,000 | - | 133.60 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 202,000 | 131.75 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/29/2008 | 60,000 | - | 78.12 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/29/2008 | 115,000 | - | 102.36 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | 125,000 | - | 102.22 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 240,000 | 131.96 | RESEARCH IN MOTION LTD |
| 1E0123 | 7/31/2008 | 5/29/2008 | - | 155,000 | 173.03 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 60,000 | 78.12 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 115,000 | 102.36 | CHEVRON CORP |
| 1E0123 | 7/31/2008 | 5/29/2008 | - | 125,000 | 173.80 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 125,000 | 102.22 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | 240,000 | - | 131.96 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/29/2008 | 89,725,000 | - | 99.28 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 5/29/2008 | - | 108,100 | 173.91 | UNITED STATES STEEL CORP |
| 1E0123 | 8/31/2008 | 5/29/2008 | 220,000 | - | 132.00 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/29/2008 | 67,825,000 | - | 99.28 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/29/2008 | - | 220,000 | 132.00 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/29/2008 | 202,000 | - | 131.75 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | 13,084 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 5/30/2008 | 85,000 | - | 76.80 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/30/2008 | 230,000 | - | 135.28 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 100,000 | 100.06 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/30/2008 | 75,000 | - | 99.30 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 5/30/2008 | 100,000 | - | 100.06 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/30/2008 | 125,000 | - | 100.10 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/30/2008 | 34,439 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 5/30/2008 | 272,200 | - | 135.52 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 85,000 | 76.80 | JOY GLOBAL INC |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 230,000 | 135.28 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 272,200 | 135.52 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | 200,000 | - | 135.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 125,000 | 100.10 | CHEVRON CORP |
| 1E0123 | 8/31/2008 | 5/30/2008 | - | 200,000 | 135.41 | RESEARCH IN MOTION LTD |
| 1E0123 | 8/31/2008 | 5/30/2008 | 22,510 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2008 | 6/2/2008 | - | 70,000 | 109.39 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/2/2008 | - | 70,000 | 108.86 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/2/2008 | - | 80,000 | 109.06 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/2/2008 | - | 76,000 | 109.14 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 8/31/2008 | 6/2/2008 | 32,475,000 | - | 99.40 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 6/3/2008 | - | 82,000 | 112.30 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/3/2008 | - | 90,000 | 112.23 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/3/2008 | - | 90,000 | 112.09 | BURLINGTON NORTHERN SANTA FE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1E0123 | 8/31/2008 | 6/3/2008 | 40,875,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 6/3/2008 | - | 100,000 | 112.47 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 6/4/2008 | - | 82,000 | 113.08 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 8/31/2008 | 6/4/2008 | 9,325,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/5/2008 | - | 45,000 | 119.41 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/5/2008 | - | 65,000 | 134.62 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/5/2008 | 75,000 | - | 119.63 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/5/2008 | 175,000 | - | 99.43 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/5/2008 | - | 75,000 | 119.63 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/5/2008 | 65,000 | - | 134.62 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/5/2008 | 45,000 | - | 119.41 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/6/2008 | 100,000 | - | 120.20 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/6/2008 | 50,000 | - | 136.60 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/6/2008 | - | 50,000 | 136.60 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/6/2008 | 40,000 | - | 136.53 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/6/2008 | - | 40,000 | 136.53 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/6/2008 | - | 20,000 | 120.07 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/6/2008 | 20,000 | - | 120.07 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/6/2008 | - | 100,000 | 120.20 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/9/2008 | - | 75,000 | 116.70 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/9/2008 | 275,000 | - | 99.18 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/9/2008 | 60,000 | - | 136.02 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/9/2008 | 275,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/9/2008 | - | 70,000 | 116.53 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/9/2008 | - | 275,000 | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/9/2008 | 70,000 | - | 116.53 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/9/2008 | 75,000 | - | 116.70 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/9/2008 | - | 60,000 | 136.02 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/10/2008 | 60,000 | - | 117.03 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/10/2008 | - | 90,000 | 117.11 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/10/2008 | 850,000 | - | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/10/2008 | - | 850,000 | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/10/2008 | - | 85,000 | 133.09 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/10/2008 | 850,000 | - | 99.12 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/10/2008 | 85,000 | - | 133.09 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/10/2008 | 90,000 | - | 117.11 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/10/2008 | - | 60,000 | 117.03 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/11/2008 | - | 50,000 | 119.69 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/11/2008 | 40,000 | - | 132.51 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/11/2008 | - | 40,000 | 132.51 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/11/2008 | 50,000 | - | 119.69 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/11/2008 | 80,000 | - | 119.77 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/11/2008 | - | 80,000 | 119.77 | DEVON ENERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/12/2008 | 759,975,000 | - | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/12/2008 | - | 759,975,000 | 99.38 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/12/2008 | 90,000 | - | 136.35 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/12/2008 | 412,550,000 | - | 99.02 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/12/2008 | - | 90,000 | 136.35 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/12/2008 | 350,000,000 | - | 99.06 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/13/2008 | 55,000 | - | 134.09 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/13/2008 | - | 55,000 | 134.09 | SPX CORP |
| 1E0123 | 8/31/2008 | 6/19/2008 | - | 100,000 | 78.51 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/19/2008 | 200,000 | - | 78.86 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/19/2008 | - | 200,000 | 101.69 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/19/2008 | - | 110,000 | 101.42 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/19/2008 | - | 65,000 | 121.03 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/19/2008 | 100,000 | - | 78.51 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/19/2008 | 110,000 | - | 101.42 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/19/2008 | 65,000 | - | 121.03 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/19/2008 | - | 200,000 | 78.86 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/19/2008 | 200,000 | - | 101.69 | SCHLUMBERGER LTD |
| 1E0123 | 7/31/2008 | 6/20/2008 | - | 117,000 | 143.53 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 85,000 | 121.71 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 6/20/2008 | - | 150,000 | 143.40 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 39,000 | 103.33 | SCHLUMBERGER LTD |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------|
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 90,000 | 79.77 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/20/2008 | 90,000 | - | 103.57 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/20/2008 | 150,000 | - | 103.71 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 80,000 | 79.53 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2008 | 6/20/2008 | - | 83,000 | 143.09 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 150,000 | 103.71 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/20/2008 | 64,825,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/20/2008 | 39,000 | - | 103.33 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/20/2008 | 85,000 | - | 121.71 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/20/2008 | 90,000 | - | 79.77 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/20/2008 | 80,000 | - | 79.53 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2008 | 6/20/2008 | - | 100,000 | 142.87 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/20/2008 | - | 90,000 | 103.57 | SCHLUMBERGER LTD |
| 1E0123 | 7/31/2008 | 6/23/2008 | - | 93,000 | 145.42 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 170,000 | 83.42 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 75,000 | 121.86 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 101,000 | 105.49 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/23/2008 | 60,000 | - | 121.74 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/23/2008 | 126,000 | - | 105.26 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 150,000 | 83.25 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 60,000 | 121.74 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/23/2008 | 75,000 | - | 121.86 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/23/2008 | 101,000 | - | 105.49 | SCHLUMBERGER LTD |
| 1E0123 | 7/31/2008 | 6/23/2008 | - | 80,000 | 145.09 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 6/23/2008 | - | 125,000 | 145.61 | MONSANTO CO |
| 1E0123 | 8/31/2008 | 6/23/2008 | - | 126,000 | 105.26 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/23/2008 | 150,000 | - | 83.25 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/23/2008 | 170,000 | - | 83.42 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/23/2008 | 43,550,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 110,000 | 122.22 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/24/2008 | 95,000 | - | 122.16 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/24/2008 | 124,000 | - | 107.57 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/24/2008 | 140,000 | - | 107.49 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 80,000 | 92.10 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 124,000 | 107.57 | SCHLUMBERGER LTD |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 140,000 | 107.49 | SCHLUMBERGER LTD |
| 1E0123 | 7/31/2008 | 6/24/2008 | - | 110,000 | 92.60 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 115,000 | 85.99 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/24/2008 | 95,000 | - | 86.07 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/24/2008 | 110,000 | - | 92.60 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/24/2008 | 115,000 | - | 85.99 | PEABODY ENERGY CORP |
| 1E0123 | 7/31/2008 | 6/24/2008 | - | 70,000 | 92.10 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 95,000 | 86.07 | PEABODY ENERGY CORP |
| 1E0123 | 8/31/2008 | 6/24/2008 | 70,000 | - | 92.10 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/24/2008 | 110,000 | - | 122.22 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/24/2008 | 7,450,000 | - | 99.47 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/24/2008 | - | 95,000 | 122.16 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/25/2008 | 85,000 | - | 122.07 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 6/25/2008 | - | 95,000 | 91.36 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 6/25/2008 | - | 90,000 | 91.49 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 6/25/2008 | - | 120,000 | 91.77 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 6/25/2008 | - | 125,000 | 91.63 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/25/2008 | - | 85,000 | 122.07 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 6/25/2008 | - | 57,000 | 91.12 | MASSEY ENERGY CO |
| 1E0123 | 8/31/2008 | 6/25/2008 | 44,800,000 | - | 99.48 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 6/26/2008 | - | 132,000 | 21.00 | EL PASO CORPORATION |
| 1E0123 | 7/31/2008 | 6/26/2008 | - | 140,000 | 21.53 | EL PASO CORPORATION |
| 1E0123 | 7/31/2008 | 6/26/2008 | - | 125,000 | 21.69 | EL PASO CORPORATION |
| 1E0123 | 8/31/2008 | 6/26/2008 | 216,800,000 | - | 99.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 6/26/2008 | 115,000 | - | 128.31 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/26/2008 | 150,000 | - | 128.17 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/26/2008 | - | 115,000 | 128.31 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/26/2008 | - | 100,000 | 123.02 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/26/2008 | - | 150,000 | 128.17 | HESS CORP |
| 1E0123 | 7/31/2008 | 6/26/2008 | - | 112,000 | 21.08 | EL PASO CORPORATION |

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|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1E0123 | 8/31/2008 | 6/26/2008 | 100,000 | - | 123.02 | ENTERGY CORP NEW |
| 1E0123 | 8/31/2008 | 6/27/2008 | 9,475,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 6/27/2008 | - | 126,000 | 22.00 | EL PASO CORPORATION |
| 1E0123 | 8/31/2008 | 6/27/2008 | - | 125,000 | 128.00 | HESS CORP |
| 1E0123 | 7/31/2008 | 6/27/2008 | - | 147,000 | 21.86 | EL PASO CORPORATION |
| 1E0123 | 8/31/2008 | 6/27/2008 | - | 80,000 | 127.87 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/27/2008 | 45,000 | - | 127.80 | HESS CORP |
| 1E0123 | 7/31/2008 | 6/27/2008 | - | 153,000 | 21.71 | EL PASO CORPORATION |
| 1E0123 | 8/31/2008 | 6/27/2008 | - | 45,000 | 127.80 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/27/2008 | 80,000 | - | 127.87 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/27/2008 | 125,000 | - | 128.00 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | 75,000 | - | 126.26 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | 70,000 | - | 126.43 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | - | 70,000 | 126.43 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | 100,000 | - | 126.51 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | 19,147 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 6/30/2008 | 21,209 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 6/30/2008 | - | 75,000 | 126.26 | HESS CORP |
| 1E0123 | 8/31/2008 | 6/30/2008 | - | 100,000 | 126.51 | HESS CORP |
| 1E0123 | 8/31/2008 | 7/3/2008 | - | 719,825,000 | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/3/2008 | - | 53,586 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 7/3/2008 | - | 119,456 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 7/3/2008 | 150,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/3/2008 | 370,700,000 | - | 99.18 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/3/2008 | 350,000,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/3/2008 | 719,825,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/10/2008 | 175,150,000 | - | 99.41 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/17/2008 | 82,425,000 | - | 99.49 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/24/2008 | 100,000 | - | 99.44 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/31/2008 | 867 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 7/31/2008 | 50,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/31/2008 | 23,625,000 | - | 99.45 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 7/31/2008 | 20,110 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 7/31/2008 | - | 867 | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2008 | 8/8/2008 | 100,000 | - | 18.99 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/8/2008 | 60,000 | - | 19.09 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/9/2008 | 75,000 | - | 53.51 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 8/9/2008 | 100,000 | - | 53.40 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 8/9/2008 | 90,000 | - | 53.77 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 8/9/2008 | 77,000 | - | 18.18 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/9/2008 | 110,000 | - | 17.99 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/9/2008 | 80,000 | - | 54.00 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 8/9/2008 | 110,000 | - | 54.25 | OCCIDENTAL PETROLEUM CORP |
| 1E0123 | 7/31/2008 | 8/10/2008 | 95,000 | - | 17.39 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/10/2008 | 125,000 | - | 17.20 | MASSEY ENERGY CO |
| 1E0123 | 7/31/2008 | 8/13/2008 | 77,000 | - | 77.51 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/13/2008 | 100,000 | - | 97.99 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/13/2008 | 90,000 | - | 77.12 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/13/2008 | 80,000 | - | 77.36 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/13/2008 | 115,000 | - | 98.36 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/13/2008 | 90,000 | - | 98.12 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/14/2008 | 81,000 | - | 97.78 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/14/2008 | 100,000 | - | 97.83 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/14/2008 | 99,000 | - | 97.48 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/14/2008 | 50,000 | - | 73.47 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/14/2008 | 120,000 | - | 97.30 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 8/14/2008 | 100,000 | - | 73.30 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/15/2008 | 110,000 | - | 70.86 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/15/2008 | 115,000 | - | 70.91 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/15/2008 | 95,000 | - | 70.70 | GENERAL DYNAMICS CORP |
| 1E0123 | 7/31/2008 | 8/16/2008 | 90,000 | - | 38.59 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/16/2008 | 78,000 | - | 39.06 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/16/2008 | 120,000 | - | 38.71 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/16/2008 | 100,000 | - | 38.33 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/17/2008 | 95,000 | - | 38.07 | QUALCOMM INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1E0123 | 7/31/2008 | 8/17/2008 | 150,000 | - | 37.77 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/17/2008 | 80,000 | - | 38.19 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/17/2008 | 100,000 | - | 37.94 | QUALCOMM INC |
| 1E0123 | 7/31/2008 | 8/20/2008 | 89,000 | - | 56.77 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 8/20/2008 | 57,000 | - | 63.79 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/20/2008 | 60,000 | - | 63.53 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/20/2008 | 81,000 | - | 64.00 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/20/2008 | 92,000 | - | 63.86 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/21/2008 | 100,000 | - | 59.77 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/21/2008 | 50,000 | - | 54.37 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 8/21/2008 | 150,000 | - | 59.88 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/21/2008 | 71,000 | - | 54.25 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 8/21/2008 | 120,000 | - | 59.60 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/21/2008 | 88,000 | - | 60.30 | MONSANTO CO |
| 1E0123 | 7/31/2008 | 8/22/2008 | 55,000 | - | 53.51 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 8/22/2008 | 65,000 | - | 53.69 | APOLLO GROUP INC CLASS A |
| 1E0123 | 7/31/2008 | 8/23/2008 | 70,000 | - | 54.51 | APOLLO GROUP INC CLASS A |
| 1E0123 | 8/31/2008 | 9/4/2008 | 525,000 | - | 98.40 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 9/6/2008 | 712,850,000 | - | 98.46 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 9/10/2008 | 700,000 | - | 98.59 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 9/13/2008 | 3,100,000 | - | 98.53 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 9/20/2008 | 129,900,000 | - | 98.57 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 9/24/2008 | - | 108,000 | 106.31 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2008 | 9/24/2008 | - | 92,000 | 106.07 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2008 | 9/24/2008 | - | 104,000 | 106.49 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 8/31/2008 | 9/24/2008 | 32,775,000 | - | 98.62 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 9/25/2008 | - | 121,000 | 105.70 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 8/31/2008 | 9/25/2008 | 28,200,000 | - | 98.64 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 9/25/2008 | - | 142,000 | 105.88 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 7/31/2008 | 9/26/2008 | - | 113,000 | 106.56 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 8/31/2008 | 9/26/2008 | 12,200,000 | - | 98.65 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 9/27/2008 | - | 120,000 | 109.62 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 8/31/2008 | 9/27/2008 | 13,375,000 | - | 98.34 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 9/28/2008 | 9,750,000 | - | 98.34 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 9/28/2008 | - | 85,700 | 109.03 | ALLEGHENY TECHNOLOGIES INC |
| 1E0123 | 8/31/2008 | 9/28/2008 | 14,953 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 10/4/2008 | 261,175,000 | - | 98.29 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 10/11/2008 | 173,000,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 10/15/2008 | 100,000 | - | 98.26 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 10/18/2008 | 1,750,000 | - | 98.36 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 10/26/2008 | 150,000 | - | 98.66 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 10/31/2008 | 3,311 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2008 | 11/6/2008 | - | 250,000 | 189.77 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/6/2008 | 90,000 | - | 73.28 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/6/2008 | - | 100,000 | 189.99 | APPLE INC |
| 1E0123 | 8/31/2008 | 11/6/2008 | 50,650,000 | - | 98.64 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 11/6/2008 | 25,000 | - | 73.46 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/6/2008 | 110,000 | - | 73.12 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | - | 90,000 | 188.49 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | 85,000 | - | 73.81 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | 90,000 | - | 73.70 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | - | 125,000 | 188.90 | APPLE INC |
| 1E0123 | 8/31/2008 | 11/7/2008 | 29,300,000 | - | 98.71 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 11/7/2008 | - | 75,000 | 188.72 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | 75,000 | - | 73.59 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/7/2008 | 100,000 | - | 73.68 | GENENTECH INC |
| 1E0123 | 7/31/2008 | 11/8/2008 | - | 80,000 | 187.35 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/8/2008 | - | 150,000 | 188.19 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/8/2008 | - | 180,000 | 188.63 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/8/2008 | - | 200,000 | 188.81 | APPLE INC |
| 1E0123 | 8/31/2008 | 11/8/2008 | 116,600,000 | - | 98.58 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 11/9/2008 | - | 97,000 | 190.09 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/9/2008 | - | 110,000 | 189.99 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/9/2008 | - | 81,550 | 190.18 | APPLE INC |
| 1E0123 | 7/31/2008 | 11/9/2008 | - | 99,000 | 190.00 | APPLE INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------|
| 1E0123 | 8/31/2008 | 11/9/2008 | 88,550,000 | - | 98.62 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 11/9/2008 | - | 71,000 | 189.79 | APPLE INC |
| 1E0123 | 8/31/2008 | 11/15/2008 | 363,750,000 | - | 98.64 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 11/29/2008 | 69,100,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 11/30/2008 | 23,601 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 12/3/2008 | 525,000 | - | 98.71 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/7/2008 | - | 100,300 | 94.40 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/7/2008 | - | 145,000 | 94.19 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/7/2008 | - | 155,000 | 94.31 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/7/2008 | 38,225,000 | - | 98.75 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/10/2008 | - | 168,000 | 94.88 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/10/2008 | - | 171,000 | 94.79 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/10/2008 | 33,225,000 | - | 98.77 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 12/11/2008 | - | 14,425,000 | 98.76 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/11/2008 | - | 152,000 | 94.77 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/11/2008 | 14,425,000 | - | 98.76 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 12/11/2008 | 14,575,000 | - | 98.76 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/12/2008 | - | 139,000 | 94.51 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/12/2008 | 13,300,000 | - | 98.75 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/13/2008 | - | 95,000 | 122.07 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/13/2008 | - | 138,000 | 34.44 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/13/2008 | - | 156,000 | 94.09 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/13/2008 | 32,475,000 | - | 98.78 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 113,000 | 35.12 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 126,000 | 35.08 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 154,000 | 95.80 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 120,000 | 35.49 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/14/2008 | 65,250,000 | - | 98.76 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 50,000 | 122.12 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 77,000 | 122.09 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 135,000 | 35.39 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/14/2008 | - | 175,000 | 95.72 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 60,000 | 121.80 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 108,000 | 36.00 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/17/2008 | 80,450,000 | - | 98.68 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 192,000 | 93.69 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 65,000 | 121.71 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 107,000 | 35.86 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 108,000 | 35.79 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 68,000 | 122.04 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 104,000 | 35.61 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 144,000 | 93.39 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/17/2008 | - | 75,000 | 121.96 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 140,000 | 34.99 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 104,000 | 34.71 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 120,000 | 119.99 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 153,000 | 92.82 | AMAZON COM INC |
| 1E0123 | 8/31/2008 | 12/18/2008 | 46,025,000 | - | 98.69 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 100,000 | 34.88 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/18/2008 | - | 137,000 | 35.09 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/19/2008 | - | 177,000 | 91.09 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/19/2008 | - | 95,000 | 120.12 | ENTERGY CORP NEW |
| 1E0123 | 7/31/2008 | 12/19/2008 | - | 120,000 | 35.31 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/19/2008 | - | 138,000 | 35.39 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/19/2008 | 37,125,000 | - | 98.71 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 12/20/2008 | 35,300,000 | - | 98.74 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/20/2008 | - | 130,000 | 34.64 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/20/2008 | - | 182,000 | 87.89 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/20/2008 | - | 133,000 | 34.71 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/20/2008 | - | 135,000 | 34.70 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/20/2008 | - | 145,000 | 34.88 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/21/2008 | - | 135,000 | 34.19 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/21/2008 | - | 137,000 | 87.13 | AMAZON COM INC |
| 1E0123 | 7/31/2008 | 12/21/2008 | - | 140,000 | 34.24 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/21/2008 | 30,675,000 | - | 98.72 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1E0123 | 7/31/2008 | 12/21/2008 | - | 150,000 | 34.09 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/21/2008 | - | 113,000 | 33.89 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/24/2008 | 75,000 | - | 81.30 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/24/2008 | - | 150,000 | 34.64 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/24/2008 | 80,000 | - | 81.66 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/24/2008 | - | 144,000 | 34.42 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/24/2008 | - | 10,150,000 | 99.37 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/24/2008 | 93,000 | - | 81.93 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/26/2008 | 90,000 | - | 80.92 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/26/2008 | - | 136,000 | 35.11 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/26/2008 | - | 145,000 | 35.20 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/26/2008 | 60,000 | - | 81.30 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/26/2008 | 100,000 | - | 80.88 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 8/31/2008 | 12/26/2008 | - | 10,425,000 | 99.39 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/27/2008 | - | 110,000 | 35.79 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/27/2008 | - | 125,000 | 35.66 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/27/2008 | 87,000 | - | 82.86 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/27/2008 | - | 105,000 | 35.63 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/27/2008 | 75,000 | - | 82.70 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 7/31/2008 | 12/27/2008 | - | 121,000 | 35.49 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/27/2008 | 80,000 | - | 82.79 | BURLINGTON NORTHERN SANTA FE |
| 1E0123 | 8/31/2008 | 12/27/2008 | 229,950,000 | - | 98.68 | U S TREASURY BILL |
| 1E0123 | 8/31/2008 | 12/28/2008 | 10,350,000 | - | 98.68 | U S TREASURY BILL |
| 1E0123 | 7/31/2008 | 12/28/2008 | - | 143,000 | 35.86 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/28/2008 | - | 142,000 | 35.72 | NVIDIA CORP |
| 1E0123 | 7/31/2008 | 12/31/2008 | - | 100,750 | 36.00 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/31/2008 | 22,585 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 8/31/2008 | 12/31/2008 | 23,816 | - | 1.00 | FIDELITY SPARTAN |
| 1E0123 | 7/31/2008 | 12/31/2008 | - | 149,000 | 36.09 | NVIDIA CORP |
| 1E0123 | 8/31/2008 | 12/31/2008 | 9,100,000 | - | 98.71 | U S TREASURY BILL |
| 1E0126 | 6/30/1999 | 5/26/1999 | 3,425,000 | - | 99.66 | U S TREASURY BILL |
| 1E0126 | 6/30/1999 | 5/26/1999 | - | 3,425,000 | 98.66 | U S TREASURY BILL |
| 1E0139 | 6/30/1999 | 5/26/1999 | 7,650,000 | - | 99.66 | U S TREASURY BILL |
| 1E0139 | 6/30/1999 | 5/26/1999 | - | 7,650,000 | 98.66 | U S TREASURY BILL |
| 1E0139 | 8/31/2002 | 7/31/2002 | - | 5,950,000 | 99.48 | U S TREASURY BILL |
| 1E0139 | 8/31/2002 | 7/31/2002 | 5,950,000 | - | 99.54 | U S TREASURY BILL |
| 1E0139 | 8/31/2002 | 7/31/2002 | - | 5,950,000 | 99.54 | U S TREASURY BILL |
| 1E0139 | 8/31/2002 | 7/31/2002 | 5,950,000 | - | 99.48 | U S TREASURY BILL |
| 1E0153 | 6/30/1999 | 5/26/1999 | - | 8,250,000 | 98.66 | U S TREASURY BILL |
| 1E0153 | 6/30/1999 | 5/26/1999 | 8,250,000 | - | 99.66 | U S TREASURY BILL |
| 1E0160 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1E0160 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1E0160 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1E0160 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1E0161 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.48 | U S TREASURY BILL |
| 1E0161 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.48 | U S TREASURY BILL |
| 1E0161 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.54 | U S TREASURY BILL |
| 1E0161 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.54 | U S TREASURY BILL |
| 1E0163 | 2/28/2006 | 11/22/2006 | - | 3,000,000 | 99.52 | U S TREASURY BILL |
| 1E0163 | 2/28/2006 | 11/22/2006 | 3,000,000 | - | 98.52 | U S TREASURY BILL |
| 1EM011 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM011 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM016 | 6/30/1999 | 5/26/1999 | - | 375,000 | 98.66 | U S TREASURY BILL |
| 1EM016 | 6/30/1999 | 5/26/1999 | 375,000 | - | 99.66 | U S TREASURY BILL |
| 1EM022 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1EM022 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1EM029 | 6/30/1999 | 5/26/1999 | - | 1,375,000 | 98.66 | U S TREASURY BILL |
| 1EM029 | 6/30/1999 | 5/26/1999 | 1,375,000 | - | 99.66 | U S TREASURY BILL |
| 1EM029 | 8/31/2002 | 7/31/2002 | 1,300,000 | - | 99.54 | U S TREASURY BILL |
| 1EM029 | 8/31/2002 | 7/31/2002 | - | 1,300,000 | 99.48 | U S TREASURY BILL |
| 1EM029 | 8/31/2002 | 7/31/2002 | 1,300,000 | - | 99.48 | U S TREASURY BILL |
| 1EM029 | 8/31/2002 | 7/31/2002 | - | 1,300,000 | 99.54 | U S TREASURY BILL |
| 1EM030 | 6/30/1999 | 5/26/1999 | - | 550,000 | 98.66 | U S TREASURY BILL |
| 1EM030 | 6/30/1999 | 5/26/1999 | 550,000 | - | 99.66 | U S TREASURY BILL |
| 1EM052 | 6/30/1999 | 5/26/1999 | - | 650,000 | 98.66 | U S TREASURY BILL |

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|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1EM052 | 6/30/1999 | 5/26/1999 | 650,000 | - | 99.66 | U S TREASURY BILL |
| 1EM057 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.54 | U S TREASURY BILL |
| 1EM057 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.54 | U S TREASURY BILL |
| 1EM057 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.48 | U S TREASURY BILL |
| 1EM057 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.48 | U S TREASURY BILL |
| 1EM061 | 8/31/2002 | 7/31/2002 | 1,750,000 | - | 99.48 | U S TREASURY BILL |
| 1EM061 | 8/31/2002 | 7/31/2002 | - | 1,750,000 | 99.48 | U S TREASURY BILL |
| 1EM061 | 8/31/2002 | 7/31/2002 | - | 1,750,000 | 99.54 | U S TREASURY BILL |
| 1EM061 | 8/31/2002 | 7/31/2002 | 1,750,000 | - | 99.54 | U S TREASURY BILL |
| 1EM070 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM070 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1EM095 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM095 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1EM100 | 6/30/1999 | 5/26/1999 | 250,000 | - | 99.66 | U S TREASURY BILL |
| 1EM100 | 6/30/1999 | 5/26/1999 | - | 250,000 | 98.66 | U S TREASURY BILL |
| 1EM111 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM111 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM111 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.48 | U S TREASURY BILL |
| 1EM111 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.54 | U S TREASURY BILL |
| 1EM111 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.54 | U S TREASURY BILL |
| 1EM111 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.48 | U S TREASURY BILL |
| 1EM122 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.54 | U S TREASURY BILL |
| 1EM122 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.48 | U S TREASURY BILL |
| 1EM122 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.48 | U S TREASURY BILL |
| 1EM122 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.54 | U S TREASURY BILL |
| 1EM135 | 6/30/1999 | 5/26/1999 | 500,000 | - | 99.66 | U S TREASURY BILL |
| 1EM135 | 6/30/1999 | 5/26/1999 | - | 500,000 | 98.66 | U S TREASURY BILL |
| 1EM183 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM183 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM224 | 6/30/1999 | 5/26/1999 | - | 2,125,000 | 98.66 | U S TREASURY BILL |
| 1EM224 | 6/30/1999 | 5/26/1999 | 2,125,000 | - | 99.66 | U S TREASURY BILL |
| 1EM224 | 8/31/2002 | 7/31/2002 | - | 2,100,000 | 99.48 | U S TREASURY BILL |
| 1EM224 | 8/31/2002 | 7/31/2002 | - | 2,100,000 | 99.54 | U S TREASURY BILL |
| 1EM224 | 8/31/2002 | 7/31/2002 | 2,100,000 | - | 99.48 | U S TREASURY BILL |
| 1EM224 | 8/31/2002 | 7/31/2002 | 2,100,000 | - | 99.54 | U S TREASURY BILL |
| 1EM259 | 6/30/1999 | 5/26/1999 | - | 1,475,000 | 98.66 | U S TREASURY BILL |
| 1EM259 | 6/30/1999 | 5/26/1999 | 1,475,000 | - | 99.66 | U S TREASURY BILL |
| 1EM259 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.54 | U S TREASURY BILL |
| 1EM259 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.54 | U S TREASURY BILL |
| 1EM259 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.48 | U S TREASURY BILL |
| 1EM259 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.48 | U S TREASURY BILL |
| 1EM268 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1EM268 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM276 | 6/30/1999 | 5/26/1999 | - | 3,475,000 | 98.66 | U S TREASURY BILL |
| 1EM276 | 6/30/1999 | 5/26/1999 | 3,475,000 | - | 99.66 | U S TREASURY BILL |
| 1EM276 | 8/31/2002 | 7/31/2002 | 3,850,000 | - | 99.54 | U S TREASURY BILL |
| 1EM276 | 8/31/2002 | 7/31/2002 | 3,850,000 | - | 99.48 | U S TREASURY BILL |
| 1EM276 | 8/31/2002 | 7/31/2002 | - | 3,850,000 | 99.48 | U S TREASURY BILL |
| 1EM276 | 8/31/2002 | 7/31/2002 | - | 3,850,000 | 99.54 | U S TREASURY BILL |
| 1EM286 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM286 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM294 | 6/30/1999 | 5/26/1999 | 1,800,000 | - | 99.66 | U S TREASURY BILL |
| 1EM294 | 6/30/1999 | 5/26/1999 | - | 1,800,000 | 98.66 | U S TREASURY BILL |
| 1EM294 | 8/31/2002 | 7/31/2002 | - | 4,075,000 | 99.54 | U S TREASURY BILL |
| 1EM294 | 8/31/2002 | 7/31/2002 | - | 4,075,000 | 99.48 | U S TREASURY BILL |
| 1EM294 | 8/31/2002 | 7/31/2002 | 4,075,000 | - | 99.54 | U S TREASURY BILL |
| 1EM294 | 8/31/2002 | 7/31/2002 | 4,075,000 | - | 99.48 | U S TREASURY BILL |
| 1EM305 | 6/30/1999 | 5/26/1999 | - | 5,150,000 | 98.66 | U S TREASURY BILL |
| 1EM305 | 6/30/1999 | 5/26/1999 | 5,150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM305 | 8/31/2002 | 7/31/2002 | 7,025,000 | - | 99.54 | U S TREASURY BILL |
| 1EM305 | 8/31/2002 | 7/31/2002 | - | 7,025,000 | 99.48 | U S TREASURY BILL |
| 1EM305 | 8/31/2002 | 7/31/2002 | 7,025,000 | - | 99.48 | U S TREASURY BILL |
| 1EM305 | 8/31/2002 | 7/31/2002 | - | 7,025,000 | 99.54 | U S TREASURY BILL |
| 1EM313 | 6/30/1999 | 5/26/1999 | - | 1,950,000 | 98.66 | U S TREASURY BILL |
| 1EM313 | 6/30/1999 | 5/26/1999 | 1,950,000 | - | 99.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1EM313 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.48 | U S TREASURY BILL |
| 1EM313 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.54 | U S TREASURY BILL |
| 1EM313 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.54 | U S TREASURY BILL |
| 1EM313 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.48 | U S TREASURY BILL |
| 1EM343 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1EM343 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM364 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM364 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM364 | 8/31/2002 | 7/31/2002 | 2,725,000 | - | 99.54 | U S TREASURY BILL |
| 1EM364 | 8/31/2002 | 7/31/2002 | - | 2,725,000 | 99.48 | U S TREASURY BILL |
| 1EM364 | 8/31/2002 | 7/31/2002 | - | 2,725,000 | 99.54 | U S TREASURY BILL |
| 1EM364 | 8/31/2002 | 7/31/2002 | 2,725,000 | - | 99.48 | U S TREASURY BILL |
| 1EM372 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1EM372 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1EM375 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1EM375 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1EM432 | 12/31/2002 | 11/29/2002 | 100,000 | - | 99.67 | U S TREASURY BILL |
| 1EM432 | 12/31/2002 | 11/29/2002 | 100,000 | - | 99.67 | U S TREASURY BILL |
| 1EM446 | 7/31/2003 | 6/27/2003 | 1,500,000 | - | 99.79 | U S TREASURY BILL |
| 1EM446 | 7/31/2003 | 6/27/2003 | 3,180 | - | 1.00 | FIDELITY SPARTAN |
| 1F0011 | 4/30/2001 | 11/30/2001 | - | 6,286 | 1.00 | FIDELITY SPARTAN |
| 1F0011 | 4/30/2001 | 12/29/2001 | - | 2,989 | 1.00 | FIDELITY SPARTAN |
| 1F0012 | 6/30/2002 | 3/30/2002 | - | 50,000 | 1.00 | FIDELITY SPARTAN |
| 1F0012 | 6/30/2002 | 7/31/2002 | - | 40,000 | 1.00 | FIDELITY SPARTAN |
| 1F0012 | 6/30/2002 | 8/31/2002 | - | 25,296 | 1.00 | FIDELITY SPARTAN |
| 1F0012 | 12/31/2002 | 9/30/2002 | - | 115,000 | 99.41 | U S TREASURY BILL |
| 1F0018 | 6/30/1998 | 4/30/1998 | - | 5 | 1.00 | FIDELITY SPARTAN |
| 1F0018 | 6/30/1998 | 5/29/1998 | - | 4 | 1.00 | FIDELITY SPARTAN |
| 1F0020 | 6/30/1999 | 5/26/1999 | - | 5,375,000 | 98.66 | U S TREASURY BILL |
| 1F0020 | 6/30/1999 | 5/26/1999 | 5,375,000 | - | 99.66 | U S TREASURY BILL |
| 1F0020 | 8/31/2002 | 7/31/2002 | - | 5,700,000 | 99.48 | U S TREASURY BILL |
| 1F0020 | 8/31/2002 | 7/31/2002 | 5,700,000 | - | 99.48 | U S TREASURY BILL |
| 1F0020 | 8/31/2002 | 7/31/2002 | 5,700,000 | - | 99.54 | U S TREASURY BILL |
| 1F0020 | 8/31/2002 | 7/31/2002 | - | 5,700,000 | 99.54 | U S TREASURY BILL |
| 1F0021 | 6/30/1999 | 5/26/1999 | 32,625,000 | - | 99.66 | U S TREASURY BILL |
| 1F0021 | 6/30/1999 | 5/26/1999 | - | 32,625,000 | 98.66 | U S TREASURY BILL |
| 1F0021 | 8/31/2002 | 7/31/2002 | - | 37,125,000 | 99.48 | U S TREASURY BILL |
| 1F0021 | 8/31/2002 | 7/31/2002 | 37,125,000 | - | 99.48 | U S TREASURY BILL |
| 1F0021 | 8/31/2002 | 7/31/2002 | 37,125,000 | - | 99.54 | U S TREASURY BILL |
| 1F0021 | 8/31/2002 | 7/31/2002 | - | 37,125,000 | 99.54 | U S TREASURY BILL |
| 1F0054 | 4/30/1999 | 3/29/1999 | - | 36,000 | 171.25 | MICROSOFT CORP |
| 1F0054 | 4/30/1999 | 3/29/1999 | 72,000 | - | 85.63 | MICROSOFT CORP |
| 1F0054 | 4/30/1999 | 3/29/1999 | 100,000 | - | 85.50 | MICROSOFT CORP |
| 1F0054 | 4/30/1999 | 3/29/1999 | - | 50,000 | 171.00 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 46,000 | 67.25 | ADOBE SYS INC |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 72,000 | 118.38 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 46,000 | 118.31 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 19,040 | 77.25 | LUCENT TECHNOLOGIES INC |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 5,562 | 24.00 | ALLSTATE |
| 1F0054 | 3/31/2000 | 1/6/2000 | 5,562 | - | 24.00 | ALLSTATE |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 66,000 | 42.13 | NOVELL INC |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 30,000 | 82.94 | AMERICA ONLINE CORP |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 54,000 | 118.25 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/6/2000 | - | 42,000 | 83.00 | AMERICA ONLINE CORP |
| 1F0054 | 2/29/2000 | 1/7/2000 | - | 918 | 37.94 | NCR CORP |
| 1F0054 | 2/29/2000 | 1/7/2000 | - | 42,000 | 29.50 | ADVANCED MICRO DEVICES |
| 1F0054 | 2/29/2000 | 1/10/2000 | - | 25,500 | 27.38 | COMPAQ COMPUTER CORP |
| 1F0054 | 3/31/2000 | 1/10/2000 | 25,500 | - | 27.38 | COMPAQ COMPUTER CORP |
| 1F0054 | 2/29/2000 | 1/10/2000 | 46,000 | - | 58.50 | ADOBE SYS INC |
| 1F0054 | 2/29/2000 | 1/10/2000 | 55,000 | - | 40.00 | NATIONAL SEMICONDUCTOR CORP |
| 1F0054 | 2/29/2000 | 1/10/2000 | 66,000 | - | 32.50 | NOVELL INC |
| 1F0054 | 2/29/2000 | 1/10/2000 | - | 3,000 | 30.50 | SEARS ROEBUCK & CO |
| 1F0054 | 3/31/2000 | 1/10/2000 | 3,000 | - | 30.50 | SEARS ROEBUCK & CO |
| 1F0054 | 2/29/2000 | 1/10/2000 | - | 2,000 | 72.50 | LEHMAN BROS HOLDING INC |
| 1F0054 | 2/29/2000 | 1/11/2000 | - | 22,035 | 49.38 | AT & T CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1F0054 | 2/29/2000 | 1/11/2000 | - | 2,250 | 50.25 | EASTMAN CHEMICAL CO |
| 1F0054 | 2/29/2000 | 1/13/2000 | - | 12,348 | 47.88 | CHIRON CORP |
| 1F0054 | 3/31/2000 | 1/13/2000 | 12,348 | - | 47.88 | CHIRON CORP |
| 1F0054 | 2/29/2000 | 1/18/2000 | 42,000 | - | 60.06 | AMERICA ONLINE CORP |
| 1F0054 | 2/29/2000 | 1/18/2000 | - | 9,000 | 59.75 | EASTMAN KODAK CO |
| 1F0054 | 2/29/2000 | 1/18/2000 | 30,000 | - | 60.13 | AMERICA ONLINE CORP |
| 1F0054 | 2/29/2000 | 1/20/2000 | - | 10,000 | 47.50 | FEDEX CORPORATION |
| 1F0054 | 2/29/2000 | 1/21/2000 | 19,040 | - | 51.13 | LUCENT TECHNOLOGIES INC |
| 1F0054 | 2/29/2000 | 1/25/2000 | - | 26,466 | 54.25 | BP AMOCO PLC |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 24,000 | 21.63 | PHILIP MORRIS COMPANIES INC |
| 1F0054 | 3/31/2000 | 1/28/2000 | 24,000 | - | 21.63 | PHILIP MORRIS COMPANIES INC |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 6,000 | 55.75 | PPG INDS INC |
| 1F0054 | 3/31/2000 | 1/28/2000 | 6,000 | - | 55.75 | PPG INDS INC |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 55,000 | 53.50 | NATIONAL SEMICONDUCTOR CORP |
| 1F0054 | 2/29/2000 | 1/28/2000 | 46,000 | - | 100.13 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/28/2000 | 54,000 | - | 100.38 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 3,400 | 42.94 | CATERPILLAR INC |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 20,000 | 46.50 | INTERNATIONAL PAPER CO |
| 1F0054 | 2/29/2000 | 1/28/2000 | - | 30,000 | 39.38 | GEORGIA PACIFIC GROUP |
| 1F0054 | 2/29/2000 | 1/28/2000 | 72,000 | - | 100.00 | MICROSOFT CORP |
| 1F0054 | 2/29/2000 | 1/31/2000 | - | 17,000 | 87.75 | MERRILL LYNCH & CO INC |
| 1F0054 | 3/31/2000 | 1/31/2000 | 17,000 | - | 87.75 | MERRILL LYNCH & CO INC |
| 1F0054 | 10/31/2001 | 9/4/2001 | 10,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1F0054 | 6/30/2002 | 4/24/2002 | - | 50,000 | 42.75 | XILINX INC |
| 1F0054 | 4/30/2004 | 2/5/2004 | 60,000 | - | 48.02 | AMAZON COM INC |
| 1F0054 | 9/30/2008 | 2/5/2008 | - | 7,500 | 81.88 | DEVON ENERGY CORP NEW |
| 1F0054 | 9/30/2008 | 2/5/2008 | - | 10,000 | 81.88 | DEVON ENERGY CORP NEW |
| 1F0054 | 9/30/2008 | 2/12/2008 | 20,000 | - | 67.45 | AMAZON COM INC |
| 1F0054 | 9/30/2008 | 3/7/2008 | - | 3,500 | 120.62 | APPLE INC |
| 1F0054 | 9/30/2008 | 6/4/2008 | 33,400 | - | 28.65 | MICROSOFT CORP |
| 1F0054 | 9/30/2008 | 6/5/2008 | - | 20,000 | 75.15 | PEABODY ENERGY CORP |
| 1F0054 | 9/30/2008 | 7/16/2008 | - | 30,000 | 72.28 | WYNN RESORTS LTD |
| 1F0054 | 9/30/2008 | 7/22/2008 | 20,000 | - | 73.30 | PEABODY ENERGY CORP |
| 1F0054 | 9/30/2008 | 7/28/2008 | 7,500 | - | 94.89 | DEVON ENERGY CORP NEW |
| 1F0054 | 9/30/2008 | 8/5/2008 | 25,000 | - | 116.58 | PRICELINE COM INC |
| 1F0054 | 9/30/2008 | 8/5/2008 | - | 15,000 | 77.80 | SEARS HOLDING CORP |
| 1F0077 | 6/30/1999 | 5/26/1999 | - | 4,800,000 | 98.66 | U S TREASURY BILL |
| 1F0077 | 6/30/1999 | 5/26/1999 | 4,800,000 | - | 99.66 | U S TREASURY BILL |
| 1F0077 | 8/31/2002 | 7/31/2002 | - | 3,850,000 | 99.48 | U S TREASURY BILL |
| 1F0077 | 8/31/2002 | 7/31/2002 | - | 3,850,000 | 99.54 | U S TREASURY BILL |
| 1F0077 | 8/31/2002 | 7/31/2002 | 3,850,000 | - | 99.54 | U S TREASURY BILL |
| 1F0077 | 8/31/2002 | 7/31/2002 | 3,850,000 | - | 99.48 | U S TREASURY BILL |
| 1F0083 | 6/30/1999 | 5/26/1999 | 5,500,000 | - | 99.66 | U S TREASURY BILL |
| 1F0083 | 6/30/1999 | 5/26/1999 | - | 5,500,000 | 98.66 | U S TREASURY BILL |
| 1F0085 | 6/30/1999 | 5/26/1999 | 2,350,000 | - | 99.66 | U S TREASURY BILL |
| 1F0085 | 6/30/1999 | 5/26/1999 | - | 2,350,000 | 98.66 | U S TREASURY BILL |
| 1F0085 | 8/31/2002 | 7/31/2002 | - | 2,925,000 | 99.54 | U S TREASURY BILL |
| 1F0085 | 8/31/2002 | 7/31/2002 | 2,925,000 | - | 99.48 | U S TREASURY BILL |
| 1F0085 | 8/31/2002 | 7/31/2002 | 2,925,000 | - | 99.54 | U S TREASURY BILL |
| 1F0085 | 8/31/2002 | 7/31/2002 | - | 2,925,000 | 99.48 | U S TREASURY BILL |
| 1F0089 | 6/30/2002 | 8/31/2002 | - | 10,829 | 1.00 | FIDELITY SPARTAN |
| 1F0137 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1F0137 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1F0137 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.48 | U S TREASURY BILL |
| 1F0137 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.54 | U S TREASURY BILL |
| 1F0137 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.48 | U S TREASURY BILL |
| 1F0137 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.54 | U S TREASURY BILL |
| 1F0148 | 12/31/1997 | 4/7/1997 | - | 8,500 | 84.50 | MERRILL LYNCH & CO INC |
| 1F0148 | 12/31/1997 | 4/7/1997 | - | 46,000 | 50.50 | LUCENT TECHNOLOGIES INC |
| 1F0148 | 12/31/1997 | 4/8/1997 | - | 2,340 | 34.50 | DEAN WITTER DISCOVER & CO |
| 1F0148 | 12/31/1997 | 4/8/1997 | - | 11,200 | 97.50 | GENERAL ELECTRIC CO |
| 1F0148 | 12/31/1997 | 4/9/1997 | - | 2,781 | 59.00 | ALLSTATE |
| 1F0148 | 12/31/1997 | 4/10/1997 | - | 8,000 | 37.75 | PHILIP MORRIS COMPANIES INC |
| 1F0148 | 12/31/1997 | 4/16/1997 | - | 3,000 | 45.88 | SEARS ROEBUCK & CO |
| 1F0148 | 12/31/1997 | 4/17/1997 | - | 15,400 | 83.50 | PFIZER INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1F0148 | 12/31/1997 | 4/17/1997 | - | 5,100 | 71.50 | COMPAQ COMPUTER CORP |
| 1F0148 | 12/31/1997 | 4/18/1997 | - | 6,000 | 49.13 | PPG INDS INC |
| 1F0148 | 12/31/1997 | 4/22/1997 | - | 10,000 | 79.75 | AMOCO CORP |
| 1F0148 | 12/31/1997 | 4/24/1997 | - | 12,348 | 17.88 | CHIRON CORP |
| 1F0148 | 12/31/1997 | 10/30/1997 | - | 100,000 | 127.00 | MICROSOFT CORP |
| 1F0148 | 12/31/1997 | 10/31/1997 | - | 20,000 | 90.25 | INTERNATIONAL BUSINESS MACHS |
| 1F0148 | 12/31/1997 | 10/31/1997 | - | 20,000 | 45.00 | INTERNATIONAL PAPER CO |
| 1F0148 | 12/31/1997 | 10/31/1997 | 20,000 | - | 90.25 | INTERNATIONAL BUSINESS MACHS |
| 1F0148 | 12/31/1997 | 10/31/1997 | - | 9,000 | 57.00 | EASTMAN KODAK CO |
| 1F0148 | 12/31/1997 | 10/31/1997 | - | 58,700 | 125.00 | MICROSOFT CORP |
| 1F0148 | 2/29/2000 | 1/7/2000 | - | 26,466 | 59.06 | BP AMOCO PLC |
| 1F0148 | 2/29/2000 | 1/13/2000 | - | 12,348 | 47.88 | CHIRON CORP |
| 1F0148 | 2/29/2000 | 1/13/2000 | - | 74,000 | 58.00 | LUCENT TECHNOLOGIES INC |
| 1F0148 | 2/29/2000 | 1/13/2000 | - | 56,000 | 57.94 | LUCENT TECHNOLOGIES INC |
| 1F0148 | 2/29/2000 | 1/13/2000 | - | 54,000 | 57.88 | LUCENT TECHNOLOGIES INC |
| 1F0148 | 2/29/2000 | 1/19/2000 | - | 6,000 | 64.63 | PPG INDS INC |
| 1F0148 | 2/29/2000 | 1/19/2000 | - | 5,562 | 25.25 | ALLSTATE |
| 1F0148 | 2/29/2000 | 1/19/2000 | - | 22,400 | 154.50 | GENERAL ELECTRIC CO |
| 1F0148 | 2/29/2000 | 1/20/2000 | - | 92,400 | 37.25 | PFIZER INC |
| 1F0148 | 2/29/2000 | 1/20/2000 | - | 24,000 | 24.31 | PHILIP MORRIS COMPANIES INC |
| 1F0148 | 2/29/2000 | 1/21/2000 | - | 2,340 | 138.00 | MORGAN STANLEY DEAN WITTER CO |
| 1F0148 | 2/29/2000 | 1/25/2000 | - | 3,000 | 34.13 | SEARS ROEBUCK & CO |
| 1F0148 | 2/29/2000 | 1/28/2000 | - | 25,500 | 33.63 | COMPAQ COMPUTER CORP |
| 1F0148 | 2/29/2000 | 1/31/2000 | - | 17,000 | 87.63 | MERRILL LYNCH & CO INC |
| 1F0154 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.54 | U S TREASURY BILL |
| 1F0154 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.48 | U S TREASURY BILL |
| 1F0154 | 8/31/2002 | 7/31/2002 | 1,450,000 | - | 99.54 | U S TREASURY BILL |
| 1F0154 | 8/31/2002 | 7/31/2002 | - | 1,450,000 | 99.48 | U S TREASURY BILL |
| 1F0155 | 6/30/1999 | 5/26/1999 | - | 2,600,000 | 98.66 | U S TREASURY BILL |
| 1F0155 | 6/30/1999 | 5/26/1999 | 2,600,000 | - | 99.66 | U S TREASURY BILL |
| 1F0155 | 8/31/2002 | 7/31/2002 | - | 9,825,000 | 99.48 | U S TREASURY BILL |
| 1F0155 | 8/31/2002 | 7/31/2002 | 9,825,000 | - | 99.54 | U S TREASURY BILL |
| 1F0155 | 8/31/2002 | 7/31/2002 | - | 9,825,000 | 99.54 | U S TREASURY BILL |
| 1F0155 | 8/31/2002 | 7/31/2002 | 9,825,000 | - | 99.48 | U S TREASURY BILL |
| 1F0160 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1F0160 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1F0160 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1F0160 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1F0162 | 8/31/2002 | 7/31/2002 | - | 6,225,000 | 99.48 | U S TREASURY BILL |
| 1F0162 | 8/31/2002 | 7/31/2002 | 6,225,000 | - | 99.54 | U S TREASURY BILL |
| 1F0162 | 8/31/2002 | 7/31/2002 | - | 6,225,000 | 99.54 | U S TREASURY BILL |
| 1F0162 | 8/31/2002 | 7/31/2002 | 6,225,000 | - | 99.48 | U S TREASURY BILL |
| 1F0165 | 8/31/2002 | 7/31/2002 | - | 475,000 | 99.54 | U S TREASURY BILL |
| 1F0165 | 8/31/2002 | 7/31/2002 | 475,000 | - | 99.48 | U S TREASURY BILL |
| 1F0166 | 2/28/2006 | 11/22/2006 | - | 300,000 | 99.52 | U S TREASURY BILL |
| 1F0166 | 2/28/2006 | 11/22/2006 | 300,000 | - | 98.52 | U S TREASURY BILL |
| 1F0172 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1F0172 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1F0172 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1F0172 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1FN005 | 6/30/1999 | 5/26/1999 | - | 37,975,000 | 98.66 | U S TREASURY BILL |
| 1FN005 | 6/30/1999 | 5/26/1999 | 37,975,000 | - | 99.66 | U S TREASURY BILL |
| 1FN005 | 8/31/2002 | 7/31/2002 | - | 63,300,000 | 99.54 | U S TREASURY BILL |
| 1FN005 | 8/31/2002 | 7/31/2002 | - | 63,300,000 | 99.48 | U S TREASURY BILL |
| 1FN005 | 8/31/2002 | 7/31/2002 | 63,300,000 | - | 99.48 | U S TREASURY BILL |
| 1FN005 | 8/31/2002 | 7/31/2002 | 63,300,000 | - | 99.54 | U S TREASURY BILL |
| 1FN012 | 6/30/1999 | 5/26/1999 | - | 192,850,000 | 98.66 | U S TREASURY BILL |
| 1FN012 | 6/30/1999 | 5/26/1999 | 192,850,000 | - | 99.66 | U S TREASURY BILL |
| 1FN012 | 8/31/2002 | 7/31/2002 | 239,900,000 | - | 99.54 | U S TREASURY BILL |
| 1FN012 | 8/31/2002 | 7/31/2002 | - | 239,900,000 | 99.48 | U S TREASURY BILL |
| 1FN012 | 8/31/2002 | 7/31/2002 | - | 239,900,000 | 99.54 | U S TREASURY BILL |
| 1FN012 | 8/31/2002 | 7/31/2002 | 239,900,000 | - | 99.48 | U S TREASURY BILL |
| 1FN021 | 6/30/1999 | 5/26/1999 | - | 7,375,000 | 98.66 | U S TREASURY BILL |
| 1FN021 | 6/30/1999 | 5/26/1999 | 7,375,000 | - | 99.66 | U S TREASURY BILL |
| 1FN021 | 8/31/2002 | 7/31/2002 | - | 12,825,000 | 99.54 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1FN021 | 8/31/2002 | 7/31/2002 | 12,825,000 | - | 99.48 | U S TREASURY BILL |
| 1FN021 | 8/31/2002 | 7/31/2002 | - | 12,825,000 | 99.48 | U S TREASURY BILL |
| 1FN021 | 8/31/2002 | 7/31/2002 | 12,825,000 | - | 99.54 | U S TREASURY BILL |
| 1FN027 | 6/30/1999 | 5/26/1999 | 3,975,000 | - | 99.66 | U S TREASURY BILL |
| 1FN027 | 6/30/1999 | 5/26/1999 | - | 3,975,000 | 98.66 | U S TREASURY BILL |
| 1FN028 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1FN028 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1FN028 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1FN028 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1FN028 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1FN028 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1FN037 | 6/30/1999 | 5/26/1999 | - | 3,100,000 | 98.66 | U S TREASURY BILL |
| 1FN037 | 6/30/1999 | 5/26/1999 | 3,100,000 | - | 99.66 | U S TREASURY BILL |
| 1FN037 | 8/31/2002 | 7/31/2002 | - | 3,150,000 | 99.48 | U S TREASURY BILL |
| 1FN037 | 8/31/2002 | 7/31/2002 | 3,450,000 | - | 99.48 | U S TREASURY BILL |
| 1FN037 | 8/31/2002 | 7/31/2002 | 3,150,000 | - | 99.54 | U S TREASURY BILL |
| 1FN037 | 8/31/2002 | 7/31/2002 | - | 3,450,000 | 99.54 | U S TREASURY BILL |
| 1FN045 | 6/30/1999 | 5/26/1999 | - | 193,400,000 | 98.66 | U S TREASURY BILL |
| 1FN045 | 6/30/1999 | 5/26/1999 | 193,400,000 | - | 99.66 | U S TREASURY BILL |
| 1FN045 | 8/31/2002 | 7/31/2002 | - | 229,425,000 | 99.48 | U S TREASURY BILL |
| 1FN045 | 8/31/2002 | 7/31/2002 | - | 229,425,000 | 99.54 | U S TREASURY BILL |
| 1FN045 | 8/31/2002 | 7/31/2002 | 229,425,000 | - | 99.54 | U S TREASURY BILL |
| 1FN045 | 8/31/2002 | 7/31/2002 | 229,425,000 | - | 99.48 | U S TREASURY BILL |
| 1FN046 | 6/30/1999 | 5/26/1999 | 3,500,000 | - | 99.66 | U S TREASURY BILL |
| 1FN046 | 6/30/1999 | 5/26/1999 | - | 3,500,000 | 98.66 | U S TREASURY BILL |
| 1FN046 | 8/31/2002 | 7/31/2002 | 2,850,000 | - | 99.48 | U S TREASURY BILL |
| 1FN046 | 8/31/2002 | 7/31/2002 | - | 2,850,000 | 99.54 | U S TREASURY BILL |
| 1FN046 | 8/31/2002 | 7/31/2002 | - | 2,850,000 | 99.48 | U S TREASURY BILL |
| 1FN046 | 8/31/2002 | 7/31/2002 | 2,850,000 | - | 99.54 | U S TREASURY BILL |
| 1FN056 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1FN056 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1FN061 | 6/30/1999 | 5/26/1999 | 124,225,000 | - | 99.66 | U S TREASURY BILL |
| 1FN061 | 6/30/1999 | 5/26/1999 | - | 124,225,000 | 98.66 | U S TREASURY BILL |
| 1FN061 | 8/31/2002 | 7/31/2002 | - | 205,850,000 | 99.48 | U S TREASURY BILL |
| 1FN061 | 8/31/2002 | 7/31/2002 | 205,850,000 | - | 99.48 | U S TREASURY BILL |
| 1FN061 | 8/31/2002 | 7/31/2002 | 205,850,000 | - | 99.54 | U S TREASURY BILL |
| 1FN061 | 8/31/2002 | 7/31/2002 | - | 205,850,000 | 99.54 | U S TREASURY BILL |
| 1FN063 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1FN063 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1FN079 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1FN079 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1FN079 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.54 | U S TREASURY BILL |
| 1FN079 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.48 | U S TREASURY BILL |
| 1FN079 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.48 | U S TREASURY BILL |
| 1FN079 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.54 | U S TREASURY BILL |
| 1FN080 | 6/30/1999 | 5/26/1999 | 1,975,000 | - | 99.66 | U S TREASURY BILL |
| 1FN080 | 6/30/1999 | 5/26/1999 | - | 1,975,000 | 98.66 | U S TREASURY BILL |
| 1FN084 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1FN084 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |
| 1FN084 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.48 | U S TREASURY BILL |
| 1FN084 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.48 | U S TREASURY BILL |
| 1FN084 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.54 | U S TREASURY BILL |
| 1FN084 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.54 | U S TREASURY BILL |
| 1FN085 | 1/31/1996 | 12/20/1995 | 986 | - | 47.00 | MCI COMMUNICATIONS CORP |
| 1FN085 | 1/31/1996 | 12/20/1995 | - | 986 | 47.00 | MCDONALDS CORP |
| 1FN086 | 6/30/1999 | 5/26/1999 | - | 28,175,000 | 98.66 | U S TREASURY BILL |
| 1FN086 | 6/30/1999 | 5/26/1999 | 28,175,000 | - | 99.66 | U S TREASURY BILL |
| 1FN086 | 8/31/2002 | 7/31/2002 | - | 42,550,000 | 99.48 | U S TREASURY BILL |
| 1FN086 | 8/31/2002 | 7/31/2002 | - | 42,550,000 | 99.54 | U S TREASURY BILL |
| 1FN086 | 8/31/2002 | 7/31/2002 | 42,550,000 | - | 99.48 | U S TREASURY BILL |
| 1FN086 | 8/31/2002 | 7/31/2002 | 42,550,000 | - | 99.54 | U S TREASURY BILL |
| 1FN088 | 6/30/1999 | 5/26/1999 | - | 2,700,000 | 98.66 | U S TREASURY BILL |
| 1FN088 | 6/30/1999 | 5/26/1999 | 2,700,000 | - | 99.66 | U S TREASURY BILL |
| 1FN089 | 6/30/1999 | 5/26/1999 | - | 3,200,000 | 98.66 | U S TREASURY BILL |
| 1FN089 | 6/30/1999 | 5/26/1999 | 3,200,000 | - | 99.66 | U S TREASURY BILL |

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|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1FN089 | 8/31/2002 | 7/31/2002 | - | 2,400,000 | 99.48 | U S TREASURY BILL |
| 1FN089 | 8/31/2002 | 7/31/2002 | 2,400,000 | - | 99.48 | U S TREASURY BILL |
| 1FN089 | 8/31/2002 | 7/31/2002 | 2,400,000 | - | 99.54 | U S TREASURY BILL |
| 1FN089 | 8/31/2002 | 7/31/2002 | - | 2,400,000 | 99.54 | U S TREASURY BILL |
| 1FN091 | 6/30/1999 | 5/26/1999 | - | 6,375,000 | 98.66 | U S TREASURY BILL |
| 1FN091 | 6/30/1999 | 5/26/1999 | 6,375,000 | - | 99.66 | U S TREASURY BILL |
| 1FN091 | 8/31/2002 | 7/31/2002 | 8,000,000 | - | 99.48 | U S TREASURY BILL |
| 1FN091 | 8/31/2002 | 7/31/2002 | - | 8,000,000 | 99.54 | U S TREASURY BILL |
| 1FN091 | 8/31/2002 | 7/31/2002 | 8,000,000 | - | 99.54 | U S TREASURY BILL |
| 1FN091 | 8/31/2002 | 7/31/2002 | - | 8,000,000 | 99.48 | U S TREASURY BILL |
| 1FN092 | 6/30/1999 | 5/26/1999 | - | 19,425,000 | 98.66 | U S TREASURY BILL |
| 1FN092 | 6/30/1999 | 5/26/1999 | 19,425,000 | - | 99.66 | U S TREASURY BILL |
| 1FN092 | 8/31/2002 | 7/31/2002 | 36,975,000 | - | 99.48 | U S TREASURY BILL |
| 1FN092 | 8/31/2002 | 7/31/2002 | - | 36,975,000 | 99.54 | U S TREASURY BILL |
| 1FN092 | 8/31/2002 | 7/31/2002 | 36,975,000 | - | 99.54 | U S TREASURY BILL |
| 1FN092 | 8/31/2002 | 7/31/2002 | - | 36,975,000 | 99.48 | U S TREASURY BILL |
| 1FN094 | 6/30/1999 | 5/26/1999 | - | 9,625,000 | 98.66 | U S TREASURY BILL |
| 1FN094 | 6/30/1999 | 5/26/1999 | 9,625,000 | - | 99.66 | U S TREASURY BILL |
| 1FN094 | 8/31/2002 | 7/31/2002 | - | 69,475,000 | 99.48 | U S TREASURY BILL |
| 1FN094 | 8/31/2002 | 7/31/2002 | 69,475,000 | - | 99.54 | U S TREASURY BILL |
| 1FN094 | 8/31/2002 | 7/31/2002 | 69,475,000 | - | 99.48 | U S TREASURY BILL |
| 1FN094 | 8/31/2002 | 7/31/2002 | - | 69,475,000 | 99.54 | U S TREASURY BILL |
| 1FN095 | 6/30/1999 | 5/26/1999 | - | 32,400,000 | 98.66 | U S TREASURY BILL |
| 1FN095 | 6/30/1999 | 5/26/1999 | 32,400,000 | - | 99.66 | U S TREASURY BILL |
| 1FN095 | 8/31/2002 | 7/31/2002 | - | 72,200,000 | 99.48 | U S TREASURY BILL |
| 1FN095 | 8/31/2002 | 7/31/2002 | 72,200,000 | - | 99.48 | U S TREASURY BILL |
| 1FN095 | 8/31/2002 | 7/31/2002 | 72,200,000 | - | 99.54 | U S TREASURY BILL |
| 1FN095 | 8/31/2002 | 7/31/2002 | - | 72,200,000 | 99.54 | U S TREASURY BILL |
| 1FR001 | 6/30/1999 | 5/26/1999 | 2,575,000 | - | 99.66 | U S TREASURY BILL |
| 1FR001 | 6/30/1999 | 5/26/1999 | - | 2,575,000 | 98.66 | U S TREASURY BILL |
| 1FR001 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.48 | U S TREASURY BILL |
| 1FR001 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.48 | U S TREASURY BILL |
| 1FR001 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.54 | U S TREASURY BILL |
| 1FR001 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.54 | U S TREASURY BILL |
| 1FR002 | 6/30/1999 | 5/26/1999 | 18,850,000 | - | 99.66 | U S TREASURY BILL |
| 1FR002 | 6/30/1999 | 5/26/1999 | - | 18,850,000 | 98.66 | U S TREASURY BILL |
| 1FR002 | 8/31/2002 | 7/31/2002 | 31,050,000 | - | 99.54 | U S TREASURY BILL |
| 1FR002 | 8/31/2002 | 7/31/2002 | - | 31,050,000 | 99.54 | U S TREASURY BILL |
| 1FR002 | 8/31/2002 | 7/31/2002 | - | 31,050,000 | 99.48 | U S TREASURY BILL |
| 1FR002 | 8/31/2002 | 7/31/2002 | 31,050,000 | - | 99.48 | U S TREASURY BILL |
| 1FR003 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1FR003 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1FR003 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.54 | U S TREASURY BILL |
| 1FR003 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.48 | U S TREASURY BILL |
| 1FR003 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.48 | U S TREASURY BILL |
| 1FR003 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.54 | U S TREASURY BILL |
| 1FR005 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1FR005 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1FR008 | 6/30/1999 | 5/26/1999 | - | 53,400,000 | 98.66 | U S TREASURY BILL |
| 1FR008 | 6/30/1999 | 5/26/1999 | 53,400,000 | - | 99.66 | U S TREASURY BILL |
| 1FR008 | 8/31/2002 | 7/31/2002 | - | 111,925,000 | 99.48 | U S TREASURY BILL |
| 1FR008 | 8/31/2002 | 7/31/2002 | 111,925,000 | - | 99.54 | U S TREASURY BILL |
| 1FR008 | 8/31/2002 | 7/31/2002 | - | 111,925,000 | 99.54 | U S TREASURY BILL |
| 1FR008 | 8/31/2002 | 7/31/2002 | 111,925,000 | - | 99.48 | U S TREASURY BILL |
| 1FR009 | 6/30/1999 | 5/26/1999 | - | 1,400,000 | 98.66 | U S TREASURY BILL |
| 1FR009 | 6/30/1999 | 5/26/1999 | 1,400,000 | - | 99.66 | U S TREASURY BILL |
| 1FR009 | 8/31/2002 | 7/31/2002 | 5,800,000 | - | 99.54 | U S TREASURY BILL |
| 1FR009 | 8/31/2002 | 7/31/2002 | 5,800,000 | - | 99.48 | U S TREASURY BILL |
| 1FR009 | 8/31/2002 | 7/31/2002 | - | 5,800,000 | 99.48 | U S TREASURY BILL |
| 1FR009 | 8/31/2002 | 7/31/2002 | - | 5,800,000 | 99.54 | U S TREASURY BILL |
| 1FR010 | 6/30/1999 | 5/26/1999 | - | 5,475,000 | 98.66 | U S TREASURY BILL |
| 1FR010 | 6/30/1999 | 5/26/1999 | 5,475,000 | - | 99.66 | U S TREASURY BILL |
| 1FR010 | 8/31/2002 | 7/31/2002 | - | 12,825,000 | 99.54 | U S TREASURY BILL |
| 1FR010 | 8/31/2002 | 7/31/2002 | - | 12,825,000 | 99.48 | U S TREASURY BILL |
| 1FR010 | 8/31/2002 | 7/31/2002 | 12,825,000 | - | 99.54 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1FR010 | 8/31/2002 | 7/31/2002 | 12,825,000 | - | 99.48 | U S TREASURY BILL |
| 1FR015 | 6/30/1999 | 5/26/1999 | 3,850,000 | - | 99.66 | U S TREASURY BILL |
| 1FR015 | 6/30/1999 | 5/26/1999 | - | 3,850,000 | 98.66 | U S TREASURY BILL |
| 1FR016 | 6/30/1999 | 5/26/1999 | 2,100,000 | - | 99.66 | U S TREASURY BILL |
| 1FR016 | 6/30/1999 | 5/26/1999 | - | 2,100,000 | 98.66 | U S TREASURY BILL |
| 1FR016 | 8/31/2002 | 7/31/2002 | 22,225,000 | - | 99.54 | U S TREASURY BILL |
| 1FR016 | 8/31/2002 | 7/31/2002 | - | 22,225,000 | 99.48 | U S TREASURY BILL |
| 1FR016 | 8/31/2002 | 7/31/2002 | - | 22,225,000 | 99.54 | U S TREASURY BILL |
| 1FR016 | 8/31/2002 | 7/31/2002 | 22,225,000 | - | 99.48 | U S TREASURY BILL |
| 1FR032 | 6/30/1999 | 5/26/1999 | - | 26,025,000 | 98.66 | U S TREASURY BILL |
| 1FR032 | 6/30/1999 | 5/26/1999 | 26,025,000 | - | 99.66 | U S TREASURY BILL |
| 1FR032 | 8/31/2002 | 7/31/2002 | - | 9,700,000 | 99.48 | U S TREASURY BILL |
| 1FR032 | 8/31/2002 | 7/31/2002 | 9,700,000 | - | 99.54 | U S TREASURY BILL |
| 1FR032 | 8/31/2002 | 7/31/2002 | - | 9,700,000 | 99.54 | U S TREASURY BILL |
| 1FR032 | 8/31/2002 | 7/31/2002 | 9,700,000 | - | 99.48 | U S TREASURY BILL |
| 1FR036 | 6/30/1999 | 5/26/1999 | 3,675,000 | - | 99.66 | U S TREASURY BILL |
| 1FR036 | 6/30/1999 | 5/26/1999 | - | 3,675,000 | 98.66 | U S TREASURY BILL |
| 1FR036 | 8/31/2002 | 7/31/2002 | - | 21,275,000 | 99.54 | U S TREASURY BILL |
| 1FR036 | 8/31/2002 | 7/31/2002 | 21,275,000 | - | 99.48 | U S TREASURY BILL |
| 1FR036 | 8/31/2002 | 7/31/2002 | 21,275,000 | - | 99.54 | U S TREASURY BILL |
| 1FR036 | 8/31/2002 | 7/31/2002 | - | 21,275,000 | 99.48 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/17/1999 | - | 40,000 | 99.21 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/23/1999 | - | 1,000,000 | 99.18 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/23/1999 | - | 1,000,000 | 99.26 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 98.75 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | 1,000,000 | - | 99.19 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 98.84 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | 1,040,000 | - | 99.27 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 98.67 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 98.93 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 99.02 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 2,850,000 | 98.84 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/24/1999 | - | 500,000 | 99.11 | U S TREASURY BILL |
| 1FR039 | 3/31/1999 | 2/26/1999 | - | 34,507 | 1.00 | FIDELITY SPARTAN |
| 1FR041 | 6/30/1999 | 5/26/1999 | 1,125,000 | - | 99.66 | U S TREASURY BILL |
| 1FR041 | 6/30/1999 | 5/26/1999 | - | 1,125,000 | 98.66 | U S TREASURY BILL |
| 1FR041 | 12/31/2000 | 10/27/2000 | 5,055,000 | - | 98.58 | U S TREASURY BILL |
| 1FR041 | 12/31/2000 | 10/27/2000 | - | 1,525,000 | 98.58 | U S TREASURY BILL |
| 1FR045 | 8/31/2002 | 7/31/2002 | 12,375,000 | - | 99.54 | U S TREASURY BILL |
| 1FR045 | 8/31/2002 | 7/31/2002 | - | 12,375,000 | 99.48 | U S TREASURY BILL |
| 1FR045 | 8/31/2002 | 7/31/2002 | - | 12,375,000 | 99.48 | U S TREASURY BILL |
| 1FR045 | 8/31/2002 | 7/31/2002 | 12,375,000 | - | 99.48 | U S TREASURY BILL |
| 1FR048 | 8/31/2002 | 7/31/2002 | 2,950,000 | - | 99.48 | U S TREASURY BILL |
| 1FR048 | 8/31/2002 | 7/31/2002 | - | 2,950,000 | 99.48 | U S TREASURY BILL |
| 1FR048 | 8/31/2002 | 7/31/2002 | - | 2,950,000 | 99.54 | U S TREASURY BILL |
| 1FR048 | 8/31/2002 | 7/31/2002 | 2,950,000 | - | 99.54 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/23/1999 | 1,000,000 | - | 99.18 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/23/1999 | 1,000,000 | - | 99.26 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | - | 1,000,000 | 99.27 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 500,000 | - | 98.93 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 500,000 | - | 98.67 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 500,000 | - | 99.02 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | - | 1,000,000 | 99.19 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 500,000 | - | 98.75 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 3,350,000 | - | 98.84 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/24/1999 | 500,000 | - | 99.11 | U S TREASURY BILL |
| 1FR049 | 3/31/1999 | 2/26/1999 | 34,483 | - | 1.00 | FIDELITY SPARTAN |
| 1FR049 | 6/30/1999 | 5/26/1999 | 1,750,000 | - | 99.66 | U S TREASURY BILL |
| 1FR049 | 6/30/1999 | 5/26/1999 | - | 1,750,000 | 98.66 | U S TREASURY BILL |
| 1FR049 | 8/31/2002 | 7/31/2002 | - | 3,075,000 | 99.54 | U S TREASURY BILL |
| 1FR049 | 8/31/2002 | 7/31/2002 | - | 3,075,000 | 99.48 | U S TREASURY BILL |
| 1FR049 | 8/31/2002 | 7/31/2002 | 3,075,000 | - | 99.54 | U S TREASURY BILL |
| 1FR049 | 8/31/2002 | 7/31/2002 | 3,075,000 | - | 99.48 | U S TREASURY BILL |
| 1FR063 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.48 | U S TREASURY BILL |
| 1FR063 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.54 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1FR063 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.48 | U S TREASURY BILL |
| 1FR063 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.54 | U S TREASURY BILL |
| 1FR066 | 8/31/2002 | 7/31/2002 | - | 350,000 | 99.54 | U S TREASURY BILL |
| 1FR066 | 8/31/2002 | 7/31/2002 | 350,000 | - | 99.48 | U S TREASURY BILL |
| 1FR069 | 8/31/2002 | 7/31/2002 | 14,375,000 | - | 99.48 | U S TREASURY BILL |
| 1FR069 | 8/31/2002 | 7/31/2002 | - | 4,325,000 | 99.48 | U S TREASURY BILL |
| 1FR069 | 8/31/2002 | 7/31/2002 | 4,325,000 | - | 99.54 | U S TREASURY BILL |
| 1FR069 | 8/31/2002 | 7/31/2002 | - | 14,375,000 | 99.54 | U S TREASURY BILL |
| 1FR070 | 8/31/2002 | 7/31/2002 | 15,150,000 | - | 99.54 | U S TREASURY BILL |
| 1FR070 | 8/31/2002 | 7/31/2002 | 15,150,000 | - | 99.48 | U S TREASURY BILL |
| 1FR070 | 8/31/2002 | 7/31/2002 | - | 15,150,000 | 99.54 | U S TREASURY BILL |
| 1FR070 | 8/31/2002 | 7/31/2002 | - | 15,150,000 | 99.48 | U S TREASURY BILL |
| 1FR071 | 8/31/2002 | 7/31/2002 | 15,350,000 | - | 99.54 | U S TREASURY BILL |
| 1FR071 | 8/31/2002 | 7/31/2002 | 15,350,000 | - | 99.48 | U S TREASURY BILL |
| 1FR071 | 8/31/2002 | 7/31/2002 | - | 15,350,000 | 99.48 | U S TREASURY BILL |
| 1FR071 | 8/31/2002 | 7/31/2002 | - | 15,350,000 | 99.54 | U S TREASURY BILL |
| 1FR072 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1FR072 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |
| 1FR072 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1FR072 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1FR074 | 8/31/2002 | 7/31/2002 | - | 1,950,000 | 99.48 | U S TREASURY BILL |
| 1FR074 | 8/31/2002 | 7/31/2002 | - | 1,950,000 | 99.54 | U S TREASURY BILL |
| 1FR074 | 8/31/2002 | 7/31/2002 | 1,950,000 | - | 99.48 | U S TREASURY BILL |
| 1FR074 | 8/31/2002 | 7/31/2002 | 1,950,000 | - | 99.54 | U S TREASURY BILL |
| 1FR080 | 8/31/2002 | 7/31/2002 | 25,375,000 | - | 99.48 | U S TREASURY BILL |
| 1FR080 | 8/31/2002 | 7/31/2002 | - | 25,375,000 | 99.54 | U S TREASURY BILL |
| 1FR080 | 8/31/2002 | 7/31/2002 | - | 25,375,000 | 99.48 | U S TREASURY BILL |
| 1FR080 | 8/31/2002 | 7/31/2002 | 25,375,000 | - | 99.54 | U S TREASURY BILL |
| 1FR082 | 8/31/2002 | 7/31/2002 | 3,325,000 | - | 99.54 | U S TREASURY BILL |
| 1FR082 | 8/31/2002 | 7/31/2002 | - | 3,325,000 | 99.54 | U S TREASURY BILL |
| 1FR082 | 8/31/2002 | 7/31/2002 | 3,325,000 | - | 99.48 | U S TREASURY BILL |
| 1FR082 | 8/31/2002 | 7/31/2002 | - | 3,325,000 | 99.48 | U S TREASURY BILL |
| 1FR085 | 8/31/2002 | 7/31/2002 | - | 10,225,000 | 99.54 | U S TREASURY BILL |
| 1FR085 | 8/31/2002 | 7/31/2002 | 10,225,000 | - | 99.54 | U S TREASURY BILL |
| 1FR085 | 8/31/2002 | 7/31/2002 | - | 10,225,000 | 99.48 | U S TREASURY BILL |
| 1FR085 | 8/31/2002 | 7/31/2002 | 10,225,000 | - | 99.48 | U S TREASURY BILL |
| 1FR099 | 2/28/2007 | 1/26/2007 | - | 2,450,000 | 99.14 | U S TREASURY BILL |
| 1FR099 | 2/28/2007 | 1/26/2007 | 2,450,000 | - | 98.95 | U S TREASURY BILL |
| 1G0072 | 6/30/1999 | 5/26/1999 | - | 2,300,000 | 98.66 | U S TREASURY BILL |
| 1G0072 | 6/30/1999 | 5/26/1999 | 2,300,000 | - | 99.66 | U S TREASURY BILL |
| 1G0072 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.48 | U S TREASURY BILL |
| 1G0072 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.54 | U S TREASURY BILL |
| 1G0072 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.48 | U S TREASURY BILL |
| 1G0072 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.54 | U S TREASURY BILL |
| 1G0086 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1G0086 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1G0087 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1G0087 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1G0092 | 6/30/1999 | 5/26/1999 | - | 9,750,000 | 98.66 | U S TREASURY BILL |
| 1G0092 | 6/30/1999 | 5/26/1999 | 9,750,000 | - | 99.66 | U S TREASURY BILL |
| 1G0092 | 8/31/2002 | 7/31/2002 | 15,275,000 | - | 99.54 | U S TREASURY BILL |
| 1G0092 | 8/31/2002 | 7/31/2002 | - | 15,275,000 | 99.48 | U S TREASURY BILL |
| 1G0092 | 8/31/2002 | 7/31/2002 | - | 15,275,000 | 99.54 | U S TREASURY BILL |
| 1G0092 | 8/31/2002 | 7/31/2002 | 15,275,000 | - | 99.48 | U S TREASURY BILL |
| 1G0102 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.54 | U S TREASURY BILL |
| 1G0102 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.54 | U S TREASURY BILL |
| 1G0102 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.48 | U S TREASURY BILL |
| 1G0102 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.48 | U S TREASURY BILL |
| 1G0111 | 8/31/2002 | 7/31/2002 | 125,000 | - | 99.48 | U S TREASURY BILL |
| 1G0111 | 8/31/2002 | 7/31/2002 | - | 125,000 | 99.54 | U S TREASURY BILL |
| 1G0112 | 6/30/1999 | 5/26/1999 | 250,000 | - | 99.66 | U S TREASURY BILL |
| 1G0112 | 6/30/1999 | 5/26/1999 | - | 250,000 | 98.66 | U S TREASURY BILL |
| 1G0113 | 8/31/2004 | 7/30/2004 | - | 100,000 | 1.00 | FIDELITY SPARTAN |
| 1G0114 | 6/30/1999 | 5/26/1999 | - | 2,700,000 | 98.66 | U S TREASURY BILL |
| 1G0114 | 6/30/1999 | 5/26/1999 | 2,700,000 | - | 99.66 | U S TREASURY BILL |

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|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1G0114 | 8/31/2002 | 7/31/2002 | - | 4,675,000 | 99.48 | U S TREASURY BILL |
| 1G0114 | 8/31/2002 | 7/31/2002 | 4,675,000 | - | 99.48 | U S TREASURY BILL |
| 1G0114 | 8/31/2002 | 7/31/2002 | 4,675,000 | - | 99.54 | U S TREASURY BILL |
| 1G0114 | 8/31/2002 | 7/31/2002 | - | 4,675,000 | 99.54 | U S TREASURY BILL |
| 1G0119 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1G0119 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1G0222 | 6/30/1999 | 5/26/1999 | 1,575,000 | - | 99.66 | U S TREASURY BILL |
| 1G0222 | 6/30/1999 | 5/26/1999 | - | 1,575,000 | 98.66 | U S TREASURY BILL |
| 1G0222 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.54 | U S TREASURY BILL |
| 1G0222 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.48 | U S TREASURY BILL |
| 1G0222 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.54 | U S TREASURY BILL |
| 1G0222 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.48 | U S TREASURY BILL |
| 1G0238 | 8/31/2002 | 7/31/2002 | - | 625,000 | 99.54 | U S TREASURY BILL |
| 1G0238 | 8/31/2002 | 7/31/2002 | 625,000 | - | 99.48 | U S TREASURY BILL |
| 1G0253 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1G0253 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1G0290 | 8/31/2002 | 7/31/2002 | - | 1,650,000 | 99.48 | U S TREASURY BILL |
| 1G0290 | 8/31/2002 | 7/31/2002 | - | 1,650,000 | 99.54 | U S TREASURY BILL |
| 1G0290 | 8/31/2002 | 7/31/2002 | 1,650,000 | - | 99.48 | U S TREASURY BILL |
| 1G0290 | 8/31/2002 | 7/31/2002 | 1,650,000 | - | 99.54 | U S TREASURY BILL |
| 1G0296 | 6/30/1999 | 5/26/1999 | 500,000 | - | 99.66 | U S TREASURY BILL |
| 1G0296 | 6/30/1999 | 5/26/1999 | - | 500,000 | 98.66 | U S TREASURY BILL |
| 1G0304 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1G0304 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1G0308 | 6/30/1999 | 5/26/1999 | 2,625,000 | - | 99.66 | U S TREASURY BILL |
| 1G0308 | 6/30/1999 | 5/26/1999 | - | 2,625,000 | 98.66 | U S TREASURY BILL |
| 1G0308 | 8/31/2002 | 7/31/2002 | - | 2,925,000 | 99.54 | U S TREASURY BILL |
| 1G0308 | 8/31/2002 | 7/31/2002 | - | 2,925,000 | 99.48 | U S TREASURY BILL |
| 1G0308 | 8/31/2002 | 7/31/2002 | 2,925,000 | - | 99.48 | U S TREASURY BILL |
| 1G0308 | 8/31/2002 | 7/31/2002 | 2,925,000 | - | 99.54 | U S TREASURY BILL |
| 1G0309 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.48 | U S TREASURY BILL |
| 1G0309 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.54 | U S TREASURY BILL |
| 1G0309 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.48 | U S TREASURY BILL |
| 1G0309 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.54 | U S TREASURY BILL |
| 1G0321 | 8/31/2002 | 7/31/2002 | - | 14,125,000 | 99.54 | U S TREASURY BILL |
| 1G0321 | 8/31/2002 | 7/31/2002 | 14,125,000 | - | 99.48 | U S TREASURY BILL |
| 1G0321 | 8/31/2002 | 7/31/2002 | - | 14,125,000 | 99.54 | U S TREASURY BILL |
| 1G0321 | 8/31/2002 | 7/31/2002 | - | 14,125,000 | 99.48 | U S TREASURY BILL |
| 1G0322 | 1/31/2006 | 12/19/2006 | - | 150,000 | 98.92 | U S TREASURY BILL |
| 1G0322 | 1/31/2006 | 12/19/2006 | 150,000 | - | 98.82 | U S TREASURY BILL |
| 1G0331 | 11/30/2001 | 10/31/2001 | - | 2,000,000 | 99.46 | U S TREASURY BILL |
| 1G0331 | 11/30/2001 | 10/31/2001 | 5,400 | - | 1.00 | FIDELITY SPARTAN |
| 1G0331 | 11/30/2001 | 10/31/2001 | 1,000,000 | - | 99.46 | U S TREASURY BILL |
| 1G0331 | 11/30/2001 | 10/31/2001 | - | 10,800 | 1.00 | FIDELITY SPARTAN |
| 1H0022 | 6/30/1999 | 5/26/1999 | 2,475,000 | - | 99.66 | U S TREASURY BILL |
| 1H0022 | 6/30/1999 | 5/26/1999 | - | 2,475,000 | 98.66 | U S TREASURY BILL |
| 1H0022 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.54 | U S TREASURY BILL |
| 1H0022 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.48 | U S TREASURY BILL |
| 1H0022 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.48 | U S TREASURY BILL |
| 1H0022 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.54 | U S TREASURY BILL |
| 1H0024 | 6/30/1999 | 5/26/1999 | 1,650,000 | - | 99.66 | U S TREASURY BILL |
| 1H0024 | 6/30/1999 | 5/26/1999 | - | 1,650,000 | 98.66 | U S TREASURY BILL |
| 1H0024 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.48 | U S TREASURY BILL |
| 1H0024 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.48 | U S TREASURY BILL |
| 1H0024 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.54 | U S TREASURY BILL |
| 1H0024 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.54 | U S TREASURY BILL |
| 1H0067 | 6/30/1999 | 5/26/1999 | - | 16,150,000 | 98.66 | U S TREASURY BILL |
| 1H0067 | 6/30/1999 | 5/26/1999 | 16,150,000 | - | 99.66 | U S TREASURY BILL |
| 1H0067 | 8/31/2002 | 7/31/2002 | 12,750,000 | - | 99.48 | U S TREASURY BILL |
| 1H0067 | 8/31/2002 | 7/31/2002 | - | 12,750,000 | 99.54 | U S TREASURY BILL |
| 1H0067 | 8/31/2002 | 7/31/2002 | - | 12,750,000 | 99.48 | U S TREASURY BILL |
| 1H0067 | 8/31/2002 | 7/31/2002 | 12,750,000 | - | 99.54 | U S TREASURY BILL |
| 1H0071 | 6/30/1999 | 5/26/1999 | 2,175,000 | - | 99.66 | U S TREASURY BILL |
| 1H0071 | 6/30/1999 | 5/26/1999 | - | 2,175,000 | 98.66 | U S TREASURY BILL |
| 1H0071 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.54 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------|
| 1H0071 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.48 | U S TREASURY BILL |
| 1H0071 | 8/31/2002 | 7/31/2002 | - | 2,575,000 | 99.48 | U S TREASURY BILL |
| 1H0071 | 8/31/2002 | 7/31/2002 | 2,575,000 | - | 99.54 | U S TREASURY BILL |
| 1H0076 | 6/30/1999 | 5/26/1999 | 2,625,000 | - | 99.66 | U S TREASURY BILL |
| 1H0076 | 6/30/1999 | 5/26/1999 | - | 2,625,000 | 98.66 | U S TREASURY BILL |
| 1H0076 | 8/31/2002 | 7/31/2002 | 3,800,000 | - | 99.48 | U S TREASURY BILL |
| 1H0076 | 8/31/2002 | 7/31/2002 | - | 3,800,000 | 99.54 | U S TREASURY BILL |
| 1H0076 | 8/31/2002 | 7/31/2002 | - | 3,800,000 | 99.48 | U S TREASURY BILL |
| 1H0076 | 8/31/2002 | 7/31/2002 | 3,800,000 | - | 99.54 | U S TREASURY BILL |
| 1H0094 | 8/31/2002 | 7/31/2002 | - | 650,000 | 99.54 | U S TREASURY BILL |
| 1H0094 | 8/31/2002 | 7/31/2002 | 650,000 | - | 99.48 | U S TREASURY BILL |
| 1H0106 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1H0106 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1H0126 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1H0126 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |
| 1H0126 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1H0126 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1H0126 | 9/30/2007 | 8/20/2007 | 2,625,000 | - | 98.95 | U S TREASURY BILL |
| 1H0140 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.48 | U S TREASURY BILL |
| 1H0140 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.54 | U S TREASURY BILL |
| 1H0140 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.54 | U S TREASURY BILL |
| 1H0140 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.48 | U S TREASURY BILL |
| 1H0141 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.54 | U S TREASURY BILL |
| 1H0141 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.54 | U S TREASURY BILL |
| 1H0141 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.48 | U S TREASURY BILL |
| 1H0141 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.48 | U S TREASURY BILL |
| 1H0146 | 8/31/2002 | 7/31/2002 | 1,000,000 | - | 99.48 | U S TREASURY BILL |
| 1H0146 | 8/31/2002 | 7/31/2002 | - | 1,000,000 | 99.54 | U S TREASURY BILL |
| 1I0001 | 6/30/1999 | 5/26/1999 | - | 2,600,000 | 98.66 | U S TREASURY BILL |
| 1I0001 | 6/30/1999 | 5/26/1999 | 2,600,000 | - | 99.66 | U S TREASURY BILL |
| 1I0001 | 8/31/2002 | 7/31/2002 | 3,250,000 | - | 99.48 | U S TREASURY BILL |
| 1I0001 | 8/31/2002 | 7/31/2002 | - | 3,250,000 | 99.48 | U S TREASURY BILL |
| 1I0001 | 8/31/2002 | 7/31/2002 | - | 3,250,000 | 99.54 | U S TREASURY BILL |
| 1I0001 | 8/31/2002 | 7/31/2002 | 3,250,000 | - | 99.54 | U S TREASURY BILL |
| 1I0003 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1I0003 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1I0003 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.54 | U S TREASURY BILL |
| 1I0003 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.48 | U S TREASURY BILL |
| 1I0003 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.48 | U S TREASURY BILL |
| 1I0003 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.54 | U S TREASURY BILL |
| 1I0004 | 6/30/1999 | 5/26/1999 | 2,775,000 | - | 99.66 | U S TREASURY BILL |
| 1I0004 | 6/30/1999 | 5/26/1999 | - | 2,775,000 | 98.66 | U S TREASURY BILL |
| 1I0004 | 8/31/2002 | 7/31/2002 | 5,350,000 | - | 99.48 | U S TREASURY BILL |
| 1I0004 | 8/31/2002 | 7/31/2002 | - | 5,350,000 | 99.54 | U S TREASURY BILL |
| 1I0004 | 8/31/2002 | 7/31/2002 | - | 5,350,000 | 99.48 | U S TREASURY BILL |
| 1I0004 | 8/31/2002 | 7/31/2002 | 5,350,000 | - | 99.54 | U S TREASURY BILL |
| 1J0001 | 8/31/1996 | 7/9/1996 | 11,400 | - | 70.63 | CATERPILLAR INC |
| 1J0001 | 8/31/1996 | 7/16/1996 | 11,400 | - | 73.88 | PFIZER INC |
| 1J0002 | 8/31/1996 | 7/1/1996 | 21,000 | - | 25.38 | WAL-MART STORES INC |
| 1J0002 | 8/31/1996 | 7/1/1996 | 8,500 | - | 33.50 | WMX TECHNOLOGIES INC |
| 1J0002 | 8/31/1996 | 7/1/1996 | 27,000 | - | 28.50 | ASARCO INC |
| 1J0002 | 8/31/1996 | 7/2/1996 | 2,250 | - | 39.13 | ORACLE CORPORATION |
| 1J0002 | 8/31/1996 | 7/3/1996 | 20,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1J0002 | 8/31/1996 | 7/3/1996 | 2,100 | - | 44.75 | GTE CORP |
| 1J0002 | 8/31/1996 | 7/3/1996 | 4,000 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1J0002 | 8/31/1996 | 7/5/1996 | 11,200 | - | 60.63 | SUN MICROSYSTEMS INC |
| 1J0002 | 8/31/1996 | 7/5/1996 | 20,000 | - | 29.00 | BROWNING FERRIS IND INC |
| 1J0002 | 8/31/1996 | 7/5/1996 | 20,000 | - | 75.00 | ANHEUSER BUSCH COS INC |
| 1J0002 | 8/31/1996 | 7/8/1996 | 4,400 | - | 75.75 | INTEL CORP |
| 1J0002 | 8/31/1996 | 7/8/1996 | 8,400 | - | 50.25 | MCDONNELL DOUGLAS CORP |
| 1J0002 | 8/31/1996 | 7/8/1996 | 104,000 | - | 49.75 | JOHNSON & JOHNSON |
| 1J0002 | 8/31/1996 | 7/9/1996 | 91,200 | - | 49.63 | COCA COLA CO |
| 1J0002 | 8/31/1996 | 7/9/1996 | 800 | - | 35.75 | EARTHGRAINS COMPANY |
| 1J0002 | 8/31/1996 | 7/9/1996 | 12,100 | - | 66.63 | MERCK & CO |
| 1J0002 | 8/31/1996 | 7/16/1996 | 8,600 | - | 73.88 | PFIZER INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1J0002 | 8/31/1996 | 7/16/1996 | 23,500 | - | 79.75 | DOW CHEMICAL CO |
| 1J0002 | 8/31/1996 | 7/24/1996 | 6,500 | - | 44.38 | MCKESSON CORPORATION |
| 1J0002 | 5/31/1997 | 4/17/1997 | 9,230,000 | - | 97.70 | U S TREASURY BILL |
| 1J0002 | 12/31/2001 | 11/26/2001 | - | 100,000 | 12.20 | JDS UNIPHASE CORP |
| 1J0002 | 12/31/2001 | 11/26/2001 | - | 45,000 | 12.22 | JDS UNIPHASE CORP |
| 1J0002 | 12/31/2001 | 11/26/2001 | - | 150,000 | 12.27 | JDS UNIPHASE CORP |
| 1J0002 | 12/31/2001 | 11/26/2001 | - | 90,000 | 12.10 | JDS UNIPHASE CORP |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 150,000 | 67.85 | MERCK & CO |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 50,000 | 66.80 | AMGEN INC |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 95,000 | 67.80 | MERCK & CO |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 125,000 | 66.91 | AMGEN INC |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 112,000 | 67.74 | MERCK & CO |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 90,000 | 66.84 | AMGEN INC |
| 1J0002 | 12/31/2001 | 11/29/2001 | - | 150,000 | 67.00 | AMGEN INC |
| 1J0002 | 12/31/2002 | 1/8/2002 | - | 9,000 | 55.58 | MORGAN STANLEY |
| 1J0002 | 12/31/2002 | 1/8/2002 | 9,000 | - | 55.58 | MORGAN STANLEY |
| 1J0002 | 12/31/2002 | 1/11/2002 | - | 35,000 | 5.60 | PRICELINE.COM INC |
| 1J0002 | 12/31/2002 | 1/11/2002 | 35,000 | - | 5.60 | PRICELINE.COM INC |
| 1J0002 | 12/31/2002 | 1/17/2002 | - | 22,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0002 | 12/31/2002 | 1/17/2002 | 22,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0002 | 12/31/2002 | 1/22/2002 | - | 14,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0002 | 12/31/2002 | 1/22/2002 | 14,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0003 | 8/31/1996 | 7/1/1996 | 17,500 | - | 25.38 | WAL-MART STORES INC |
| 1J0003 | 8/31/1996 | 7/1/1996 | 7,600 | - | 33.50 | WMX TECHNOLOGIES INC |
| 1J0003 | 8/31/1996 | 7/2/1996 | 40,050 | - | 39.25 | ORACLE CORPORATION |
| 1J0003 | 8/31/1996 | 7/3/1996 | 31,600 | - | 49.50 | JOHNSON & JOHNSON |
| 1J0003 | 8/31/1996 | 7/3/1996 | 42,000 | - | 87.75 | GENERAL ELECTRIC CO |
| 1J0003 | 8/31/1996 | 7/3/1996 | 1,000 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1J0003 | 8/31/1996 | 7/3/1996 | 5,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1J0003 | 8/31/1996 | 7/5/1996 | 5,000 | - | 29.00 | BROWNING FERRIS IND INC |
| 1J0003 | 8/31/1996 | 7/5/1996 | 5,000 | - | 75.00 | ANHEUSER BUSCH COS INC |
| 1J0003 | 8/31/1996 | 7/8/1996 | 46,000 | - | 75.75 | INTEL CORP |
| 1J0003 | 8/31/1996 | 7/9/1996 | 7,100 | - | 66.63 | MERCK & CO |
| 1J0003 | 8/31/1996 | 7/9/1996 | 38,000 | - | 47.38 | 3COM CORP |
| 1J0003 | 8/31/1996 | 7/9/1996 | 200 | - | 35.75 | EARTHGRAINS COMPANY |
| 1J0003 | 8/31/1996 | 7/9/1996 | 20,000 | - | 49.63 | COCA COLA CO |
| 1J0003 | 8/31/1996 | 7/16/1996 | 10,800 | - | 73.88 | PFIZER INC |
| 1J0003 | 8/31/1996 | 7/16/1996 | 5,000 | - | 79.75 | DOW CHEMICAL CO |
| 1J0003 | 5/31/1997 | 4/17/1997 | 5,640,000 | - | 97.70 | U S TREASURY BILL |
| 1J0003 | 12/31/2001 | 11/26/2001 | - | 45,000 | 12.20 | JDS UNIPHASE CORP |
| 1J0003 | 12/31/2001 | 11/26/2001 | - | 85,000 | 12.22 | JDS UNIPHASE CORP |
| 1J0003 | 12/31/2001 | 11/26/2001 | - | 35,000 | 12.27 | JDS UNIPHASE CORP |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 63,000 | 67.85 | MERCK & CO |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 100,000 | 67.00 | AMGEN INC |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 25,000 | 66.80 | AMGEN INC |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 50,000 | 66.84 | AMGEN INC |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 100,000 | 66.91 | AMGEN INC |
| 1J0003 | 12/31/2001 | 11/29/2001 | - | 56,000 | 67.85 | MERCK & CO |
| 1J0003 | 12/31/2002 | 1/8/2002 | 6,000 | - | 55.58 | MORGAN STANLEY |
| 1J0003 | 12/31/2002 | 1/8/2002 | - | 6,000 | 55.58 | MORGAN STANLEY |
| 1J0003 | 12/31/2002 | 1/11/2002 | - | 40,000 | 5.60 | PRICELINE.COM INC |
| 1J0003 | 12/31/2002 | 1/11/2002 | 40,000 | - | 5.60 | PRICELINE.COM INC |
| 1J0003 | 12/31/2002 | 1/17/2002 | 15,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0003 | 12/31/2002 | 1/17/2002 | - | 15,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0003 | 12/31/2002 | 1/22/2002 | 10,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0003 | 12/31/2002 | 1/22/2002 | - | 10,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0004 | 8/31/1996 | 7/1/1996 | 4,000 | - | 55.13 | HOME DEPOT INC |
| 1J0004 | 8/31/1996 | 7/2/1996 | 28,200 | - | 49.13 | SEARS ROEBUCK & CO |
| 1J0004 | 8/31/1996 | 7/3/1996 | 24,600 | - | 35.63 | PEPSICO INC |
| 1J0004 | 8/31/1996 | 7/3/1996 | 3,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1J0004 | 8/31/1996 | 7/3/1996 | 5,400 | - | 98.88 | AMERICAN INTL GROUP INC |
| 1J0004 | 8/31/1996 | 7/3/1996 | 13,400 | - | 44.75 | GTE CORP |
| 1J0004 | 8/31/1996 | 7/3/1996 | 600 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1J0004 | 8/31/1996 | 7/3/1996 | 12,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1J0004 | 8/31/1996 | 7/3/1996 | 4,000 | - | 22.38 | WOOLWORTH CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1J0004 | 8/31/1996 | 7/5/1996 | 7,493 | - | 57.50 | DEAN WITTER DISCOVER & CO |
| 1J0004 | 8/31/1996 | 7/5/1996 | 26,142 | - | 46.50 | ALLSTATE |
| 1J0004 | 8/31/1996 | 7/5/1996 | 3,000 | - | 29.00 | BROWNING FERRIS IND INC |
| 1J0004 | 8/31/1996 | 7/5/1996 | 3,000 | - | 75.00 | ANHEUSER BUSCH COS INC |
| 1J0004 | 8/31/1996 | 7/8/1996 | 29,000 | - | 75.75 | INTEL CORP |
| 1J0004 | 8/31/1996 | 7/8/1996 | 10,374 | - | 47.00 | THE TRAVELERS GROUP INC |
| 1J0004 | 8/31/1996 | 7/9/1996 | 13,200 | - | 122.63 | MICROSOFT CORP |
| 1J0004 | 8/31/1996 | 7/9/1996 | 8,000 | - | 63.75 | BELL ATLANTIC CORP |
| 1J0004 | 8/31/1996 | 7/9/1996 | 12,000 | - | 49.63 | COCA COLA CO |
| 1J0004 | 8/31/1996 | 7/9/1996 | 120 | - | 35.75 | EARTHGRAINS COMPANY |
| 1J0004 | 8/31/1996 | 7/9/1996 | 17,000 | - | 43.13 | BELLSOUTH CORP |
| 1J0004 | 8/31/1996 | 7/9/1996 | 24,000 | - | 66.63 | MERCK & CO |
| 1J0004 | 8/31/1996 | 7/9/1996 | 42,060 | - | 71.38 | THE CHASE MANHATTAN CORP NEW |
| 1J0004 | 8/31/1996 | 7/9/1996 | 11,400 | - | 59.38 | AMERITECH CORP |
| 1J0004 | 8/31/1996 | 7/10/1996 | 10,700 | - | 47.75 | NYNEX CORP |
| 1J0004 | 8/31/1996 | 7/12/1996 | 6,000 | - | 110.25 | NIKE INC |
| 1J0004 | 8/31/1996 | 7/16/1996 | 6,650 | - | 79.75 | DOW CHEMICAL CO |
| 1J0004 | 12/31/2001 | 11/26/2001 | - | 45,000 | 12.20 | JDS UNIPHASE CORP |
| 1J0004 | 12/31/2001 | 11/26/2001 | - | 85,000 | 12.22 | JDS UNIPHASE CORP |
| 1J0004 | 12/31/2001 | 11/26/2001 | - | 35,000 | 12.27 | JDS UNIPHASE CORP |
| 1J0004 | 12/31/2001 | 11/29/2001 | - | 100,000 | 67.00 | AMGEN INC |
| 1J0004 | 12/31/2001 | 11/29/2001 | - | 63,000 | 67.85 | MERCK & CO |
| 1J0004 | 12/31/2001 | 11/29/2001 | - | 100,000 | 66.91 | AMGEN INC |
| 1J0004 | 12/31/2001 | 11/29/2001 | - | 25,000 | 66.80 | AMGEN INC |
| 1J0004 | 12/31/2001 | 11/29/2001 | - | 50,000 | 66.84 | AMGEN INC |
| 1J0004 | 12/31/2002 | 1/8/2002 | 6,000 | - | 55.58 | MORGAN STANLEY |
| 1J0004 | 12/31/2002 | 1/8/2002 | - | 6,000 | 55.58 | MORGAN STANLEY |
| 1J0004 | 12/31/2002 | 1/11/2002 | - | 20,000 | 5.60 | PRICELINE.COM INC |
| 1J0004 | 12/31/2002 | 1/11/2002 | 20,000 | - | 5.60 | PRICELINE.COM INC |
| 1J0004 | 12/31/2002 | 1/17/2002 | 15,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0004 | 12/31/2002 | 1/17/2002 | - | 15,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0004 | 12/31/2002 | 1/18/2002 | 4,000 | - | 15.03 | FOOT LOCKER INC |
| 1J0004 | 12/31/2002 | 1/18/2002 | - | 4,000 | 15.03 | FOOT LOCKER INC |
| 1J0004 | 12/31/2002 | 1/22/2002 | - | 10,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0004 | 12/31/2002 | 1/22/2002 | 10,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0008 | 8/31/1996 | 7/2/1996 | 67,500 | - | 39.13 | ORACLE CORPORATION |
| 1J0008 | 8/31/1996 | 7/2/1996 | 33,700 | - | 83.13 | CITICORP |
| 1J0008 | 8/31/1996 | 7/3/1996 | 28,000 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1J0008 | 8/31/1996 | 7/3/1996 | 1,200 | - | 35.63 | PEPSICO INC |
| 1J0008 | 8/31/1996 | 7/3/1996 | 140,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1J0008 | 8/31/1996 | 7/3/1996 | 50,000 | - | 49.88 | CHUBB CORP |
| 1J0008 | 8/31/1996 | 7/5/1996 | 90,000 | - | 54.00 | XEROX CORP |
| 1J0008 | 8/31/1996 | 7/5/1996 | 70,000 | - | 45.13 | AMERICAN EXPRESS COMPANY |
| 1J0008 | 8/31/1996 | 7/8/1996 | 70,000 | - | 45.00 | AMERICAN EXPRESS COMPANY |
| 1J0008 | 8/31/1996 | 7/8/1996 | 130,000 | - | 49.38 | COCA COLA CO |
| 1J0008 | 8/31/1996 | 7/8/1996 | 78,000 | - | 53.88 | XEROX CORP |
| 1J0008 | 8/31/1996 | 7/8/1996 | 107,000 | - | 75.75 | INTEL CORP |
| 1J0008 | 8/31/1996 | 7/9/1996 | 30,000 | - | 49.50 | COCA COLA CO |
| 1J0008 | 8/31/1996 | 7/9/1996 | 61,600 | - | 122.63 | MICROSOFT CORP |
| 1J0008 | 8/31/1996 | 7/9/1996 | 6,200 | - | 70.63 | CATERPILLAR INC |
| 1J0008 | 8/31/1996 | 7/9/1996 | 78,000 | - | 71.38 | THE CHASE MANHATTAN CORP NEW |
| 1J0008 | 8/31/1996 | 7/9/1996 | 20,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1J0008 | 8/31/1996 | 7/11/1996 | 10,000 | - | 35.38 | ADOBE SYS INC |
| 1J0008 | 8/31/1996 | 7/16/1996 | 6,200 | - | 73.88 | PFIZER INC |
| 1J0008 | 12/31/2001 | 11/26/2001 | - | 100,000 | 12.20 | JDS UNIPHASE CORP |
| 1J0008 | 12/31/2001 | 11/26/2001 | - | 150,000 | 12.27 | JDS UNIPHASE CORP |
| 1J0008 | 12/31/2001 | 11/26/2001 | - | 45,000 | 12.22 | JDS UNIPHASE CORP |
| 1J0008 | 12/31/2001 | 11/26/2001 | - | 90,000 | 12.10 | JDS UNIPHASE CORP |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 125,000 | 66.91 | AMGEN INC |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 95,000 | 67.80 | MERCK & CO |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 50,000 | 66.80 | AMGEN INC |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 90,000 | 66.84 | AMGEN INC |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 112,000 | 67.74 | MERCK & CO |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 150,000 | 67.00 | AMGEN INC |
| 1J0008 | 12/31/2001 | 11/29/2001 | - | 150,000 | 67.85 | MERCK & CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1J0008 | 12/31/2001 | 11/30/2001 | - | 95,000 | 66.92 | AMGEN INC |
| 1J0008 | 12/31/2002 | 1/8/2002 | 21,000 | - | 55.58 | MORGAN STANLEY |
| 1J0008 | 12/31/2002 | 1/8/2002 | - | 21,000 | 55.58 | MORGAN STANLEY |
| 1J0008 | 12/31/2002 | 1/11/2002 | 69,900 | - | 5.60 | PRICELINE.COM INC |
| 1J0008 | 12/31/2002 | 1/11/2002 | - | 69,900 | 5.60 | PRICELINE.COM INC |
| 1J0008 | 12/31/2002 | 1/14/2002 | 800 | - | 5.63 | PRICELINE.COM INC |
| 1J0008 | 12/31/2002 | 1/14/2002 | - | 800 | 5.63 | PRICELINE.COM INC |
| 1J0008 | 12/31/2002 | 1/15/2002 | 10,200 | - | 50.10 | GENERAL MOTORS CORP |
| 1J0008 | 12/31/2002 | 1/15/2002 | - | 10,200 | 50.10 | GENERAL MOTORS CORP |
| 1J0008 | 12/31/2002 | 1/17/2002 | 52,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0008 | 12/31/2002 | 1/17/2002 | - | 52,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0008 | 12/31/2002 | 1/22/2002 | 36,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0008 | 12/31/2002 | 1/22/2002 | - | 36,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0021 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1J0021 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1J0024 | 8/31/1996 | 7/11/1996 | 125,000 | - | 13.50 | ADVANCED MICRO DEVICES |
| 1J0024 | 8/31/1996 | 7/23/1996 | 135,000 | - | 82.13 | CITICORP |
| 1J0024 | 8/31/1996 | 7/25/1996 | 15,000 | - | 82.13 | CITICORP |
| 1J0024 | 5/31/1997 | 4/17/1997 | 85,675,000 | - | 97.70 | U S TREASURY BILL |
| 1J0024 | 12/31/2002 | 1/8/2002 | 65,000 | - | 55.58 | MORGAN STANLEY |
| 1J0024 | 12/31/2002 | 1/8/2002 | - | 65,000 | 55.58 | MORGAN STANLEY |
| 1J0024 | 12/31/2002 | 1/14/2002 | 76,000 | - | 5.63 | PRICELINE.COM INC |
| 1J0024 | 12/31/2002 | 1/14/2002 | - | 76,000 | 5.63 | PRICELINE.COM INC |
| 1J0024 | 12/31/2002 | 1/17/2002 | - | 50,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0024 | 12/31/2002 | 1/17/2002 | 50,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1J0024 | 12/31/2002 | 1/22/2002 | - | 70,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0024 | 12/31/2002 | 1/22/2002 | 70,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1J0025 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.54 | U S TREASURY BILL |
| 1J0025 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.48 | U S TREASURY BILL |
| 1J0025 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.48 | U S TREASURY BILL |
| 1J0025 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.54 | U S TREASURY BILL |
| 1J0028 | 2/29/2000 | 1/27/2000 | - | 18,800 | 115.50 | CISCO SYSTEMS INC |
| 1J0029 | 2/29/2000 | 1/12/2000 | - | 3,195 | 96.69 | JOHNSON & JOHNSON |
| 1J0029 | 2/29/2000 | 1/19/2000 | - | 20,000 | 43.38 | MCDONALDS CORP |
| 1J0029 | 2/29/2000 | 1/20/2000 | - | 15,600 | 106.13 | INTEL CORP |
| 1J0029 | 6/30/2002 | 5/31/2002 | - | 75,000 | 13.00 | ADAPTEC INC |
| 1J0033 | 11/30/2008 | 10/3/2008 | 2,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1J0033 | 11/30/2008 | 10/3/2008 | - | 2,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1J0044 | 6/30/1999 | 5/26/1999 | - | 2,150,000 | 98.66 | U S TREASURY BILL |
| 1J0044 | 6/30/1999 | 5/26/1999 | 2,150,000 | - | 99.66 | U S TREASURY BILL |
| 1J0044 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.54 | U S TREASURY BILL |
| 1J0044 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.54 | U S TREASURY BILL |
| 1J0044 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.48 | U S TREASURY BILL |
| 1J0044 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.48 | U S TREASURY BILL |
| 1J0045 | 6/30/1999 | 5/26/1999 | - | 2,000,000 | 98.66 | U S TREASURY BILL |
| 1J0045 | 6/30/1999 | 5/26/1999 | 2,000,000 | - | 99.66 | U S TREASURY BILL |
| 1J0045 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.48 | U S TREASURY BILL |
| 1J0045 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.54 | U S TREASURY BILL |
| 1J0045 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.48 | U S TREASURY BILL |
| 1J0045 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.54 | U S TREASURY BILL |
| 1J0046 | 2/28/2006 | 11/22/2006 | 475,000 | - | 98.52 | U S TREASURY BILL |
| 1J0046 | 2/28/2006 | 11/22/2006 | - | 475,000 | 99.52 | U S TREASURY BILL |
| 1K0007 | 6/30/1999 | 5/26/1999 | 2,475,000 | - | 99.66 | U S TREASURY BILL |
| 1K0007 | 6/30/1999 | 5/26/1999 | - | 2,475,000 | 98.66 | U S TREASURY BILL |
| 1K0007 | 8/31/2002 | 7/31/2002 | - | 2,175,000 | 99.54 | U S TREASURY BILL |
| 1K0007 | 8/31/2002 | 7/31/2002 | - | 2,175,000 | 99.48 | U S TREASURY BILL |
| 1K0007 | 8/31/2002 | 7/31/2002 | 2,175,000 | - | 99.54 | U S TREASURY BILL |
| 1K0007 | 8/31/2002 | 7/31/2002 | 2,175,000 | - | 99.48 | U S TREASURY BILL |
| 1K0034 | 10/31/1998 | 9/1/1998 | - | 4,400 | 81.25 | MERRILL LYNCH & CO INC |
| 1K0036 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1K0036 | 8/31/1998 | 6/1/1998 | - | 5,000 | 148.00 | AMR CORP |
| 1K0036 | 8/31/1998 | 6/1/1998 | 10,000 | - | 74.00 | AMR CORP |
| 1K0036 | 2/29/2000 | 1/19/2000 | - | 9,300 | 27.25 | BURLINGTON NORTHERN SANTA FE |
| 1K0037 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1K0037 | 8/31/1998 | 6/1/1998 | - | 5,000 | 148.00 | AMR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1K0037 | 8/31/1998 | 6/1/1998 | 10,000 | - | 74.00 | AMR CORP |
| 1K0037 | 10/31/1998 | 9/1/1998 | 4,400 | - | 81.25 | MERRILL LYNCH & CO INC |
| 1K0037 | 6/30/2003 | 5/6/2003 | - | 15,000 | 15.65 | ALTERA CORP |
| 1K0037 | 6/30/2003 | 5/6/2003 | 26,000 | - | 15.65 | ALTERA CORP |
| 1K0052 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1K0052 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1K0053 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1K0053 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1K0060 | 10/31/1996 | 9/6/1996 | 16,000 | - | 78.75 | INTEL CORP |
| 1K0060 | 10/31/1996 | 9/10/1996 | 16,000 | - | 54.75 | COMPAQ COMPUTER CORP |
| 1K0060 | 10/31/1996 | 9/11/1996 | - | 75,000 | 20.63 | MICRON TECHNOLOGY INC |
| 1K0060 | 10/31/1996 | 9/11/1996 | 16,000 | - | 55.88 | THE WALT DISNEY CO |
| 1K0060 | 10/31/1996 | 9/12/1996 | 5,000 | - | 13.25 | IOMEGA CORP |
| 1K0060 | 10/31/1996 | 9/13/1996 | - | 75,000 | 20.75 | MICRON TECHNOLOGY INC |
| 1K0060 | 12/31/1996 | 11/1/1996 | 6,684 | - | 104.00 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/1/1996 | 100,000 | - | 104.00 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/1/1996 | 100,000 | - | 103.88 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/1/1996 | 100,000 | - | 104.00 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/1/1996 | 100,000 | - | 104.13 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/5/1996 | 100,000 | - | 69.00 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/5/1996 | 100,000 | - | 69.25 | INTEL CORP |
| 1K0060 | 12/31/1996 | 11/5/1996 | 100,000 | - | 68.75 | INTEL CORP |
| 1K0060 | 8/31/1997 | 7/7/1997 | - | 59,084 | 139.00 | INTEL CORP |
| 1K0060 | 8/31/1997 | 7/7/1997 | - | 100,000 | 138.88 | INTEL CORP |
| 1K0060 | 8/31/1997 | 7/7/1997 | - | 100,000 | 139.00 | INTEL CORP |
| 1K0060 | 6/30/1999 | 5/11/1999 | - | 200,000 | 63.06 | INTEL CORP |
| 1K0060 | 6/30/1999 | 5/11/1999 | - | 63,168 | 63.31 | INTEL CORP |
| 1K0060 | 6/30/1999 | 5/11/1999 | - | 175,000 | 63.13 | INTEL CORP |
| 1K0060 | 6/30/1999 | 5/11/1999 | - | 200,000 | 63.25 | INTEL CORP |
| 1K0060 | 6/30/1999 | 5/11/1999 | - | 180,000 | 63.19 | INTEL CORP |
| 1K0060 | 2/29/2000 | 1/10/2000 | - | 60,000 | 58.00 | COLGATE PALMOLIVE CO |
| 1K0060 | 2/29/2000 | 1/10/2000 | - | 100,000 | 51.50 | GATEWAY INC |
| 1K0060 | 2/29/2000 | 1/10/2000 | - | 21,000 | 40.00 | SEAGATE TECHNOLOGY INC |
| 1K0060 | 2/29/2000 | 1/10/2000 | 60,000 | - | 58.00 | COLGATE PALMOLIVE CO |
| 1K0060 | 2/29/2000 | 1/10/2000 | - | 50,000 | 58.00 | COLGATE PALMOLIVE CO |
| 1K0060 | 2/29/2000 | 1/14/2000 | - | 75,000 | 69.50 | THE CHASE MANHATTAN CORP NEW |
| 1K0060 | 12/31/2001 | 1/18/2001 | - | 50,000 | 53.00 | JDS UNIPHASE CORP |
| 1K0060 | 12/31/2001 | 1/18/2001 | - | 55,000 | 53.13 | JDS UNIPHASE CORP |
| 1K0060 | 12/31/2001 | 1/18/2001 | - | 30,000 | 52.75 | JDS UNIPHASE CORP |
| 1K0060 | 12/31/2001 | 1/22/2001 | - | 70,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1K0060 | 12/31/2001 | 1/22/2001 | - | 100,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1K0060 | 12/31/2001 | 1/22/2001 | - | 80,000 | 18.75 | LUCENT TECHNOLOGIES INC |
| 1K0060 | 12/31/2001 | 1/22/2001 | - | 75,000 | 34.75 | NORTEL NETWORKS CORP HLDS CO |
| 1K0060 | 12/31/2001 | 1/26/2001 | - | 50,000 | 38.63 | YAHOO INC |
| 1K0060 | 12/31/2001 | 1/26/2001 | - | 50,000 | 38.50 | YAHOO INC |
| 1K0060 | 12/31/2001 | 1/26/2001 | - | 67,000 | 38.75 | YAHOO INC |
| 1K0060 | 12/31/2001 | 7/16/2001 | - | 30,000 | 39.57 | AUTOZONE INC |
| 1K0060 | 12/31/2001 | 7/16/2001 | - | 100,000 | 39.82 | AUTOZONE INC |
| 1K0060 | 12/31/2001 | 7/16/2001 | - | 10,000 | 39.57 | AUTOZONE INC |
| 1K0060 | 12/31/2001 | 8/28/2001 | - | 33,000 | 10.62 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 8/28/2001 | - | 95,000 | 10.55 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 8/29/2001 | - | 92,000 | 10.70 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 9/25/2001 | - | 55,000 | 56.70 | JOHNSON CTLS INC |
| 1K0060 | 12/31/2001 | 9/25/2001 | - | 25,000 | 56.85 | JOHNSON CTLS INC |
| 1K0060 | 12/31/2001 | 9/26/2001 | - | 50,000 | 55.10 | JOHNSON CTLS INC |
| 1K0060 | 12/31/2001 | 10/1/2001 | - | 75,000 | 34.10 | SYMANTEC CORP |
| 1K0060 | 12/31/2001 | 10/2/2001 | - | 90,000 | 33.90 | SYMANTEC CORP |
| 1K0060 | 12/31/2001 | 11/16/2001 | 50,000 | - | 15.13 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 11/16/2001 | 79,000 | - | 61.33 | SYMANTEC CORP |
| 1K0060 | 12/31/2001 | 11/19/2001 | 75,000 | - | 15.00 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 11/19/2001 | 85,000 | - | 67.50 | AUTOZONE INC |
| 1K0060 | 12/31/2001 | 11/19/2001 | 95,000 | - | 15.09 | ADAPTEC INC |
| 1K0060 | 12/31/2001 | 11/19/2001 | 86,000 | - | 61.52 | SYMANTEC CORP |
| 1K0060 | 12/31/2001 | 11/20/2001 | 40,000 | - | 81.60 | JOHNSON CTLS INC |
| 1K0060 | 12/31/2001 | 11/21/2001 | 25,000 | - | 80.70 | JOHNSON CTLS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1K0060 | 12/31/2001 | 11/21/2001 | 55,000 | - | 66.22 | AUTOZONE INC |
| 1K0060 | 12/31/2001 | 11/21/2001 | 65,000 | - | 80.75 | JOHNSON CTLS INC |
| 1K0060 | 6/30/2002 | 5/31/2002 | - | 75,000 | 13.00 | ADAPTEC INC |
| 1K0060 | 11/30/2002 | 10/3/2002 | - | 75,000 | 12.88 | HALLIBURTON CO |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 20,000 | 42.32 | MICROSOFT CORP |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 35,000 | 28.06 | QUALCOMM INC |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 43,000 | 28.26 | IMATION CORP |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 53,000 | 18.62 | BELLSOUTH CORP |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 48,000 | 20.02 | SBC COMMUNICATIONS INC |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 75,000 | 27.99 | VERIZON COMMUNICATIONS |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 35,000 | 26.88 | EASTMAN KODAK CO |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 35,000 | 27.99 | VERIZON COMMUNICATIONS |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 50,000 | 9.59 | COMPUTER ASSOC INTL INC |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 34,000 | 29.07 | BIOGEN INC |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 40,000 | 23.81 | DELL COMPUTER CORP |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 175,000 | 9.59 | COMPUTER ASSOC INTL INC |
| 1K0060 | 11/30/2002 | 10/4/2002 | - | 155,000 | 27.86 | VERIZON COMMUNICATIONS |
| 1K0060 | 11/30/2002 | 10/7/2002 | - | 54,000 | 29.02 | IMATION CORP |
| 1K0060 | 11/30/2002 | 10/10/2002 | - | 250,000 | 7.95 | ORACLE CORPORATION |
| 1K0060 | 11/30/2002 | 10/10/2002 | - | 200,000 | 7.89 | ORACLE CORPORATION |
| 1K0060 | 11/30/2002 | 10/11/2002 | - | 88,000 | 11.31 | HEWLETT PACKARD CO |
| 1K0060 | 11/30/2002 | 10/11/2002 | - | 50,000 | 27.89 | IMATION CORP |
| 1K0060 | 11/30/2002 | 10/11/2002 | - | 40,000 | 10.55 | APPLIED MATERIALS INC |
| 1K0060 | 11/30/2002 | 10/11/2002 | - | 220,000 | 7.10 | NEXTEL COMMUNICATIONS |
| 1K0060 | 11/30/2002 | 10/11/2002 | - | 150,000 | 7.00 | NEXTEL COMMUNICATIONS |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 80,000 | 55.57 | INTERNATIONAL BUSINESS MACHS |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 60,000 | 8.28 | EMULEX CORP |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 125,000 | 8.29 | EMULEX CORP |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 150,000 | 8.33 | EMULEX CORP |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 18,000 | 55.57 | INTERNATIONAL BUSINESS MACHS |
| 1K0060 | 11/30/2002 | 10/15/2002 | - | 50,000 | 27.90 | IMATION CORP |
| 1K0060 | 11/30/2002 | 10/16/2002 | - | 90,000 | 11.00 | AOL TIME WARNER INC |
| 1K0060 | 11/30/2002 | 10/16/2002 | - | 100,000 | 8.63 | SPRINT CORP FON GROUP |
| 1K0060 | 11/30/2002 | 10/16/2002 | - | 75,000 | 54.38 | INTERNATIONAL BUSINESS MACHS |
| 1K0060 | 11/30/2002 | 10/16/2002 | - | 125,000 | 8.75 | SPRINT CORP FON GROUP |
| 1K0060 | 12/31/2003 | 8/8/2003 | - | 130,000 | 20.22 | BROADCOM CORP CL A |
| 1K0060 | 12/31/2003 | 8/8/2003 | - | 130,000 | 20.22 | BROADCOM CORP CL A |
| 1K0060 | 12/31/2003 | 8/11/2003 | 130,000 | - | 20.22 | BROADCOM CORP CL A |
| 1K0060 | 12/31/2003 | 8/11/2003 | - | 115,000 | 20.01 | BROADCOM CORP CL A |
| 1K0060 | 12/31/2003 | 8/11/2003 | - | 115,000 | 20.10 | BROADCOM CORP CL A |
| 1K0060 | 12/31/2003 | 8/11/2003 | 115,000 | - | 20.10 | BROADCOM CORP CL A |
| 1K0060 | 11/30/2005 | 10/4/2005 | - | 50,000 | 60.58 | EXPRESS SCRIPTS INC CL A |
| 1K0060 | 11/30/2005 | 10/4/2005 | - | 70,000 | 60.62 | EXPRESS SCRIPTS INC CL A |
| 1K0060 | 11/30/2005 | 10/7/2005 | - | 200,000 | 46.15 | ACE LTD |
| 1K0060 | 11/30/2005 | 10/7/2005 | - | 100,000 | 46.02 | ACE LTD |
| 1K0060 | 11/30/2005 | 10/7/2005 | - | 75,000 | 46.12 | ACE LTD |
| 1K0060 | 11/30/2005 | 10/17/2005 | - | 110,000 | 28.51 | ADOBE SYS INC |
| 1K0060 | 11/30/2005 | 10/17/2005 | - | 90,000 | 28.32 | ADOBE SYS INC |
| 1K0060 | 11/30/2006 | 10/2/2006 | - | 50,000 | 77.01 | APPLE COMPUTER INC |
| 1K0060 | 11/30/2006 | 10/2/2006 | - | 70,000 | 77.06 | APPLE COMPUTER INC |
| 1K0060 | 11/30/2006 | 10/2/2006 | - | 85,000 | 77.03 | APPLE COMPUTER INC |
| 1K0060 | 11/30/2006 | 10/2/2006 | - | 90,000 | 77.00 | APPLE COMPUTER INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | 110,000 | - | 28.09 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 70,000 | 28.31 | EBAY INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 70,000 | 28.39 | EBAY INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 35,000 | 28.30 | EBAY INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 90,000 | 28.11 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 110,000 | 28.09 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 500 | 34.75 | AUTODESK INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 31,250 | 23.15 | AMR CORP |
| 1K0060 | 11/30/2006 | 10/3/2006 | 90,000 | - | 28.11 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/3/2006 | - | 55,000 | 23.13 | AMR CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 60,000 | 62.11 | REYNOLDS AMERICAN INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 75,000 | 84.75 | PHELPS DODGE CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | 59,500 | - | 28.10 | TOLL BROTHERS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1K0060 | 11/30/2006 | 10/4/2006 | 90,000 | - | 28.17 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | 55,000 | - | 2.18 | JDSU UNIPHASE CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 50,000 | 33.25 | GENERAL MOTORS CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | 40,000 | - | 28.15 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 59,500 | 28.10 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 60,000 | 62.05 | REYNOLDS AMERICAN INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 65,000 | 84.81 | PHELPS DODGE CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 67,500 | 62.00 | REYNOLDS AMERICAN INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | 62,000 | - | 28.22 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 40,000 | 28.15 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 53,000 | 33.27 | GENERAL MOTORS CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 35,000 | 36.86 | HEWLETT PACKARD CO |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 85,000 | 36.90 | HEWLETT PACKARD CO |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 90,000 | 28.17 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | 46,250 | - | 2.19 | JDSU UNIPHASE CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 75,000 | 41.69 | CARMAX INC |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 17,500 | 84.83 | PHELPS DODGE CORP |
| 1K0060 | 11/30/2006 | 10/4/2006 | - | 62,000 | 28.22 | TOLL BROTHERS INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 10,000 | 33.29 | GENERAL MOTORS CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 200,000 | 20.57 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 25,000 | 67.51 | GENZYME CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 43,750 | 68.18 | BAKER HUGHES INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 87,000 | 33.31 | GENERAL MOTORS CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 55,000 | 52.18 | YUM BRANDS INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 80,000 | 52.11 | YUM BRANDS INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | 56,250 | - | 4.40 | ADAPTEC INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 68,750 | 67.42 | GENZYME CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 95,000 | 33.20 | GENERAL MOTORS CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 18,750 | 68.41 | J C PENNEY CO INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 66,250 | 68.24 | BAKER HUGHES INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 120,000 | 20.50 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 31,250 | 29.38 | ANALOG DEVICES |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 150,000 | 20.51 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 40,000 | 29.41 | ANALOG DEVICES |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 50,000 | 401.95 | GOOGLE |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 28,500 | 74.49 | 3M COMPANY |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 75,000 | 68.45 | J C PENNEY CO INC |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 110,000 | 20.63 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/5/2006 | - | 120,000 | 20.69 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 52 | 20.43 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 32,500 | 57.12 | LILLY ELI & CO |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 168,000 | 20.43 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 30,000 | 20.71 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 80,000 | 57.10 | LILLY ELI & CO |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 200,000 | 20.70 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/6/2006 | - | 98,000 | 20.55 | INTEL CORP |
| 1K0060 | 11/30/2006 | 10/23/2006 | - | 40,000 | 32.68 | AGILENT TECHNOLOGIES |
| 1K0060 | 11/30/2006 | 10/23/2006 | - | 52,500 | 32.69 | AGILENT TECHNOLOGIES |
| 1K0060 | 11/30/2006 | 10/23/2006 | - | 50,000 | 32.71 | AGILENT TECHNOLOGIES |
| 1K0060 | 12/31/2007 | 11/13/2007 | - | 70,000 | 92.50 | PRICELINE COM INC |
| 1K0060 | 9/30/2008 | 8/29/2008 | 95,000 | - | 28.73 | WELLS FARGO & CO NEW |
| 1K0060 | 9/30/2008 | 8/29/2008 | 105,000 | - | 29.00 | WELLS FARGO & CO NEW |
| 1K0060 | 10/31/2008 | 9/22/2008 | 91,000 | - | 38.20 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/22/2008 | - | 91,000 | 38.20 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/22/2008 | - | 55,000 | 38.00 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/22/2008 | 55,000 | - | 38.00 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/23/2008 | - | 75,000 | 38.07 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/23/2008 | 125,000 | - | 38.12 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/23/2008 | - | 125,000 | 38.12 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/23/2008 | 75,000 | - | 38.07 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/24/2008 | 85,000 | - | 38.65 | AETNA INC |
| 1K0060 | 10/31/2008 | 9/24/2008 | - | 85,000 | 38.65 | AETNA INC |
| 1K0060 | 11/30/2008 | 10/1/2008 | 95,000 | - | 120.42 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/1/2008 | - | 90,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/1/2008 | - | 95,000 | 120.42 | SPDR TRUST SER 1 UNITS |

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December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1K0060 | 11/30/2008 | 10/1/2008 | - | 98,000 | 120.75 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/1/2008 | 90,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/1/2008 | 98,000 | - | 120.75 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | - | 132,000 | 120.71 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | - | 110,000 | 118.46 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | 110,000 | - | 118.46 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | 125,000 | - | 118.90 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | 132,000 | - | 120.71 | SPDR TRUST SER 1 UNITS |
| 1K0060 | 11/30/2008 | 10/2/2008 | - | 125,000 | 118.90 | SPDR TRUST SER 1 UNITS |
| 1K0066 | 9/30/1997 | 7/31/1997 | - | 66,180 | 1.00 | FIDELITY SPARTAN |
| 1K0066 | 9/30/1997 | 8/29/1997 | - | 66,180 | 1.00 | FIDELITY SPARTAN |
| 1K0066 | 1/31/2000 | 12/28/2000 | 5,000 | - | 63.25 | ADOBE SYS INC |
| 1K0066 | 1/31/2000 | 12/28/2000 | - | 5,000 | 63.25 | ADOBE SYS INC |
| 1K0066 | 5/31/2008 | 3/7/2008 | - | 70,000 | 121.00 | APPLE INC |
| 1K0066 | 5/31/2008 | 3/7/2008 | 25,000 | - | 120.89 | APPLE INC |
| 1K0066 | 5/31/2008 | 3/7/2008 | - | 75,000 | 120.89 | APPLE INC |
| 1K0066 | 11/30/2008 | 10/1/2008 | - | 75,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0066 | 11/30/2008 | 10/1/2008 | 75,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0066 | 11/30/2008 | 10/2/2008 | 120,000 | - | 118.46 | SPDR TRUST SER 1 UNITS |
| 1K0066 | 11/30/2008 | 10/2/2008 | - | 120,000 | 118.46 | SPDR TRUST SER 1 UNITS |
| 1K0080 | 4/30/2002 | 3/28/2002 | - | 262,900 | 1.00 | FIDELITY SPARTAN |
| 1K0081 | 6/30/1999 | 5/26/1999 | - | 3,550,000 | 98.66 | U S TREASURY BILL |
| 1K0081 | 6/30/1999 | 5/26/1999 | 3,550,000 | - | 99.66 | U S TREASURY BILL |
| 1K0081 | 8/31/2002 | 7/31/2002 | - | 4,450,000 | 99.48 | U S TREASURY BILL |
| 1K0081 | 8/31/2002 | 7/31/2002 | - | 4,450,000 | 99.54 | U S TREASURY BILL |
| 1K0081 | 8/31/2002 | 7/31/2002 | 4,450,000 | - | 99.48 | U S TREASURY BILL |
| 1K0081 | 8/31/2002 | 7/31/2002 | 4,450,000 | - | 99.54 | U S TREASURY BILL |
| 1K0085 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1K0085 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1K0085 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1K0085 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1K0086 | 8/31/2003 | 2/17/2003 | 67,593 | - | 1.00 | FIDELITY SPARTAN |
| 1K0092 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1K0092 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1K0092 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.54 | U S TREASURY BILL |
| 1K0092 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.48 | U S TREASURY BILL |
| 1K0092 | 8/31/2002 | 7/31/2002 | - | 1,675,000 | 99.54 | U S TREASURY BILL |
| 1K0092 | 8/31/2002 | 7/31/2002 | 1,675,000 | - | 99.48 | U S TREASURY BILL |
| 1K0093 | 11/30/2008 | 10/1/2008 | - | 10,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0093 | 11/30/2008 | 10/1/2008 | 10,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0120 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1K0120 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1K0124 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1K0124 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1K0144 | 11/30/2008 | 10/1/2008 | 8,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0144 | 11/30/2008 | 10/1/2008 | - | 8,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1K0146 | 6/30/1999 | 5/26/1999 | - | 300,000 | 98.66 | U S TREASURY BILL |
| 1K0146 | 6/30/1999 | 5/26/1999 | 300,000 | - | 99.66 | U S TREASURY BILL |
| 1K0162 | 8/31/2002 | 7/31/2002 | 1,750,000 | - | 99.48 | U S TREASURY BILL |
| 1K0162 | 8/31/2002 | 7/31/2002 | 1,750,000 | - | 99.54 | U S TREASURY BILL |
| 1K0162 | 8/31/2002 | 7/31/2002 | - | 1,750,000 | 99.48 | U S TREASURY BILL |
| 1K0162 | 8/31/2002 | 7/31/2002 | - | 1,750,000 | 99.54 | U S TREASURY BILL |
| 1K0167 | 8/31/2002 | 7/31/2002 | - | 4,225,000 | 99.54 | U S TREASURY BILL |
| 1K0167 | 8/31/2002 | 7/31/2002 | 4,225,000 | - | 99.48 | U S TREASURY BILL |
| 1K0167 | 8/31/2002 | 7/31/2002 | 4,225,000 | - | 99.54 | U S TREASURY BILL |
| 1K0167 | 8/31/2002 | 7/31/2002 | - | 4,225,000 | 99.48 | U S TREASURY BILL |
| 1KW013 | 1/31/2006 | 12/19/2006 | - | 100,000 | 98.92 | U S TREASURY BILL |
| 1KW013 | 1/31/2006 | 12/19/2006 | 100,000 | - | 98.82 | U S TREASURY BILL |
| 1KW014 | 6/30/1999 | 5/26/1999 | - | 2,525,000 | 98.66 | U S TREASURY BILL |
| 1KW014 | 6/30/1999 | 5/26/1999 | 2,525,000 | - | 99.66 | U S TREASURY BILL |
| 1KW014 | 8/31/2002 | 7/31/2002 | - | 3,075,000 | 99.48 | U S TREASURY BILL |
| 1KW014 | 8/31/2002 | 7/31/2002 | - | 3,075,000 | 99.54 | U S TREASURY BILL |
| 1KW014 | 8/31/2002 | 7/31/2002 | 3,075,000 | - | 99.48 | U S TREASURY BILL |
| 1KW014 | 8/31/2002 | 7/31/2002 | 3,075,000 | - | 99.54 | U S TREASURY BILL |
| 1KW077 | 6/30/1999 | 5/26/1999 | - | 2,550,000 | 98.66 | U S TREASURY BILL |

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December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1KW077 | 6/30/1999 | 5/26/1999 | 2,550,000 | - | 99.66 | U S TREASURY BILL |
| 1KW077 | 8/31/2002 | 7/31/2002 | - | 3,050,000 | 99.48 | U S TREASURY BILL |
| 1KW077 | 8/31/2002 | 7/31/2002 | - | 3,050,000 | 99.54 | U S TREASURY BILL |
| 1KW077 | 8/31/2002 | 7/31/2002 | 3,050,000 | - | 99.54 | U S TREASURY BILL |
| 1KW077 | 8/31/2002 | 7/31/2002 | 3,050,000 | - | 99.48 | U S TREASURY BILL |
| 1KW108 | 1/31/2006 | 12/19/2006 | - | 25,000 | 98.92 | U S TREASURY BILL |
| 1KW108 | 1/31/2006 | 12/19/2006 | 25,000 | - | 98.82 | U S TREASURY BILL |
| 1KW109 | 1/31/2006 | 12/19/2006 | 50,000 | - | 98.82 | U S TREASURY BILL |
| 1KW109 | 1/31/2006 | 12/19/2006 | - | 50,000 | 98.92 | U S TREASURY BILL |
| 1KW110 | 1/31/2006 | 12/19/2006 | 50,000 | - | 98.82 | U S TREASURY BILL |
| 1KW110 | 1/31/2006 | 12/19/2006 | - | 50,000 | 98.92 | U S TREASURY BILL |
| 1KW113 | 6/30/1999 | 5/26/1999 | 1,650,000 | - | 99.66 | U S TREASURY BILL |
| 1KW113 | 6/30/1999 | 5/26/1999 | - | 1,650,000 | 98.66 | U S TREASURY BILL |
| 1KW113 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.48 | U S TREASURY BILL |
| 1KW113 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.54 | U S TREASURY BILL |
| 1KW113 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.54 | U S TREASURY BILL |
| 1KW129 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1KW129 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1KW156 | 6/30/1999 | 5/26/1999 | - | 3,025,000 | 98.66 | U S TREASURY BILL |
| 1KW156 | 6/30/1999 | 5/26/1999 | 3,025,000 | - | 99.66 | U S TREASURY BILL |
| 1KW156 | 8/31/2002 | 7/31/2002 | 2,300,000 | - | 99.48 | U S TREASURY BILL |
| 1KW156 | 8/31/2002 | 7/31/2002 | 2,300,000 | - | 99.54 | U S TREASURY BILL |
| 1KW156 | 8/31/2002 | 7/31/2002 | - | 2,300,000 | 99.54 | U S TREASURY BILL |
| 1KW156 | 8/31/2002 | 7/31/2002 | - | 2,300,000 | 99.48 | U S TREASURY BILL |
| 1KW161 | 6/30/1999 | 5/26/1999 | - | 1,925,000 | 98.66 | U S TREASURY BILL |
| 1KW161 | 6/30/1999 | 5/26/1999 | 1,925,000 | - | 99.66 | U S TREASURY BILL |
| 1KW162 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.54 | U S TREASURY BILL |
| 1KW162 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.54 | U S TREASURY BILL |
| 1KW162 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.48 | U S TREASURY BILL |
| 1KW162 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.48 | U S TREASURY BILL |
| 1KW166 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.54 | U S TREASURY BILL |
| 1KW166 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.48 | U S TREASURY BILL |
| 1KW166 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.48 | U S TREASURY BILL |
| 1KW166 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.54 | U S TREASURY BILL |
| 1KW172 | 6/30/1999 | 5/26/1999 | 5,025,000 | - | 99.66 | U S TREASURY BILL |
| 1KW172 | 6/30/1999 | 5/26/1999 | - | 5,025,000 | 98.66 | U S TREASURY BILL |
| 1KW172 | 8/31/2002 | 7/31/2002 | 6,800,000 | - | 99.54 | U S TREASURY BILL |
| 1KW172 | 8/31/2002 | 7/31/2002 | - | 6,800,000 | 99.48 | U S TREASURY BILL |
| 1KW172 | 8/31/2002 | 7/31/2002 | - | 6,800,000 | 99.54 | U S TREASURY BILL |
| 1KW172 | 8/31/2002 | 7/31/2002 | 6,800,000 | - | 99.48 | U S TREASURY BILL |
| 1KW175 | 6/30/1999 | 5/26/1999 | 5,000,000 | - | 99.66 | U S TREASURY BILL |
| 1KW175 | 6/30/1999 | 5/26/1999 | - | 5,000,000 | 98.66 | U S TREASURY BILL |
| 1KW182 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1KW182 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1KW192 | 6/30/1999 | 5/26/1999 | - | 3,975,000 | 98.66 | U S TREASURY BILL |
| 1KW192 | 6/30/1999 | 5/26/1999 | 3,975,000 | - | 99.66 | U S TREASURY BILL |
| 1KW192 | 8/31/2002 | 7/31/2002 | 3,125,000 | - | 99.48 | U S TREASURY BILL |
| 1KW192 | 8/31/2002 | 7/31/2002 | - | 3,125,000 | 99.54 | U S TREASURY BILL |
| 1KW192 | 8/31/2002 | 7/31/2002 | - | 3,125,000 | 99.48 | U S TREASURY BILL |
| 1KW192 | 8/31/2002 | 7/31/2002 | 3,125,000 | - | 99.54 | U S TREASURY BILL |
| 1KW218 | 6/30/1999 | 5/26/1999 | - | 5,675,000 | 98.66 | U S TREASURY BILL |
| 1KW218 | 6/30/1999 | 5/26/1999 | 5,675,000 | - | 99.66 | U S TREASURY BILL |
| 1KW218 | 8/31/2002 | 7/31/2002 | 6,025,000 | - | 99.54 | U S TREASURY BILL |
| 1KW218 | 8/31/2002 | 7/31/2002 | - | 6,025,000 | 99.54 | U S TREASURY BILL |
| 1KW218 | 8/31/2002 | 7/31/2002 | 6,025,000 | - | 99.48 | U S TREASURY BILL |
| 1KW218 | 8/31/2002 | 7/31/2002 | - | 6,025,000 | 99.48 | U S TREASURY BILL |
| 1KW221 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1KW221 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1KW223 | 6/30/1999 | 5/26/1999 | - | 1,225,000 | 98.66 | U S TREASURY BILL |
| 1KW223 | 6/30/1999 | 5/26/1999 | 1,225,000 | - | 99.66 | U S TREASURY BILL |
| 1KW242 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.48 | U S TREASURY BILL |
| 1KW242 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.54 | U S TREASURY BILL |
| 1KW242 | 8/31/2002 | 7/31/2002 | 1,475,000 | - | 99.54 | U S TREASURY BILL |
| 1KW242 | 8/31/2002 | 7/31/2002 | - | 1,475,000 | 99.48 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------|
| 1KW247 | 8/31/2002 | 7/31/2002 | 4,550,000 | - | 99.48 | U S TREASURY BILL |
| 1KW247 | 8/31/2002 | 7/31/2002 | - | 4,550,000 | 99.54 | U S TREASURY BILL |
| 1KW247 | 8/31/2002 | 7/31/2002 | - | 4,550,000 | 99.48 | U S TREASURY BILL |
| 1KW247 | 8/31/2002 | 7/31/2002 | 4,550,000 | - | 99.54 | U S TREASURY BILL |
| 1KW248 | 1/31/2006 | 12/19/2006 | - | 50,000 | 98.92 | U S TREASURY BILL |
| 1KW248 | 1/31/2006 | 12/19/2006 | 50,000 | - | 98.82 | U S TREASURY BILL |
| 1KW252 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1KW252 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1KW254 | 6/30/1999 | 5/26/1999 | 375,000 | - | 99.66 | U S TREASURY BILL |
| 1KW254 | 6/30/1999 | 5/26/1999 | - | 375,000 | 98.66 | U S TREASURY BILL |
| 1KW255 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1KW255 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1KW257 | 6/30/1999 | 5/26/1999 | - | 1,675,000 | 98.66 | U S TREASURY BILL |
| 1KW257 | 6/30/1999 | 5/26/1999 | 1,675,000 | - | 99.66 | U S TREASURY BILL |
| 1KW258 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1KW258 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1KW259 | 6/30/1999 | 5/26/1999 | - | 550,000 | 98.66 | U S TREASURY BILL |
| 1KW259 | 6/30/1999 | 5/26/1999 | 550,000 | - | 99.66 | U S TREASURY BILL |
| 1KW260 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.54 | U S TREASURY BILL |
| 1KW260 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.54 | U S TREASURY BILL |
| 1KW260 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.48 | U S TREASURY BILL |
| 1KW260 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.48 | U S TREASURY BILL |
| 1KW303 | 1/31/2006 | 12/19/2006 | 25,000 | - | 98.82 | U S TREASURY BILL |
| 1KW303 | 1/31/2006 | 12/19/2006 | - | 25,000 | 98.92 | U S TREASURY BILL |
| 1KW313 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.48 | U S TREASURY BILL |
| 1KW313 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.54 | U S TREASURY BILL |
| 1KW313 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.54 | U S TREASURY BILL |
| 1KW313 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.48 | U S TREASURY BILL |
| 1KW314 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.54 | U S TREASURY BILL |
| 1KW314 | 8/31/2002 | 7/31/2002 | - | 1,925,000 | 99.48 | U S TREASURY BILL |
| 1KW314 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.48 | U S TREASURY BILL |
| 1KW314 | 8/31/2002 | 7/31/2002 | 1,925,000 | - | 99.54 | U S TREASURY BILL |
| 1KW315 | 8/31/2002 | 7/31/2002 | 4,050,000 | - | 99.54 | U S TREASURY BILL |
| 1KW315 | 8/31/2002 | 7/31/2002 | - | 4,050,000 | 99.54 | U S TREASURY BILL |
| 1KW315 | 8/31/2002 | 7/31/2002 | - | 4,050,000 | 99.48 | U S TREASURY BILL |
| 1KW315 | 8/31/2002 | 7/31/2002 | 4,050,000 | - | 99.48 | U S TREASURY BILL |
| 1KW358 | 8/31/2002 | 7/31/2002 | 5,025,000 | - | 99.48 | U S TREASURY BILL |
| 1KW358 | 8/31/2002 | 7/31/2002 | - | 5,025,000 | 99.48 | U S TREASURY BILL |
| 1KW358 | 8/31/2002 | 7/31/2002 | - | 5,025,000 | 99.54 | U S TREASURY BILL |
| 1KW358 | 8/31/2002 | 7/31/2002 | 5,025,000 | - | 99.54 | U S TREASURY BILL |
| 1KW413 | 5/31/2007 | 4/30/2007 | - | 2,464 | 76.97 | 3M COMPANY |
| 1KW413 | 5/31/2007 | 4/30/2007 | 2,664 | - | 76.97 | 3M COMPANY |
| 1L0002 | 9/30/1996 | 7/2/1996 | - | 96,202 | 25.75 | IOMEGA CORP |
| 1L0002 | 9/30/1996 | 7/15/1996 | - | 97,405 | 29.38 | IOMEGA CORP |
| 1L0002 | 9/30/1996 | 7/15/1996 | - | 95,000 | 29.38 | IOMEGA CORP |
| 1L0002 | 12/31/1997 | 9/30/1997 | 44,770,000 | - | 99.02 | U S TREASURY BILL |
| 1L0002 | 12/31/1997 | 9/30/1997 | - | 44,770,000 | 99.12 | U S TREASURY BILL |
| 1L0002 | 6/30/1998 | 5/21/1998 | - | 108,700 | 63.88 | WARNER LAMBERT CO |
| 1L0002 | 6/30/1998 | 5/21/1998 | 7,125,000 | - | 99.03 | U S TREASURY BILL |
| 1L0002 | 6/30/1998 | 5/21/1998 | 9,612 | - | 1.00 | FIDELITY SPARTAN |
| 1L0002 | 12/31/1999 | 11/29/1999 | - | 121,600 | 79.25 | AMERICA ONLINE CORP |
| 1L0002 | 12/31/1999 | 11/29/1999 | 121,600 | - | 78.25 | AMERICA ONLINE CORP |
| 1L0002 | 12/31/1999 | 11/29/1999 | - | 121,600 | 78.25 | AMERICA ONLINE CORP |
| 1L0002 | 1/31/2002 | 12/31/2002 | 223,300,000 | - | 99.59 | U S TREASURY BILL |
| 1L0002 | 1/31/2002 | 12/31/2002 | - | 222,387,545 | 1.00 | FIDELITY SPARTAN |
| 1L0002 | 1/31/2002 | 12/31/2002 | 3,075 | - | 1.00 | FIDELITY SPARTAN |
| 1L0002 | 8/31/2008 | 7/31/2008 | - | 125,000 | 99.76 | U S TREASURY BILL |
| 1L0002 | 8/31/2008 | 7/31/2008 | - | 21,030 | 1.00 | FIDELITY SPARTAN |
| 1L0013 | 6/30/1999 | 5/26/1999 | - | 5,425,000 | 98.66 | U S TREASURY BILL |
| 1L0013 | 6/30/1999 | 5/26/1999 | 5,425,000 | - | 99.66 | U S TREASURY BILL |
| 1L0013 | 8/31/2002 | 7/31/2002 | 4,900,000 | - | 99.54 | U S TREASURY BILL |
| 1L0013 | 8/31/2002 | 7/31/2002 | - | 4,900,000 | 99.48 | U S TREASURY BILL |
| 1L0013 | 8/31/2002 | 7/31/2002 | - | 4,900,000 | 99.54 | U S TREASURY BILL |
| 1L0013 | 8/31/2002 | 7/31/2002 | 4,900,000 | - | 99.48 | U S TREASURY BILL |
| 1L0023 | 6/30/1999 | 5/26/1999 | - | 3,675,000 | 98.66 | U S TREASURY BILL |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1L0023 | 6/30/1999 | 5/26/1999 | 3,675,000 | - | 99.66 | U S TREASURY BILL |
| 1L0023 | 8/31/2002 | 7/31/2002 | - | 3,900,000 | 99.48 | U S TREASURY BILL |
| 1L0023 | 8/31/2002 | 7/31/2002 | 3,900,000 | - | 99.54 | U S TREASURY BILL |
| 1L0023 | 8/31/2002 | 7/31/2002 | 4,000,000 | - | 99.48 | U S TREASURY BILL |
| 1L0023 | 8/31/2002 | 7/31/2002 | - | 4,000,000 | 99.54 | U S TREASURY BILL |
| 1L0024 | 1/31/1998 | 12/24/1998 | 25,863 | - | 103.13 | CPC INTL INC |
| 1L0024 | 1/31/1998 | 12/24/1998 | - | 25,863 | 103.13 | CORN PRODUCT INTERNATIONAL INC |
| 1L0024 | 3/31/1999 | 1/11/1999 | 17,940 | - | 36.25 | BOEING CO |
| 1L0024 | 3/31/1999 | 1/19/1999 | 60,000 | - | 47.06 | APPLE COMPUTER INC |
| 1L0024 | 3/31/1999 | 1/19/1999 | 57,650 | - | 47.13 | APPLE COMPUTER INC |
| 1L0024 | 9/30/2001 | 1/5/2001 | - | 150,000 | 29.56 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/5/2001 | - | 215,000 | 30.00 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/5/2001 | - | 250,000 | 28.44 | PALM INC |
| 1L0024 | 9/30/2001 | 1/5/2001 | - | 290,000 | 28.25 | PALM INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 260,000 | 31.50 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 250,000 | 32.06 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 215,000 | 27.44 | PALM INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 215,000 | 31.81 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 265,000 | 27.13 | PALM INC |
| 1L0024 | 9/30/2001 | 1/8/2001 | - | 296,000 | 27.75 | PALM INC |
| 1L0024 | 9/30/2001 | 1/9/2001 | - | 215,000 | 31.75 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/9/2001 | - | 284,590 | 31.63 | PALM INC |
| 1L0024 | 9/30/2001 | 1/9/2001 | - | 230,000 | 31.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/9/2001 | - | 245,000 | 31.56 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/9/2001 | - | 215,000 | 31.38 | PALM INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 285,000 | 30.87 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 205,000 | 15.20 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 280,000 | 28.81 | PALM INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 265,000 | 29.06 | PALM INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 286,830 | 15.40 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 202,000 | 15.76 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 235,000 | 15.60 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/10/2001 | - | 243,370 | 31.05 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 298,000 | 15.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 145,000 | 25.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 235,000 | 15.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 250,000 | 27.12 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 200,000 | 24.63 | PALM INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 305,000 | 14.95 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/11/2001 | - | 200,000 | 26.75 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 168,000 | 25.13 | PALM INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 305,000 | 16.53 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 305,000 | 29.68 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 282,000 | 30.00 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 138,000 | 24.75 | PALM INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 255,000 | 16.90 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 285,000 | 30.18 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 215,000 | 16.79 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 298,000 | 16.61 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/12/2001 | - | 325,000 | 16.48 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 250,000 | 17.32 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 290,000 | 24.25 | PALM INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 240,000 | 17.19 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 115,000 | 24.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 297,000 | 17.24 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/16/2001 | - | 256,000 | 17.23 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 200,000 | 18.34 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 205,000 | 18.19 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 290,000 | 26.63 | PALM INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 202,000 | 18.22 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 243,000 | 26.31 | PALM INC |
| 1L0024 | 9/30/2001 | 1/17/2001 | - | 230,440 | 18.50 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 202,000 | 18.37 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 200,000 | 27.50 | PALM INC |
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 205,000 | 18.50 | LUCENT TECHNOLOGIES INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 210,000 | 18.76 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 290,000 | 26.88 | PALM INC |
| 1L0024 | 9/30/2001 | 1/18/2001 | - | 297,550 | 18.85 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/19/2001 | - | 295,000 | 18.60 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/19/2001 | - | 250,000 | 28.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/19/2001 | - | 340,000 | 27.69 | PALM INC |
| 1L0024 | 9/30/2001 | 1/19/2001 | - | 200,000 | 18.47 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/19/2001 | - | 240,000 | 18.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 250,000 | 76.56 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 333,670 | 34.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 177,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 285,000 | 18.87 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 230,000 | 76.25 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 235,000 | 18.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 290,000 | 18.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 245,000 | 136.19 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 265,000 | 34.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 290,000 | 28.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 282,000 | 34.19 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 295,000 | 136.38 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/22/2001 | - | 225,000 | 34.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 202,000 | 19.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 245,000 | 130.38 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 260,000 | 75.00 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 236,000 | 18.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 306,830 | 35.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 320,000 | 36.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 250,000 | 75.83 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 255,000 | 19.74 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 290,000 | 36.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 295,000 | 77.75 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 172,830 | 28.25 | PALM INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 265,000 | 36.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 350,000 | 36.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 165,000 | 27.75 | PALM INC |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 200,000 | 130.56 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/23/2001 | - | 215,000 | 19.54 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 210,000 | 134.94 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 65,000 | 77.12 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 211,000 | 20.89 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 380,000 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 500,000 | 64.69 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 115,000 | 134.56 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 298,000 | 39.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 312,000 | 39.06 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 205,000 | 20.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 255,000 | 20.97 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 260,000 | 77.38 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 435,000 | 64.13 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 185,000 | 79.38 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 200,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 236,000 | 20.86 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 280,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 300,000 | 39.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 250,000 | 77.75 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 510,000 | 64.50 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 198,000 | 79.69 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 298,000 | 20.50 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/24/2001 | - | 525,000 | 64.31 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 240,000 | 20.20 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 127,000 | 75.90 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 262,000 | 82.63 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 110,000 | 132.25 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 225,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 280,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 100,000 | 132.06 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 264,000 | 20.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 435,360 | 60.00 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 167,000 | 82.13 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 200,000 | 20.30 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 269,880 | 20.40 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 526,000 | 59.56 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 187,000 | 76.10 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 190,000 | 76.35 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/25/2001 | - | 325,000 | 59.81 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 295,000 | 38.62 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 250,000 | 84.06 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 265,000 | 18.98 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 236,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 373,000 | 38.31 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 450,000 | 64.69 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 265,000 | 83.75 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 574,000 | 63.94 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 245,000 | 19.09 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 250,000 | 134.13 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 265,000 | 39.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 268,000 | 78.03 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 210,000 | 133.63 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 200,000 | 77.75 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 215,000 | 18.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 245,000 | 78.75 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 250,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/26/2001 | - | 482,000 | 64.50 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 185,000 | 42.25 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 195,000 | 26.25 | PALM INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 515,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 213,850 | 83.38 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 285,000 | 83.00 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 290,000 | 42.50 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 236,000 | 19.77 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 263,000 | 42.68 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 290,000 | 80.50 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 305,000 | 40.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 162,000 | 63.50 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 186,660 | 26.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 207,000 | 19.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 245,490 | 139.25 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 255,000 | 20.09 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 255,000 | 80.95 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 295,000 | 39.81 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 205,000 | 19.63 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 205,000 | 82.88 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 265,000 | 43.00 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 290,000 | 138.50 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 490,000 | 63.75 | JDS UNIPHASE CORP |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 205,000 | 138.69 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 280,000 | 40.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 298,000 | 19.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 205,000 | 19.97 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 275,000 | 82.19 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/29/2001 | - | 285,000 | 139.00 | BROADCOM CORP CL A |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 200,000 | 19.14 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 250,000 | 80.94 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 262,000 | 80.50 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 300,000 | 18.81 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 249,940 | 19.22 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 278,940 | 28.25 | PALM INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 205,000 | 41.93 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 215,000 | 18.99 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 296,000 | 78.62 | EMC CORPORATION MASS |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 141,320 | 78.35 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 215,000 | 42.12 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 235,000 | 28.06 | PALM INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 265,000 | 38.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 250,000 | 42.43 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/30/2001 | - | 290,000 | 38.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 298,000 | 74.00 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 285,000 | 17.82 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 100,000 | 27.00 | PALM INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 150,000 | 27.19 | PALM INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 245,000 | 17.96 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 265,000 | 37.81 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 295,000 | 17.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 155,000 | 78.15 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 215,190 | 78.42 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 277,480 | 74.25 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 235,000 | 38.25 | YAHOO INC |
| 1L0024 | 9/30/2001 | 1/31/2001 | - | 290,000 | 37.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 155,000 | 39.87 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 245,000 | 17.72 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 295,000 | 17.60 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 158,600 | 77.50 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 210,000 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 140,950 | 40.12 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 155,000 | 79.70 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 152,000 | 76.88 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 192,000 | 28.50 | PALM INC |
| 1L0024 | 9/30/2001 | 2/1/2001 | - | 215,000 | 39.17 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 75,000 | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 138,800 | 41.50 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 165,000 | 41.31 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 188,000 | 78.32 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 283,190 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 120,000 | 73.75 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 145,000 | 79.00 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 250,000 | 74.63 | SIEBEL SYSTEMS INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 200,000 | 28.25 | PALM INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 250,000 | 18.55 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 285,000 | 18.45 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 155,040 | 28.75 | PALM INC |
| 1L0024 | 9/30/2001 | 2/2/2001 | - | 216,230 | 18.65 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 157,000 | 28.13 | PALM INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 222,930 | 40.75 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 245,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 265,000 | 18.57 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 100,000 | 78.57 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 235,000 | 18.88 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 185,000 | 40.37 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/5/2001 | - | 210,000 | 79.12 | EMC CORPORATION MASS |
| 1L0024 | 9/30/2001 | 2/6/2001 | - | 250,000 | 37.75 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/6/2001 | - | 138,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/6/2001 | - | 255,000 | 18.82 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 9/30/2001 | 2/7/2001 | - | 195,000 | 36.12 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/7/2001 | - | 240,810 | 36.37 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/8/2001 | - | 245,000 | 35.62 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/9/2001 | - | 265,000 | 36.06 | YAHOO INC |
| 1L0024 | 9/30/2001 | 2/9/2001 | - | 237,600 | 36.25 | YAHOO INC |
| 1L0024 | 11/30/2001 | 3/13/2001 | - | 43,000 | 27.28 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/13/2001 | - | 38,000 | 27.39 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/13/2001 | - | 41,000 | 27.40 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/14/2001 | - | 32,000 | 27.32 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/15/2001 | - | 46,000 | 27.18 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/15/2001 | - | 52,000 | 27.37 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/15/2001 | - | 48,000 | 27.22 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/16/2001 | - | 50,000 | 26.82 | AUTOZONE INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1L0024 | 11/30/2001 | 3/16/2001 | - | 47,500 | 26.70 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/19/2001 | - | 42,000 | 26.54 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/20/2001 | - | 55,000 | 26.79 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/20/2001 | - | 40,000 | 36.15 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/20/2001 | - | 41,000 | 36.00 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 45,500 | 26.52 | AUTOZONE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 44,000 | 24.57 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 34,000 | 35.75 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 42,000 | 36.22 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 26,000 | 35.90 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 32,000 | 36.00 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/21/2001 | - | 50,000 | 24.25 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 50,000 | 2.13 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 40,000 | 35.87 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 40,000 | 25.70 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 44,000 | 25.50 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 52,000 | 2.06 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/22/2001 | - | 30,000 | 35.64 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 32,000 | 26.15 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 38,000 | 36.90 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 40,000 | 37.05 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 36,000 | 36.85 | NIKE INC |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 55,000 | 2.19 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 39,000 | 26.00 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/23/2001 | - | 50,000 | 2.13 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/26/2001 | - | 45,500 | 2.13 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/26/2001 | - | 25,000 | 25.57 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/26/2001 | - | 40,000 | 2.25 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/26/2001 | - | 34,000 | 25.37 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 56,000 | 15.12 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 60,000 | 2.13 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 65,000 | 15.06 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 25,000 | 23.75 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 52,500 | 2.06 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 34,000 | 23.55 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 66,000 | 15.00 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 68,000 | 15.17 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 69,000 | 15.15 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 70,000 | 2.19 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 3/27/2001 | - | 76,000 | 15.19 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 33,330 | 24.75 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 44,000 | 24.80 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 56,000 | 14.90 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 65,000 | 14.97 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 59,000 | 15.00 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/28/2001 | - | 70,000 | 15.10 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 3/29/2001 | - | 24,000 | 25.99 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/29/2001 | - | 32,000 | 26.20 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/29/2001 | - | 23,000 | 26.15 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 3/29/2001 | - | 25,000 | 26.02 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 4/2/2001 | - | 128,000 | 36.06 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/2/2001 | - | 172,000 | 36.19 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/2/2001 | - | 116,000 | 35.88 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/3/2001 | - | 120,000 | 34.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/3/2001 | - | 151,000 | 33.81 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/4/2001 | - | 130,000 | 34.94 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/4/2001 | - | 141,000 | 34.81 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/5/2001 | - | 138,000 | 35.50 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/5/2001 | - | 142,000 | 34.94 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/5/2001 | - | 162,000 | 35.13 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 61,000 | 31.69 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 80,000 | 30.69 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 67,000 | 30.81 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 77,000 | 30.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 81,000 | 31.75 | EBAY INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------|
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 59,000 | 31.31 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/6/2001 | - | 75,000 | 30.63 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 67,000 | 29.94 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 61,000 | 30.06 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 77,000 | 29.50 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 59,000 | 30.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 80,000 | 29.81 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 81,000 | 30.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/9/2001 | - | 75,000 | 29.63 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/10/2001 | - | 165,000 | 33.81 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/10/2001 | - | 173,000 | 33.75 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/10/2001 | - | 150,000 | 34.13 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/10/2001 | - | 185,000 | 34.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 200,000 | 11.50 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 130,000 | 12.05 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 245,000 | 11.62 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 255,000 | 11.75 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 225,000 | 11.43 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 260,000 | 11.59 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 100,000 | 33.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 172,000 | 32.94 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 215,000 | 11.45 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 128,000 | 32.88 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 177,000 | 32.75 | EBAY INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 232,000 | 11.91 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/11/2001 | - | 238,000 | 12.00 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 135,000 | 11.62 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 100,000 | 12.07 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 110,000 | 11.80 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 155,000 | 11.59 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 190,000 | 12.00 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 128,000 | 11.75 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 4/12/2001 | - | 182,000 | 11.70 | MOTOROLA INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 63,500 | - | 69.35 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 120,000 | - | 69.82 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 130,000 | - | 70.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 116,000 | - | 69.75 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 151,000 | - | 69.90 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 172,000 | - | 69.50 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/26/2001 | 128,000 | - | 69.62 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/27/2001 | 77,500 | - | 69.88 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/27/2001 | 162,000 | - | 70.10 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/27/2001 | 138,000 | - | 70.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/27/2001 | 142,000 | - | 70.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/28/2001 | 67,000 | - | 70.89 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/28/2001 | 81,000 | - | 70.50 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/28/2001 | 80,000 | - | 71.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/28/2001 | 59,000 | - | 70.78 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/28/2001 | 61,000 | - | 70.65 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/29/2001 | 81,000 | - | 70.55 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/29/2001 | 75,000 | - | 70.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/29/2001 | 77,000 | - | 70.41 | EBAY INC |
| 1L0024 | 11/30/2001 | 6/29/2001 | 61,000 | - | 70.64 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/2/2001 | 77,000 | - | 69.55 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/2/2001 | 67,000 | - | 69.15 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/2/2001 | 59,000 | - | 69.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/2/2001 | 75,000 | - | 69.47 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/2/2001 | 80,000 | - | 69.32 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/3/2001 | 185,000 | - | 70.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/3/2001 | 150,000 | - | 70.08 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/5/2001 | 165,000 | - | 69.78 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/5/2001 | 107,000 | - | 70.25 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/5/2001 | 173,000 | - | 69.97 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/5/2001 | 100,000 | - | 70.05 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/6/2001 | 65,000 | - | 69.80 | EBAY INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1L0024 | 11/30/2001 | 7/6/2001 | 52,000 | - | 10.00 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/6/2001 | 50,000 | - | 9.80 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/6/2001 | 55,000 | - | 10.05 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/6/2001 | 128,000 | - | 69.92 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/6/2001 | 177,000 | - | 70.00 | EBAY INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 50,000 | - | 9.29 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 45,500 | - | 9.55 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 52,500 | - | 9.71 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 60,000 | - | 9.68 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 70,000 | - | 9.59 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 7/9/2001 | 40,000 | - | 9.50 | PRICELINE.COM INC |
| 1L0024 | 11/30/2001 | 8/2/2001 | 56,000 | - | 28.25 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/2/2001 | 68,000 | - | 28.00 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/2/2001 | 76,000 | - | 27.90 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/3/2001 | 69,000 | - | 28.50 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/3/2001 | 65,000 | - | 28.70 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/3/2001 | 66,000 | - | 28.42 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/6/2001 | 59,000 | - | 28.22 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/6/2001 | 56,000 | - | 28.30 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/6/2001 | 65,000 | - | 28.10 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/6/2001 | 70,000 | - | 28.00 | PENNEY J C INC |
| 1L0024 | 11/30/2001 | 8/24/2001 | - | 58,000 | 10.72 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 8/27/2001 | - | 63,500 | 10.55 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 8/28/2001 | - | 56,000 | 10.62 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 8/29/2001 | - | 42,000 | 10.83 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 8/29/2001 | - | 40,500 | 10.70 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 8/29/2001 | - | 40,000 | 11.00 | ADAPTEC INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 52,000 | 17.58 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 62,000 | 17.75 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 95,000 | 27.93 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 100,000 | 27.78 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 180,000 | 28.00 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 51,000 | 17.69 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 125,000 | 27.85 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 19,000 | 22.13 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/24/2001 | - | 44,000 | 56.95 | JOHNSON CTLS INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 63,000 | 22.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 78,000 | 21.84 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 175,000 | 11.65 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 190,000 | 18.00 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 87,500 | 21.69 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 125,000 | 11.75 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 50,000 | 23.47 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 51,000 | 17.59 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 53,000 | 17.72 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 89,000 | 21.78 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 155,000 | 26.87 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 42,000 | 23.65 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 52,000 | 56.70 | JOHNSON CTLS INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 128,000 | 17.88 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 135,000 | 12.00 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 145,000 | 27.10 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 55,500 | 17.50 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 115,000 | 11.80 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 23,000 | 18.00 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 58,000 | 23.35 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 120,500 | 27.00 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 40,000 | 17.90 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 56,000 | 23.20 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/25/2001 | - | 182,000 | 17.75 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 93,500 | 21.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 105,000 | 11.75 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 125,000 | 11.49 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 229,500 | 25.10 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 58,000 | 17.62 | BOSTON SCIENTIFIC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 215,000 | 25.42 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 59,000 | 18.05 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 62,500 | 17.40 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 165,000 | 17.36 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 60,000 | 22.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 61,000 | 18.00 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 185,000 | 26.25 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 50,000 | 55.10 | JOHNSON CTLS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 65,000 | 17.55 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 110,000 | 22.50 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 125,000 | 11.82 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 60,000 | 22.75 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 150,000 | 17.50 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 54,000 | 55.27 | JOHNSON CTLS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 55,000 | 21.75 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 57,000 | 17.79 | BOSTON SCIENTIFIC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 81,000 | 21.37 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 85,000 | 21.56 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 145,000 | 11.55 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 200,000 | 26.00 | LOWES COS INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 89,000 | 21.25 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 96,000 | 22.60 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 105,000 | 22.55 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 175,000 | 11.37 | STAPLES INC (RTL & DEL) |
| 1L0024 | 11/30/2001 | 9/26/2001 | - | 185,000 | 17.24 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0024 | 11/30/2001 | 9/27/2001 | - | 49,000 | 23.25 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/27/2001 | - | 51,000 | 23.10 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/27/2001 | - | 53,000 | 23.00 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 9/27/2001 | - | 70,000 | 22.97 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 49,000 | 34.10 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 59,000 | 9.39 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 47,000 | 34.15 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 51,000 | 9.49 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 36,000 | 33.90 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 53,000 | 34.25 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 55,000 | 9.31 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 26,000 | 34.00 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 57,000 | 9.40 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 49,000 | 9.55 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 74,000 | 22.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 54,000 | 9.44 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 150,000 | 23.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/1/2001 | - | 25,000 | 33.95 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 53,000 | 20.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 30,000 | 9.39 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 54,000 | 20.13 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 53,500 | 19.83 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 83,000 | 32.00 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 94,000 | 31.77 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 277,000 | 17.70 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 290,000 | 17.51 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 39,000 | 9.41 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 45,000 | 9.40 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 47,000 | 32.25 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 189,000 | 17.89 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 251,000 | 17.75 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 265,000 | 17.35 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 90,000 | 31.89 | SYMANTEC CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 52,000 | 20.29 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 56,000 | 19.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 160,000 | 18.00 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 46,000 | 9.38 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 48,000 | 9.35 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 285,000 | 17.47 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 55,000 | 20.00 | NATIONAL SEMICONDUCTOR CORP |

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December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------------|
| 1L0024 | 11/30/2001 | 10/2/2001 | - | 283,000 | 17.62 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 53,000 | 21.22 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 61,000 | 5.97 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 69,000 | 9.35 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 150,000 | 8.15 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 238,000 | 18.70 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 292,000 | 18.72 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 65,000 | 33.30 | SONY CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 100,000 | 8.05 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 262,000 | 18.65 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 43,000 | 21.48 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 64,500 | 9.30 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 68,000 | 9.32 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 40,000 | 21.37 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 55,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 58,000 | 21.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 60,000 | 9.40 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 200,000 | 8.16 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 70,000 | 5.94 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 210,000 | 18.44 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 56,000 | 20.71 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 59,000 | 20.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 233,000 | 18.55 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 57,000 | 20.97 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 75,000 | 8.20 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 85,000 | 33.10 | SONY CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 125,000 | 8.25 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 245,000 | 18.87 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 265,000 | 18.49 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 66,000 | 9.29 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 67,000 | 9.36 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/3/2001 | - | 255,000 | 18.90 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 24,000 | 37.92 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 83,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 105,000 | 18.32 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 125,000 | 17.90 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 35,000 | 9.41 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 84,000 | 7.96 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 115,000 | 18.49 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 138,000 | 5.80 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 140,000 | 5.85 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 151,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 45,000 | 9.32 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 50,000 | 33.25 | SONY CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 100,500 | 5.69 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 124,000 | 17.95 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 125,000 | 20.90 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 86,000 | 7.87 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 100,000 | 18.07 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 125,000 | 5.74 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 150,000 | 5.60 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 81,000 | 7.91 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 22,000 | 9.39 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 32,000 | 37.98 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 77,000 | 8.05 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 126,000 | 18.12 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 154,000 | 18.25 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 34,000 | 38.02 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 37,000 | 38.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 48,000 | 9.35 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 147,000 | 5.97 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/4/2001 | - | 151,000 | 18.00 | DELL COMPUTER CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 43,000 | 21.20 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 168,500 | 5.97 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 42,000 | 20.39 | NATIONAL SEMICONDUCTOR CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------------|
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 47,000 | 21.05 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 56,000 | 9.50 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 63,000 | 4.80 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 87,000 | 4.82 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 35,000 | 37.72 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 83,000 | 7.85 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 88,000 | 7.99 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 51,000 | 20.53 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 140,000 | 6.03 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 157,000 | 5.99 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 38,000 | 37.70 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 54,000 | 9.53 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 56,000 | 20.61 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 60,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 49,000 | 20.42 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 52,000 | 9.59 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 54,000 | 20.74 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 86,500 | 7.90 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 89,000 | 7.97 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 103,000 | 6.10 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 30,000 | 38.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 52,500 | 9.45 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 58,000 | 9.46 | THE LIMITED INC |
| 1L0024 | 11/30/2001 | 10/5/2001 | - | 78,000 | 4.75 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 49,000 | 20.56 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 55,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 115,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 110,000 | 8.24 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 200,000 | 0.86 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | 85,000 | - | 29.62 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 34,000 | 21.41 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 125,000 | 6.70 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 165,000 | 4.53 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 172,000 | 4.30 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 59,000 | 20.45 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 110,000 | 4.75 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 45,000 | 21.18 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 120,000 | 7.95 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 130,000 | 6.20 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 145,000 | 6.53 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 100,000 | 6.74 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 182,500 | 7.89 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/9/2001 | 60,000 | - | 29.15 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | 87,500 | - | 29.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 56,000 | 21.07 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 100,000 | 0.87 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 155,000 | 8.08 | ADVANCED MICRO DEVICES |
| 1L0024 | 11/30/2001 | 10/9/2001 | 55,000 | - | 29.50 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 53,500 | 20.40 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 62,000 | 20.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2001 | 10/9/2001 | - | 125,000 | 4.65 | GATEWAY INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 129,000 | 7.09 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | 93,500 | - | 29.14 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | 89,000 | - | 29.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 135,000 | 6.92 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 150,000 | 0.84 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 110,000 | 6.80 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 150,000 | 0.83 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | - | 126,000 | 7.00 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/10/2001 | 81,000 | - | 28.83 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 52,000 | 0.65 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 52,500 | 0.64 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 57,000 | 0.73 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 52,000 | 0.77 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 56,000 | 0.71 | CMGI INC |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 43,000 | 0.79 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 40,000 | 0.75 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | 89,000 | - | 28.00 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | 19,000 | - | 28.35 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 59,000 | 0.72 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | 63,000 | - | 28.50 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 53,000 | 0.66 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 57,000 | 0.67 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | 78,000 | - | 27.79 | DELTA AIRLINES INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 53,000 | 0.74 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 55,000 | 0.75 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 55,500 | 0.70 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/11/2001 | - | 58,000 | 0.64 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 55,000 | 0.71 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 58,000 | 0.67 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 85,000 | 0.73 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 98,000 | 0.70 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 63,000 | 0.68 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 96,000 | 0.69 | CMGI INC |
| 1L0024 | 11/30/2001 | 10/12/2001 | - | 42,000 | 0.72 | CMGI INC |
| 1L0024 | 12/31/2001 | 10/15/2001 | - | 220,000 | 8.90 | ADVANCED MICRO DEVICES |
| 1L0024 | 12/31/2001 | 10/15/2001 | - | 150,000 | 9.02 | ADVANCED MICRO DEVICES |
| 1L0024 | 12/31/2001 | 10/15/2001 | - | 75,000 | 8.95 | ADVANCED MICRO DEVICES |
| 1L0024 | 12/31/2001 | 10/16/2001 | - | 75,000 | 8.05 | AMAZON COM INC |
| 1L0024 | 12/31/2001 | 10/16/2001 | - | 200,000 | 8.00 | AMAZON COM INC |
| 1L0024 | 12/31/2001 | 10/16/2001 | - | 90,000 | 7.80 | AMAZON COM INC |
| 1L0024 | 12/31/2001 | 10/16/2001 | - | 110,000 | 7.90 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/18/2001 | 125,000 | - | 9.86 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/18/2001 | 130,000 | - | 9.00 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/18/2001 | 145,000 | - | 9.92 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/18/2001 | 100,000 | - | 9.49 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 100,500 | - | 9.50 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 138,000 | - | 9.38 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 147,000 | - | 9.24 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 125,000 | - | 9.44 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 140,000 | - | 9.30 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/19/2001 | 150,000 | - | 9.55 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 61,000 | - | 9.37 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 70,000 | - | 9.42 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 157,000 | - | 9.60 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 168,500 | - | 9.88 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 103,000 | - | 9.75 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/22/2001 | 140,000 | - | 9.50 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/23/2001 | 129,000 | - | 8.70 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/23/2001 | 110,000 | - | 9.00 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/23/2001 | 135,000 | - | 8.95 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/23/2001 | 126,000 | - | 8.78 | AMAZON COM INC |
| 1L0024 | 11/30/2001 | 10/26/2001 | 44,000 | - | 39.25 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/26/2001 | 40,000 | - | 39.15 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/26/2001 | 44,000 | - | 38.78 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/26/2001 | 50,000 | - | 39.00 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/29/2001 | 32,000 | - | 39.12 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/29/2001 | 39,000 | - | 39.25 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/29/2001 | 58,000 | - | 32.07 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/29/2001 | 25,000 | - | 39.31 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/29/2001 | 42,000 | - | 31.79 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/29/2001 | 50,000 | - | 32.00 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/30/2001 | 34,000 | - | 38.78 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/30/2001 | 60,000 | - | 32.13 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/30/2001 | 56,000 | - | 32.00 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/30/2001 | 96,000 | - | 32.38 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/31/2001 | 25,000 | - | 39.52 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/31/2001 | 34,000 | - | 39.75 | MCKESSON CORP |
| 1L0024 | 11/30/2001 | 10/31/2001 | 105,000 | - | 33.50 | COMPUTER ASSOC INTL INC |
| 1L0024 | 11/30/2001 | 10/31/2001 | 110,000 | - | 33.70 | COMPUTER ASSOC INTL INC |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1L0024 | 12/31/2001 | 11/9/2001 | - | 100,000 | 38.15 | LOWES COS INC |
| 1L0024 | 12/31/2001 | 11/9/2001 | - | 125,000 | 38.00 | LOWES COS INC |
| 1L0024 | 2/28/2002 | 1/5/2002 | - | 290,000 | 28.25 | PALM INC |
| 1L0024 | 2/28/2002 | 1/5/2002 | - | 150,000 | 29.56 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/5/2002 | - | 250,000 | 28.44 | PALM INC |
| 1L0024 | 2/28/2002 | 1/5/2002 | - | 215,000 | 30.00 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 215,000 | 27.44 | PALM INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 215,000 | 31.81 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 250,000 | 32.06 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 265,000 | 27.13 | PALM INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 260,000 | 31.50 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/8/2002 | - | 296,000 | 27.75 | PALM INC |
| 1L0024 | 2/28/2002 | 1/9/2002 | - | 215,000 | 31.75 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/9/2002 | - | 230,000 | 31.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/9/2002 | - | 245,000 | 31.56 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/9/2002 | - | 284,590 | 31.63 | PALM INC |
| 1L0024 | 2/28/2002 | 1/9/2002 | - | 215,000 | 31.38 | PALM INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 265,000 | 29.06 | PALM INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 285,000 | 30.87 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 280,000 | 28.81 | PALM INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 243,370 | 31.05 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 202,000 | 15.76 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 205,000 | 15.20 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 235,000 | 15.60 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/10/2002 | - | 286,830 | 15.40 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 200,000 | 26.75 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 235,000 | 15.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 145,000 | 25.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 200,000 | 24.63 | PALM INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 305,000 | 14.95 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 250,000 | 27.12 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/11/2002 | - | 298,000 | 15.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 255,000 | 16.90 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 138,000 | 24.75 | PALM INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 325,000 | 16.48 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 282,000 | 30.00 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 168,000 | 25.13 | PALM INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 215,000 | 16.79 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 298,000 | 16.61 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 285,000 | 30.18 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 305,000 | 16.53 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/12/2002 | - | 305,000 | 29.68 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 240,000 | 17.19 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 297,000 | 17.24 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 250,000 | 17.32 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 115,000 | 24.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 256,000 | 17.23 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/16/2002 | - | 290,000 | 24.25 | PALM INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 230,440 | 18.50 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 290,000 | 26.63 | PALM INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 202,000 | 18.22 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 205,000 | 18.19 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 243,000 | 26.31 | PALM INC |
| 1L0024 | 2/28/2002 | 1/17/2002 | - | 200,000 | 18.34 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 200,000 | 27.50 | PALM INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 297,550 | 18.85 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 202,000 | 18.37 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 210,000 | 18.76 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 290,000 | 26.88 | PALM INC |
| 1L0024 | 2/28/2002 | 1/18/2002 | - | 205,000 | 18.50 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/19/2002 | - | 240,000 | 18.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/19/2002 | - | 250,000 | 28.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/19/2002 | - | 200,000 | 18.47 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/19/2002 | - | 340,000 | 27.69 | PALM INC |
| 1L0024 | 2/28/2002 | 1/19/2002 | - | 295,000 | 18.60 | LUCENT TECHNOLOGIES INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 235,000 | 18.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 282,000 | 34.19 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 290,000 | 18.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 225,000 | 34.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 333,670 | 34.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 177,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 265,000 | 34.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 285,000 | 18.87 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/22/2002 | - | 290,000 | 28.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 165,000 | 27.75 | PALM INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 202,000 | 19.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 320,000 | 36.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 172,830 | 28.25 | PALM INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 255,000 | 19.74 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 265,000 | 36.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 306,830 | 35.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 215,000 | 19.54 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 290,000 | 36.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 350,000 | 36.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/23/2002 | - | 236,000 | 18.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 380,000 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 510,000 | 64.50 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 200,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 255,000 | 20.97 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 280,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 298,000 | 39.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 312,000 | 39.06 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 211,000 | 20.89 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 205,000 | 20.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 500,000 | 64.69 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 525,000 | 64.31 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 236,000 | 20.86 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 300,000 | 39.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 435,000 | 64.13 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/24/2002 | - | 298,000 | 20.50 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 264,000 | 20.10 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 240,000 | 20.20 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 526,000 | 59.56 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 269,880 | 20.40 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 280,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 200,000 | 20.30 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/25/2002 | - | 225,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 265,000 | 39.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 450,000 | 64.69 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 236,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 265,000 | 18.98 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 245,000 | 19.09 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 574,000 | 63.94 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 215,000 | 18.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 250,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 295,000 | 38.62 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 373,000 | 38.31 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/26/2002 | - | 482,000 | 64.50 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 236,000 | 19.77 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 298,000 | 19.84 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 186,660 | 26.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 205,000 | 19.63 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 255,000 | 20.09 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 515,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 205,000 | 19.97 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 263,000 | 42.68 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 265,000 | 43.00 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 490,000 | 63.75 | JDS UNIPHASE CORP |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 195,000 | 26.25 | PALM INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 290,000 | 42.50 | YAHOO INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 295,000 | 39.81 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 185,000 | 42.25 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 280,000 | 40.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 305,000 | 40.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/29/2002 | - | 207,000 | 19.70 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 300,000 | 18.81 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 205,000 | 41.93 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 235,000 | 28.06 | PALM INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 200,000 | 19.14 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 215,000 | 42.12 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 249,940 | 19.22 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 250,000 | 42.43 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 265,000 | 38.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 278,940 | 28.25 | PALM INC |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 290,000 | 38.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/30/2002 | - | 215,000 | 18.99 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 100,000 | 27.00 | PALM INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 245,000 | 17.96 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 290,000 | 37.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 235,000 | 38.25 | YAHOO INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 295,000 | 17.80 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 265,000 | 37.81 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 285,000 | 17.82 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 2/28/2002 | 1/31/2002 | - | 150,000 | 27.19 | PALM INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 155,000 | - | 39.87 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 152,000 | - | 76.88 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 192,000 | - | 28.50 | PALM INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 210,000 | - | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/1/2002 | 140,950 | - | 40.12 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 245,000 | - | 17.72 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 295,000 | - | 17.60 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | - | 210,000 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/1/2002 | 158,600 | - | 77.50 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 215,000 | - | 39.17 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/1/2002 | - | 192,000 | 28.50 | PALM INC |
| 1L0024 | 1/31/2002 | 2/1/2002 | 155,000 | - | 79.70 | EMC CORPORATION MASS |
| 1L0024 | 1/31/2002 | 2/2/2002 | - | 283,190 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/2/2002 | 155,040 | - | 28.75 | PALM INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 145,000 | - | 79.00 | EMC CORPORATION MASS |
| 1L0024 | 1/31/2002 | 2/2/2002 | 165,000 | - | 41.31 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 250,000 | - | 74.63 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 283,190 | - | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/2/2002 | - | 120,000 | 73.75 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | - | 250,000 | 74.63 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 120,000 | - | 73.75 | SIEBEL SYSTEMS INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 200,000 | - | 28.25 | PALM INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 250,000 | - | 18.55 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 285,000 | - | 18.45 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | - | 200,000 | 28.25 | PALM INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 188,000 | - | 78.32 | EMC CORPORATION MASS |
| 1L0024 | 1/31/2002 | 2/2/2002 | 216,230 | - | 18.65 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/2/2002 | 75,000 | - | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0024 | 1/31/2002 | 2/2/2002 | 138,800 | - | 41.50 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 100,000 | - | 78.57 | EMC CORPORATION MASS |
| 1L0024 | 1/31/2002 | 2/5/2002 | 210,000 | - | 79.12 | EMC CORPORATION MASS |
| 1L0024 | 1/31/2002 | 2/5/2002 | 222,930 | - | 40.75 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | - | 245,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 157,000 | - | 28.13 | PALM INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 245,000 | - | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 185,000 | - | 40.37 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 265,000 | - | 18.57 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/5/2002 | 235,000 | - | 18.88 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/6/2002 | - | 138,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/6/2002 | - | 255,000 | 18.82 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/6/2002 | 250,000 | - | 37.75 | YAHOO INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1L0024 | 1/31/2002 | 2/6/2002 | 255,000 | - | 18.82 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/6/2002 | 138,000 | - | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0024 | 1/31/2002 | 2/7/2002 | 195,000 | - | 36.12 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/7/2002 | 240,810 | - | 36.37 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/8/2002 | 245,000 | - | 35.62 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/9/2002 | - | 265,000 | 36.06 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/9/2002 | 265,000 | - | 36.06 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/9/2002 | 237,600 | - | 36.25 | YAHOO INC |
| 1L0024 | 1/31/2002 | 2/9/2002 | - | 237,600 | 36.25 | YAHOO INC |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 64,065,000 | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.60 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.66 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | 62,975,000 | - | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 245,000,000 | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.63 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | 64,065,000 | - | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 7,500,000 | 99.66 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 245,000,000 | 99.66 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 7,500,000 | 99.57 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 7,500,000 | 99.60 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 7,500,000 | 99.63 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 245,000,000 | 99.60 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/27/2002 | - | 245,000,000 | 99.63 | U S TREASURY BILL |
| 1L0024 | 3/31/2002 | 12/31/2002 | 7,700 | - | 1.00 | FIDELITY SPARTAN |
| 1L0024 | 11/30/2003 | 2/6/2003 | 120,000 | - | 13.61 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/6/2003 | 75,000 | - | 18.13 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 100,000 | - | 12.01 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 110,000 | - | 26.27 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 120,000 | - | 11.15 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/6/2003 | 189,000 | - | 13.70 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/6/2003 | 150,000 | - | 19.42 | XILINX INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 175,000 | - | 19.37 | XILINX INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 100,000 | - | 23.85 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/6/2003 | 175,000 | - | 13.67 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/6/2003 | 125,000 | - | 12.04 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 37,000 | - | 18.17 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/6/2003 | 50,000 | - | 23.96 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/6/2003 | 75,000 | - | 18.03 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/6/2003 | 150,000 | - | 11.01 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/6/2003 | 260,000 | - | 12.11 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 125,000 | - | 26.20 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 175,000 | - | 18.18 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/6/2003 | 50,000 | - | 18.21 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 175,000 | - | 12.01 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 33,000 | - | 17.90 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/7/2003 | 100,000 | - | 17.81 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/7/2003 | 110,000 | - | 26.07 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 130,000 | - | 13.60 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/7/2003 | 100,000 | - | 11.08 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/7/2003 | 155,000 | - | 11.00 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/7/2003 | 80,000 | - | 23.61 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/7/2003 | 110,000 | - | 11.12 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/7/2003 | 120,000 | - | 17.68 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 150,000 | - | 17.74 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 95,000 | - | 13.53 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/7/2003 | 110,000 | - | 18.99 | XILINX INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 125,000 | - | 18.83 | XILINX INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 180,000 | - | 12.03 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/7/2003 | 100,000 | - | 23.52 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/7/2003 | 175,000 | - | 13.57 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/10/2003 | 40,000 | - | 27.32 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 100,000 | - | 13.82 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/10/2003 | 120,000 | - | 27.29 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 180,000 | - | 19.50 | XILINX INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1L0024 | 11/30/2003 | 2/10/2003 | 190,000 | - | 19.42 | XILINX INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 185,000 | - | 23.43 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/10/2003 | 78,000 | - | 18.40 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/10/2003 | 120,000 | - | 17.82 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 130,000 | - | 18.53 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/10/2003 | 60,000 | - | 23.51 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/10/2003 | 75,000 | - | 17.89 | YAHOO INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 125,000 | - | 18.32 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/10/2003 | 155,000 | - | 13.74 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/10/2003 | 195,000 | - | 12.29 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 110,000 | - | 11.33 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/10/2003 | 150,000 | - | 12.39 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/10/2003 | 165,000 | - | 11.41 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/10/2003 | 170,000 | - | 13.71 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/10/2003 | 195,000 | - | 12.29 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 115,000 | - | 23.23 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/11/2003 | 175,000 | - | 12.11 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 220,000 | - | 12.09 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 125,000 | - | 13.40 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/11/2003 | 100,000 | - | 27.07 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 175,000 | - | 12.05 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 75,000 | - | 13.50 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/11/2003 | 75,000 | - | 18.19 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/11/2003 | 75,000 | - | 27.12 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/11/2003 | 85,000 | - | 23.13 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/11/2003 | 55,000 | - | 11.23 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/11/2003 | 100,000 | - | 11.21 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/11/2003 | 105,000 | - | 12.14 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 95,000 | - | 26.40 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 110,000 | - | 11.12 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/12/2003 | 125,000 | - | 21.40 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 200,000 | - | 11.91 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 80,000 | - | 17.71 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/12/2003 | 100,000 | - | 21.59 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 120,000 | - | 21.50 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 40,000 | - | 17.77 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/12/2003 | 165,000 | - | 12.06 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 175,000 | - | 11.97 | APPLIED MATERIALS INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 60,000 | - | 13.29 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/12/2003 | 70,000 | - | 13.31 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/12/2003 | 85,000 | - | 26.48 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 110,000 | - | 21.43 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/12/2003 | 150,000 | - | 13.23 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/12/2003 | 170,000 | - | 13.20 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/12/2003 | 100,000 | - | 23.11 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/12/2003 | 120,000 | - | 22.99 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/12/2003 | 120,000 | - | 11.07 | ALTERA CORP |
| 1L0024 | 11/30/2003 | 2/13/2003 | 95,000 | - | 26.20 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 100,000 | - | 13.00 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/13/2003 | 130,000 | - | 14.99 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 100,000 | - | 20.78 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 150,000 | - | 14.93 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 25,000 | - | 18.11 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/13/2003 | 70,000 | - | 23.13 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/13/2003 | 70,000 | - | 26.27 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 200,000 | - | 20.83 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/13/2003 | 96,000 | - | 23.10 | ANALOG DEVICES |
| 1L0024 | 11/30/2003 | 2/13/2003 | 75,000 | - | 18.03 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/13/2003 | 100,000 | - | 12.97 | BROADCOM CORP CL A |
| 1L0024 | 11/30/2003 | 2/13/2003 | 175,000 | - | 14.90 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 56,000 | - | 18.89 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/14/2003 | 110,000 | - | 21.00 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 130,000 | - | 20.89 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 50,000 | - | 18.82 | MARVELL TECHNOLOGY GRP LTD |
| 1L0024 | 11/30/2003 | 2/14/2003 | 110,000 | - | 26.82 | BEST BUY CO INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0024 | 11/30/2003 | 2/14/2003 | 150,000 | - | 26.71 | BEST BUY CO INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 175,000 | - | 15.33 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 125,000 | - | 15.40 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 160,000 | - | 20.93 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/14/2003 | 200,000 | - | 15.29 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/18/2003 | 100,000 | - | 15.33 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/18/2003 | 150,000 | - | 15.30 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/18/2003 | 155,000 | - | 15.41 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/18/2003 | 160,000 | - | 20.85 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/19/2003 | 175,000 | - | 14.57 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/19/2003 | 190,000 | - | 19.89 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/19/2003 | 180,000 | - | 14.73 | TEXAS INSTRUMENTS INC |
| 1L0024 | 11/30/2003 | 2/19/2003 | 175,000 | - | 19.84 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/19/2003 | 100,000 | - | 19.99 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/20/2003 | 100,000 | - | 19.96 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/20/2003 | 190,000 | - | 19.90 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/20/2003 | 95,000 | - | 20.07 | AMAZON COM INC |
| 1L0024 | 11/30/2003 | 2/21/2003 | 70,000 | - | 14.60 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/21/2003 | 150,000 | - | 14.47 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/24/2003 | 170,000 | - | 14.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/24/2003 | 60,000 | - | 14.39 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/25/2003 | 50,000 | - | 14.83 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/26/2003 | 65,000 | - | 15.55 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/26/2003 | 100,000 | - | 15.49 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/26/2003 | 175,000 | - | 15.38 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 11/30/2003 | 2/27/2003 | 100,000 | - | 11.00 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 2/27/2003 | 150,000 | - | 10.98 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 2/28/2003 | 120,000 | - | 10.40 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 2/28/2003 | 200,000 | - | 10.28 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 2/28/2003 | 100,000 | - | 10.32 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/3/2003 | 70,000 | - | 10.35 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/3/2003 | 90,000 | - | 10.49 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/3/2003 | 140,000 | - | 10.42 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/4/2003 | 85,000 | - | 10.42 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/4/2003 | 75,000 | - | 10.36 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/4/2003 | 150,000 | - | 10.30 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/5/2003 | 95,000 | - | 10.71 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/5/2003 | 80,000 | - | 10.65 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/5/2003 | 75,000 | - | 10.62 | NETWORK APPLIANCE INC |
| 1L0024 | 11/30/2003 | 3/6/2003 | 35,000 | - | 19.41 | TOLL BROTHERS INC |
| 1L0024 | 11/30/2003 | 3/7/2003 | 125,000 | - | 18.70 | TOLL BROTHERS INC |
| 1L0024 | 11/30/2003 | 3/10/2003 | 90,000 | - | 18.43 | TOLL BROTHERS INC |
| 1L0024 | 11/30/2003 | 3/11/2003 | 95,000 | - | 18.18 | TOLL BROTHERS INC |
| 1L0024 | 11/30/2003 | 3/12/2003 | 50,000 | - | 17.91 | TOLL BROTHERS INC |
| 1L0024 | 11/30/2003 | 3/20/2003 | 75,000 | - | 12.98 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/20/2003 | 100,000 | - | 18.54 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/20/2003 | 25,000 | - | 13.03 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/20/2003 | 35,000 | - | 31.90 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/20/2003 | 125,000 | - | 18.45 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/20/2003 | 190,000 | - | 17.37 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/20/2003 | 150,000 | - | 18.51 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/21/2003 | 140,000 | - | 20.29 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/21/2003 | 175,000 | - | 18.09 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 55,000 | - | 14.14 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/21/2003 | 65,000 | - | 10.78 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 110,000 | - | 20.36 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/21/2003 | 120,000 | - | 18.01 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 120,000 | - | 20.21 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/21/2003 | 100,000 | - | 10.70 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 125,000 | - | 34.50 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/21/2003 | 70,000 | - | 18.20 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 125,000 | - | 18.15 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 125,000 | - | 34.29 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/21/2003 | 150,000 | - | 18.22 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/21/2003 | 150,000 | - | 34.64 | GENENTECH INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1L0024 | 11/30/2003 | 3/24/2003 | 78,000 | - | 20.55 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/24/2003 | 100,000 | - | 20.52 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/24/2003 | 190,000 | - | 17.26 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/24/2003 | 37,000 | - | 13.82 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/24/2003 | 100,000 | - | 35.56 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/24/2003 | 110,000 | - | 17.41 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/24/2003 | 95,000 | - | 35.51 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/24/2003 | 100,000 | - | 17.30 | VERITAS SOFTWARE CORP |
| 1L0024 | 11/30/2003 | 3/24/2003 | 200,000 | - | 20.45 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/25/2003 | 35,000 | - | 13.94 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/25/2003 | 40,000 | - | 34.47 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/25/2003 | 100,000 | - | 10.42 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/25/2003 | 155,000 | - | 20.35 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/26/2003 | 50,000 | - | 10.86 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/26/2003 | 100,000 | - | 35.06 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/26/2003 | 112,000 | - | 34.99 | GENENTECH INC |
| 1L0024 | 11/30/2003 | 3/26/2003 | 170,000 | - | 21.10 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/26/2003 | 45,000 | - | 14.77 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/26/2003 | 50,000 | - | 14.70 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/26/2003 | 85,000 | - | 21.22 | SEARS ROEBUCK & CO |
| 1L0024 | 11/30/2003 | 3/26/2003 | 80,000 | - | 10.80 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/27/2003 | 100,000 | - | 10.45 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/27/2003 | 50,000 | - | 10.49 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/27/2003 | 65,000 | - | 14.79 | CARMAX INC |
| 1L0024 | 11/30/2003 | 3/28/2003 | 75,000 | - | 10.40 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/28/2003 | 25,000 | - | 10.43 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/31/2003 | 30,000 | - | 10.29 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 3/31/2003 | 80,000 | - | 10.22 | UNITED STATES STEEL CORP |
| 1L0024 | 11/30/2003 | 4/3/2003 | 80,000 | - | 15.36 | NORDSTROM INC |
| 1L0024 | 11/30/2003 | 4/3/2003 | 100,000 | - | 15.21 | NORDSTROM INC |
| 1L0024 | 11/30/2003 | 4/4/2003 | 75,000 | - | 15.99 | NORDSTROM INC |
| 1L0024 | 11/30/2003 | 4/7/2003 | 70,000 | - | 16.30 | NORDSTROM INC |
| 1L0024 | 11/30/2003 | 4/8/2003 | 49,000 | - | 16.42 | NORDSTROM INC |
| 1L0024 | 11/30/2003 | 4/9/2003 | 50,000 | - | 16.45 | NORDSTROM INC |
| 1L0024 | 12/31/2004 | 11/1/2004 | 24,500 | - | 28.17 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/1/2004 | 17,000 | - | 28.20 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/1/2004 | 26,650 | - | 28.22 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/2/2004 | 60,000 | - | 28.82 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/2/2004 | 75,000 | - | 28.77 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/3/2004 | 28,250 | - | 29.11 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/3/2004 | 19,079 | - | 29.07 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/4/2004 | 58,225 | - | 28.93 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/4/2004 | 35,185 | - | 29.02 | PALMONE INC |
| 1L0024 | 12/31/2004 | 11/22/2004 | - | 90,000 | 17.09 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/22/2004 | - | 60,000 | 17.24 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/22/2004 | - | 80,000 | 17.12 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/22/2004 | - | 70,000 | 17.19 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/23/2004 | - | 90,000 | 16.69 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/23/2004 | - | 120,000 | 16.71 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/23/2004 | - | 130,000 | 16.74 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2004 | 11/23/2004 | - | 140,000 | 16.80 | NATIONAL SEMICONDUCTOR CORP |
| 1L0024 | 12/31/2006 | 9/1/2006 | 90,000 | - | 65.55 | APPLE COMPUTER INC |
| 1L0024 | 12/31/2006 | 9/1/2006 | 70,000 | - | 65.49 | APPLE COMPUTER INC |
| 1L0024 | 12/31/2006 | 9/1/2006 | 85,000 | - | 66.07 | APPLE COMPUTER INC |
| 1L0024 | 12/31/2006 | 9/1/2006 | 110,000 | - | 65.63 | APPLE COMPUTER INC |
| 1L0024 | 12/31/2006 | 9/1/2006 | 125,000 | - | 65.90 | APPLE COMPUTER INC |
| 1L0024 | 12/31/2006 | 9/12/2006 | 48,000 | - | 78.03 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/12/2006 | 72,000 | - | 77.79 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/12/2006 | 80,000 | - | 78.16 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/13/2006 | 110,000 | - | 78.33 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/13/2006 | 100,000 | - | 78.38 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/13/2006 | 75,000 | - | 78.24 | RESEARCH IN MOTION LTD |
| 1L0024 | 12/31/2006 | 9/19/2006 | 70,000 | - | 160.37 | GOLDMAN SACHS GROUP INC |
| 1L0024 | 12/31/2006 | 9/19/2006 | 100,000 | - | 160.21 | GOLDMAN SACHS GROUP INC |
| 1L0024 | 12/31/2006 | 9/19/2006 | 80,000 | - | 160.49 | GOLDMAN SACHS GROUP INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0024 | 12/31/2006 | 9/20/2006 | 97,000 | - | 161.81 | GOLDMAN SACHS GROUP INC |
| 1L0024 | 12/31/2006 | 9/20/2006 | 125,000 | - | 161.77 | GOLDMAN SACHS GROUP INC |
| 1L0024 | 12/31/2006 | 9/20/2006 | 103,000 | - | 161.98 | GOLDMAN SACHS GROUP INC |
| 1L0024 | 12/31/2006 | 9/21/2006 | 30,000 | - | 41.68 | LAM RESEARCH CORP |
| 1L0024 | 12/31/2006 | 9/21/2006 | 50,000 | - | 41.50 | LAM RESEARCH CORP |
| 1L0024 | 12/31/2006 | 9/21/2006 | 65,000 | - | 41.37 | LAM RESEARCH CORP |
| 1L0024 | 12/31/2006 | 9/21/2006 | 45,000 | - | 41.42 | LAM RESEARCH CORP |
| 1L0024 | 12/31/2006 | 9/25/2006 | 85,000 | - | 81.58 | PHELPS DODGE CORP |
| 1L0024 | 12/31/2006 | 9/25/2006 | 75,000 | - | 81.99 | PHELPS DODGE CORP |
| 1L0024 | 12/31/2006 | 9/25/2006 | 90,000 | - | 81.67 | PHELPS DODGE CORP |
| 1L0024 | 12/31/2006 | 9/28/2006 | 75,000 | - | 31.96 | JOY GLOBAL INC |
| 1L0024 | 12/31/2006 | 9/28/2006 | 95,000 | - | 31.80 | JOY GLOBAL INC |
| 1L0024 | 12/31/2006 | 9/28/2006 | 50,000 | - | 32.07 | JOY GLOBAL INC |
| 1L0024 | 12/31/2006 | 10/10/2006 | 50,000 | - | 60.07 | ALLEGHENY TECHNOLOGIES INC |
| 1L0024 | 12/31/2006 | 10/10/2006 | 60,000 | - | 60.11 | ALLEGHENY TECHNOLOGIES INC |
| 1L0024 | 12/31/2006 | 10/10/2006 | 90,000 | - | 59.98 | ALLEGHENY TECHNOLOGIES INC |
| 1L0024 | 1/31/2007 | 12/29/2007 | - | 51,725 | 18.45 | APPLIED MATERIALS INC |
| 1L0024 | 1/31/2007 | 12/29/2007 | 304 | - | 1.00 | FIDELITY SPARTAN |
| 1L0025 | 3/31/1999 | 1/8/1999 | 18,000 | - | 50.75 | ADOBE SYS INC |
| 1L0025 | 3/31/1999 | 1/12/1999 | 2,000 | - | 55.38 | LEHMAN BROS HOLDING INC |
| 1L0025 | 3/31/1999 | 1/13/1999 | 4,800 | - | 112.00 | AMGEN INC |
| 1L0025 | 3/31/1999 | 1/14/1999 | 2,400 | - | 11.25 | HOMESTAKE MNG CO |
| 1L0025 | 3/31/1999 | 1/15/1999 | 180 | - | 71.00 | NETSCAPE COMMUNICATIONS CORP |
| 1L0025 | 3/31/1999 | 1/21/1999 | 120 | - | 39.13 | SIEBEL SYSTEMS INC |
| 1L0026 | 7/31/1998 | 6/15/1998 | - | 700,000 | 98.29 | U S TREASURY BILL |
| 1L0026 | 3/31/1999 | 1/11/1999 | 51,400 | - | 36.13 | BOEING CO |
| 1L0026 | 3/31/1999 | 1/11/1999 | 50,000 | - | 36.25 | BOEING CO |
| 1L0026 | 3/31/1999 | 1/19/1999 | 57,650 | - | 47.13 | APPLE COMPUTER INC |
| 1L0026 | 3/31/1999 | 1/19/1999 | 60,000 | - | 47.06 | APPLE COMPUTER INC |
| 1L0026 | 9/30/2001 | 1/5/2001 | - | 195,000 | 28.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/5/2001 | - | 245,000 | 29.94 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/5/2001 | - | 245,000 | 28.38 | PALM INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 240,000 | 31.87 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 296,890 | 32.25 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 290,000 | 27.25 | PALM INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 154,000 | 27.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 250,000 | 27.63 | PALM INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 278,000 | 31.62 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/8/2001 | - | 285,000 | 32.00 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/9/2001 | - | 250,000 | 31.56 | PALM INC |
| 1L0026 | 9/30/2001 | 1/9/2001 | - | 265,000 | 31.19 | PALM INC |
| 1L0026 | 9/30/2001 | 1/9/2001 | - | 263,000 | 31.50 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/9/2001 | - | 265,000 | 31.68 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 255,000 | 15.19 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 265,000 | 31.00 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 298,000 | 15.70 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 215,000 | 15.55 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 259,440 | 29.25 | PALM INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 285,000 | 29.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/10/2001 | - | 315,000 | 30.75 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 190,000 | 26.62 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 246,000 | 27.06 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 168,000 | 24.94 | PALM INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 256,180 | 15.17 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 189,430 | 25.19 | PALM INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 202,000 | 15.12 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/11/2001 | - | 215,000 | 14.98 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 202,000 | 16.60 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 147,000 | 25.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 235,000 | 16.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 262,090 | 17.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 253,000 | 29.87 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 320,000 | 16.42 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 215,000 | 30.12 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 205,000 | 16.85 | LUCENT TECHNOLOGIES INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0026 | 9/30/2001 | 1/12/2001 | - | 231,660 | 25.25 | PALM INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 288,320 | 17.50 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 298,000 | 17.30 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 260,000 | 17.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 285,000 | 24.13 | PALM INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 285,000 | 17.15 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 298,090 | 24.50 | PALM INC |
| 1L0026 | 9/30/2001 | 1/16/2001 | - | 195,000 | 17.09 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 257,000 | 26.25 | PALM INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 285,000 | 18.20 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 245,000 | 18.43 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 285,000 | 26.50 | PALM INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 295,000 | 18.37 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 255,780 | 27.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/17/2001 | - | 235,000 | 18.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 245,000 | 27.38 | PALM INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 285,000 | 18.45 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 200,000 | 18.25 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 295,000 | 18.67 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 240,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/18/2001 | - | 210,000 | 27.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/19/2001 | - | 250,000 | 18.65 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/19/2001 | - | 245,000 | 27.88 | PALM INC |
| 1L0026 | 9/30/2001 | 1/19/2001 | - | 215,000 | 18.55 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 223,210 | 28.25 | PALM INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 235,000 | 27.94 | PALM INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 177,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 225,000 | 76.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 265,000 | 136.31 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 265,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 245,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 280,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 214,380 | 136.13 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 350,000 | 34.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 235,090 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 290,000 | 34.38 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/22/2001 | - | 320,000 | 34.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 280,000 | 36.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 298,000 | 36.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 150,000 | 28.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 215,000 | 130.50 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 170,000 | 27.63 | PALM INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 255,000 | 77.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 380,000 | 36.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 205,000 | 19.38 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 200,000 | 19.63 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 200,000 | 36.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 215,000 | 75.75 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 298,000 | 19.07 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 214,940 | 19.87 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 227,630 | 77.94 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 240,000 | 74.75 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/23/2001 | - | 255,000 | 130.31 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 200,000 | 20.88 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 225,000 | 39.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 250,000 | 40.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 300,000 | 20.72 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 65,000 | 77.12 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 152,000 | 79.63 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 215,000 | 20.75 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 305,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 120,000 | 79.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 455,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 242,260 | 21.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 418,000 | 63.88 | JDS UNIPHASE CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 190,000 | 134.88 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 215,000 | 77.60 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 218,000 | 20.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 490,000 | 64.44 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 325,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 202,000 | 20.59 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 205,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 240,000 | 77.25 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 282,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/24/2001 | - | 515,000 | 64.63 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 265,000 | 20.26 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 474,000 | 59.50 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 76,100 | 131.88 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 167,000 | 82.13 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 257,980 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 177,000 | 132.44 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 250,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 450,000 | 59.94 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 185,000 | 76.25 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 175,000 | 132.19 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 213,000 | 76.00 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 238,000 | 82.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 336,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 215,000 | 20.19 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 482,000 | 59.75 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 245,000 | 20.37 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/25/2001 | - | 290,000 | 39.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 285,000 | 133.50 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 225,000 | 84.00 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 239,410 | 38.75 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 255,000 | 19.05 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 425,000 | 64.63 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 215,000 | 134.00 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 257,000 | 78.00 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 260,000 | 38.50 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 518,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 210,000 | 83.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 242,440 | 79.00 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 255,000 | 78.50 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 265,000 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 285,000 | 18.86 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 295,000 | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/26/2001 | - | 340,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 195,000 | 82.06 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 200,000 | 39.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 202,000 | 19.86 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 213,000 | 138.63 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 289,000 | 19.69 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 150,000 | 26.50 | PALM INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 215,000 | 138.88 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 245,000 | 42.37 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 250,000 | 40.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 265,000 | 80.70 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 151,000 | 26.19 | PALM INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 206,000 | 19.61 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 245,000 | 83.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 485,000 | 64.19 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 211,000 | 19.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 237,000 | 42.62 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 265,000 | 139.13 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 200,000 | 20.05 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 215,000 | 19.92 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 240,000 | 138.38 | BROADCOM CORP CL A |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 185,000 | 42.25 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 238,000 | 82.13 | SIEBEL SYSTEMS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 296,000 | 81.21 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 512,000 | 63.88 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 162,000 | 63.50 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 210,000 | 80.49 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 260,000 | 82.75 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 566,660 | 64.38 | JDS UNIPHASE CORP |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 260,450 | 20.18 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/29/2001 | - | 285,000 | 42.87 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 245,000 | 19.20 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 250,000 | 28.19 | PALM INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 100,000 | 28.00 | PALM INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 285,000 | 78.53 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 213,070 | 42.56 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 238,000 | 80.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 236,000 | 18.79 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 285,000 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 289,000 | 42.06 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 234,290 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 245,000 | 80.75 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 285,000 | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 285,000 | 42.37 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/30/2001 | - | 295,000 | 19.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 165,000 | 27.13 | PALM INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 240,000 | 38.18 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 222,130 | 38.37 | YAHOO INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 245,000 | 78.55 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 262,000 | 73.75 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 235,000 | 37.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 255,000 | 17.91 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 300,000 | 17.89 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 340,000 | 38.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 205,000 | 17.75 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 260,000 | 37.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 265,000 | 74.13 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 1/31/2001 | - | 205,000 | 78.37 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 190,000 | 38.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 255,000 | 17.70 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 122,270 | 28.75 | PALM INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 180,200 | 79.80 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 183,000 | 28.19 | PALM INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 145,000 | 39.75 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 200,000 | 76.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 245,000 | 39.10 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 125,000 | 40.00 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 165,000 | 76.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 200,000 | 79.52 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/1/2001 | - | 215,000 | 17.69 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 178,000 | 41.43 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 245,000 | 18.61 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 155,000 | 78.75 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 165,000 | 28.63 | PALM INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 245,000 | 38.62 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 75,000 | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 230,000 | 74.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 240,000 | 18.52 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 100,000 | 73.75 | SIEBEL SYSTEMS INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 195,000 | 41.25 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/2/2001 | - | 260,000 | 18.30 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 255,000 | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 190,000 | 79.00 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 198,420 | 79.65 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 100,000 | 78.57 | EMC CORPORATION MASS |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 215,000 | 18.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 215,000 | 40.31 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 160,000 | 28.00 | PALM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 179,710 | 28.63 | PALM INC |
| 1L0026 | 9/30/2001 | 2/5/2001 | - | 225,000 | 40.68 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/6/2001 | - | 138,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/6/2001 | - | 235,000 | 37.68 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/6/2001 | - | 185,000 | 18.78 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/6/2001 | - | 297,920 | 18.85 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 9/30/2001 | 2/7/2001 | - | 200,000 | 36.00 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/7/2001 | - | 250,000 | 36.31 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/8/2001 | - | 295,000 | 35.56 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/9/2001 | - | 225,000 | 36.18 | YAHOO INC |
| 1L0026 | 9/30/2001 | 2/9/2001 | - | 115,000 | 36.00 | YAHOO INC |
| 1L0026 | 11/30/2001 | 3/13/2001 | - | 41,000 | 27.40 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/13/2001 | - | 43,000 | 27.28 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/13/2001 | - | 38,000 | 27.39 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/14/2001 | - | 32,000 | 27.32 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/15/2001 | - | 46,000 | 27.18 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/15/2001 | - | 52,000 | 27.37 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/15/2001 | - | 48,000 | 27.22 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/16/2001 | - | 47,500 | 26.70 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/16/2001 | - | 50,000 | 26.82 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/19/2001 | - | 42,000 | 26.54 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/20/2001 | - | 40,000 | 36.15 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/20/2001 | - | 41,000 | 36.00 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/20/2001 | - | 55,000 | 26.79 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 44,000 | 24.57 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 34,000 | 35.75 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 42,000 | 36.22 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 50,000 | 24.25 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 45,500 | 26.52 | AUTOZONE INC |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 26,000 | 35.90 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/21/2001 | - | 32,000 | 36.00 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 44,000 | 25.50 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 30,000 | 35.64 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 40,000 | 25.70 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 52,000 | 2.06 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 40,000 | 35.87 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/22/2001 | - | 50,000 | 2.13 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 32,000 | 26.15 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 36,000 | 36.85 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 50,000 | 2.13 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 55,000 | 2.19 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 38,000 | 36.90 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 40,000 | 37.05 | NIKE INC |
| 1L0026 | 11/30/2001 | 3/23/2001 | - | 39,000 | 26.00 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/26/2001 | - | 40,000 | 2.25 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/26/2001 | - | 34,000 | 25.37 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/26/2001 | - | 25,000 | 25.57 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/26/2001 | - | 45,500 | 2.13 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 34,000 | 23.55 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 68,000 | 15.17 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 70,000 | 2.19 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 76,000 | 15.19 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 56,000 | 15.12 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 25,000 | 23.75 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 52,500 | 2.06 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 65,000 | 15.06 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 60,000 | 2.13 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 66,000 | 15.00 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/27/2001 | - | 69,000 | 15.15 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 65,000 | 14.97 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 33,330 | 24.75 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 56,000 | 14.90 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 44,000 | 24.80 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 59,000 | 15.00 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 3/28/2001 | - | 70,000 | 15.10 | PENNEY J C INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------|
| 1L0026 | 11/30/2001 | 3/29/2001 | - | 24,000 | 25.99 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/29/2001 | - | 32,000 | 26.20 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/29/2001 | - | 23,000 | 26.15 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 3/29/2001 | - | 25,000 | 26.02 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 4/2/2001 | - | 128,000 | 36.06 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/2/2001 | - | 172,000 | 36.19 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/2/2001 | - | 116,000 | 35.88 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/3/2001 | - | 120,000 | 34.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/3/2001 | - | 151,000 | 33.81 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/4/2001 | - | 141,000 | 34.81 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/4/2001 | - | 130,000 | 34.94 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/5/2001 | - | 142,000 | 34.94 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/5/2001 | - | 138,000 | 35.50 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/5/2001 | - | 162,000 | 35.13 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 61,000 | 31.69 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 75,000 | 30.63 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 77,000 | 30.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 67,000 | 30.81 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 81,000 | 31.75 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 59,000 | 31.31 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/6/2001 | - | 80,000 | 30.69 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 61,000 | 30.06 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 59,000 | 30.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 77,000 | 29.50 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 80,000 | 29.81 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 81,000 | 30.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 67,000 | 29.94 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/9/2001 | - | 75,000 | 29.63 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/10/2001 | - | 165,000 | 33.81 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/10/2001 | - | 173,000 | 33.75 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/10/2001 | - | 185,000 | 34.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/10/2001 | - | 150,000 | 34.13 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 128,000 | 32.88 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 177,000 | 32.75 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 100,000 | 33.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 215,000 | 11.45 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 255,000 | 11.75 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 225,000 | 11.43 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 260,000 | 11.59 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 232,000 | 11.91 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 130,000 | 12.05 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 238,000 | 12.00 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 245,000 | 11.62 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 172,000 | 32.94 | EBAY INC |
| 1L0026 | 11/30/2001 | 4/11/2001 | - | 200,000 | 11.50 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 135,000 | 11.62 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 128,000 | 11.75 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 190,000 | 12.00 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 182,000 | 11.70 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 100,000 | 12.07 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 110,000 | 11.80 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 4/12/2001 | - | 155,000 | 11.59 | MOTOROLA INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 63,500 | - | 69.35 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 151,000 | - | 69.90 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 130,000 | - | 70.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 120,000 | - | 69.82 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 128,000 | - | 69.62 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 116,000 | - | 69.75 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/26/2001 | 172,000 | - | 69.50 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/27/2001 | 77,500 | - | 69.88 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/27/2001 | 162,000 | - | 70.10 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/27/2001 | 138,000 | - | 70.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/27/2001 | 142,000 | - | 70.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/28/2001 | 80,000 | - | 71.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/28/2001 | 59,000 | - | 70.78 | EBAY INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1L0026 | 11/30/2001 | 6/28/2001 | 61,000 | - | 70.65 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/28/2001 | 67,000 | - | 70.89 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/28/2001 | 81,000 | - | 70.50 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/29/2001 | 75,000 | - | 70.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/29/2001 | 81,000 | - | 70.55 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/29/2001 | 61,000 | - | 70.64 | EBAY INC |
| 1L0026 | 11/30/2001 | 6/29/2001 | 77,000 | - | 70.41 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/2/2001 | 77,000 | - | 69.55 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/2/2001 | 80,000 | - | 69.32 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/2/2001 | 59,000 | - | 69.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/2/2001 | 75,000 | - | 69.47 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/2/2001 | 67,000 | - | 69.15 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/3/2001 | 185,000 | - | 70.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/3/2001 | 150,000 | - | 70.08 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/5/2001 | 165,000 | - | 69.78 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/5/2001 | 173,000 | - | 69.97 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/5/2001 | 100,000 | - | 70.05 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/5/2001 | 107,000 | - | 70.25 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 52,000 | - | 10.00 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 65,000 | - | 69.80 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 128,000 | - | 69.92 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 50,000 | - | 9.80 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 55,000 | - | 10.05 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/6/2001 | 177,000 | - | 70.00 | EBAY INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 50,000 | - | 9.29 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 70,000 | - | 9.59 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 45,500 | - | 9.55 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 60,000 | - | 9.68 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 40,000 | - | 9.50 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 7/9/2001 | 52,500 | - | 9.71 | PRICELINE.COM INC |
| 1L0026 | 11/30/2001 | 8/2/2001 | 56,000 | - | 28.25 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/2/2001 | 68,000 | - | 28.00 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/2/2001 | 76,000 | - | 27.90 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/3/2001 | 69,000 | - | 28.50 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/3/2001 | 65,000 | - | 28.70 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/3/2001 | 66,000 | - | 28.42 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/6/2001 | 59,000 | - | 28.22 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/6/2001 | 65,000 | - | 28.10 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/6/2001 | 70,000 | - | 28.00 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/6/2001 | 56,000 | - | 28.30 | PENNEY J C INC |
| 1L0026 | 11/30/2001 | 8/24/2001 | - | 58,000 | 10.72 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 8/27/2001 | - | 63,500 | 10.55 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 8/28/2001 | - | 56,000 | 10.62 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 8/29/2001 | - | 40,000 | 11.00 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 8/29/2001 | - | 40,500 | 10.70 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 8/29/2001 | - | 42,000 | 10.83 | ADAPTEC INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 19,000 | 22.13 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 44,000 | 56.95 | JOHNSON CTLS INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 52,000 | 17.58 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 62,000 | 17.75 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 51,000 | 17.69 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 95,000 | 27.93 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 180,000 | 28.00 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 125,000 | 27.85 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/24/2001 | - | 100,000 | 27.78 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 56,000 | 23.20 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 125,000 | 11.75 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 55,500 | 17.50 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 78,000 | 21.84 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 175,000 | 11.65 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 155,000 | 26.87 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 58,000 | 23.35 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 115,000 | 11.80 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 128,000 | 17.88 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 135,000 | 12.00 | STAPLES INC (RTL & DEL) |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 63,000 | 22.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 145,000 | 27.10 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 190,000 | 18.00 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 23,000 | 18.00 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 51,000 | 17.59 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 89,000 | 21.78 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 42,000 | 23.65 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 52,000 | 56.70 | JOHNSON CTLS INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 87,500 | 21.69 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 120,500 | 27.00 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 40,000 | 17.90 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 50,000 | 23.47 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 53,000 | 17.72 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/25/2001 | - | 182,000 | 17.75 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 50,000 | 55.10 | JOHNSON CTLS INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 93,500 | 21.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 96,000 | 22.60 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 105,000 | 11.75 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 110,000 | 22.50 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 200,000 | 26.00 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 215,000 | 25.42 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 54,000 | 55.27 | JOHNSON CTLS INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 60,000 | 22.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 65,000 | 17.55 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 81,000 | 21.37 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 105,000 | 22.55 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 185,000 | 26.25 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 62,500 | 17.40 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 125,000 | 11.82 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 165,000 | 17.36 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 60,000 | 22.75 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 61,000 | 18.00 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 150,000 | 17.50 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 59,000 | 18.05 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 89,000 | 21.25 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 125,000 | 11.49 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 175,000 | 11.37 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 185,000 | 17.24 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 55,000 | 21.75 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 57,000 | 17.79 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 58,000 | 17.62 | BOSTON SCIENTIFIC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 85,000 | 21.56 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 145,000 | 11.55 | STAPLES INC (RTL & DEL) |
| 1L0026 | 11/30/2001 | 9/26/2001 | - | 229,500 | 25.10 | LOWES COS INC |
| 1L0026 | 11/30/2001 | 9/27/2001 | - | 70,000 | 22.97 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/27/2001 | - | 49,000 | 23.25 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/27/2001 | - | 51,000 | 23.10 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 9/27/2001 | - | 53,000 | 23.00 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 49,000 | 34.10 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 36,000 | 33.90 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 49,000 | 9.55 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 53,000 | 34.25 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 54,000 | 9.44 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 57,000 | 9.40 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 59,000 | 9.39 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 74,000 | 22.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 25,000 | 33.95 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 150,000 | 23.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 55,000 | 9.31 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 26,000 | 34.00 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 47,000 | 34.15 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/1/2001 | - | 51,000 | 9.49 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 46,000 | 9.38 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 94,000 | 31.77 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 277,000 | 17.70 | DELL COMPUTER CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------------|
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 47,000 | 32.25 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 189,000 | 17.89 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 45,000 | 9.40 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 48,000 | 9.35 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 160,000 | 18.00 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 55,000 | 20.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 90,000 | 31.89 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 265,000 | 17.35 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 39,000 | 9.41 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 53,000 | 20.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 53,500 | 19.83 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 54,000 | 20.13 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 83,000 | 32.00 | SYMANTEC CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 251,000 | 17.75 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 283,000 | 17.62 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 290,000 | 17.51 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 30,000 | 9.39 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 52,000 | 20.29 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 56,000 | 19.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/2/2001 | - | 285,000 | 17.47 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 59,000 | 20.85 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 64,500 | 9.30 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 67,000 | 9.36 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 100,000 | 8.05 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 125,000 | 8.25 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 40,000 | 21.37 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 57,000 | 20.97 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 61,000 | 5.97 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 85,000 | 33.10 | SONY CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 210,000 | 18.44 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 53,000 | 21.22 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 69,000 | 9.35 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 75,000 | 8.20 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 200,000 | 8.16 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 233,000 | 18.55 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 245,000 | 18.87 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 255,000 | 18.90 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 55,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 65,000 | 33.30 | SONY CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 262,000 | 18.65 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 43,000 | 21.48 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 56,000 | 20.71 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 68,000 | 9.32 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 265,000 | 18.49 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 292,000 | 18.72 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 66,000 | 9.29 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 70,000 | 5.94 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 58,000 | 21.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 60,000 | 9.40 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 150,000 | 8.15 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/3/2001 | - | 238,000 | 18.70 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 37,000 | 38.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 50,000 | 33.25 | SONY CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 77,000 | 8.05 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 124,000 | 17.95 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 125,000 | 20.90 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 151,000 | 18.00 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 48,000 | 9.35 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 86,000 | 7.87 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 100,000 | 18.07 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 147,000 | 5.97 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 115,000 | 18.49 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 140,000 | 5.85 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 151,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 154,000 | 18.25 | DELL COMPUTER CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------------|
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 24,000 | 37.92 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 125,000 | 17.90 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 34,000 | 38.02 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 35,000 | 9.41 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 81,000 | 7.91 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 22,000 | 9.39 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 125,000 | 5.74 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 150,000 | 5.60 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 84,000 | 7.96 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 138,000 | 5.80 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 32,000 | 37.98 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 45,000 | 9.32 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 83,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 100,500 | 5.69 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 105,000 | 18.32 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/4/2001 | - | 126,000 | 18.12 | DELL COMPUTER CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 52,500 | 9.45 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 86,500 | 7.90 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 54,000 | 9.53 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 52,000 | 9.59 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 54,000 | 20.74 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 56,000 | 9.50 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 103,000 | 6.10 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 140,000 | 6.03 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 43,000 | 21.20 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 78,000 | 4.75 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 83,000 | 7.85 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 89,000 | 7.97 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 30,000 | 38.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 42,000 | 20.39 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 47,000 | 21.05 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 56,000 | 20.61 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 51,000 | 20.53 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 58,000 | 9.46 | THE LIMITED INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 87,000 | 4.82 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 88,000 | 7.99 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 35,000 | 37.72 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 38,000 | 37.70 | TRICON GLOBAL RESTAURANTS INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 49,000 | 20.42 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 60,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 63,000 | 4.80 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 157,000 | 5.99 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/5/2001 | - | 168,500 | 5.97 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 125,000 | 4.65 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 145,000 | 6.53 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 165,000 | 4.53 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 53,500 | 20.40 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 55,000 | 21.00 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 110,000 | 4.75 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 155,000 | 8.08 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/9/2001 | 87,500 | - | 29.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 56,000 | 21.07 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 62,000 | 20.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 110,000 | 8.24 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 45,000 | 21.18 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 100,000 | 0.87 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 100,000 | 6.74 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 182,500 | 7.89 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 172,000 | 4.30 | GATEWAY INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | 60,000 | - | 29.15 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | 85,000 | - | 29.62 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 120,000 | 7.95 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 130,000 | 6.20 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 200,000 | 0.86 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 34,000 | 21.41 | NATIONAL SEMICONDUCTOR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 49,000 | 20.56 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 125,000 | 6.70 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 59,000 | 20.45 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2001 | 10/9/2001 | - | 115,000 | 8.00 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/9/2001 | 55,000 | - | 29.50 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | 93,500 | - | 29.14 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 150,000 | 0.83 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 135,000 | 6.92 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | 81,000 | - | 28.83 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | 89,000 | - | 29.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 126,000 | 7.00 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 150,000 | 0.84 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 110,000 | 6.80 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/10/2001 | - | 129,000 | 7.09 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 52,000 | 0.77 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 53,000 | 0.74 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 55,000 | 0.75 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 55,500 | 0.70 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 59,000 | 0.72 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 56,000 | 0.71 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | 19,000 | - | 28.35 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | 78,000 | - | 27.79 | DELTA AIRLINES INC |
| 1L0026 | 12/31/2001 | 10/11/2001 | - | 110,000 | 7.00 | AMAZON COM INC |
| 1L0026 | 12/31/2001 | 10/11/2001 | - | 95,000 | 7.24 | AMAZON COM INC |
| 1L0026 | 12/31/2001 | 10/11/2001 | - | 120,000 | 7.15 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 40,000 | 0.75 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 58,000 | 0.64 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | 63,000 | - | 28.50 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 53,000 | 0.66 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 57,000 | 0.67 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 57,000 | 0.73 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | 89,000 | - | 28.00 | DELTA AIRLINES INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 43,000 | 0.79 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 52,000 | 0.65 | CMGI INC |
| 1L0026 | 12/31/2001 | 10/11/2001 | - | 105,000 | 6.92 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/11/2001 | - | 52,500 | 0.64 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 42,000 | 0.72 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 85,000 | 0.73 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 96,000 | 0.69 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 98,000 | 0.70 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 55,000 | 0.71 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 58,000 | 0.67 | CMGI INC |
| 1L0026 | 11/30/2001 | 10/12/2001 | - | 63,000 | 0.68 | CMGI INC |
| 1L0026 | 12/31/2001 | 10/15/2001 | - | 150,000 | 9.02 | ADVANCED MICRO DEVICES |
| 1L0026 | 12/31/2001 | 10/15/2001 | - | 220,000 | 8.90 | ADVANCED MICRO DEVICES |
| 1L0026 | 12/31/2001 | 10/15/2001 | - | 75,000 | 8.95 | ADVANCED MICRO DEVICES |
| 1L0026 | 12/31/2001 | 10/16/2001 | - | 200,000 | 10.15 | ADVANCED MICRO DEVICES |
| 1L0026 | 12/31/2001 | 10/16/2001 | - | 120,000 | 10.25 | ADVANCED MICRO DEVICES |
| 1L0026 | 12/31/2001 | 10/16/2001 | - | 170,000 | 10.00 | ADVANCED MICRO DEVICES |
| 1L0026 | 11/30/2001 | 10/18/2001 | 125,000 | - | 9.86 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/18/2001 | 100,000 | - | 9.49 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/18/2001 | 130,000 | - | 9.00 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/18/2001 | 145,000 | - | 9.92 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 150,000 | - | 9.55 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 125,000 | - | 9.44 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 147,000 | - | 9.24 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 100,500 | - | 9.50 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 138,000 | - | 9.38 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/19/2001 | 140,000 | - | 9.30 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 70,000 | - | 9.42 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 140,000 | - | 9.50 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 103,000 | - | 9.75 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 168,500 | - | 9.88 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 157,000 | - | 9.60 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/22/2001 | 61,000 | - | 9.37 | AMAZON COM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1L0026 | 11/30/2001 | 10/23/2001 | 110,000 | - | 9.00 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/23/2001 | 135,000 | - | 8.95 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/23/2001 | 129,000 | - | 8.70 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/23/2001 | 126,000 | - | 8.78 | AMAZON COM INC |
| 1L0026 | 11/30/2001 | 10/26/2001 | 44,000 | - | 38.78 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/26/2001 | 40,000 | - | 39.15 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/26/2001 | 44,000 | - | 39.25 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/26/2001 | 50,000 | - | 39.00 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/29/2001 | 25,000 | - | 39.31 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/29/2001 | 42,000 | - | 31.79 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/29/2001 | 39,000 | - | 39.25 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/29/2001 | 58,000 | - | 32.07 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/29/2001 | 32,000 | - | 39.12 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/29/2001 | 50,000 | - | 32.00 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/30/2001 | 60,000 | - | 32.13 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/30/2001 | 56,000 | - | 32.00 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/30/2001 | 96,000 | - | 32.38 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/30/2001 | 34,000 | - | 38.78 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/31/2001 | 110,000 | - | 33.70 | COMPUTER ASSOC INTL INC |
| 1L0026 | 11/30/2001 | 10/31/2001 | 34,000 | - | 39.75 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/31/2001 | 25,000 | - | 39.52 | MCKESSON CORP |
| 1L0026 | 11/30/2001 | 10/31/2001 | 105,000 | - | 33.50 | COMPUTER ASSOC INTL INC |
| 1L0026 | 12/31/2001 | 11/9/2001 | - | 125,000 | 38.00 | LOWES COS INC |
| 1L0026 | 12/31/2001 | 11/9/2001 | - | 100,000 | 38.15 | LOWES COS INC |
| 1L0026 | 12/31/2001 | 11/9/2001 | - | 225,000 | 38.50 | LOWES COS INC |
| 1L0026 | 2/28/2002 | 1/5/2002 | - | 245,000 | 29.94 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/5/2002 | - | 195,000 | 28.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/5/2002 | - | 245,000 | 28.38 | PALM INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 290,000 | 27.25 | PALM INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 250,000 | 27.63 | PALM INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 285,000 | 32.00 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 154,000 | 27.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 240,000 | 31.87 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 296,890 | 32.25 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/8/2002 | - | 278,000 | 31.62 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/9/2002 | - | 263,000 | 31.50 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/9/2002 | - | 265,000 | 31.68 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/9/2002 | - | 250,000 | 31.56 | PALM INC |
| 1L0026 | 2/28/2002 | 1/9/2002 | - | 265,000 | 31.19 | PALM INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 315,000 | 30.75 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 255,000 | 15.19 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 285,000 | 29.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 298,000 | 15.70 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 265,000 | 31.00 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 215,000 | 15.55 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/10/2002 | - | 259,440 | 29.25 | PALM INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 246,000 | 27.06 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 202,000 | 15.12 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 168,000 | 24.94 | PALM INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 189,430 | 25.19 | PALM INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 190,000 | 26.62 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 256,180 | 15.17 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/11/2002 | - | 215,000 | 14.98 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 231,660 | 25.25 | PALM INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 215,000 | 30.12 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 147,000 | 25.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 202,000 | 16.60 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 235,000 | 16.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 253,000 | 29.87 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 320,000 | 16.42 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 262,090 | 17.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/12/2002 | - | 205,000 | 16.85 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 195,000 | 17.09 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 285,000 | 24.13 | PALM INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 285,000 | 17.15 | LUCENT TECHNOLOGIES INC |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 298,000 | 17.30 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 260,000 | 17.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 288,320 | 17.50 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/16/2002 | - | 298,090 | 24.50 | PALM INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 285,000 | 18.20 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 295,000 | 18.37 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 255,780 | 27.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 245,000 | 18.43 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 257,000 | 26.25 | PALM INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 235,000 | 18.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/17/2002 | - | 285,000 | 26.50 | PALM INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 200,000 | 18.25 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 285,000 | 18.45 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 240,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 245,000 | 27.38 | PALM INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 295,000 | 18.67 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/18/2002 | - | 210,000 | 27.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/19/2002 | - | 215,000 | 18.55 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/19/2002 | - | 245,000 | 27.88 | PALM INC |
| 1L0026 | 2/28/2002 | 1/19/2002 | - | 250,000 | 18.65 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 223,210 | 28.25 | PALM INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 177,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 235,000 | 27.94 | PALM INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 290,000 | 34.38 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 245,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 265,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 280,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 320,000 | 34.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 235,090 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/22/2002 | - | 350,000 | 34.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 205,000 | 19.38 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 214,940 | 19.87 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 298,000 | 36.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 298,000 | 19.07 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 150,000 | 28.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 200,000 | 36.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 380,000 | 36.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 170,000 | 27.63 | PALM INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 200,000 | 19.63 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/23/2002 | - | 280,000 | 36.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 225,000 | 39.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 242,260 | 21.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 300,000 | 20.72 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 455,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 200,000 | 20.88 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 218,000 | 20.80 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 282,000 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 515,000 | 64.63 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 490,000 | 64.44 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 202,000 | 20.59 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 250,000 | 40.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 325,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 215,000 | 20.75 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 205,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 305,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/24/2002 | - | 418,000 | 63.88 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 265,000 | 20.26 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 482,000 | 59.75 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 215,000 | 20.19 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 336,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 450,000 | 59.94 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 474,000 | 59.50 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 245,000 | 20.37 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 290,000 | 39.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 257,980 | 39.69 | NORTEL NETWORKS CORP HLDS CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0026 | 2/28/2002 | 1/25/2002 | - | 250,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 255,000 | 19.05 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 340,000 | 39.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 425,000 | 64.63 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 260,000 | 38.50 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 295,000 | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 518,000 | 64.25 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 239,410 | 38.75 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 265,000 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/26/2002 | - | 285,000 | 18.86 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 215,000 | 19.92 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 485,000 | 64.19 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 237,000 | 42.62 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 250,000 | 40.13 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 150,000 | 26.50 | PALM INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 185,000 | 42.25 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 202,000 | 19.86 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 512,000 | 63.88 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 200,000 | 39.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 206,000 | 19.61 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 245,000 | 42.37 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 289,000 | 19.69 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 211,000 | 19.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 285,000 | 42.87 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 566,660 | 64.38 | JDS UNIPHASE CORP |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 151,000 | 26.19 | PALM INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 200,000 | 20.05 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/29/2002 | - | 260,450 | 20.18 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 245,000 | 19.20 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 250,000 | 28.19 | PALM INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 285,000 | 38.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 234,290 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 100,000 | 28.00 | PALM INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 236,000 | 18.79 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 285,000 | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 295,000 | 19.10 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 213,070 | 42.56 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 285,000 | 42.37 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/30/2002 | - | 289,000 | 42.06 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 300,000 | 17.89 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 240,000 | 38.18 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 205,000 | 17.75 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 255,000 | 17.91 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 165,000 | 27.13 | PALM INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 222,130 | 38.37 | YAHOO INC |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 235,000 | 37.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 260,000 | 37.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 2/28/2002 | 1/31/2002 | - | 340,000 | 38.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 1/31/2002 | 2/1/2002 | 125,000 | - | 40.00 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 190,000 | - | 38.50 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 1/31/2002 | 2/1/2002 | 145,000 | - | 39.75 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 165,000 | - | 76.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 183,000 | - | 28.19 | PALM INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 245,000 | - | 39.10 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 1/31/2002 | 2/1/2002 | 122,270 | - | 28.75 | PALM INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 180,200 | - | 79.80 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/1/2002 | 255,000 | - | 17.70 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | - | 165,000 | 76.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 200,000 | - | 76.50 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/1/2002 | 200,000 | - | 79.52 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/1/2002 | 215,000 | - | 17.69 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 240,000 | - | 18.52 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 245,000 | - | 18.61 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | - | 230,000 | 74.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 245,000 | - | 38.62 | NORTEL NETWORKS CORP HLDS CO |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0026 | 1/31/2002 | 2/2/2002 | 75,000 | - | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 1/31/2002 | 2/2/2002 | 260,000 | - | 18.30 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | - | 245,000 | 38.62 | NORTEL NETWORKS CORP HLDS CO |
| 1L0026 | 1/31/2002 | 2/2/2002 | 195,000 | - | 41.25 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 230,000 | - | 74.25 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 100,000 | - | 73.75 | SIEBEL SYSTEMS INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 178,000 | - | 41.43 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/2/2002 | 155,000 | - | 78.75 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/2/2002 | 165,000 | - | 28.63 | PALM INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 215,000 | - | 40.31 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 255,000 | - | 18.95 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 225,000 | - | 40.68 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 215,000 | - | 18.74 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | - | 160,000 | 28.00 | PALM INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 160,000 | - | 28.00 | PALM INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 198,420 | - | 79.65 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/5/2002 | - | 179,710 | 28.63 | PALM INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 100,000 | - | 78.57 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/5/2002 | 179,710 | - | 28.63 | PALM INC |
| 1L0026 | 1/31/2002 | 2/5/2002 | 190,000 | - | 79.00 | EMC CORPORATION MASS |
| 1L0026 | 1/31/2002 | 2/6/2002 | 185,000 | - | 18.78 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | - | 138,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | - | 185,000 | 18.78 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | 235,000 | - | 37.68 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | 138,000 | - | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | - | 297,920 | 18.85 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/6/2002 | 297,920 | - | 18.85 | LUCENT TECHNOLOGIES INC |
| 1L0026 | 1/31/2002 | 2/7/2002 | - | 200,000 | 36.00 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/7/2002 | 200,000 | - | 36.00 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/7/2002 | 250,000 | - | 36.31 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/8/2002 | 295,000 | - | 35.56 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/9/2002 | 225,000 | - | 36.18 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/9/2002 | 115,000 | - | 36.00 | YAHOO INC |
| 1L0026 | 1/31/2002 | 2/9/2002 | - | 225,000 | 36.18 | YAHOO INC |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.63 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.66 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 235,000,000 | 99.60 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 10,200,000 | 99.60 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 235,000,000 | 99.66 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 235,000,000 | 99.63 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.60 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | 83,225,000 | - | 99.57 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 65,000,000 | 99.57 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 10,200,000 | 99.63 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 10,200,000 | 99.66 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 10,200,000 | 99.57 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/27/2002 | - | 235,000,000 | 99.57 | U S TREASURY BILL |
| 1L0026 | 3/31/2002 | 12/31/2002 | 752 | - | 1.00 | FIDELITY SPARTAN |
| 1L0026 | 11/30/2003 | 2/6/2003 | 120,000 | - | 12.04 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 255,000 | - | 12.11 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 184,000 | - | 13.70 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/6/2003 | 45,000 | - | 18.21 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 145,000 | - | 19.42 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 170,000 | - | 19.37 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 32,000 | - | 18.17 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/6/2003 | 120,000 | - | 26.20 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 170,000 | - | 13.67 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/6/2003 | 70,000 | - | 18.13 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 95,000 | - | 12.01 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 70,000 | - | 18.03 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/6/2003 | 115,000 | - | 11.15 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/6/2003 | 145,000 | - | 11.01 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/6/2003 | 45,000 | - | 23.96 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/6/2003 | 105,000 | - | 26.27 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 115,000 | - | 13.61 | BROADCOM CORP CL A |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1L0026 | 11/30/2003 | 2/6/2003 | 170,000 | - | 18.18 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/6/2003 | 95,000 | - | 23.85 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/7/2003 | 75,000 | - | 23.61 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/7/2003 | 28,000 | - | 17.90 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/7/2003 | 95,000 | - | 23.52 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/7/2003 | 105,000 | - | 18.99 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 105,000 | - | 26.07 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 90,000 | - | 13.53 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/7/2003 | 175,000 | - | 12.03 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 115,000 | - | 17.68 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 95,000 | - | 17.81 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/7/2003 | 120,000 | - | 18.83 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 125,000 | - | 13.60 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/7/2003 | 150,000 | - | 11.00 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/7/2003 | 170,000 | - | 12.01 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/7/2003 | 170,000 | - | 13.57 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/7/2003 | 95,000 | - | 11.08 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/7/2003 | 105,000 | - | 11.12 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/7/2003 | 145,000 | - | 17.74 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 180,000 | - | 23.43 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/10/2003 | 190,000 | - | 12.29 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 73,000 | - | 18.40 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/10/2003 | 115,000 | - | 17.82 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 125,000 | - | 18.53 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/10/2003 | 55,000 | - | 23.51 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/10/2003 | 150,000 | - | 13.74 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/10/2003 | 190,000 | - | 12.29 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 35,000 | - | 27.32 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 70,000 | - | 17.89 | YAHOO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 105,000 | - | 11.33 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/10/2003 | 115,000 | - | 27.29 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 175,000 | - | 27.11 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 145,000 | - | 12.39 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 160,000 | - | 11.41 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/10/2003 | 165,000 | - | 13.71 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/10/2003 | 175,000 | - | 19.50 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/10/2003 | 95,000 | - | 13.82 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/10/2003 | 120,000 | - | 18.32 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/10/2003 | 185,000 | - | 19.42 | XILINX INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 50,000 | - | 11.23 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/11/2003 | 70,000 | - | 27.12 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 70,000 | - | 18.19 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/11/2003 | 100,000 | - | 12.14 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 170,000 | - | 12.11 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 70,000 | - | 13.50 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/11/2003 | 80,000 | - | 23.13 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/11/2003 | 110,000 | - | 23.23 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/11/2003 | 95,000 | - | 27.07 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 120,000 | - | 13.40 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/11/2003 | 170,000 | - | 12.05 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/11/2003 | 95,000 | - | 11.21 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/11/2003 | 215,000 | - | 12.09 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 55,000 | - | 13.29 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/12/2003 | 65,000 | - | 13.31 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/12/2003 | 95,000 | - | 23.11 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/12/2003 | 35,000 | - | 17.77 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/12/2003 | 75,000 | - | 17.71 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/12/2003 | 105,000 | - | 21.43 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 165,000 | - | 13.20 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/12/2003 | 170,000 | - | 11.97 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 195,000 | - | 11.91 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 115,000 | - | 11.07 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/12/2003 | 115,000 | - | 21.50 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 115,000 | - | 22.99 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/12/2003 | 145,000 | - | 13.23 | BROADCOM CORP CL A |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0026 | 11/30/2003 | 2/12/2003 | 95,000 | - | 21.59 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 160,000 | - | 12.06 | APPLIED MATERIALS INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 80,000 | - | 26.48 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 105,000 | - | 11.12 | ALTERA CORP |
| 1L0026 | 11/30/2003 | 2/12/2003 | 90,000 | - | 26.40 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/12/2003 | 120,000 | - | 21.40 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 65,000 | - | 23.13 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/13/2003 | 195,000 | - | 20.83 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 65,000 | - | 26.27 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 125,000 | - | 14.99 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 170,000 | - | 14.90 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 90,000 | - | 26.20 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 95,000 | - | 13.00 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/13/2003 | 145,000 | - | 14.93 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 95,000 | - | 12.97 | BROADCOM CORP CL A |
| 1L0026 | 11/30/2003 | 2/13/2003 | 20,000 | - | 18.11 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/13/2003 | 57,000 | - | 10.40 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/13/2003 | 70,000 | - | 18.03 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/13/2003 | 91,000 | - | 23.10 | ANALOG DEVICES |
| 1L0026 | 11/30/2003 | 2/13/2003 | 95,000 | - | 20.78 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 50,000 | - | 10.27 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 51,000 | - | 18.89 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/14/2003 | 45,000 | - | 18.82 | MARVELL TECHNOLOGY GRP LTD |
| 1L0026 | 11/30/2003 | 2/14/2003 | 195,000 | - | 15.29 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 105,000 | - | 26.82 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 170,000 | - | 15.33 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 120,000 | - | 15.40 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 125,000 | - | 20.89 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 145,000 | - | 26.71 | BEST BUY CO INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 155,000 | - | 20.93 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/14/2003 | 105,000 | - | 21.00 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/18/2003 | 145,000 | - | 15.30 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/18/2003 | 155,000 | - | 20.85 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/18/2003 | 95,000 | - | 15.33 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/18/2003 | 60,000 | - | 10.21 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/18/2003 | 150,000 | - | 15.41 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 95,000 | - | 19.99 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 170,000 | - | 14.57 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 175,000 | - | 14.73 | TEXAS INSTRUMENTS INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 185,000 | - | 19.89 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 170,000 | - | 19.84 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/19/2003 | 85,000 | - | 10.00 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/20/2003 | 95,000 | - | 19.96 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/20/2003 | 40,000 | - | 10.10 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/20/2003 | 50,000 | - | 10.04 | FOOT LOCKER INC |
| 1L0026 | 11/30/2003 | 2/20/2003 | 185,000 | - | 19.90 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/20/2003 | 90,000 | - | 20.07 | AMAZON COM INC |
| 1L0026 | 11/30/2003 | 2/21/2003 | 145,000 | - | 14.47 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/21/2003 | 65,000 | - | 14.60 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/24/2003 | 55,000 | - | 14.39 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/24/2003 | 165,000 | - | 14.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/25/2003 | 200,000 | - | 14.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/25/2003 | 45,000 | - | 14.83 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/26/2003 | 60,000 | - | 15.55 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/26/2003 | 170,000 | - | 15.38 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/26/2003 | 95,000 | - | 15.49 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 11/30/2003 | 2/27/2003 | 95,000 | - | 11.00 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 2/27/2003 | 145,000 | - | 10.98 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 2/28/2003 | 115,000 | - | 10.40 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 2/28/2003 | 195,000 | - | 10.28 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 2/28/2003 | 95,000 | - | 10.32 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/3/2003 | 65,000 | - | 10.35 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/3/2003 | 85,000 | - | 10.49 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/3/2003 | 135,000 | - | 10.42 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/4/2003 | 145,000 | - | 10.30 | NETWORK APPLIANCE INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------|
| 1L0026 | 11/30/2003 | 3/4/2003 | 80,000 | - | 10.42 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/4/2003 | 70,000 | - | 10.36 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/5/2003 | 75,000 | - | 10.65 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/5/2003 | 90,000 | - | 10.71 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/5/2003 | 70,000 | - | 10.62 | NETWORK APPLIANCE INC |
| 1L0026 | 11/30/2003 | 3/6/2003 | 30,000 | - | 19.41 | TOLL BROTHERS INC |
| 1L0026 | 11/30/2003 | 3/7/2003 | 120,000 | - | 18.70 | TOLL BROTHERS INC |
| 1L0026 | 11/30/2003 | 3/10/2003 | 85,000 | - | 18.43 | TOLL BROTHERS INC |
| 1L0026 | 11/30/2003 | 3/11/2003 | 90,000 | - | 18.18 | TOLL BROTHERS INC |
| 1L0026 | 11/30/2003 | 3/12/2003 | 45,000 | - | 17.91 | TOLL BROTHERS INC |
| 1L0026 | 11/30/2003 | 3/20/2003 | 70,000 | - | 12.98 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/20/2003 | 20,000 | - | 13.03 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/20/2003 | 185,000 | - | 17.37 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/20/2003 | 95,000 | - | 18.54 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/20/2003 | 30,000 | - | 31.90 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/20/2003 | 145,000 | - | 18.51 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/20/2003 | 120,000 | - | 18.45 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/20/2003 | 200,000 | - | 17.42 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 95,000 | - | 10.70 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 115,000 | - | 20.21 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/21/2003 | 50,000 | - | 14.14 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/21/2003 | 120,000 | - | 34.29 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/21/2003 | 145,000 | - | 34.64 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/21/2003 | 65,000 | - | 18.20 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 120,000 | - | 18.15 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 145,000 | - | 18.22 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 60,000 | - | 10.78 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 115,000 | - | 18.01 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 170,000 | - | 18.09 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/21/2003 | 135,000 | - | 20.29 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/21/2003 | 105,000 | - | 20.36 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/21/2003 | 120,000 | - | 34.50 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/24/2003 | 95,000 | - | 35.56 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/24/2003 | 73,000 | - | 20.55 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/24/2003 | 195,000 | - | 20.45 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/24/2003 | 32,000 | - | 13.82 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/24/2003 | 185,000 | - | 17.26 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/24/2003 | 95,000 | - | 20.52 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/24/2003 | 90,000 | - | 35.51 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/24/2003 | 95,000 | - | 17.30 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/24/2003 | 105,000 | - | 17.41 | VERITAS SOFTWARE CORP |
| 1L0026 | 11/30/2003 | 3/25/2003 | 95,000 | - | 10.42 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/25/2003 | 35,000 | - | 34.47 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/25/2003 | 30,000 | - | 13.94 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/25/2003 | 150,000 | - | 20.35 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/26/2003 | 95,000 | - | 35.06 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/26/2003 | 45,000 | - | 14.70 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/26/2003 | 165,000 | - | 21.10 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/26/2003 | 45,000 | - | 10.86 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/26/2003 | 107,000 | - | 34.99 | GENENTECH INC |
| 1L0026 | 11/30/2003 | 3/26/2003 | 40,000 | - | 14.77 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/26/2003 | 80,000 | - | 21.22 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/26/2003 | 225,000 | - | 20.98 | SEARS ROEBUCK & CO |
| 1L0026 | 11/30/2003 | 3/26/2003 | 75,000 | - | 10.80 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/27/2003 | 45,000 | - | 10.49 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/27/2003 | 95,000 | - | 10.45 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/27/2003 | 60,000 | - | 14.79 | CARMAX INC |
| 1L0026 | 11/30/2003 | 3/28/2003 | 20,000 | - | 10.43 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/28/2003 | 70,000 | - | 10.40 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/31/2003 | 75,000 | - | 10.22 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 3/31/2003 | 25,000 | - | 10.29 | UNITED STATES STEEL CORP |
| 1L0026 | 11/30/2003 | 4/3/2003 | 75,000 | - | 15.36 | NORDSTROM INC |
| 1L0026 | 11/30/2003 | 4/3/2003 | 95,000 | - | 15.21 | NORDSTROM INC |
| 1L0026 | 11/30/2003 | 4/4/2003 | 70,000 | - | 15.99 | NORDSTROM INC |
| 1L0026 | 11/30/2003 | 4/7/2003 | 65,000 | - | 16.30 | NORDSTROM INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1L0026 | 11/30/2003 | 4/8/2003 | 44,000 | - | 16.42 | NORDSTROM INC |
| 1L0026 | 11/30/2003 | 4/9/2003 | 45,000 | - | 16.45 | NORDSTROM INC |
| 1L0026 | 12/31/2004 | 11/1/2004 | 31,000 | - | 28.21 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/1/2004 | 27,000 | - | 28.16 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/1/2004 | 36,973 | - | 28.20 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/2/2004 | 96,000 | - | 28.77 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/2/2004 | 38,000 | - | 28.90 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/3/2004 | 67,000 | - | 29.07 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/3/2004 | 49,520 | - | 29.11 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/4/2004 | 25,236 | - | 28.93 | PALMONE INC |
| 1L0026 | 12/31/2004 | 11/19/2004 | - | 130,000 | 16.53 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/19/2004 | - | 125,000 | 16.60 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/19/2004 | - | 90,000 | 16.59 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/19/2004 | - | 140,000 | 16.58 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 95,000 | 17.09 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 100,000 | 17.12 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 95,000 | 17.20 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 150,000 | 17.24 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 75,000 | 17.19 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/22/2004 | - | 160,000 | 17.18 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/23/2004 | - | 160,000 | 16.77 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/23/2004 | - | 170,000 | 16.81 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/24/2004 | - | 110,000 | 16.70 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/24/2004 | - | 125,000 | 17.09 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/24/2004 | - | 100,000 | 17.29 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2004 | 11/24/2004 | - | 175,000 | 17.18 | NATIONAL SEMICONDUCTOR CORP |
| 1L0026 | 12/31/2006 | 9/8/2006 | 120,000 | - | 68.69 | APPLE COMPUTER INC |
| 1L0026 | 12/31/2006 | 9/8/2006 | 110,000 | - | 69.04 | APPLE COMPUTER INC |
| 1L0026 | 12/31/2006 | 9/14/2006 | 115,000 | - | 78.90 | RESEARCH IN MOTION LTD |
| 1L0026 | 12/31/2006 | 9/14/2006 | 25,000 | - | 79.07 | RESEARCH IN MOTION LTD |
| 1L0026 | 12/31/2006 | 9/14/2006 | 80,000 | - | 78.88 | RESEARCH IN MOTION LTD |
| 1L0026 | 12/31/2006 | 9/14/2006 | 95,000 | - | 78.94 | RESEARCH IN MOTION LTD |
| 1L0026 | 12/31/2006 | 9/20/2006 | 130,000 | - | 161.77 | GOLDMAN SACHS GROUP INC |
| 1L0026 | 12/31/2006 | 9/20/2006 | 98,000 | - | 161.81 | GOLDMAN SACHS GROUP INC |
| 1L0026 | 12/31/2006 | 9/20/2006 | 105,000 | - | 161.98 | GOLDMAN SACHS GROUP INC |
| 1L0026 | 12/31/2006 | 11/30/2006 | 30,000 | - | 44.96 | CAREMARK RX INC |
| 1L0026 | 12/31/2006 | 11/30/2006 | 60,000 | - | 44.81 | CAREMARK RX INC |
| 1L0026 | 12/31/2006 | 11/30/2006 | 90,000 | - | 44.75 | CAREMARK RX INC |
| 1L0026 | 12/31/2006 | 11/30/2006 | 33,000 | - | 44.75 | CAREMARK RX INC |
| 1L0026 | 1/31/2007 | 12/29/2007 | 80 | - | 1.00 | FIDELITY SPARTAN |
| 1L0026 | 1/31/2007 | 12/29/2007 | - | 66,710 | 18.45 | APPLIED MATERIALS INC |
| 1L0027 | 4/30/1996 | 1/16/1996 | 1,300,000 | - | 100.07 | NEW YORK N Y SER E |
| 1L0027 | 3/31/1996 | 2/26/1996 | 5,000 | - | 6.63 | ELECTRIC FUEL CORP |
| 1L0027 | 10/31/1997 | 8/13/1997 | - | 160,000 | 41.00 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/13/1997 | - | 120,000 | 41.13 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/13/1997 | - | 125,000 | 41.06 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/13/1997 | - | 155,000 | 41.19 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 200,000 | 41.31 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 200,000 | 41.13 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 129,000 | 41.19 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 240,000 | 41.25 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 33,000 | 56.38 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 100,000 | 56.44 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/14/1997 | - | 157,750 | 56.38 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 196,000 | 57.63 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 160,000 | 57.81 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 185,000 | 40.63 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 175,000 | 40.75 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 180,000 | 57.94 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 300,000 | 57.69 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 220,000 | 57.69 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 250,000 | 57.81 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 350,000 | 57.75 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 200,000 | 57.63 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 230,000 | 57.75 | COMPAQ COMPUTER CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 150,000 | 57.88 | COMPAQ COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/15/1997 | - | 125,000 | 40.69 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/18/1997 | - | 100,000 | 40.50 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/18/1997 | - | 125,000 | 40.63 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/18/1997 | - | 170,000 | 40.69 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/18/1997 | - | 53,000 | 40.75 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/18/1997 | - | 150,000 | 40.56 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/19/1997 | - | 150,000 | 40.56 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/19/1997 | - | 81,000 | 40.44 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/19/1997 | - | 150,000 | 40.50 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 50,000 | 40.19 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 175,000 | 55.50 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 173,000 | 40.00 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 200,000 | 40.13 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 150,000 | 40.06 | FORD MOTOR COMPANY |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 150,000 | 55.56 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 200,000 | 55.63 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/20/1997 | - | 250,000 | 55.69 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/21/1997 | - | 152,694 | 55.38 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/22/1997 | - | 225,000 | 56.38 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/22/1997 | - | 150,000 | 56.31 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/22/1997 | - | 372,000 | 78.25 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 8/22/1997 | - | 200,000 | 56.25 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/22/1997 | - | 206,000 | 56.44 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/25/1997 | - | 33,700 | 56.81 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/25/1997 | - | 244,000 | 56.81 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/25/1997 | - | 150,000 | 57.00 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/25/1997 | - | 150,000 | 57.06 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/25/1997 | - | 105,000 | 73.25 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 96,000 | 72.75 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 363,000 | 35.13 | CHRYSLER CORP |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 200,000 | 79.00 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 140,000 | 56.44 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 228,000 | 56.38 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/26/1997 | - | 200,000 | 56.50 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 171,800 | 34.88 | CHRYSLER CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 200,000 | 44.00 | FANNIE MAE |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 250,000 | 55.06 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 125 | 92.00 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 260 | 92.13 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 161,000 | 55.13 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 160 | 92.06 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 250 | 91.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 350 | 92.25 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 150,000 | 70.44 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 150,000 | 70.50 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 200 | 91.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 300 | 92.19 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 106,998 | 80.63 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 120,000 | 70.69 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 220,000 | 43.88 | FANNIE MAE |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 225 | 91.94 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 145,000 | 82.75 | ALUMINUM COMPANY OF AMERICA |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 200,000 | 54.88 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 125,000 | 70.50 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 300,000 | 70.63 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 81,000 | 71.75 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 200,000 | 55.00 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 220 | 91.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 175,000 | 70.44 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 200,000 | 70.38 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 250,000 | 70.56 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 300 | 91.88 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 97,000 | 62.38 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 175 | 91.88 | INTEL CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 214 | 91.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 233,000 | 70.38 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/27/1997 | - | 235,000 | 77.38 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 185,000 | 57.81 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 145,000 | 80.94 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 178,000 | 78.13 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 200,000 | 73.25 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 236,000 | 94.13 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 300,000 | 94.00 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 100,000 | 57.94 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 210,000 | 94.00 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 125,000 | 73.44 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 150,000 | 93.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 150,000 | 93.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 67,000 | 73.19 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 100,000 | 57.88 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 195,000 | 93.94 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 250,000 | 93.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 300,000 | 55.25 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 330,000 | 35.25 | CHRYSLER CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 125,000 | 94.13 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 250,000 | 94.31 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 300,000 | 93.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 176,000 | 57.75 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 184,000 | 94.06 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 150,000 | 73.31 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 375,000 | 55.19 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 175,000 | 93.88 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 175,000 | 94.06 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 250,000 | 93.88 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 275,000 | 93.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 275,000 | 94.25 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 125,000 | 93.94 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 150,000 | 94.00 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 225,000 | 93.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 66,000 | 57.63 | DEERE & CO |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 147,000 | 73.38 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 150,000 | 93.94 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 300,000 | 94.19 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 200,000 | 93.88 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/28/1997 | - | 275,000 | 94.06 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 66,000 | 44.06 | GAP INC |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 100,000 | 44.13 | GAP INC |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 77,000 | 54.25 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 100,000 | 82.94 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 200,000 | 54.31 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 204,000 | 82.88 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 217,000 | 91.94 | MERCK & CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 127,000 | 56.88 | DEERE & CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 227,000 | 62.50 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 46,000 | 73.00 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 250,000 | 54.31 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 200,000 | 72.38 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 85,000 | 84.75 | ALUMINUM COMPANY OF AMERICA |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 190,000 | 77.75 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 194,000 | 72.25 | INTEL CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 220,000 | 62.38 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 150,000 | 57.44 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 130,000 | 57.25 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 326,728 | 63.50 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 150,000 | 82.75 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 200,000 | 54.38 | BOEING CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 310,000 | 63.56 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 150,000 | 57.38 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 200,000 | 54.38 | BOEING CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 200,000 | 82.81 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 100,000 | 79.25 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 215,000 | 57.31 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 90,000 | 79.38 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 8/29/1997 | - | 290,000 | 63.63 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 233,000 | 62.50 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 74,000 | 43.50 | GAP INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 100,000 | 56.88 | DEERE & CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 104,000 | 49.00 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 335,000 | 50.00 | 3COM CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 170,436 | 83.25 | GILLETTE CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 200,000 | 82.25 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 60,000 | 57.50 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 200,000 | 57.25 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 65,000 | 72.25 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 375,000 | 49.56 | AMGEN INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 100,000 | 71.88 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 183,000 | 71.75 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 158,000 | 82.50 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 175,000 | 82.31 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 300,000 | 49.63 | AMGEN INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 300,000 | 62.44 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 211,000 | 92.13 | MERCK & CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 250,000 | 82.44 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 225,000 | 57.31 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 251,000 | 57.38 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 270,000 | 57.31 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 350,000 | 49.94 | 3COM CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 125,000 | 78.63 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 150,000 | 82.38 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 8,800 | 49.56 | AMGEN INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 174,000 | 57.44 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 127,000 | 78.56 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 225,000 | 77.13 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 150,000 | 57.38 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 300,000 | 62.56 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 312,000 | 61.31 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 300,000 | 62.56 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 300,000 | 92.00 | MERCK & CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 50,000 | 43.56 | GAP INC |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 62,000 | 56.75 | DEERE & CO |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 105,000 | 83.88 | ALUMINUM COMPANY OF AMERICA |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 200,000 | 71.81 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/2/1997 | - | 260,000 | 62.50 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 150,000 | 80.44 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 141,000 | 55.69 | DEERE & CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 160,000 | 71.13 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 220,000 | 53.31 | NIKE INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 246,000 | 71.00 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 237,000 | 82.88 | GILLETTE CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 250,000 | 80.56 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 48,000 | 43.38 | GAP INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 122,000 | 56.69 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 140,000 | 39.50 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 144,000 | 61.38 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 150,000 | 48.25 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 150,000 | 56.81 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 175,000 | 56.88 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 185,000 | 56.75 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 48.19 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 53.38 | NIKE INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 250,000 | 57.38 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 380,000 | 62.50 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 123,000 | 78.50 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 78.81 | CHEVRON CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 82,000 | 48.06 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 175,000 | 80.50 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 270,000 | 44.00 | FANNIE MAE |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 376,000 | 62.56 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 60,000 | 43.44 | GAP INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 160,000 | 56.94 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 48.00 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 275,000 | 77.38 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 196,000 | 80.50 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 225,000 | 80.44 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 140,000 | 71.06 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 281,000 | 48.25 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 94,981 | 71.63 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 300,000 | 49.56 | AMGEN INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 360,496 | 62.44 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 116,000 | 39.63 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 120,000 | 39.44 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 186,000 | 91.81 | MERCK & CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 56.63 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 250,000 | 48.06 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 210,000 | 80.38 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 281,000 | 61.50 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 300,000 | 48.00 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 125,000 | 48.13 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 135,000 | 39.56 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 150,000 | 48.00 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 200,000 | 82.75 | GILLETTE CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 367,000 | 91.63 | MERCK & CO |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 90,000 | 83.50 | ALUMINUM COMPANY OF AMERICA |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 100,000 | 78.63 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/3/1997 | - | 285,000 | 57.50 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 225,000 | 81.19 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 267,000 | 35.13 | CHRYSLER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 300,000 | 47.94 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 325,000 | 57.63 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 175,000 | 48.06 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 184,000 | 77.00 | THE WALT DISNEY CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 40,800 | 35.13 | CHRYSLER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 185,000 | 81.25 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 275,000 | 61.50 | HEWLETT PACKARD CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 300,000 | 62.81 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 304,000 | 82.88 | GILLETTE CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 135,000 | 38.94 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 50,000 | 39.50 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 175,000 | 81.13 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 309,000 | 48.13 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 75,000 | 56.75 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 150,000 | 56.56 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 70,000 | 70.50 | BOSTON SCIENTIFIC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 103,000 | 78.00 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 200,000 | 81.00 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 225,000 | 57.56 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 58,000 | 44.13 | GAP INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 100,000 | 77.44 | CHEVRON CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 125,000 | 56.69 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 189,000 | 91.81 | MERCK & CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 200,000 | 71.25 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 100,000 | 77.63 | CHEVRON CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 110,000 | 44.25 | FANNIE MAE |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 150,000 | 81.06 | DELL COMPUTER CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 103,000 | 78.06 | AMERICAN EXPRESS COMPANY |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 119,000 | 56.50 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 250,000 | 57.50 | COCA COLA CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 340,000 | 62.75 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 50,000 | 44.19 | GAP INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 75,000 | 82.75 | ALUMINUM COMPANY OF AMERICA |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 105,000 | 38.75 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 125,000 | 38.88 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 375,000 | 49.88 | 3COM CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 200,000 | 47.88 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 250,000 | 48.00 | SUN MICROSYSTEMS INC |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 330,000 | 62.69 | GENERAL ELECTRIC CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 131,000 | 55.25 | DEERE & CO |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 140,000 | 38.81 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 150,000 | 38.75 | AT & T CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 169,000 | 71.38 | INTEL CORP |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 200,000 | 56.63 | JOHNSON & JOHNSON |
| 1L0027 | 10/31/1997 | 9/4/1997 | - | 380,000 | 49.94 | 3COM CORP |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.34 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 79,475,000 | - | 97.95 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.70 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 3,774 | - | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.45 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.53 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.03 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.14 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.23 | U S TREASURY BILL |
| 1L0027 | 10/31/1997 | 9/30/1997 | 85,000,000 | - | 98.61 | U S TREASURY BILL |
| 1L0027 | 11/30/1997 | 10/31/1997 | - | 281,247,392 | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 11/30/1997 | 10/31/1997 | 4,513 | - | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 11/30/1997 | 10/31/1997 | 1,730,000 | - | 98.35 | U S TREASURY BILL |
| 1L0027 | 3/31/1998 | 2/17/1998 | 5,900,000 | - | 100.66 | U S TREASURY NOTE |
| 1L0027 | 3/31/1998 | 2/17/1998 | - | 5,900,000 | 100.66 | U S TREASURY NOTE |
| 1L0027 | 3/31/1998 | 2/17/1998 | 5,900,000 | - | 100.66 | U S TREASURY NOTE |
| 1L0027 | 9/30/1998 | 8/3/1998 | 20,000,000 | - | 99.42 | U S TREASURY NOTES |
| 1L0027 | 9/30/1998 | 8/17/1998 | 6,450,000 | - | 100.06 | U S TREASURY NOTES |
| 1L0027 | 5/31/1999 | 4/30/1999 | - | 25,660 | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 6/30/1999 | 5/24/1999 | 5,000 | - | 1.38 | COMMUNICATION INTELLIGENCE |
| 1L0027 | 6/30/1999 | 5/24/1999 | 5,000 | - | 1.38 | COMMUNICATION INTELLIGENCE |
| 1L0027 | 3/31/1999 | 12/11/1999 | 94,400 | - | 56.00 | GENERAL DYNAMICS |
| 1L0027 | 3/31/1999 | 12/15/1999 | - | 184,000 | 38.00 | PEPSICO INC |
| 1L0027 | 3/31/1999 | 12/31/1999 | 25,660 | - | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 3/31/1999 | 12/31/1999 | 1,700,000 | - | 98.82 | U S TREASURY BILL |
| 1L0027 | 11/30/2000 | 1/3/2000 | 4,081,000 | - | 98.39 | U S TREASURY BILL |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 13,014 | 28.44 | ABERCROMBIE & FITCH CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 49,000 | 60.00 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 51,000 | 39.25 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 100,000 | 118.38 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 50,000 | 39.19 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 54,000 | 61.06 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 52,000 | 61.25 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 56,000 | 43.44 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 175,000 | 24.63 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 41,000 | 61.31 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 48,000 | 61.31 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 52,000 | 85.63 | ATLANTIC RICHFIELD CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 55,000 | 42.06 | NOVELL INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 56,000 | 39.06 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 70,000 | 43.38 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | 85,000 | - | 51.38 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 48,000 | 85.38 | ATLANTIC RICHFIELD CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 55,000 | 61.19 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 56,000 | 61.13 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 105,000 | 118.25 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | 206,507 | - | 51.25 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 27,008 | 61.19 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 60,840 | 38.25 | TRICON GLOBAL RESTAURANTS INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 85,000 | 42.13 | NOVELL INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 104,000 | 24.50 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 200,000 | 24.44 | XEROX CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 44,000 | 39.13 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 50,000 | 43.31 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 95,000 | 118.19 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 105,600 | 118.31 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 110,000 | 24.31 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 150,000 | 24.56 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 42,505 | 7.88 | SANTA FE SNYDER CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 45,000 | 61.25 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | - | 215,000 | 24.38 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/6/2000 | 225,000 | - | 51.31 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 90,000 | - | 51.75 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 100,000 | - | 76.81 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | 209,000 | - | 65.44 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 60,000 | 40.75 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 40,000 | 40.69 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 55,000 | 40.63 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 180,000 | - | 76.94 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | 100,000 | - | 65.56 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 42,000 | 7.56 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/7/2000 | 120,000 | - | 76.88 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | 154,463 | - | 76.75 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 46,000 | 83.13 | ATLANTIC RICHFIELD CO |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 52,000 | 7.50 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 42,000 | 40.50 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | - | 43,000 | 40.56 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 100,000 | - | 51.69 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 125,000 | - | 43.75 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/7/2000 | 34,152 | - | 23.06 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0027 | 2/29/2000 | 1/7/2000 | 96,000 | - | 23.00 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0027 | 2/29/2000 | 1/7/2000 | 225,000 | - | 65.38 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 44,000 | - | 39.63 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 54,000 | - | 29.38 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 56,000 | - | 39.56 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 85,000 | - | 32.25 | NOVELL INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | 161,100 | - | 43.00 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | 175,000 | - | 43.06 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | - | 50,000 | 7.44 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | - | 56,000 | 7.38 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | - | 87,500 | 7.56 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 10,000 | - | 8.44 | HIGHLANDS INSURANCE GROUP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 44,000 | - | 37.13 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 52,000 | - | 29.31 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 57,199 | - | 54.50 | AETNA INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | - | 54,400 | 7.50 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 40,000 | - | 39.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 42,505 | - | 7.00 | SANTA FE SNYDER CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 57,000 | - | 29.13 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 58,000 | - | 29.13 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 60,000 | - | 39.69 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 65,000 | - | 9.13 | SILICON GRAPHICS INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | 41,000 | - | 29.25 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 48,000 | - | 37.00 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 51,000 | - | 37.00 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 55,000 | - | 32.31 | NOVELL INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | 46,000 | - | 36.88 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 49,000 | - | 29.44 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 53,000 | - | 29.19 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 55,000 | - | 29.25 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 85,000 | - | 9.25 | SILICON GRAPHICS INC |
| 1L0027 | 2/29/2000 | 1/10/2000 | 42,000 | - | 29.19 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 54,000 | - | 36.81 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 56,000 | - | 37.19 | HEINZ H J CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 75,000 | - | 39.44 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 25,000 | - | 39.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/10/2000 | 50,000 | - | 37.06 | HEINZ H J CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0027 | 2/29/2000 | 1/10/2000 | 52,000 | - | 36.94 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/10/2000 | 59,000 | - | 29.31 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/11/2000 | - | 60,000 | 39.75 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/11/2000 | - | 26,000 | 18.00 | TOO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 53,000 | 70.44 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 52,000 | 70.56 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 58,000 | 70.13 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 61,000 | 70.31 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 124,400 | 38.38 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 42,000 | 70.19 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 95,000 | 38.19 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 100,000 | 38.25 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 55,000 | 70.50 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 62,000 | 70.69 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 68,000 | 70.63 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 29,000 | 17.75 | TOO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 49,000 | 70.38 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 161,100 | 49.75 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 105,000 | 38.31 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/12/2000 | - | 60,000 | 70.25 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/13/2000 | - | 10,000 | 10.13 | HIGHLANDS INSURANCE GROUP |
| 1L0027 | 2/29/2000 | 1/14/2000 | 54,000 | - | 68.56 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/14/2000 | 63,000 | - | 90.81 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | - | 57,199 | 58.38 | AETNA INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 38,000 | - | 68.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/14/2000 | 46,000 | - | 68.75 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/14/2000 | 47,000 | - | 90.88 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 55,000 | - | 90.38 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 60,000 | - | 90.94 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 88,000 | - | 91.19 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | - | 55,000 | 91.50 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 43,000 | - | 91.25 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 72,000 | - | 91.00 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 80,000 | - | 91.06 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | - | 13,000 | 17.63 | TOO INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 48,000 | - | 68.63 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/14/2000 | 55,000 | - | 90.75 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 61,000 | - | 91.13 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 56,000 | - | 90.75 | APPLE COMPUTER INC |
| 1L0027 | 3/31/2000 | 1/14/2000 | 55,000 | - | 90.38 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/14/2000 | 52,000 | - | 68.69 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 3/31/2000 | 1/14/2000 | - | 55,000 | 90.38 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/18/2000 | - | 52,000 | 117.94 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/18/2000 | - | 68,000 | 118.00 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/18/2000 | - | 82,000 | 118.06 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/18/2000 | - | 98,000 | 118.13 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/19/2000 | 56,000 | - | 56.00 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 45,000 | - | 56.25 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 49,000 | - | 56.13 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 27,008 | - | 55.94 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 48,000 | - | 55.81 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 41,000 | - | 56.19 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 52,000 | - | 55.88 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 54,000 | - | 56.06 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/19/2000 | 55,000 | - | 56.31 | BELL ATLANTIC CORP |
| 1L0027 | 2/29/2000 | 1/20/2000 | - | 10,000 | 32.00 | USX-U S STEEL GROUP |
| 1L0027 | 2/29/2000 | 1/20/2000 | 200,000 | - | 65.38 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/20/2000 | 128,000 | - | 65.25 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/21/2000 | - | 225,000 | 60.50 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/21/2000 | - | 206,507 | 60.63 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 44,000 | 9.94 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | 48,000 | - | 75.38 | ATLANTIC RICHFIELD CO |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 48,000 | 10.00 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 61,000 | 10.19 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | 46,000 | - | 75.50 | ATLANTIC RICHFIELD CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1L0027 | 2/29/2000 | 1/24/2000 | 52,000 | - | 75.25 | ATLANTIC RICHFIELD CO |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 34,152 | 27.38 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 39,000 | 9.88 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 56,000 | 10.13 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 85,000 | 11.75 | SILICON GRAPHICS INC |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 52,000 | 10.06 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 65,000 | 11.75 | SILICON GRAPHICS INC |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 125,000 | 49.94 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/24/2000 | 76,000 | - | 33.25 | NCR CORP |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 96,000 | 27.50 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0027 | 2/29/2000 | 1/24/2000 | - | 175,000 | 50.00 | PHARMACIA & UPJOHN INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 43,000 | 121.13 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 48,000 | 75.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 55,000 | 121.00 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 47,000 | 120.88 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 60,000 | 120.75 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | 13,014 | - | 20.13 | ABERCROMBIE & FITCH CO |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 38,000 | 75.63 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 46,000 | 75.38 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/25/2000 | 12,000 | - | 17.00 | TOO INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | 54,400 | - | 7.00 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 54,000 | 75.56 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 63,000 | 120.94 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 52,000 | 75.44 | THE CHASE MANHATTAN CORP NEW |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 61,000 | 121.25 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 80,000 | 120.63 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 88,000 | 121.19 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | 50,000 | - | 7.00 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 56,000 | 121.06 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | - | 72,000 | 120.69 | APPLE COMPUTER INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | 55,000 | - | 33.00 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/25/2000 | 60,000 | - | 33.06 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 52,000 | 43.13 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 225,000 | 71.25 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/26/2000 | 25,000 | - | 17.00 | TOO INC |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 76,000 | 39.00 | NCR CORP |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 209,000 | 71.19 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 48,000 | 43.06 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 46,000 | 43.19 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/26/2000 | - | 54,000 | 43.25 | HALLIBURTON CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 100,000 | 60.38 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 180,000 | 85.94 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 154,463 | 86.13 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 200,000 | 71.31 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | 4,000 | - | 16.06 | TOO INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | 52,000 | - | 6.63 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 52,000 | - | 95.44 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 82,000 | - | 95.31 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 87,500 | - | 6.63 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 120,000 | 86.00 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 175,000 | 60.50 | TEXACO INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 100,000 | 71.38 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | 13,000 | - | 16.13 | TOO INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 100,000 | 86.06 | EXXON MOBIL CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | 14,000 | - | 16.00 | TOO INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | 42,000 | - | 6.63 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 98,000 | - | 95.25 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 68,000 | - | 95.38 | PROCTER & GAMBLE CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | - | 128,000 | 71.44 | GTE CORP |
| 1L0027 | 2/29/2000 | 1/27/2000 | 50,000 | - | 32.38 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/27/2000 | 56,000 | - | 6.63 | HOMESTAKE MNG CO |
| 1L0027 | 2/29/2000 | 1/27/2000 | 56,000 | - | 32.25 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 40,000 | 53.19 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 42,000 | 87.31 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 44,000 | 53.31 | NATIONAL SEMICONDUCTOR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------------|
| 1L0027 | 2/29/2000 | 1/28/2000 | 68,000 | - | 62.06 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 95,000 | - | 99.94 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 25,000 | 53.44 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 58,000 | - | 62.13 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 60,000 | - | 62.00 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 175,000 | - | 21.25 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 55,000 | 87.63 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 58,000 | 87.38 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 75,000 | 53.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 52,000 | - | 62.13 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 53,000 | - | 62.25 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 60,000 | - | 32.56 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 60,840 | - | 30.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 61,000 | - | 9.25 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 105,600 | - | 99.88 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 200,000 | - | 21.44 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 53,000 | 87.75 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 48,000 | - | 9.44 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 49,000 | - | 62.94 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 55,000 | - | 62.19 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 56,000 | - | 9.31 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 52,000 | 87.56 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 54,000 | 87.50 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 56,000 | 53.38 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 42,000 | - | 62.06 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 52,000 | - | 9.38 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 70,000 | - | 32.50 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 100,000 | - | 99.75 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 104,000 | - | 21.38 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 215,000 | - | 21.50 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 41,000 | 87.25 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 49,000 | 87.44 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 57,000 | 87.69 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 43,000 | - | 32.69 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 95,000 | - | 34.31 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 105,000 | - | 99.81 | MICROSOFT CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 59,000 | 87.19 | LSI LOGIC CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 61,000 | - | 62.38 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 110,000 | - | 21.56 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 150,000 | - | 21.31 | XEROX CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | - | 60,000 | 53.25 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 39,000 | - | 9.50 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 40,000 | - | 32.63 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 42,000 | - | 32.75 | THE LIMITED INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 44,000 | - | 9.50 | K MART CORP |
| 1L0027 | 2/29/2000 | 1/28/2000 | 62,000 | - | 62.00 | LILLY ELI & CO |
| 1L0027 | 2/29/2000 | 1/28/2000 | 100,000 | - | 34.25 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 105,000 | - | 34.19 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/28/2000 | 124,400 | - | 34.13 | PEPSICO INC |
| 1L0027 | 2/29/2000 | 1/31/2000 | 10,000 | - | 25.50 | USX-U S STEEL GROUP |
| 1L0027 | 5/31/2000 | 4/4/2000 | 10,000 | - | 5.31 | COMMUNICATION INTELLIGENCE |
| 1L0027 | 1/31/2000 | 12/31/2000 | 26,820 | - | 1.00 | FIDELITY SPARTAN |
| 1L0027 | 1/31/2000 | 12/31/2000 | 76,200,000 | - | 98.39 | U S TREASURY BILL |
| 1L0027 | 11/30/2000 | 12/31/2000 | 72,119,000 | - | 98.39 | U S TREASURY BILL |
| 1L0027 | 11/30/2000 | 12/31/2000 | - | 76,200,000 | 98.39 | U S TREASURY BILL |
| 1L0027 | 9/30/2001 | 1/5/2001 | - | 215,000 | 28.31 | PALM INC |
| 1L0027 | 9/30/2001 | 1/5/2001 | - | 205,000 | 27.75 | PALM INC |
| 1L0027 | 9/30/2001 | 1/5/2001 | - | 190,000 | 29.75 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/5/2001 | - | 205,020 | 28.50 | PALM INC |
| 1L0027 | 9/30/2001 | 1/5/2001 | - | 296,960 | 30.06 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 207,000 | 31.56 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 245,000 | 27.50 | PALM INC |
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 265,000 | 31.75 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 200,000 | 32.18 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 235,000 | 27.19 | PALM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0027 | 9/30/2001 | 1/8/2001 | - | 146,000 | 26.88 | PALM INC |
| 1L0027 | 9/30/2001 | 1/9/2001 | - | 295,000 | 31.13 | PALM INC |
| 1L0027 | 9/30/2001 | 1/9/2001 | - | 232,000 | 31.37 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/9/2001 | - | 252,580 | 31.87 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/9/2001 | - | 280,000 | 31.62 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/9/2001 | - | 245,000 | 31.50 | PALM INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 200,000 | 15.79 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 245,000 | 15.18 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 295,000 | 15.25 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 250,000 | 29.13 | PALM INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 220,000 | 28.94 | PALM INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 235,000 | 30.93 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/10/2001 | - | 250,000 | 15.65 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 132,000 | 24.75 | PALM INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 200,000 | 15.15 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 205,530 | 27.25 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 250,000 | 15.10 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 295,000 | 14.90 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 155,000 | 25.13 | PALM INC |
| 1L0027 | 9/30/2001 | 1/11/2001 | - | 214,000 | 27.00 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 254,860 | 30.25 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 245,000 | 16.98 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 265,000 | 30.06 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 162,000 | 24.88 | PALM INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 250,000 | 16.65 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 295,000 | 16.80 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 315,000 | 16.50 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 295,000 | 29.75 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 335,000 | 16.47 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/12/2001 | - | 185,000 | 25.19 | PALM INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 205,000 | 17.00 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 200,000 | 17.44 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 244,000 | 17.20 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 255,000 | 17.28 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 200,000 | 24.06 | PALM INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 210,000 | 24.44 | PALM INC |
| 1L0027 | 9/30/2001 | 1/16/2001 | - | 215,000 | 17.17 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 215,000 | 18.25 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 215,000 | 26.44 | PALM INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 255,000 | 18.40 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 265,000 | 18.12 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 210,000 | 26.75 | PALM INC |
| 1L0027 | 9/30/2001 | 1/17/2001 | - | 298,000 | 18.26 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/18/2001 | - | 216,980 | 27.63 | PALM INC |
| 1L0027 | 9/30/2001 | 1/18/2001 | - | 215,000 | 18.63 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/18/2001 | - | 250,000 | 18.79 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/18/2001 | - | 255,000 | 27.25 | PALM INC |
| 1L0027 | 9/30/2001 | 1/18/2001 | - | 298,000 | 18.42 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/19/2001 | - | 211,930 | 18.75 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/19/2001 | - | 294,440 | 28.13 | PALM INC |
| 1L0027 | 9/30/2001 | 1/19/2001 | - | 210,000 | 18.67 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/19/2001 | - | 265,000 | 27.63 | PALM INC |
| 1L0027 | 9/30/2001 | 1/19/2001 | - | 290,000 | 18.50 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 215,000 | 18.92 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 298,000 | 34.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 205,000 | 34.81 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 260,210 | 76.63 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 210,000 | 18.90 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 210,000 | 28.06 | PALM INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 250,000 | 136.25 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 335,000 | 136.44 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 200,000 | 34.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 255,000 | 18.75 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 265,000 | 27.88 | PALM INC |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 177,000 | 34.88 | NORTEL NETWORKS CORP HLDS CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 250,000 | 35.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/22/2001 | - | 295,000 | 76.38 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 245,000 | 19.85 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 268,380 | 130.63 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 325,000 | 35.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 225,000 | 36.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 285,000 | 130.44 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 295,000 | 19.59 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 233,610 | 75.90 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 250,000 | 77.88 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 125,000 | 27.88 | PALM INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 235,000 | 75.15 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 285,000 | 19.15 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 190,000 | 27.50 | PALM INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 250,000 | 36.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 264,000 | 19.05 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 205,000 | 36.38 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/23/2001 | - | 282,000 | 36.38 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 145,000 | 79.44 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 264,000 | 20.56 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 265,000 | 39.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 285,000 | 20.70 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 320,000 | 39.75 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 350,000 | 39.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 282,000 | 20.72 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 582,000 | 63.75 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 235,000 | 77.50 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 259,050 | 135.00 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 285,000 | 134.75 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 289,000 | 20.90 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 65,000 | 77.12 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 300,240 | 39.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 520,000 | 64.38 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 308,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 138,620 | 79.75 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 245,000 | 20.99 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 485,000 | 64.56 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 219,690 | 78.00 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 295,000 | 20.85 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 460,000 | 64.75 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 290,000 | 40.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/24/2001 | - | 565,000 | 64.19 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 123,000 | 132.13 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 125,000 | 76.13 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 265,000 | 39.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 518,000 | 59.63 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 166,000 | 82.25 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 255,000 | 20.32 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 267,430 | 82.69 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 285,000 | 20.15 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 285,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 115,000 | 132.38 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 201,880 | 76.50 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 425,000 | 59.88 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 173,000 | 75.75 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 200,000 | 39.56 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/25/2001 | - | 295,000 | 20.25 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 253,310 | 84.25 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 215,000 | 83.88 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 226,950 | 134.19 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 250,000 | 78.88 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 215,000 | 78.42 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 360,000 | 39.25 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 210,000 | 77.95 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 285,000 | 38.68 | YAHOO INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 323,950 | 19.11 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 287,000 | 38.37 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 305,000 | 133.56 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 280,000 | 39.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 451,880 | 64.75 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 200,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 240,000 | 18.87 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 325,000 | 64.56 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 135,000 | 83.63 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 235,000 | 133.75 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 264,000 | 18.90 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/26/2001 | - | 526,000 | 64.00 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 162,000 | 63.50 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 265,000 | 40.06 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 295,000 | 20.00 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 500,000 | 64.31 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 510,000 | 64.00 | JDS UNIPHASE CORP |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 250,000 | 42.75 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 256,140 | 39.88 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 262,000 | 82.63 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 285,000 | 19.88 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 204,000 | 19.60 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 280,000 | 82.50 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 245,000 | 20.15 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 255,000 | 83.13 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 282,000 | 19.72 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 159,000 | 26.31 | PALM INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 185,000 | 42.31 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 264,000 | 19.79 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 295,000 | 138.75 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 296,000 | 19.65 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 335,000 | 139.06 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 210,000 | 42.56 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 235,000 | 80.63 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 245,000 | 81.00 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 257,000 | 138.56 | BROADCOM CORP CL A |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 145,000 | 26.44 | PALM INC |
| 1L0027 | 9/30/2001 | 1/29/2001 | - | 264,750 | 43.06 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 205,000 | 19.00 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 250,000 | 38.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 265,000 | 42.25 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 100,000 | 80.00 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 269,980 | 81.00 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 296,000 | 42.50 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 205,000 | 80.88 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 215,000 | 78.49 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 255,000 | 19.17 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 300,000 | 78.70 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 195,000 | 42.00 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 210,000 | 38.63 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 215,000 | 28.13 | PALM INC |
| 1L0027 | 9/30/2001 | 1/30/2001 | - | 264,000 | 18.86 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 215,000 | 17.90 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 250,000 | 78.50 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 300,000 | 38.00 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 185,000 | 27.06 | PALM INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 216,800 | 37.94 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 145,000 | 78.26 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 225,000 | 38.31 | YAHOO INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 200,000 | 17.84 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 111,970 | 27.25 | PALM INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 210,000 | 37.69 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 224,110 | 18.00 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 235,000 | 74.06 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 1/31/2001 | - | 240,000 | 73.88 | SIEBEL SYSTEMS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 145,000 | 79.75 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 140,000 | 28.13 | PALM INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 175,000 | 39.93 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 185,000 | 28.63 | PALM INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 240,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 190,000 | 17.62 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 183,000 | 76.06 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 200,000 | 39.50 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 276,540 | 17.80 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 200,000 | 17.75 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/1/2001 | - | 230,700 | 39.29 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 187,090 | 79.16 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 255,000 | 18.59 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 75,000 | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 299,670 | 74.75 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 212,000 | 78.50 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 330,000 | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 122,000 | 41.37 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 210,000 | 18.48 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 140,000 | 41.06 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 100,000 | 74.00 | SIEBEL SYSTEMS INC |
| 1L0027 | 9/30/2001 | 2/2/2001 | - | 135,000 | 28.50 | PALM INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 183,000 | 28.25 | PALM INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 320,000 | 18.62 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 100,000 | 78.70 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 253,980 | 19.05 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 265,000 | 18.91 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 275,000 | 40.50 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/5/2001 | - | 200,000 | 79.44 | EMC CORPORATION MASS |
| 1L0027 | 9/30/2001 | 2/6/2001 | - | 206,000 | 37.87 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/6/2001 | - | 138,000 | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/6/2001 | - | 315,000 | 37.62 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/6/2001 | - | 245,000 | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 9/30/2001 | 2/7/2001 | - | 205,000 | 36.25 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/8/2001 | - | 260,000 | 35.43 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/8/2001 | - | 286,830 | 35.75 | YAHOO INC |
| 1L0027 | 9/30/2001 | 2/9/2001 | - | 195,000 | 36.12 | YAHOO INC |
| 1L0027 | 4/30/2001 | 3/1/2001 | 520,000 | - | 98.73 | U S TREASURY BILL |
| 1L0027 | 11/30/2001 | 3/20/2001 | - | 40,000 | 36.15 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/20/2001 | - | 41,000 | 36.00 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 42,000 | 36.22 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 44,000 | 24.57 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 26,000 | 35.90 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 34,000 | 35.75 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 50,000 | 24.25 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/21/2001 | - | 32,000 | 36.00 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/22/2001 | - | 44,000 | 25.50 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/22/2001 | - | 30,000 | 35.64 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/22/2001 | - | 40,000 | 35.87 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/22/2001 | - | 40,000 | 25.70 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/23/2001 | - | 39,000 | 26.00 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/23/2001 | - | 38,000 | 36.90 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/23/2001 | - | 32,000 | 26.15 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/23/2001 | - | 36,000 | 36.85 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/23/2001 | - | 40,000 | 37.05 | NIKE INC |
| 1L0027 | 11/30/2001 | 3/26/2001 | - | 25,000 | 25.57 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/26/2001 | - | 34,000 | 25.37 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/27/2001 | - | 25,000 | 23.75 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/27/2001 | - | 34,000 | 23.55 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/28/2001 | - | 33,330 | 24.75 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/28/2001 | - | 44,000 | 24.80 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/29/2001 | - | 25,000 | 26.02 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/29/2001 | - | 24,000 | 25.99 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/29/2001 | - | 23,000 | 26.15 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 3/29/2001 | - | 32,000 | 26.20 | MCKESSON CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------|
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 77,000 | 30.25 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 67,000 | 30.81 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 75,000 | 30.63 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 61,000 | 31.69 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 81,000 | 31.75 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 80,000 | 30.69 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/6/2001 | - | 59,000 | 31.31 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 81,000 | 30.25 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 75,000 | 29.63 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 61,000 | 30.06 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 67,000 | 29.94 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 77,000 | 29.50 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 59,000 | 30.00 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/9/2001 | - | 80,000 | 29.81 | EBAY INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 225,000 | 11.43 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 130,000 | 12.05 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 200,000 | 11.50 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 215,000 | 11.45 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 245,000 | 11.62 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 255,000 | 11.75 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 260,000 | 11.59 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 232,000 | 11.91 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 4/11/2001 | - | 238,000 | 12.00 | MOTOROLA INC |
| 1L0027 | 11/30/2001 | 6/28/2001 | 80,000 | - | 71.00 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/28/2001 | 81,000 | - | 70.50 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/28/2001 | 67,000 | - | 70.89 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/28/2001 | 59,000 | - | 70.78 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/28/2001 | 61,000 | - | 70.65 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/29/2001 | 61,000 | - | 70.64 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/29/2001 | 81,000 | - | 70.55 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/29/2001 | 75,000 | - | 70.25 | EBAY INC |
| 1L0027 | 11/30/2001 | 6/29/2001 | 77,000 | - | 70.41 | EBAY INC |
| 1L0027 | 11/30/2001 | 7/2/2001 | 59,000 | - | 69.00 | EBAY INC |
| 1L0027 | 11/30/2001 | 7/2/2001 | 75,000 | - | 69.47 | EBAY INC |
| 1L0027 | 11/30/2001 | 7/2/2001 | 77,000 | - | 69.55 | EBAY INC |
| 1L0027 | 11/30/2001 | 7/2/2001 | 80,000 | - | 69.32 | EBAY INC |
| 1L0027 | 11/30/2001 | 7/2/2001 | 67,000 | - | 69.15 | EBAY INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 215,000 | 8.37 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 210,000 | 8.05 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 185,000 | 8.20 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 230,000 | 8.34 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 257,000 | 8.28 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 208,000 | 8.12 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 220,000 | 8.15 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 225,000 | 8.07 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/4/2001 | - | 250,000 | 8.23 | SUN MICROSYSTEMS INC |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 115,000 | 19.87 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 130,000 | 19.99 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 150,000 | 19.50 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 102,000 | 19.71 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 105,000 | 19.75 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 180,000 | 19.62 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 108,000 | 19.50 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/5/2001 | - | 110,000 | 19.80 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 148,000 | 20.25 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 260,000 | 20.00 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 205,000 | 19.27 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 215,000 | 19.45 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 230,000 | 19.50 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 240,000 | 19.88 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 152,000 | 20.15 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 185,000 | 19.20 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 210,000 | 19.32 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/9/2001 | - | 155,000 | 19.18 | INTEL CORP |
| 1L0027 | 11/30/2001 | 10/26/2001 | 50,000 | - | 39.00 | MCKESSON CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0027 | 11/30/2001 | 10/26/2001 | 40,000 | - | 39.15 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/26/2001 | 44,000 | - | 38.78 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/26/2001 | 44,000 | - | 39.25 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/29/2001 | 25,000 | - | 39.31 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/29/2001 | 39,000 | - | 39.25 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/29/2001 | 32,000 | - | 39.12 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/30/2001 | 34,000 | - | 38.78 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/31/2001 | 25,000 | - | 39.52 | MCKESSON CORP |
| 1L0027 | 11/30/2001 | 10/31/2001 | 34,000 | - | 39.75 | MCKESSON CORP |
| 1L0027 | 2/28/2002 | 1/22/2002 | - | 250,000 | 136.25 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/22/2002 | - | 295,000 | 76.38 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/22/2002 | - | 260,210 | 76.63 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/22/2002 | - | 335,000 | 136.44 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/23/2002 | - | 285,000 | 130.44 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/23/2002 | - | 268,380 | 130.63 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/23/2002 | - | 250,000 | 77.88 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/24/2002 | - | 138,620 | 79.75 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/24/2002 | - | 145,000 | 79.44 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/25/2002 | - | 123,000 | 132.13 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/25/2002 | - | 267,430 | 82.69 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/25/2002 | - | 115,000 | 132.38 | BROADCOM CORP CL A |
| 1L0027 | 2/28/2002 | 1/25/2002 | - | 166,000 | 82.25 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/26/2002 | - | 253,310 | 84.25 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/26/2002 | - | 215,000 | 83.88 | SIEBEL SYSTEMS INC |
| 1L0027 | 2/28/2002 | 1/26/2002 | - | 135,000 | 83.63 | SIEBEL SYSTEMS INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 200,000 | - | 17.75 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 240,000 | - | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 1/31/2002 | 2/1/2002 | 230,700 | - | 39.29 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 1/31/2002 | 2/1/2002 | 200,000 | - | 39.50 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 276,540 | - | 17.80 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 175,000 | - | 39.93 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 183,000 | - | 76.06 | SIEBEL SYSTEMS INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 190,000 | - | 17.62 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 140,000 | - | 28.13 | PALM INC |
| 1L0027 | 1/31/2002 | 2/1/2002 | 145,000 | - | 79.75 | EMC CORPORATION MASS |
| 1L0027 | 1/31/2002 | 2/1/2002 | 185,000 | - | 28.63 | PALM INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 140,000 | - | 41.06 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 212,000 | - | 78.50 | EMC CORPORATION MASS |
| 1L0027 | 1/31/2002 | 2/2/2002 | 330,000 | - | 39.00 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 1/31/2002 | 2/2/2002 | 122,000 | - | 41.37 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 135,000 | - | 28.50 | PALM INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 210,000 | - | 18.48 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 100,000 | - | 74.00 | SIEBEL SYSTEMS INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 187,090 | - | 79.16 | EMC CORPORATION MASS |
| 1L0027 | 1/31/2002 | 2/2/2002 | 299,670 | - | 74.75 | SIEBEL SYSTEMS INC |
| 1L0027 | 1/31/2002 | 2/2/2002 | 75,000 | - | 38.68 | NORTEL NETWORKS CORP HLDS CO |
| 1L0027 | 1/31/2002 | 2/2/2002 | 255,000 | - | 18.59 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/5/2002 | 320,000 | - | 18.62 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/5/2002 | 100,000 | - | 78.70 | EMC CORPORATION MASS |
| 1L0027 | 1/31/2002 | 2/5/2002 | 275,000 | - | 40.50 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/5/2002 | 200,000 | - | 79.44 | EMC CORPORATION MASS |
| 1L0027 | 1/31/2002 | 2/5/2002 | 183,000 | - | 28.25 | PALM INC |
| 1L0027 | 1/31/2002 | 2/5/2002 | 265,000 | - | 18.91 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/5/2002 | 253,980 | - | 19.05 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/6/2002 | 315,000 | - | 37.62 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/6/2002 | 245,000 | - | 18.80 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/6/2002 | 138,000 | - | 18.77 | LUCENT TECHNOLOGIES INC |
| 1L0027 | 1/31/2002 | 2/6/2002 | 206,000 | - | 37.87 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/7/2002 | 205,000 | - | 36.25 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/8/2002 | 260,000 | - | 35.43 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/8/2002 | 286,830 | - | 35.75 | YAHOO INC |
| 1L0027 | 1/31/2002 | 2/9/2002 | 195,000 | - | 36.12 | YAHOO INC |
| 1L0027 | 3/31/2002 | 2/28/2002 | 59,450,000 | - | 99.28 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.43 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.57 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 46,460,000 | 99.46 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.50 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.66 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.50 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.66 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.46 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.54 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.60 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.60 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 245,800,000 | 99.43 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.63 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.63 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 35,000,000 | 99.54 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/27/2002 | - | 240,000,000 | 99.57 | U S TREASURY BILL |
| 1L0027 | 3/31/2002 | 12/31/2002 | - | 1,185,000 | 99.66 | U S TREASURY BILL |
| 1L0027 | 11/30/2003 | 2/7/2003 | 95,000 | - | 13.53 | BROADCOM CORP CL A |
| 1L0027 | 11/30/2003 | 2/10/2003 | 150,000 | - | 12.39 | APPLIED MATERIALS INC |
| 1L0027 | 11/30/2003 | 2/11/2003 | 55,000 | - | 11.23 | ALTERA CORP |
| 1L0027 | 11/30/2003 | 3/4/2003 | 75,000 | - | 10.36 | NETWORK APPLIANCE INC |
| 1L0027 | 11/30/2003 | 3/6/2003 | 35,000 | - | 19.41 | TOLL BROTHERS INC |
| 1L0027 | 11/30/2003 | 3/24/2003 | 37,000 | - | 13.82 | CARMAX INC |
| 1L0027 | 11/30/2003 | 3/25/2003 | 35,000 | - | 13.94 | CARMAX INC |
| 1L0027 | 11/30/2003 | 3/28/2003 | 25,000 | - | 10.43 | UNITED STATES STEEL CORP |
| 1L0027 | 11/30/2003 | 3/31/2003 | 30,000 | - | 10.29 | UNITED STATES STEEL CORP |
| 1L0027 | 11/30/2003 | 4/8/2003 | 49,000 | - | 16.42 | NORDSTROM INC |
| 1L0027 | 11/30/2003 | 4/9/2003 | 50,000 | - | 16.45 | NORDSTROM INC |
| 1L0027 | 12/31/2003 | 10/23/2003 | 35,000 | - | 30.21 | PRICELINE COM INC |
| 1L0027 | 12/31/2003 | 10/23/2003 | 75,000 | - | 30.02 | PRICELINE COM INC |
| 1L0027 | 12/31/2004 | 11/3/2004 | 10,250 | - | 29.07 | PALMONE INC |
| 1L0027 | 12/31/2004 | 11/24/2004 | - | 155,000 | 16.71 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 12/31/2004 | 11/24/2004 | - | 125,000 | 16.79 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 12/31/2004 | 11/24/2004 | - | 170,000 | 16.76 | NATIONAL SEMICONDUCTOR CORP |
| 1L0027 | 12/31/2004 | 11/24/2004 | - | 150,000 | 16.83 | NATIONAL SEMICONDUCTOR CORP |
| 1L0028 | 5/31/1999 | 4/1/1999 | 7,650,000 | - | 97.87 | U S TREASURY BILL |
| 1L0028 | 5/31/1999 | 4/30/1999 | - | 57,770 | 1.00 | FIDELITY SPARTAN |
| 1L0028 | 5/31/1999 | 4/30/1999 | - | 7,493,689 | 1.00 | FIDELITY SPARTAN |
| 1L0028 | 5/31/1999 | 4/30/1999 | 64,404 | - | 1.00 | FIDELITY SPARTAN |
| 1L0028 | 6/30/1999 | 5/26/1999 | 12,425,000 | - | 99.66 | U S TREASURY BILL |
| 1L0028 | 6/30/1999 | 5/26/1999 | - | 12,425,000 | 98.66 | U S TREASURY BILL |
| 1L0028 | 8/31/2002 | 7/31/2002 | 15,400,000 | - | 99.48 | U S TREASURY BILL |
| 1L0028 | 8/31/2002 | 7/31/2002 | - | 15,400,000 | 99.48 | U S TREASURY BILL |
| 1L0028 | 8/31/2002 | 7/31/2002 | - | 15,400,000 | 99.54 | U S TREASURY BILL |
| 1L0028 | 8/31/2002 | 7/31/2002 | 15,400,000 | - | 99.54 | U S TREASURY BILL |
| 1L0030 | 1/31/1998 | 12/24/1998 | - | 13,145 | 103.13 | CORN PRODUCT INTERNATIONAL INC |
| 1L0030 | 1/31/1998 | 12/24/1998 | 13,145 | - | 103.13 | CPC INTL INC |
| 1L0035 | 11/30/2001 | 9/4/2001 | 20,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1L0035 | 12/31/2001 | 11/13/2001 | 7,000 | - | 59.80 | JOHNSON & JOHNSON |
| 1L0035 | 11/30/2008 | 10/3/2008 | 60,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0035 | 11/30/2008 | 10/3/2008 | - | 60,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0036 | 2/28/1999 | 1/19/1999 | - | 700 | 103.00 | AMGEN INC |
| 1L0036 | 8/31/1999 | 7/22/1999 | - | 6,400 | 100.00 | MICROSOFT CORP |
| 1L0036 | 11/30/2001 | 9/4/2001 | 10,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1L0036 | 12/31/2001 | 11/13/2001 | 15,000 | - | 59.80 | JOHNSON & JOHNSON |
| 1L0053 | 6/30/1999 | 5/26/1999 | - | 3,275,000 | 98.66 | U S TREASURY BILL |
| 1L0053 | 6/30/1999 | 5/26/1999 | 3,275,000 | - | 99.66 | U S TREASURY BILL |
| 1L0053 | 8/31/2002 | 7/31/2002 | 2,800,000 | - | 99.54 | U S TREASURY BILL |
| 1L0053 | 8/31/2002 | 7/31/2002 | 2,800,000 | - | 99.48 | U S TREASURY BILL |
| 1L0053 | 8/31/2002 | 7/31/2002 | - | 2,800,000 | 99.48 | U S TREASURY BILL |
| 1L0053 | 8/31/2002 | 7/31/2002 | - | 2,800,000 | 99.54 | U S TREASURY BILL |
| 1L0057 | 2/28/1997 | 1/7/1997 | 32,000 | - | 54.00 | AMERICAN EXPRESS COMPANY |
| 1L0057 | 2/28/1997 | 1/7/1997 | 38,000 | - | 108.25 | PHILIP MORRIS COMPANIES INC |
| 1L0057 | 2/28/1997 | 1/7/1997 | 11,500 | - | 56.00 | GENERAL MOTORS CORP |
| 1L0057 | 2/28/1997 | 1/7/1997 | 14,000 | - | 97.00 | EXXON CORP |
| 1L0057 | 2/28/1997 | 1/7/1997 | 19,000 | - | 32.63 | MCI COMMUNICATIONS CORP |
| 1L0057 | 2/28/1997 | 1/7/1997 | 28,800 | - | 40.00 | INTERNATIONAL PAPER CO |

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|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0057 | 2/28/1997 | 1/7/1997 | 9,800 | - | 33.25 | CHRYSLER CORP |
| 1L0057 | 2/28/1997 | 1/7/1997 | 38,400 | - | 96.25 | GENERAL ELECTRIC CO |
| 1L0057 | 2/28/1997 | 1/9/1997 | 19,000 | - | 22.25 | WAL-MART STORES INC |
| 1L0057 | 2/28/1997 | 1/10/1997 | 6,400 | - | 29.88 | LEHMAN BROS HOLDING INC |
| 1L0057 | 2/28/1997 | 1/14/1997 | 19,500 | - | 32.00 | RJR NABISCO HOLDINGS CORP |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 6,110 | 78.06 | DAIMLER CHRYSLER AG |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 35,451 | 54.75 | MCI WORLDCOM INC |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 50,000 | 124.50 | ORACLE CORPORATION |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 25,600 | 118.56 | HEWLETT PACKARD CO |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 32,000 | 164.00 | AMERICAN EXPRESS COMPANY |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 38,000 | 68.75 | WAL-MART STORES INC |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 6,400 | 84.50 | LEHMAN BROS HOLDING INC |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 19,500 | 10.63 | NABISCO GRP HLDS |
| 1L0057 | 2/29/2000 | 1/6/2000 | - | 41,125 | 124.50 | ORACLE CORPORATION |
| 1L0057 | 2/29/2000 | 1/7/2000 | - | 100,000 | 65.13 | GTE CORP |
| 1L0057 | 2/29/2000 | 1/10/2000 | - | 75,000 | 58.00 | COLGATE PALMOLIVE CO |
| 1L0057 | 2/29/2000 | 1/11/2000 | - | 1,575 | 141.25 | DOW CHEMICAL CO |
| 1L0057 | 2/29/2000 | 1/12/2000 | - | 41,600 | 96.69 | JOHNSON & JOHNSON |
| 1L0057 | 2/29/2000 | 1/12/2000 | - | 28,800 | 59.75 | INTERNATIONAL PAPER CO |
| 1L0057 | 2/29/2000 | 1/12/2000 | - | 40,000 | 96.63 | JOHNSON & JOHNSON |
| 1L0057 | 2/29/2000 | 1/13/2000 | - | 733 | 27.38 | RAYTHEON COMPANY NEW |
| 1L0057 | 2/29/2000 | 1/14/2000 | - | 38,000 | 24.31 | PHILIP MORRIS COMPANIES INC |
| 1L0057 | 2/29/2000 | 1/14/2000 | - | 76,000 | 24.38 | PHILIP MORRIS COMPANIES INC |
| 1L0057 | 2/29/2000 | 1/14/2000 | - | 29,000 | 68.50 | AMR CORP |
| 1L0057 | 2/29/2000 | 1/18/2000 | - | 40,000 | 49.81 | GAP INC |
| 1L0057 | 2/29/2000 | 1/18/2000 | - | 53,125 | 50.06 | GAP INC |
| 1L0057 | 2/29/2000 | 1/18/2000 | - | 58,000 | 49.88 | GAP INC |
| 1L0057 | 2/29/2000 | 1/18/2000 | - | 50,000 | 50.00 | GAP INC |
| 1L0057 | 2/29/2000 | 1/18/2000 | - | 52,000 | 49.94 | GAP INC |
| 1L0057 | 2/29/2000 | 1/19/2000 | - | 41,800 | 154.63 | GENERAL ELECTRIC CO |
| 1L0057 | 2/29/2000 | 1/19/2000 | - | 48,000 | 154.50 | GENERAL ELECTRIC CO |
| 1L0057 | 2/29/2000 | 1/19/2000 | - | 46,000 | 55.75 | AT & T CORP |
| 1L0057 | 2/29/2000 | 1/19/2000 | - | 52,000 | 154.56 | GENERAL ELECTRIC CO |
| 1L0057 | 2/29/2000 | 1/19/2000 | - | 44,000 | 55.63 | AT & T CORP |
| 1L0057 | 2/29/2000 | 1/20/2000 | - | 48,000 | 105.69 | INTEL CORP |
| 1L0057 | 2/29/2000 | 1/21/2000 | - | 11,500 | 85.50 | GENERAL MOTORS CORP |
| 1L0057 | 2/29/2000 | 1/21/2000 | - | 6,499 | 20.25 | R J REYNOLDS TOBACCO HLDS |
| 1L0057 | 2/29/2000 | 1/24/2000 | - | 8,037 | 18.56 | DELPHI AUTOMOTIVE SYSTEMS |
| 1L0057 | 2/29/2000 | 1/25/2000 | - | 46,000 | 124.13 | INTERNATIONAL BUSINESS MACHS |
| 1L0057 | 2/29/2000 | 1/25/2000 | - | 54,000 | 44.75 | ADVANCED MICRO DEVICES |
| 1L0057 | 2/29/2000 | 1/25/2000 | - | 38,000 | 75.75 | THE CHASE MANHATTAN CORP NEW |
| 1L0057 | 2/29/2000 | 1/25/2000 | - | 56,000 | 44.81 | ADVANCED MICRO DEVICES |
| 1L0057 | 2/29/2000 | 1/25/2000 | - | 65,000 | 44.88 | ADVANCED MICRO DEVICES |
| 1L0057 | 2/29/2000 | 1/27/2000 | - | 28,000 | 86.06 | EXXON MOBIL CORP |
| 1L0057 | 2/29/2000 | 1/28/2000 | - | 74,400 | 53.13 | NATIONAL SEMICONDUCTOR CORP |
| 1L0057 | 2/29/2000 | 1/28/2000 | 65,000 | - | 53.13 | NATIONAL SEMICONDUCTOR CORP |
| 1L0057 | 2/29/2000 | 1/28/2000 | - | 34,020 | 33.50 | COMPAQ COMPUTER CORP |
| 1L0057 | 7/31/2001 | 6/6/2001 | - | 40,000 | 38.77 | AMR CORP |
| 1L0057 | 7/31/2001 | 6/6/2001 | 50,000 | - | 38.77 | AMR CORP |
| 1L0057 | 11/30/2001 | 9/4/2001 | 50,000 | - | 45.40 | APPLIED MATERIALS INC |
| 1L0057 | 11/30/2001 | 9/4/2001 | 70,000 | - | 40.00 | XILINX INC |
| 1L0057 | 11/30/2001 | 9/4/2001 | 25,000 | - | 30.25 | ALTERA CORP |
| 1L0057 | 11/30/2001 | 9/4/2001 | 60,000 | - | 51.99 | KLA TENCOR CORPORATION |
| 1L0057 | 11/30/2001 | 9/4/2001 | 75,000 | - | 35.23 | PEOPLESOFT INC |
| 1L0057 | 11/30/2001 | 9/5/2001 | 70,000 | - | 38.26 | XILINX INC |
| 1L0057 | 11/30/2001 | 9/5/2001 | 75,000 | - | 33.00 | PEOPLESOFT INC |
| 1L0057 | 5/31/2003 | 1/3/2003 | 56,000 | - | 34.90 | EXXON MOBIL CORP |
| 1L0057 | 5/31/2003 | 1/3/2003 | 96,000 | - | 35.79 | AMERICAN EXPRESS COMPANY |
| 1L0057 | 5/31/2003 | 1/3/2003 | 12,800 | - | 54.22 | LEHMAN BROS HOLDING INC |
| 1L0057 | 5/31/2003 | 1/6/2003 | 46,000 | - | 78.21 | INTERNATIONAL BUSINESS MACHS |
| 1L0057 | 5/31/2003 | 1/6/2003 | 70,000 | - | 53.88 | JOHNSON & JOHNSON |
| 1L0057 | 5/31/2003 | 1/6/2003 | 93,200 | - | 53.80 | JOHNSON & JOHNSON |
| 1L0057 | 9/30/2004 | 7/1/2004 | - | 50,000 | 21.15 | HEWLETT PACKARD CO |
| 1L0057 | 9/30/2004 | 7/1/2004 | - | 80,000 | 21.06 | HEWLETT PACKARD CO |
| 1L0057 | 9/30/2004 | 7/1/2004 | 70,000 | - | 21.12 | HEWLETT PACKARD CO |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0057 | 9/30/2004 | 7/1/2004 | - | 70,000 | 21.12 | HEWLETT PACKARD CO |
| 1L0057 | 9/30/2004 | 7/1/2004 | 80,000 | - | 21.06 | HEWLETT PACKARD CO |
| 1L0057 | 9/30/2004 | 7/1/2004 | - | 100,000 | 11.12 | SIEBEL SYSTEMS INC |
| 1L0057 | 9/30/2004 | 7/1/2004 | 50,000 | - | 21.15 | HEWLETT PACKARD CO |
| 1L0057 | 9/30/2004 | 7/1/2004 | 100,000 | - | 11.12 | SIEBEL SYSTEMS INC |
| 1L0057 | 9/30/2004 | 7/2/2004 | 53,000 | - | 10.70 | SIEBEL SYSTEMS INC |
| 1L0057 | 9/30/2004 | 7/2/2004 | - | 53,000 | 10.70 | SIEBEL SYSTEMS INC |
| 1L0057 | 9/30/2004 | 7/6/2004 | 11,000 | - | 46.84 | BROADCOM CORP CL A |
| 1L0057 | 9/30/2004 | 7/6/2004 | 11,500 | - | 46.79 | GENERAL MOTORS CORP |
| 1L0057 | 9/30/2004 | 7/6/2004 | - | 11,000 | 46.84 | BROADCOM CORP CL A |
| 1L0057 | 9/30/2004 | 7/6/2004 | - | 11,500 | 46.79 | GENERAL MOTORS CORP |
| 1L0057 | 9/30/2004 | 7/7/2004 | - | 75,000 | 7.43 | LSI LOGIC CORP |
| 1L0057 | 9/30/2004 | 7/7/2004 | 50,000 | - | 7.40 | LSI LOGIC CORP |
| 1L0057 | 9/30/2004 | 7/7/2004 | 89,000 | - | 46.59 | BROADCOM CORP CL A |
| 1L0057 | 9/30/2004 | 7/7/2004 | - | 50,000 | 7.40 | LSI LOGIC CORP |
| 1L0057 | 9/30/2004 | 7/7/2004 | 75,000 | - | 7.43 | LSI LOGIC CORP |
| 1L0057 | 9/30/2004 | 7/7/2004 | - | 89,000 | 46.59 | BROADCOM CORP CL A |
| 1L0075 | 6/30/1999 | 5/26/1999 | 8,725,000 | - | 99.66 | U S TREASURY BILL |
| 1L0075 | 6/30/1999 | 5/26/1999 | - | 8,725,000 | 98.66 | U S TREASURY BILL |
| 1L0075 | 8/31/2002 | 7/31/2002 | 10,275,000 | - | 99.54 | U S TREASURY BILL |
| 1L0075 | 8/31/2002 | 7/31/2002 | 10,275,000 | - | 99.48 | U S TREASURY BILL |
| 1L0075 | 8/31/2002 | 7/31/2002 | - | 10,275,000 | 99.54 | U S TREASURY BILL |
| 1L0075 | 8/31/2002 | 7/31/2002 | - | 10,275,000 | 99.48 | U S TREASURY BILL |
| 1L0078 | 6/30/1999 | 5/26/1999 | - | 6,650,000 | 98.66 | U S TREASURY BILL |
| 1L0078 | 6/30/1999 | 5/26/1999 | 6,650,000 | - | 99.66 | U S TREASURY BILL |
| 1L0078 | 8/31/2002 | 7/31/2002 | 6,750,000 | - | 99.48 | U S TREASURY BILL |
| 1L0078 | 8/31/2002 | 7/31/2002 | - | 6,750,000 | 99.48 | U S TREASURY BILL |
| 1L0078 | 8/31/2002 | 7/31/2002 | - | 6,750,000 | 99.54 | U S TREASURY BILL |
| 1L0078 | 8/31/2002 | 7/31/2002 | 6,750,000 | - | 99.54 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 8/13/1997 | - | 150,000 | 59.06 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/13/1997 | - | 259,000 | 59.00 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/13/1997 | - | 150,000 | 59.13 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/13/1997 | - | 300,000 | 59.19 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 250,000 | 56.63 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 300,000 | 56.69 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 200,000 | 56.44 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 275,000 | 56.56 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 322,000 | 56.75 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 156,000 | 56.81 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 175,000 | 56.50 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 500 | 56.63 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 200,000 | 56.63 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 250,000 | 56.44 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 150,000 | 56.56 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 8/14/1997 | - | 350,000 | 56.50 | COMPAQ COMPUTER CORP |
| 1L0086 | 10/31/1997 | 9/30/1997 | 15,880,000 | - | 97.95 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.23 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.70 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.34 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 2,682 | - | 1.00 | FIDELITY SPARTAN |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.03 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.61 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.45 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.14 | U S TREASURY BILL |
| 1L0086 | 10/31/1997 | 9/30/1997 | 21,000,000 | - | 98.53 | U S TREASURY BILL |
| 1L0086 | 5/31/1999 | 4/30/1999 | 85,000,000 | - | 98.81 | U S TREASURY BILL |
| 1L0086 | 2/29/2000 | 1/10/2000 | 125,000 | - | 39.56 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/10/2000 | 150,000 | - | 39.44 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/10/2000 | 105,000 | - | 39.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/11/2000 | 145,000 | - | 39.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/11/2000 | 100,000 | - | 39.63 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/14/2000 | 64,000 | - | 90.81 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/14/2000 | 72,000 | - | 90.75 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/18/2000 | 50,000 | - | 87.44 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/18/2000 | 47,000 | - | 87.50 | APPLE COMPUTER INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1L0086 | 2/29/2000 | 1/18/2000 | 48,000 | - | 87.13 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/18/2000 | 52,000 | - | 87.38 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/18/2000 | 56,000 | - | 87.25 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/18/2000 | 58,000 | - | 87.00 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/21/2000 | - | 150,000 | 53.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/21/2000 | - | 105,000 | 53.44 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 47,000 | 121.19 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 50,000 | 121.25 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 52,000 | 120.63 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 48,000 | 120.75 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 72,000 | 121.00 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 56,000 | 120.69 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 58,000 | 120.88 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/25/2000 | - | 64,000 | 120.94 | APPLE COMPUTER INC |
| 1L0086 | 2/29/2000 | 1/28/2000 | - | 125,000 | 53.06 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/28/2000 | - | 145,000 | 53.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0086 | 2/29/2000 | 1/28/2000 | - | 100,000 | 53.44 | NATIONAL SEMICONDUCTOR CORP |
| 1L0088 | 10/31/1997 | 8/13/1997 | - | 135,000 | 59.25 | COMPAQ COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/13/1997 | - | 125,000 | 59.13 | COMPAQ COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/13/1997 | - | 200,000 | 59.06 | COMPAQ COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/13/1997 | - | 140,000 | 59.19 | COMPAQ COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/13/1997 | - | 150,000 | 59.00 | COMPAQ COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/22/1997 | - | 186,000 | 65.63 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/25/1997 | - | 148,000 | 66.88 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/25/1997 | - | 78,600 | 50.06 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 8/26/1997 | - | 338,000 | 49.94 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 8/26/1997 | - | 154,000 | 66.88 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 175,000 | 57.81 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 125,000 | 57.69 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 200,000 | 82.56 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 141,000 | 55.25 | NIKE INC |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 175,000 | 82.63 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 150,000 | 57.75 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 190,000 | 82.75 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 200,000 | 57.88 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 226,000 | 82.56 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 250,000 | 82.50 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 100,000 | 57.63 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 56,000 | 57.94 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 132,500 | 65.25 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 185,000 | 82.69 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 210,000 | 82.50 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/27/1997 | - | 227,000 | 49.31 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 250,000 | 84.69 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 146,000 | 50.00 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 150,000 | 84.56 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 175,000 | 66.88 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 200,000 | 84.50 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 15,000 | 57.81 | JOHNSON & JOHNSON |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 185,000 | 84.75 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/28/1997 | - | 175,000 | 84.63 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 99,000 | 66.31 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 160,000 | 82.88 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 150,000 | 82.81 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 239,000 | 50.00 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 200,000 | 55.00 | NIKE INC |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 200,000 | 82.75 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 54,000 | 82.94 | DELL COMPUTER CORP |
| 1L0088 | 10/31/1997 | 8/29/1997 | - | 200,000 | 54.94 | NIKE INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 195,000 | 49.25 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 175,000 | 49.13 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 183,000 | 48.75 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 150,000 | 49.19 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 215,000 | 53.75 | NIKE INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 300,000 | 49.19 | SUN MICROSYSTEMS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 200,000 | 49.06 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 200,000 | 53.69 | NIKE INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 150,000 | 49.00 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 150,000 | 49.13 | SUN MICROSYSTEMS INC |
| 1L0088 | 10/31/1997 | 9/2/1997 | - | 217,000 | 61.50 | HEWLETT PACKARD CO |
| 1L0088 | 10/31/1997 | 9/3/1997 | - | 159,000 | 48.88 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 9/3/1997 | - | 145,000 | 65.50 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 198,000 | 66.88 | COMPUTER ASSOC INTL INC |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 250,000 | 49.63 | AMGEN INC |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 324,000 | 53.38 | NIKE INC |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 250,000 | 49.56 | AMGEN INC |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 300,000 | 91.81 | MERCK & CO |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 119,000 | 61.44 | HEWLETT PACKARD CO |
| 1L0088 | 10/31/1997 | 9/4/1997 | - | 205,000 | 47.56 | KIMBERLY CLARK CORP |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.34 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.61 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 7,689 | - | 1.00 | FIDELITY SPARTAN |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.70 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.03 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.23 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.53 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.14 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,000,000 | - | 98.45 | U S TREASURY BILL |
| 1L0088 | 10/31/1997 | 9/30/1997 | 50,450,000 | - | 97.95 | U S TREASURY BILL |
| 1L0088 | 5/31/1999 | 4/30/1999 | 85,000,000 | - | 98.81 | U S TREASURY BILL |
| 1L0088 | 2/29/2000 | 1/6/2000 | - | 44,000 | 32.69 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/6/2000 | - | 88,000 | 42.06 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/6/2000 | - | 92,000 | 42.13 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/6/2000 | - | 56,000 | 42.00 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/6/2000 | - | 64,000 | 41.94 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 92,000 | - | 32.25 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 40,000 | - | 54.63 | AETNA INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 64,000 | - | 32.44 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 38,648 | - | 54.50 | AETNA INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 56,000 | - | 32.38 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/10/2000 | 88,000 | - | 32.31 | NOVELL INC |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 56,000 | 96.50 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 59,000 | 96.56 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 108,000 | 32.69 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 63,000 | 96.63 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 44,000 | 96.31 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 50,000 | 96.38 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/12/2000 | - | 57,000 | 96.44 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/14/2000 | - | 38,648 | 58.38 | AETNA INC |
| 1L0088 | 2/29/2000 | 1/14/2000 | - | 40,000 | 58.31 | AETNA INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 52,000 | - | 87.81 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 125,000 | - | 32.44 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/18/2000 | 175,000 | - | 32.31 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/18/2000 | 131,298 | - | 32.38 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/18/2000 | 41,000 | - | 87.56 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 53,000 | - | 87.00 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 56,000 | - | 87.63 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 62,000 | - | 87.13 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 200,000 | - | 32.25 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/18/2000 | - | 61,000 | 43.75 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/18/2000 | 49,000 | - | 87.25 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 48,000 | - | 87.75 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 59,000 | - | 87.50 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | 44,000 | - | 87.69 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/18/2000 | - | 100,000 | 43.69 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/18/2000 | 61,000 | - | 87.38 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/19/2000 | - | 138,000 | 32.06 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/20/2000 | - | 135,000 | 32.00 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/20/2000 | - | 13,000 | 43.50 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/20/2000 | - | 47,000 | 43.50 | BOISE CASCADE CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1L0088 | 2/29/2000 | 1/20/2000 | - | 29,000 | 43.50 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/21/2000 | - | 175,000 | 39.69 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/21/2000 | - | 125,000 | 39.56 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/21/2000 | - | 200,000 | 39.75 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/21/2000 | - | 131,298 | 39.63 | TYCO INTERNATIONAL LTD |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 49,000 | 121.00 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 41,000 | 120.75 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 59,000 | 120.88 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 53,000 | 121.13 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 62,000 | 121.06 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 56,000 | 120.69 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 44,000 | 120.63 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 61,000 | 120.94 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 48,000 | 121.25 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/25/2000 | - | 52,000 | 121.19 | APPLE COMPUTER INC |
| 1L0088 | 2/29/2000 | 1/27/2000 | 50,000 | - | 84.25 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/27/2000 | 105,000 | - | 26.25 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/27/2000 | 56,000 | - | 84.13 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/27/2000 | 57,000 | - | 84.19 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/27/2000 | 59,000 | - | 84.06 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/27/2000 | 63,000 | - | 84.00 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/27/2000 | 29,000 | - | 36.00 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/27/2000 | 44,000 | - | 84.31 | JOHNSON & JOHNSON |
| 1L0088 | 2/29/2000 | 1/28/2000 | 100,000 | - | 35.50 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/28/2000 | 138,000 | - | 26.00 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 61,000 | - | 35.25 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 13,000 | - | 35.50 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 47,000 | - | 35.38 | BOISE CASCADE CORP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 108,000 | - | 25.63 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 30,000 | - | 25.50 | USX-U S STEEL GROUP |
| 1L0088 | 2/29/2000 | 1/31/2000 | 44,000 | - | 25.56 | USX-U S STEEL GROUP |
| 1L0092 | 2/28/1999 | 1/19/1999 | - | 192 | 103.00 | AMGEN INC |
| 1L0092 | 11/30/2001 | 9/4/2001 | 24,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1L0092 | 12/31/2007 | 3/2/2007 | 24,000 | - | 64.12 | AMGEN INC |
| 1L0092 | 12/31/2007 | 7/16/2007 | 25,000 | - | 37.51 | GENERAL MOTORS CORP |
| 1L0092 | 9/30/2008 | 1/30/2008 | 24,000 | - | 48.78 | AMGEN INC |
| 1L0092 | 9/30/2008 | 3/7/2008 | - | 10,000 | 120.62 | APPLE INC |
| 1L0092 | 11/30/2008 | 10/1/2008 | 30,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0092 | 11/30/2008 | 10/1/2008 | - | 30,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0092 | 11/30/2008 | 10/3/2008 | - | 13,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0092 | 11/30/2008 | 10/3/2008 | 13,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0093 | 2/28/1999 | 1/19/1999 | - | 192 | 103.00 | AMGEN INC |
| 1L0093 | 11/30/2001 | 9/4/2001 | 25,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1L0093 | 11/30/2008 | 10/1/2008 | 18,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0093 | 11/30/2008 | 10/1/2008 | - | 18,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0093 | 11/30/2008 | 10/3/2008 | - | 18,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0093 | 11/30/2008 | 10/3/2008 | 18,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0094 | 2/28/1999 | 1/19/1999 | - | 216 | 103.00 | AMGEN INC |
| 1L0094 | 11/30/2001 | 9/4/2001 | 22,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1L0094 | 12/31/2003 | 11/26/2003 | 9,000 | - | 40.10 | NATIONAL SEMICONDUCTOR CORP |
| 1L0094 | 11/30/2008 | 10/1/2008 | - | 8,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0094 | 11/30/2008 | 10/1/2008 | 8,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1L0094 | 11/30/2008 | 10/3/2008 | 4,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0094 | 11/30/2008 | 10/3/2008 | - | 4,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1L0095 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1L0095 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1L0099 | 10/31/2000 | 1/7/2000 | 73,000 | - | 55.00 | QUALCOMM INC |
| 1L0099 | 11/30/2000 | 1/29/2000 | 295 | - | 1.00 | FIDELITY SPARTAN |
| 1L0099 | 2/28/2001 | 1/6/2001 | 34,000 | - | 191.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | 100,000 | - | 193.25 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 34,000 | 191.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 100,000 | 192.00 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | 100,000 | - | 192.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | 150,000 | - | 191.75 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 100,000 | 193.00 | QUALCOMM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 100,000 | 192.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 100,000 | 193.25 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | - | 150,000 | 191.75 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | 100,000 | - | 193.00 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/6/2001 | 100,000 | - | 192.00 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 100,000 | - | 85.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 100,000 | - | 84.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 55,000 | - | 84.75 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 84,000 | - | 85.00 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 90,000 | - | 84.88 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 60,000 | - | 85.25 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/8/2001 | 95,000 | - | 85.13 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 100,000 | 160.00 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 100,000 | 160.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 34,000 | 160.25 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 100,000 | 161.25 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 100,000 | 159.50 | QUALCOMM INC |
| 1L0099 | 2/28/2001 | 1/13/2001 | - | 150,000 | 159.25 | QUALCOMM INC |
| 1L0100 | 6/30/1999 | 5/26/1999 | - | 1,425,000 | 98.66 | U S TREASURY BILL |
| 1L0100 | 6/30/1999 | 5/26/1999 | 1,425,000 | - | 99.66 | U S TREASURY BILL |
| 1L0100 | 8/31/2002 | 7/31/2002 | 2,050,000 | - | 99.48 | U S TREASURY BILL |
| 1L0100 | 8/31/2002 | 7/31/2002 | 2,050,000 | - | 99.54 | U S TREASURY BILL |
| 1L0100 | 8/31/2002 | 7/31/2002 | - | 2,050,000 | 99.48 | U S TREASURY BILL |
| 1L0100 | 8/31/2002 | 7/31/2002 | - | 2,050,000 | 99.54 | U S TREASURY BILL |
| 1L0101 | 4/30/1996 | 2/29/1996 | - | 2,073,609 | - | FIDELITY CASH RESERVES SBI |
| 1L0101 | 2/28/2001 | 1/3/2001 | - | 1,854 | 1.00 | FIDELITY SPARTAN |
| 1L0101 | 2/28/2001 | 1/3/2001 | - | 94,815,000 | 98.70 | U S TREASURY BILL |
| 1L0101 | 2/28/2001 | 12/29/2001 | 94,815,000 | - | 98.57 | U S TREASURY BILL |
| 1L0101 | 2/28/2001 | 12/29/2001 | 1,854 | - | 1.00 | FIDELITY SPARTAN |
| 1L0102 | 2/29/1996 | 1/10/1996 | 150,000 | - | 30.13 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/10/1996 | 13,000 | - | 3.00 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/11/1996 | 85,000 | - | 37.88 | APPLIED MATERIALS INC |
| 1L0102 | 2/29/1996 | 1/11/1996 | 175,000 | - | 37.63 | APPLIED MATERIALS INC |
| 1L0102 | 2/29/1996 | 1/11/1996 | 100,000 | - | 29.25 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/11/1996 | 175,000 | - | 37.75 | APPLIED MATERIALS INC |
| 1L0102 | 2/29/1996 | 1/12/1996 | 180,000 | - | 29.38 | BAY NETWORKS INC |
| 1L0102 | 2/29/1996 | 1/12/1996 | 100,000 | - | 29.50 | BAY NETWORKS INC |
| 1L0102 | 2/29/1996 | 1/12/1996 | 140,000 | - | 42.00 | DELL COMPUTER |
| 1L0102 | 2/29/1996 | 1/12/1996 | 58,000 | - | 14.00 | INTEL CORP |
| 1L0102 | 2/29/1996 | 1/12/1996 | 125,000 | - | 58.63 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/13/1996 | 170,000 | - | 44.00 | DELL COMPUTER |
| 1L0102 | 2/29/1996 | 1/13/1996 | 100,000 | - | 29.75 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/13/1996 | 62,000 | - | 14.25 | INTEL CORP |
| 1L0102 | 2/29/1996 | 1/13/1996 | 75,000 | - | 29.75 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/13/1996 | 100,000 | - | 58.00 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/13/1996 | 100,000 | - | 42.00 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/13/1996 | 139,000 | - | 29.63 | BAY NETWORKS INC |
| 1L0102 | 2/29/1996 | 1/13/1996 | 38,000 | - | 3.13 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/17/1996 | 120,000 | - | 45.75 | DELL COMPUTER |
| 1L0102 | 2/29/1996 | 1/17/1996 | 75,000 | - | 43.75 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/17/1996 | 16,000 | - | 14.38 | INTEL CORP |
| 1L0102 | 2/29/1996 | 1/18/1996 | 75,000 | - | 46.00 | DELL COMPUTER |
| 1L0102 | 2/29/1996 | 1/18/1996 | 31,000 | - | 3.13 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/18/1996 | 13,000 | - | 56.88 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/18/1996 | 50,000 | - | 46.00 | DELL COMPUTER |
| 1L0102 | 2/29/1996 | 1/19/1996 | 144,000 | - | 56.25 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/20/1996 | 93,000 | - | 56.63 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/20/1996 | 97,000 | - | 14.63 | INTEL CORP |
| 1L0102 | 2/29/1996 | 1/23/1996 | 75,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/23/1996 | 75,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/23/1996 | 9,000 | - | 3.13 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/23/1996 | 25,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/23/1996 | 140,000 | - | 57.00 | AMGEN INC |
| 1L0102 | 2/29/1996 | 1/23/1996 | 125,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0102 | 2/29/1996 | 1/24/1996 | 100,000 | - | 42.13 | ORACLE CORPORATION |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0102 | 2/29/1996 | 1/24/1996 | 17,000 | - | 14.88 | INTEL CORP |
| 1L0102 | 2/29/1996 | 1/25/1996 | 75,000 | - | 3.25 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/26/1996 | 84,000 | - | 3.50 | QUARTERDECK CORP |
| 1L0102 | 2/29/1996 | 1/27/1996 | 90,000 | - | 30.88 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/27/1996 | 200,000 | - | 29.75 | BAY NETWORKS INC |
| 1L0102 | 2/29/1996 | 1/27/1996 | 61,000 | - | 34.75 | DIGITAL EQUIPMENT CORP |
| 1L0102 | 2/29/1996 | 1/27/1996 | 50,000 | - | 30.88 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/27/1996 | 93,000 | - | 29.88 | BAY NETWORKS INC |
| 1L0102 | 2/29/1996 | 1/27/1996 | 60,000 | - | 34.88 | DIGITAL EQUIPMENT CORP |
| 1L0102 | 2/29/1996 | 1/30/1996 | 100,000 | - | 34.13 | DIGITAL EQUIPMENT CORP |
| 1L0102 | 2/29/1996 | 1/31/1996 | 75,000 | - | 29.75 | INFORMIX CORP |
| 1L0102 | 2/29/1996 | 1/31/1996 | 100,000 | - | 33.63 | DIGITAL EQUIPMENT CORP |
| 1L0102 | 2/29/1996 | 3/1/1996 | 50,000 | - | 33.25 | CISCO SYSTEMS |
| 1L0102 | 2/29/1996 | 3/1/1996 | 125,000 | - | 33.00 | CISCO SYSTEMS |
| 1L0102 | 2/29/1996 | 3/1/1996 | 100,000 | - | 33.13 | CISCO SYSTEMS |
| 1L0102 | 2/29/1996 | 3/1/1996 | 75,000 | - | 32.88 | CISCO SYSTEMS |
| 1L0102 | 2/29/1996 | 3/9/1996 | 250,000 | - | 30.00 | SUN MICROSYSTEMS INC |
| 1L0102 | 2/29/1996 | 3/9/1996 | 125,000 | - | 30.25 | SUN MICROSYSTEMS INC |
| 1L0102 | 2/29/1996 | 9/1/1996 | 105,000 | - | 46.88 | NETSCAPE COMMUNICATIONS CORP |
| 1L0102 | 2/29/1996 | 9/5/1996 | 61,000 | - | 46.13 | NETSCAPE COMMUNICATIONS CORP |
| 1L0102 | 2/29/1996 | 9/6/1996 | 84,000 | - | 45.88 | NETSCAPE COMMUNICATIONS CORP |
| 1L0102 | 2/29/1996 | 11/15/1996 | - | 200,000 | 52.63 | 3COM CORP |
| 1L0102 | 2/29/1996 | 11/15/1996 | - | 200,000 | 52.75 | 3COM CORP |
| 1L0102 | 2/29/1996 | 11/16/1996 | - | 37,000 | 88.00 | CISCO SYSTEMS |
| 1L0102 | 2/29/1996 | 12/7/1996 | - | 91,000 | 39.25 | QUARTERDECK CORP |
| 1L0102 | 3/31/1999 | 1/11/1999 | 103,000 | - | 48.81 | 3COM CORP |
| 1L0102 | 3/31/1999 | 1/11/1999 | 225,000 | - | 48.94 | 3COM CORP |
| 1L0102 | 3/31/1999 | 1/11/1999 | 175,000 | - | 48.88 | 3COM CORP |
| 1L0102 | 11/30/1999 | 10/29/1999 | - | 146,184,989 | 1.00 | FIDELITY SPARTAN |
| 1L0102 | 12/31/1999 | 11/30/1999 | - | 129,033,713 | 1.00 | FIDELITY SPARTAN |
| 1L0102 | 12/31/2007 | 3/7/2007 | 90,000 | - | 37.88 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/7/2007 | 85,000 | - | 37.93 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/8/2007 | 75,000 | - | 37.34 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/8/2007 | 140,000 | - | 37.51 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/9/2007 | 80,000 | - | 37.60 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/9/2007 | 85,000 | - | 37.66 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/9/2007 | 100,000 | - | 37.53 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/12/2007 | 80,000 | - | 38.41 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/12/2007 | 25,000 | - | 454.49 | GOOGLE |
| 1L0102 | 12/31/2007 | 3/12/2007 | 75,000 | - | 38.44 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/12/2007 | 125,000 | - | 38.34 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/13/2007 | 70,000 | - | 38.12 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/13/2007 | 90,000 | - | 38.05 | AMAZON COM INC |
| 1L0102 | 12/31/2007 | 3/13/2007 | 50,000 | - | 454.25 | GOOGLE |
| 1L0102 | 12/31/2007 | 3/14/2007 | 55,000 | - | 450.30 | GOOGLE |
| 1L0102 | 12/31/2007 | 3/16/2007 | 110,000 | - | 88.58 | APPLE INC |
| 1L0102 | 12/31/2007 | 3/16/2007 | 75,000 | - | 88.62 | APPLE INC |
| 1L0102 | 12/31/2007 | 3/19/2007 | 125,000 | - | 87.99 | APPLE INC |
| 1L0102 | 12/31/2007 | 3/19/2007 | 90,000 | - | 88.08 | APPLE INC |
| 1L0102 | 12/31/2007 | 3/20/2007 | 88,000 | - | 89.57 | APPLE INC |
| 1L0102 | 12/31/2007 | 3/20/2007 | 100,000 | - | 89.49 | APPLE INC |
| 1L0103 | 2/29/1996 | 1/10/1996 | 150,000 | - | 30.00 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/10/1996 | 13,000 | - | 3.00 | QUARTERDECK CORP |
| 1L0103 | 2/29/1996 | 1/11/1996 | 85,000 | - | 37.88 | APPLIED MATERIALS INC |
| 1L0103 | 2/29/1996 | 1/11/1996 | 200,000 | - | 37.63 | APPLIED MATERIALS INC |
| 1L0103 | 2/29/1996 | 1/11/1996 | 150,000 | - | 37.75 | APPLIED MATERIALS INC |
| 1L0103 | 2/29/1996 | 1/12/1996 | 125,000 | - | 58.63 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/12/1996 | 150,000 | - | 42.00 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/12/1996 | 150,000 | - | 29.38 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/12/1996 | 58,000 | - | 14.00 | INTEL CORP |
| 1L0103 | 2/29/1996 | 1/12/1996 | 100,000 | - | 29.38 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/12/1996 | 130,000 | - | 29.50 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/13/1996 | 100,000 | - | 42.00 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/13/1996 | 150,000 | - | 44.00 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/13/1996 | 38,000 | - | 3.13 | QUARTERDECK CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|------------------------------|
| 1L0103 | 2/29/1996 | 1/13/1996 | 100,000 | - | 29.75 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/13/1996 | 39,000 | - | 29.75 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/13/1996 | 100,000 | - | 58.00 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/13/1996 | 75,000 | - | 29.75 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/13/1996 | 20,000 | - | 44.13 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/13/1996 | 62,000 | - | 14.25 | INTEL CORP |
| 1L0103 | 2/29/1996 | 1/13/1996 | 100,000 | - | 29.63 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/17/1996 | 120,000 | - | 45.75 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/17/1996 | 16,000 | - | 14.38 | INTEL CORP |
| 1L0103 | 2/29/1996 | 1/17/1996 | 75,000 | - | 43.75 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/18/1996 | 31,000 | - | 3.13 | QUARTERDECK CORP |
| 1L0103 | 2/29/1996 | 1/18/1996 | 50,000 | - | 46.00 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/18/1996 | 75,000 | - | 46.00 | DELL COMPUTER |
| 1L0103 | 2/29/1996 | 1/18/1996 | 13,000 | - | 56.88 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/19/1996 | 70,000 | - | 56.38 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/19/1996 | 74,000 | - | 56.25 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/20/1996 | 93,000 | - | 56.63 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/20/1996 | 97,000 | - | 14.63 | INTEL CORP |
| 1L0103 | 2/29/1996 | 1/23/1996 | 9,000 | - | 3.13 | QUARTERDECK CORP |
| 1L0103 | 2/29/1996 | 1/23/1996 | 140,000 | - | 57.00 | AMGEN INC |
| 1L0103 | 2/29/1996 | 1/23/1996 | 125,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/23/1996 | 25,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/23/1996 | 50,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/23/1996 | 100,000 | - | 42.25 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/24/1996 | 100,000 | - | 42.13 | ORACLE CORPORATION |
| 1L0103 | 2/29/1996 | 1/24/1996 | 17,000 | - | 14.88 | INTEL CORP |
| 1L0103 | 2/29/1996 | 1/25/1996 | 75,000 | - | 3.25 | QUARTERDECK CORP |
| 1L0103 | 2/29/1996 | 1/26/1996 | 84,000 | - | 3.50 | QUARTERDECK CORP |
| 1L0103 | 2/29/1996 | 1/27/1996 | 175,000 | - | 29.75 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/27/1996 | 75,000 | - | 30.88 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/27/1996 | 65,000 | - | 30.88 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/27/1996 | 41,000 | - | 34.88 | DIGITAL EQUIPMENT CORP |
| 1L0103 | 2/29/1996 | 1/27/1996 | 80,000 | - | 34.75 | DIGITAL EQUIPMENT CORP |
| 1L0103 | 2/29/1996 | 1/27/1996 | 118,000 | - | 29.88 | BAY NETWORKS INC |
| 1L0103 | 2/29/1996 | 1/30/1996 | 100,000 | - | 34.13 | DIGITAL EQUIPMENT CORP |
| 1L0103 | 2/29/1996 | 1/31/1996 | 75,000 | - | 29.75 | INFORMIX CORP |
| 1L0103 | 2/29/1996 | 1/31/1996 | 100,000 | - | 33.63 | DIGITAL EQUIPMENT CORP |
| 1L0103 | 2/29/1996 | 3/1/1996 | 105,000 | - | 33.13 | CISCO SYSTEMS |
| 1L0103 | 2/29/1996 | 3/1/1996 | 150,000 | - | 32.88 | CISCO SYSTEMS |
| 1L0103 | 2/29/1996 | 3/1/1996 | 95,000 | - | 33.00 | CISCO SYSTEMS |
| 1L0103 | 2/29/1996 | 3/8/1996 | 110,000 | - | 30.63 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 3/8/1996 | 90,000 | - | 30.50 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 3/8/1996 | 100,000 | - | 30.38 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 3/9/1996 | 100,000 | - | 30.13 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 3/10/1996 | 100,000 | - | 30.50 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 3/10/1996 | 125,000 | - | 30.38 | SUN MICROSYSTEMS INC |
| 1L0103 | 2/29/1996 | 8/16/1996 | 150,000 | - | 49.00 | NETSCAPE COMMUNICATIONS CORP |
| 1L0103 | 2/29/1996 | 8/17/1996 | 100,000 | - | 50.38 | NETSCAPE COMMUNICATIONS CORP |
| 1L0103 | 2/29/1996 | 11/15/1996 | - | 190,000 | 52.75 | 3COM CORP |
| 1L0103 | 2/29/1996 | 11/15/1996 | - | 210,000 | 52.63 | 3COM CORP |
| 1L0103 | 2/29/1996 | 11/16/1996 | - | 37,000 | 88.00 | CISCO SYSTEMS |
| 1L0103 | 2/29/1996 | 12/8/1996 | - | 91,000 | 39.00 | QUARTERDECK CORP |
| 1L0103 | 3/31/1999 | 1/11/1999 | 175,000 | - | 48.88 | 3COM CORP |
| 1L0103 | 3/31/1999 | 1/11/1999 | 160,000 | - | 48.94 | 3COM CORP |
| 1L0103 | 3/31/1999 | 1/11/1999 | 125,000 | - | 48.81 | 3COM CORP |
| 1L0103 | 11/30/1999 | 10/29/1999 | - | 243,910,921 | 1.00 | FIDELITY SPARTAN |
| 1L0103 | 12/31/1999 | 11/30/1999 | - | 418,273,512 | 1.00 | FIDELITY SPARTAN |
| 1L0103 | 12/31/2007 | 3/7/2007 | 85,000 | - | 37.93 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/7/2007 | 90,000 | - | 37.88 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/8/2007 | 140,000 | - | 37.51 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/8/2007 | 75,000 | - | 37.34 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/9/2007 | 100,000 | - | 37.53 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/9/2007 | 70,000 | - | 37.71 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/9/2007 | 85,000 | - | 37.66 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/9/2007 | 80,000 | - | 37.60 | AMAZON COM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0103 | 12/31/2007 | 3/12/2007 | 125,000 | - | 38.34 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/12/2007 | 75,000 | - | 38.44 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/12/2007 | 75,000 | - | 454.51 | GOOGLE |
| 1L0103 | 12/31/2007 | 3/12/2007 | 80,000 | - | 38.49 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/12/2007 | 80,000 | - | 38.41 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/12/2007 | 25,000 | - | 454.49 | GOOGLE |
| 1L0103 | 12/31/2007 | 3/13/2007 | 50,000 | - | 454.37 | GOOGLE |
| 1L0103 | 12/31/2007 | 3/13/2007 | 90,000 | - | 38.05 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/13/2007 | 50,000 | - | 454.25 | GOOGLE |
| 1L0103 | 12/31/2007 | 3/13/2007 | 70,000 | - | 38.12 | AMAZON COM INC |
| 1L0103 | 12/31/2007 | 3/14/2007 | 55,000 | - | 450.30 | GOOGLE |
| 1L0103 | 12/31/2007 | 3/16/2007 | 110,000 | - | 88.58 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/16/2007 | 75,000 | - | 88.62 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/19/2007 | 78,000 | - | 88.10 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/19/2007 | 95,000 | - | 88.08 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/19/2007 | 125,000 | - | 87.99 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/19/2007 | 45,000 | - | 88.22 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/20/2007 | 88,000 | - | 89.57 | APPLE INC |
| 1L0103 | 12/31/2007 | 3/20/2007 | 100,000 | - | 89.49 | APPLE INC |
| 1L0124 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1L0124 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1L0124 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1L0124 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1L0135 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1L0135 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1L0135 | 8/31/2002 | 7/31/2002 | - | 575,000 | 99.54 | U S TREASURY BILL |
| 1L0135 | 8/31/2002 | 7/31/2002 | 575,000 | - | 99.48 | U S TREASURY BILL |
| 1L0156 | 6/30/1999 | 5/26/1999 | 525,000 | - | 99.66 | U S TREASURY BILL |
| 1L0156 | 6/30/1999 | 5/26/1999 | - | 525,000 | 98.66 | U S TREASURY BILL |
| 1L0168 | 3/31/1999 | 1/7/1999 | 10,000 | - | 26.75 | GALLAHER GROUP PLC ADR |
| 1L0168 | 3/31/1999 | 1/7/1999 | 10,000 | - | 31.25 | FORTUNE BRANDS INC |
| 1L0168 | 3/31/1999 | 1/7/1999 | 9,600 | - | 47.75 | CATERPILLAR INC |
| 1L0168 | 3/31/1999 | 1/7/1999 | 9,600 | - | 128.75 | PFIZER INC |
| 1L0168 | 3/31/1999 | 1/7/1999 | 40,000 | - | 48.75 | ABBOTT LABORATORIES |
| 1L0168 | 3/31/1999 | 1/8/1999 | 5,924 | - | 26.25 | CHIRON CORP |
| 1L0168 | 3/31/1999 | 1/12/1999 | 20,000 | - | 84.94 | WAL-MART STORES INC |
| 1L0168 | 3/31/1999 | 1/13/1999 | 4,800 | - | 61.00 | PHELPS DODGE CORP |
| 1L0168 | 3/31/1999 | 1/14/1999 | 20,800 | - | 77.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0168 | 3/31/1999 | 1/25/1999 | 36,000 | - | 50.00 | COMPAQ COMPUTER CORP |
| 1L0168 | 3/31/1999 | 1/29/1999 | 28,800 | - | 171.50 | MICROSOFT CORP |
| 1L0168 | 1/31/1999 | 8/10/1999 | - | 20,000 | 57.50 | WAL-MART STORES INC |
| 1L0168 | 1/31/1999 | 8/10/1999 | - | 40,000 | 39.25 | ABBOTT LABORATORIES |
| 1L0168 | 1/31/1999 | 8/14/1999 | - | 10,000 | 23.50 | GALLAHER GROUP PLC ADR |
| 1L0168 | 1/31/1999 | 8/26/1999 | - | 20,800 | 60.25 | THE CHASE MANHATTAN CORP NEW |
| 1L0168 | 1/31/1999 | 8/26/1999 | - | 10,000 | 31.00 | FORTUNE BRANDS INC |
| 1L0168 | 1/31/1999 | 9/1/1999 | - | 5,924 | 14.75 | CHIRON CORP |
| 1L0168 | 1/31/1999 | 9/3/1999 | - | 9,600 | 93.06 | PFIZER INC |
| 1L0168 | 1/31/1999 | 9/3/1999 | - | 9,600 | 42.25 | CATERPILLAR INC |
| 1L0168 | 1/31/1999 | 9/3/1999 | - | 4,800 | 44.06 | PHELPS DODGE CORP |
| 1L0168 | 1/31/1999 | 9/3/1999 | - | 36,000 | 27.75 | COMPAQ COMPUTER CORP |
| 1L0168 | 1/31/1999 | 9/4/1999 | - | 28,800 | 95.00 | MICROSOFT CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 41,000 | - | 186.25 | INTERNATIONAL BUSINESS MACHS |
| 1L0169 | 3/31/1999 | 1/7/1999 | 400,000 | - | 74.88 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 50,000 | - | 186.38 | INTERNATIONAL BUSINESS MACHS |
| 1L0169 | 3/31/1999 | 1/7/1999 | 2,400 | - | 61.00 | AMERITECH CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 350,000 | - | 74.50 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 450,000 | - | 74.69 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 3,288 | - | 54.75 | BELL ATLANTIC CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 1,668 | - | 39.06 | ALLSTATE |
| 1L0169 | 3/31/1999 | 1/7/1999 | 600,000 | - | 74.75 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 2,100 | - | 27.25 | ALCAN ALUMINUM LIMITED NEW |
| 1L0169 | 3/31/1999 | 1/7/1999 | 500,000 | - | 74.81 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 650,000 | - | 74.56 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 550,000 | - | 74.63 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/7/1999 | 600,000 | - | 74.44 | DELL COMPUTER CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0169 | 3/31/1999 | 1/8/1999 | 425,000 | - | 75.38 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 525,000 | - | 75.44 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 525,000 | - | 75.19 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 625,000 | - | 75.50 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 500,000 | - | 75.31 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 600,000 | - | 75.13 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/8/1999 | 475,000 | - | 75.25 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 575,000 | - | 78.19 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 550,000 | - | 77.81 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 400,000 | - | 78.06 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 375,000 | - | 78.25 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 450,000 | - | 77.88 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 350,000 | - | 77.69 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 1,400 | - | 69.50 | GTE CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 155,000 | - | 78.13 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 500,000 | - | 78.00 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 600,000 | - | 77.94 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/11/1999 | 650,000 | - | 77.75 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 625,000 | - | 78.81 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 50,000 | - | 84.94 | WAL-MART STORES INC |
| 1L0169 | 3/31/1999 | 1/12/1999 | 475,000 | - | 78.56 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 375,000 | - | 78.44 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 412,000 | - | 78.38 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 500,000 | - | 78.63 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 525,000 | - | 78.50 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 27,000 | - | 84.88 | WAL-MART STORES INC |
| 1L0169 | 3/31/1999 | 1/12/1999 | 425,000 | - | 78.69 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 525,000 | - | 78.75 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/12/1999 | 600,000 | - | 78.88 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/13/1999 | 529,500 | - | 107.38 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 525,000 | - | 107.50 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 4,100 | - | 95.00 | DOW CHEMICAL CO |
| 1L0169 | 3/31/1999 | 1/13/1999 | 7,000 | - | 153.75 | MERCK & CO |
| 1L0169 | 3/31/1999 | 1/13/1999 | 188,000 | - | 79.81 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/13/1999 | 425,000 | - | 57.38 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 487,500 | - | 107.69 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 684,000 | - | 107.31 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 155,000 | - | 79.75 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/13/1999 | 375,000 | - | 57.31 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 525,000 | - | 107.63 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 3,500 | - | 71.50 | GEORGIA PACIFIC GROUP |
| 1L0169 | 3/31/1999 | 1/13/1999 | 637,500 | - | 107.44 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 250,000 | - | 57.44 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 345,000 | - | 107.75 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/13/1999 | 575,000 | - | 79.88 | DELL COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/13/1999 | 600,000 | - | 107.56 | CISCO SYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/14/1999 | 2,600 | - | 42.38 | PEPSICO INC |
| 1L0169 | 3/31/1999 | 1/14/1999 | 5,720 | - | 77.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0169 | 3/31/1999 | 1/14/1999 | 260 | - | 50.75 | TRICON GLOBAL RESTAURANTS INC |
| 1L0169 | 3/31/1999 | 1/14/1999 | 3,600 | - | 48.00 | BELLSOUTH CORP |
| 1L0169 | 3/31/1999 | 1/15/1999 | 32,288 | - | 55.38 | GILLETTE CO |
| 1L0169 | 3/31/1999 | 1/15/1999 | 50,000 | - | 55.31 | GILLETTE CO |
| 1L0169 | 3/31/1999 | 1/15/1999 | 75,000 | - | 32.81 | ADVANCED MICRO DEVICES |
| 1L0169 | 3/31/1999 | 1/15/1999 | 300,000 | - | 142.19 | INTEL CORP |
| 1L0169 | 3/31/1999 | 1/15/1999 | 80,000 | - | 32.00 | ADVANCED MICRO DEVICES |
| 1L0169 | 3/31/1999 | 1/15/1999 | 100,000 | - | 32.69 | ADVANCED MICRO DEVICES |
| 1L0169 | 3/31/1999 | 1/15/1999 | 500,000 | - | 142.13 | INTEL CORP |
| 1L0169 | 3/31/1999 | 1/15/1999 | 3,200 | - | 142.25 | INTEL CORP |
| 1L0169 | 3/31/1999 | 1/15/1999 | 200,000 | - | 142.25 | INTEL CORP |
| 1L0169 | 3/31/1999 | 1/19/1999 | 398,000 | - | 56.38 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/21/1999 | 22,000 | - | 38.75 | KELLOGG CO |
| 1L0169 | 3/31/1999 | 1/21/1999 | 12,800 | - | 96.88 | BIOGEN INC |
| 1L0169 | 3/31/1999 | 1/22/1999 | 3,500 | - | 23.88 | GEORGIA PACIFIC TIMBER GROUP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 100,000 | - | 53.69 | ORACLE CORPORATION |
| 1L0169 | 3/31/1999 | 1/25/1999 | 100,000 | - | 53.69 | ORACLE CORPORATION |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0169 | 3/31/1999 | 1/25/1999 | 250,000 | - | 59.63 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 500,000 | - | 115.44 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 100,000 | - | 53.50 | ORACLE CORPORATION |
| 1L0169 | 3/31/1999 | 1/25/1999 | 150,000 | - | 49.88 | COMPAQ COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 143,000 | - | 53.63 | ORACLE CORPORATION |
| 1L0169 | 3/31/1999 | 1/25/1999 | 155,000 | - | 115.13 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 175,000 | - | 77.81 | MICRON TECHNOLOGY INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 200,000 | - | 115.25 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 250,000 | - | 115.31 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 100,000 | - | 77.75 | MICRON TECHNOLOGY INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 100,000 | - | 77.94 | MICRON TECHNOLOGY INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 114,000 | - | 59.50 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 225,000 | - | 59.69 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 350,000 | - | 59.56 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 6,625 | - | 53.63 | ORACLE CORPORATION |
| 1L0169 | 3/31/1999 | 1/25/1999 | 150,000 | - | 77.88 | MICRON TECHNOLOGY INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 45,000 | - | 49.75 | COMPAQ COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 200,000 | - | 49.81 | COMPAQ COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 250,000 | - | 50.00 | COMPAQ COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 525,000 | - | 115.38 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 3,600 | - | 115.50 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 200,000 | - | 78.00 | MICRON TECHNOLOGY INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 300,000 | - | 49.94 | COMPAQ COMPUTER CORP |
| 1L0169 | 3/31/1999 | 1/25/1999 | 500,000 | - | 115.50 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/25/1999 | 200,000 | - | 53.56 | ORACLE CORPORATION |
| 1L0169 | 3/31/1999 | 1/25/1999 | 200,000 | - | 59.75 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 225,000 | - | 106.94 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 250,000 | - | 106.75 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 350,000 | - | 106.88 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 500,000 | - | 57.38 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 265,000 | - | 57.31 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 425,000 | - | 106.69 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 5,444 | - | 117.38 | UNITED TECHNOLOGIES CORP |
| 1L0169 | 3/31/1999 | 1/26/1999 | 400,000 | - | 106.81 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 200,000 | - | 107.00 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 375,000 | - | 106.63 | SUN MICROSYSTEMS INC |
| 1L0169 | 3/31/1999 | 1/26/1999 | 180,000 | - | 114.25 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/27/1999 | 120,000 | - | 114.31 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/27/1999 | 175,000 | - | 114.38 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/28/1999 | 180,000 | - | 114.69 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/28/1999 | 220,000 | - | 114.75 | AMGEN INC |
| 1L0169 | 3/31/1999 | 1/29/1999 | 5,600 | - | 171.50 | MICROSOFT CORP |
| 1L0169 | 3/31/1999 | 1/29/1999 | 400,000 | - | 58.38 | APPLIED MATERIALS INC |
| 1L0169 | 3/31/1999 | 1/29/1999 | 248,000 | - | 58.31 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 8/7/1999 | - | 1,668 | 37.88 | ALLSTATE |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 50,000 | 57.50 | WAL-MART STORES INC |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 375,000 | 45.06 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 27,000 | 57.63 | WAL-MART STORES INC |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 525,000 | 45.13 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 2,400 | 44.38 | AMERITECH CORP |
| 1L0169 | 1/31/1999 | 8/10/1999 | - | 250,000 | 45.00 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 8/11/1999 | - | 575,000 | 103.50 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/14/1999 | - | 1,800 | 64.88 | BELLSOUTH CORP |
| 1L0169 | 1/31/1999 | 8/14/1999 | - | 3,288 | 40.88 | BELL ATLANTIC CORP |
| 1L0169 | 1/31/1999 | 8/19/1999 | - | 475,000 | 104.25 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/19/1999 | - | 425,000 | 104.13 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/19/1999 | - | 525,000 | 104.31 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/19/1999 | - | 500,000 | 104.19 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/19/1999 | - | 375,000 | 104.38 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/20/1999 | - | 22,000 | 28.75 | KELLOGG CO |
| 1L0169 | 1/31/1999 | 8/20/1999 | - | 155,000 | 105.75 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/20/1999 | - | 260 | 30.50 | TRICON GLOBAL RESTAURANTS INC |
| 1L0169 | 1/31/1999 | 8/20/1999 | - | 600,000 | 105.63 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 8/20/1999 | - | 12,800 | 50.19 | BIOGEN INC |
| 1L0169 | 1/31/1999 | 8/26/1999 | - | 5,720 | 60.25 | THE CHASE MANHATTAN CORP NEW |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0169 | 1/31/1999 | 8/26/1999 | - | 3,500 | 46.63 | GEORGIA PACIFIC GROUP |
| 1L0169 | 1/31/1999 | 8/26/1999 | - | 1,400 | 48.75 | GTE CORP |
| 1L0169 | 1/31/1999 | 8/31/1999 | - | 2,100 | 21.63 | ALCAN ALUMINUM LIMITED NEW |
| 1L0169 | 1/31/1999 | 8/31/1999 | - | 425,000 | 45.63 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/1/1999 | - | 32,288 | 40.25 | GILLETTE CO |
| 1L0169 | 1/31/1999 | 9/1/1999 | - | 3,500 | 20.13 | GEORGIA PACIFIC TIMBER GROUP |
| 1L0169 | 1/31/1999 | 9/1/1999 | - | 50,000 | 40.38 | GILLETTE CO |
| 1L0169 | 1/31/1999 | 9/1/1999 | - | 500,000 | 43.25 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/2/1999 | - | 500,000 | 42.00 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 180,000 | 60.25 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 350,000 | 81.88 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 400,000 | 81.94 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 400,000 | 99.50 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 456,000 | 82.19 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 500,000 | 99.56 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 350,000 | 82.00 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 450,000 | 99.69 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 100,000 | 13.25 | ADVANCED MICRO DEVICES |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 180,000 | 60.38 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 250,000 | 39.75 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 350,000 | 39.81 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 2,600 | 28.06 | PEPSICO INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 45,000 | 28.00 | COMPAQ COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 80,000 | 13.13 | ADVANCED MICRO DEVICES |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 150,000 | 27.88 | COMPAQ COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 625,000 | 100.00 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 75,000 | 13.00 | ADVANCED MICRO DEVICES |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 200,000 | 27.94 | COMPAQ COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 250,000 | 27.75 | COMPAQ COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 300,000 | 27.81 | COMPAQ COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 325,000 | 81.81 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 350,000 | 99.88 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 600,000 | 99.63 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 650,000 | 99.81 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 230,000 | 81.75 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 525,000 | 100.06 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 550,000 | 99.75 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 600,000 | 99.94 | DELL COMPUTER CORP |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 353,000 | 82.13 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/3/1999 | - | 425,000 | 82.06 | CISCO SYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 5,600 | 95.00 | MICROSOFT CORP |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 6,625 | 18.44 | ORACLE CORPORATION |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 50,000 | 112.06 | INTERNATIONAL BUSINESS MACHS |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 41,000 | 112.00 | INTERNATIONAL BUSINESS MACHS |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 100,000 | 18.38 | ORACLE CORPORATION |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 200,000 | 18.50 | ORACLE CORPORATION |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 3,600 | 38.63 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 7,000 | 115.25 | MERCK & CO |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 225,000 | 38.69 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 100,000 | 21.25 | MICRON TECHNOLOGY INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 200,000 | 70.00 | INTEL CORP |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 4,100 | 77.00 | DOW CHEMICAL CO |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 120,000 | 58.25 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 150,000 | 21.13 | MICRON TECHNOLOGY INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 220,000 | 58.13 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 500,000 | 70.13 | INTEL CORP |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 200,000 | 21.00 | MICRON TECHNOLOGY INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 300,000 | 70.06 | INTEL CORP |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 3,200 | 70.00 | INTEL CORP |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 100,000 | 18.56 | ORACLE CORPORATION |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 175,000 | 21.19 | MICRON TECHNOLOGY INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 175,000 | 58.06 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 200,000 | 38.63 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 100,000 | 21.06 | MICRON TECHNOLOGY INC |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 200,000 | 23.63 | APPLIED MATERIALS INC |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 243,000 | 18.38 | ORACLE CORPORATION |
| 1L0169 | 1/31/1999 | 9/4/1999 | - | 525,000 | 23.63 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/8/1999 | - | 155,000 | 62.13 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/8/1999 | - | 200,000 | 62.00 | AMGEN INC |
| 1L0169 | 1/31/1999 | 9/8/1999 | - | 400,000 | 41.25 | SUN MICROSYSTEMS INC |
| 1L0169 | 1/31/1999 | 9/9/1999 | - | 350,000 | 23.75 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/9/1999 | - | 500,000 | 23.63 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/9/1999 | - | 5,444 | 75.00 | UNITED TECHNOLOGIES CORP |
| 1L0169 | 1/31/1999 | 9/10/1999 | - | 375,000 | 23.38 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/11/1999 | - | 250,000 | 25.25 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/11/1999 | - | 425,000 | 25.38 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/15/1999 | - | 500,000 | 22.88 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/15/1999 | - | 400,000 | 22.75 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/16/1999 | - | 225,000 | 24.00 | APPLIED MATERIALS INC |
| 1L0169 | 1/31/1999 | 9/16/1999 | - | 250,000 | 24.06 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/7/1999 | 20,400 | - | 61.00 | AMERITECH CORP |
| 1L0170 | 3/31/1999 | 1/7/1999 | 14,832 | - | 39.06 | ALLSTATE |
| 1L0170 | 3/31/1999 | 1/7/1999 | 29,144 | - | 54.75 | BELL ATLANTIC CORP |
| 1L0170 | 3/31/1999 | 1/7/1999 | 33,276 | - | 135.50 | BRISTOL MYERS SQUIBB COMPANY |
| 1L0170 | 3/31/1999 | 1/7/1999 | 40,000 | - | 186.19 | INTERNATIONAL BUSINESS MACHS |
| 1L0170 | 3/31/1999 | 1/7/1999 | 2,100 | - | 27.25 | ALCAN ALUMINUM LIMITED NEW |
| 1L0170 | 3/31/1999 | 1/7/1999 | 50,000 | - | 186.25 | INTERNATIONAL BUSINESS MACHS |
| 1L0170 | 3/31/1999 | 1/7/1999 | 6,000 | - | 88.75 | LILLY ELI & CO |
| 1L0170 | 3/31/1999 | 1/8/1999 | 48,000 | - | 33.25 | STORAGE TECHNOLOGY CORP |
| 1L0170 | 3/31/1999 | 1/8/1999 | 50,000 | - | 33.13 | STORAGE TECHNOLOGY CORP |
| 1L0170 | 3/31/1999 | 1/11/1999 | 12,000 | - | 69.50 | GTE CORP |
| 1L0170 | 3/31/1999 | 1/12/1999 | 40,000 | - | 84.88 | WAL-MART STORES INC |
| 1L0170 | 3/31/1999 | 1/12/1999 | 36,000 | - | 84.94 | WAL-MART STORES INC |
| 1L0170 | 3/31/1999 | 1/13/1999 | 600,000 | - | 79.56 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 19,000 | - | 71.50 | GEORGIA PACIFIC GROUP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 400,000 | - | 79.69 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 425,000 | - | 57.38 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/13/1999 | 39,000 | - | 153.75 | MERCK & CO |
| 1L0170 | 3/31/1999 | 1/13/1999 | 23,000 | - | 95.00 | DOW CHEMICAL CO |
| 1L0170 | 3/31/1999 | 1/13/1999 | 126,000 | - | 112.13 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/13/1999 | 315,000 | - | 79.94 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 375,000 | - | 57.31 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/13/1999 | 500,000 | - | 79.63 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 550,000 | - | 79.44 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 650,000 | - | 79.38 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 450,000 | - | 79.50 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/13/1999 | 250,000 | - | 57.44 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/14/1999 | 30,400 | - | 48.00 | BELLSOUTH CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 500,000 | - | 81.69 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 475,000 | - | 81.63 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 2,200 | - | 50.75 | TRICON GLOBAL RESTAURANTS INC |
| 1L0170 | 3/31/1999 | 1/14/1999 | 422,000 | - | 81.56 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 22,000 | - | 42.38 | PEPSICO INC |
| 1L0170 | 3/31/1999 | 1/14/1999 | 47,400 | - | 77.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0170 | 3/31/1999 | 1/14/1999 | 525,000 | - | 81.81 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 350,000 | - | 82.00 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 600,000 | - | 81.94 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 625,000 | - | 81.88 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/14/1999 | 425,000 | - | 81.75 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 300,000 | - | 142.00 | INTEL CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 400,000 | - | 81.81 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 100,000 | - | 32.69 | ADVANCED MICRO DEVICES |
| 1L0170 | 3/31/1999 | 1/15/1999 | 525,000 | - | 82.00 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 65,000 | - | 32.75 | ADVANCED MICRO DEVICES |
| 1L0170 | 3/31/1999 | 1/15/1999 | 500,000 | - | 81.75 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 600,000 | - | 81.69 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 27,600 | - | 141.94 | INTEL CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 600,000 | - | 81.88 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 80,000 | - | 55.38 | GILLETTE CO |
| 1L0170 | 3/31/1999 | 1/15/1999 | 200,000 | - | 81.63 | DELL COMPUTER CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0170 | 3/31/1999 | 1/15/1999 | 50,000 | - | 32.63 | ADVANCED MICRO DEVICES |
| 1L0170 | 3/31/1999 | 1/15/1999 | 200,000 | - | 142.06 | INTEL CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 528,000 | - | 81.94 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/15/1999 | 34,288 | - | 55.31 | GILLETTE CO |
| 1L0170 | 3/31/1999 | 1/15/1999 | 500,000 | - | 141.94 | INTEL CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 525,000 | - | 80.88 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 350,000 | - | 81.06 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 398,000 | - | 56.38 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/19/1999 | 550,000 | - | 81.19 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 145,000 | - | 80.69 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 425,000 | - | 80.81 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 525,000 | - | 80.75 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 600,000 | - | 81.00 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 650,000 | - | 81.13 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 450,000 | - | 81.25 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/19/1999 | 625,000 | - | 80.94 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 315,000 | - | 80.19 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 475,000 | - | 80.44 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 500,000 | - | 80.50 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 400,000 | - | 80.38 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 430,000 | - | 80.44 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/20/1999 | 375,000 | - | 80.25 | DELL COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/21/1999 | 22,000 | - | 96.88 | BIOGEN INC |
| 1L0170 | 3/31/1999 | 1/21/1999 | 22,000 | - | 38.75 | KELLOGG CO |
| 1L0170 | 3/31/1999 | 1/21/1999 | 50,000 | - | 96.81 | BIOGEN INC |
| 1L0170 | 3/31/1999 | 1/22/1999 | 525,000 | - | 106.38 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/22/1999 | 19,000 | - | 23.88 | GEORGIA PACIFIC TIMBER GROUP |
| 1L0170 | 3/31/1999 | 1/22/1999 | 180,000 | - | 112.13 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/22/1999 | 72,000 | - | 112.19 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/22/1999 | 175,000 | - | 112.25 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 80,000 | - | 53.56 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 150,000 | - | 49.88 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 500,000 | - | 115.50 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 130,000 | - | 78.00 | MICRON TECHNOLOGY INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 250,000 | - | 59.63 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 250,000 | - | 115.31 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 345,000 | - | 107.75 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 55,125 | - | 53.50 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 78,000 | - | 115.50 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 80,000 | - | 115.38 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 525,000 | - | 115.38 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 63,000 | - | 53.63 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 156,000 | - | 49.94 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 225,000 | - | 59.69 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 529,500 | - | 107.38 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 100,000 | - | 53.69 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 114,000 | - | 59.50 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 150,500 | - | 49.88 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 200,000 | - | 59.75 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 637,500 | - | 107.44 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 684,000 | - | 107.31 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 45,000 | - | 49.75 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 100,000 | - | 50.00 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 200,000 | - | 53.56 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 250,000 | - | 50.00 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 300,000 | - | 49.94 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 487,500 | - | 107.69 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 6,625 | - | 53.63 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 50,000 | - | 115.44 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 200,000 | - | 49.81 | COMPAQ COMPUTER CORP |
| 1L0170 | 3/31/1999 | 1/25/1999 | 525,000 | - | 108.50 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 600,000 | - | 107.56 | CISCO SYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 100,000 | - | 53.69 | ORACLE CORPORATION |
| 1L0170 | 3/31/1999 | 1/25/1999 | 200,000 | - | 77.94 | MICRON TECHNOLOGY INC |
| 1L0170 | 3/31/1999 | 1/25/1999 | 350,000 | - | 59.56 | APPLIED MATERIALS INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0170 | 3/31/1999 | 1/25/1999 | 500,000 | - | 115.44 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 175,000 | - | 74.25 | MICRON TECHNOLOGY INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 375,000 | - | 106.63 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 350,000 | - | 106.88 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 265,000 | - | 57.31 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 500,000 | - | 57.38 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 150,000 | - | 74.19 | MICRON TECHNOLOGY INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 200,000 | - | 107.00 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 200,000 | - | 114.25 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 250,000 | - | 106.75 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 70,000 | - | 74.13 | MICRON TECHNOLOGY INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 400,000 | - | 106.81 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 225,000 | - | 106.94 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/26/1999 | 425,000 | - | 106.69 | SUN MICROSYSTEMS INC |
| 1L0170 | 3/31/1999 | 1/29/1999 | 180,000 | - | 113.69 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/29/1999 | 220,000 | - | 113.75 | AMGEN INC |
| 1L0170 | 3/31/1999 | 1/29/1999 | 47,200 | - | 171.50 | MICROSOFT CORP |
| 1L0170 | 3/31/1999 | 1/29/1999 | 248,000 | - | 58.31 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/29/1999 | 400,000 | - | 58.38 | APPLIED MATERIALS INC |
| 1L0170 | 3/31/1999 | 1/29/1999 | 77,000 | - | 113.63 | AMGEN INC |
| 1L0170 | 1/31/1999 | 8/7/1999 | - | 14,832 | 37.88 | ALLSTATE |
| 1L0170 | 1/31/1999 | 8/10/1999 | - | 36,000 | 57.50 | WAL-MART STORES INC |
| 1L0170 | 1/31/1999 | 8/10/1999 | - | 375,000 | 45.06 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 8/10/1999 | - | 40,000 | 57.63 | WAL-MART STORES INC |
| 1L0170 | 1/31/1999 | 8/10/1999 | - | 525,000 | 45.13 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 8/10/1999 | - | 20,400 | 44.38 | AMERITECH CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 475,000 | 103.63 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 425,000 | 103.50 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 525,000 | 103.69 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 500,000 | 103.56 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 575,000 | 103.81 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 315,000 | 103.94 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 375,000 | 103.75 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/11/1999 | - | 600,000 | 103.88 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 8/14/1999 | - | 29,144 | 40.88 | BELL ATLANTIC CORP |
| 1L0170 | 1/31/1999 | 8/14/1999 | - | 15,200 | 64.88 | BELLSOUTH CORP |
| 1L0170 | 1/31/1999 | 8/20/1999 | - | 2,200 | 30.50 | TRICON GLOBAL RESTAURANTS INC |
| 1L0170 | 1/31/1999 | 8/20/1999 | - | 22,000 | 28.75 | KELLOGG CO |
| 1L0170 | 1/31/1999 | 8/20/1999 | - | 22,000 | 50.19 | BIOGEN INC |
| 1L0170 | 1/31/1999 | 8/20/1999 | - | 50,000 | 50.25 | BIOGEN INC |
| 1L0170 | 1/31/1999 | 8/26/1999 | - | 12,000 | 48.75 | GTE CORP |
| 1L0170 | 1/31/1999 | 8/26/1999 | - | 47,400 | 60.25 | THE CHASE MANHATTAN CORP NEW |
| 1L0170 | 1/31/1999 | 8/26/1999 | - | 19,000 | 46.63 | GEORGIA PACIFIC GROUP |
| 1L0170 | 1/31/1999 | 8/31/1999 | - | 2,100 | 21.63 | ALCAN ALUMINUM LIMITED NEW |
| 1L0170 | 1/31/1999 | 8/31/1999 | - | 425,000 | 45.63 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/1/1999 | - | 500,000 | 43.25 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/2/1999 | - | 500,000 | 42.00 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 45,000 | 28.00 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 100,000 | 13.13 | ADVANCED MICRO DEVICES |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 250,000 | 27.75 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 325,000 | 81.81 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 350,000 | 99.88 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 456,000 | 82.19 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 500,000 | 99.56 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 50,000 | 13.25 | ADVANCED MICRO DEVICES |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 250,000 | 39.75 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 425,000 | 82.06 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 525,000 | 100.06 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 600,000 | 99.63 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 650,000 | 99.81 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 65,000 | 13.06 | ADVANCED MICRO DEVICES |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 80,000 | 40.25 | GILLETTE CO |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 350,000 | 82.00 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 450,000 | 99.69 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 600,000 | 99.94 | DELL COMPUTER CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 33,276 | 97.88 | BRISTOL MYERS SQUIBB COMPANY |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 300,000 | 27.81 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 350,000 | 39.81 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 48,000 | 21.25 | STORAGE TECHNOLOGY CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 50,000 | 21.38 | STORAGE TECHNOLOGY CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 200,000 | 27.94 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 230,000 | 81.75 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 400,000 | 99.50 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 625,000 | 100.00 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 19,000 | 20.13 | GEORGIA PACIFIC TIMBER GROUP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 180,000 | 60.25 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 400,000 | 81.94 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 34,288 | 40.38 | GILLETTE CO |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 150,000 | 27.88 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 150,500 | 27.88 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 353,000 | 82.13 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 550,000 | 99.75 | DELL COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 22,000 | 28.06 | PEPSICO INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 100,000 | 27.75 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 156,000 | 27.81 | COMPAQ COMPUTER CORP |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 180,000 | 60.38 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/3/1999 | - | 350,000 | 81.88 | CISCO SYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 55,125 | 18.56 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 120,000 | 58.25 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 200,000 | 21.19 | MICRON TECHNOLOGY INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 220,000 | 58.13 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 525,000 | 23.63 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 6,000 | 64.25 | LILLY ELI & CO |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 23,000 | 77.00 | DOW CHEMICAL CO |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 47,200 | 95.00 | MICROSOFT CORP |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 50,000 | 38.69 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 80,000 | 38.75 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 130,000 | 21.00 | MICRON TECHNOLOGY INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 200,000 | 18.50 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 27,600 | 70.00 | INTEL CORP |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 63,000 | 18.44 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 200,000 | 23.63 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 200,000 | 38.63 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 50,000 | 112.00 | INTERNATIONAL BUSINESS MACHS |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 70,000 | 21.25 | MICRON TECHNOLOGY INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 150,000 | 21.13 | MICRON TECHNOLOGY INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 250,000 | 38.75 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 300,000 | 70.06 | INTEL CORP |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 78,000 | 38.63 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 80,000 | 18.50 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 100,000 | 18.38 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 100,000 | 18.38 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 500,000 | 70.13 | INTEL CORP |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 175,000 | 21.06 | MICRON TECHNOLOGY INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 175,000 | 58.06 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 225,000 | 38.69 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 6,625 | 18.44 | ORACLE CORPORATION |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 39,000 | 115.25 | MERCK & CO |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 200,000 | 70.00 | INTEL CORP |
| 1L0170 | 1/31/1999 | 9/4/1999 | - | 40,000 | 112.06 | INTERNATIONAL BUSINESS MACHS |
| 1L0170 | 1/31/1999 | 9/8/1999 | - | 400,000 | 41.25 | SUN MICROSYSTEMS INC |
| 1L0170 | 1/31/1999 | 9/8/1999 | - | 155,000 | 62.13 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/8/1999 | - | 200,000 | 62.00 | AMGEN INC |
| 1L0170 | 1/31/1999 | 9/9/1999 | - | 500,000 | 23.63 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/9/1999 | - | 350,000 | 23.75 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/10/1999 | - | 375,000 | 23.38 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/11/1999 | - | 250,000 | 25.25 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/11/1999 | - | 425,000 | 25.38 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/15/1999 | - | 400,000 | 22.75 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/15/1999 | - | 500,000 | 22.88 | APPLIED MATERIALS INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1L0170 | 1/31/1999 | 9/16/1999 | - | 225,000 | 24.00 | APPLIED MATERIALS INC |
| 1L0170 | 1/31/1999 | 9/16/1999 | - | 250,000 | 24.06 | APPLIED MATERIALS INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 125,000 | - | 52.63 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 150,000 | - | 48.00 | PENNEY J C INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 160,000 | - | 59.00 | PHARMACIA & UPJOHN INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 31,100 | - | 58.94 | PHARMACIA & UPJOHN INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 175,000 | - | 54.63 | BELL ATLANTIC CORP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 175,000 | - | 60.88 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 250,000 | - | 60.75 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 35,250 | - | 14.50 | CATELLUS DEVELOPMENT CORP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 42,505 | - | 7.63 | SANTA FE ENERGY RES |
| 1L0171 | 3/31/1999 | 1/7/1999 | 79,000 | - | 31.50 | BOISE CASCADE CORP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 2,000 | - | 13.38 | HIGHLANDS INSURANCE GROUP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 100,000 | - | 52.75 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 125,000 | - | 60.81 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 170,000 | - | 88.06 | LILLY ELI & CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 200,000 | - | 88.75 | LILLY ELI & CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 3,144 | - | 71.94 | ABERCROMBIE & FITCH CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 150,000 | - | 54.75 | BELL ATLANTIC CORP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 2,008 | - | 54.50 | BELL ATLANTIC CORP |
| 1L0171 | 3/31/1999 | 1/7/1999 | 3,363 | - | 71.94 | ABERCROMBIE & FITCH CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 125,000 | - | 47.94 | PENNEY J C INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 150,000 | - | 60.94 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 200,000 | - | 61.00 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 14,437 | - | 20.63 | ALLEGHENY TELEDYNE INC |
| 1L0171 | 3/31/1999 | 1/7/1999 | 40,000 | - | 88.63 | LILLY ELI & CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 75,000 | - | 52.69 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 3/31/1999 | 1/7/1999 | 100,000 | - | 54.56 | BELL ATLANTIC CORP |
| 1L0171 | 3/31/1999 | 1/8/1999 | 75,000 | - | 14.88 | SILICON GRAPHICS INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 150,000 | - | 86.75 | LILLY ELI & CO |
| 1L0171 | 3/31/1999 | 1/8/1999 | 76,000 | - | 57.81 | HEINZ H J CO |
| 1L0171 | 3/31/1999 | 1/8/1999 | 137,000 | - | 67.06 | GTE CORP |
| 1L0171 | 3/31/1999 | 1/8/1999 | 300,000 | - | 59.19 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 75,000 | - | 14.94 | SILICON GRAPHICS INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 200,000 | - | 59.25 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 44,920 | - | 82.06 | AETNA INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 75,000 | - | 57.88 | HEINZ H J CO |
| 1L0171 | 3/31/1999 | 1/8/1999 | 50,000 | - | 57.75 | HEINZ H J CO |
| 1L0171 | 3/31/1999 | 1/8/1999 | 225,000 | - | 67.00 | GTE CORP |
| 1L0171 | 3/31/1999 | 1/8/1999 | 51,598 | - | 59.13 | HOME DEPOT INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 61,765 | - | 82.06 | AETNA INC |
| 1L0171 | 3/31/1999 | 1/8/1999 | 129,000 | - | 46.13 | PENNEY J C INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 75,000 | - | 59.00 | BLACK & DECKER MFG CO |
| 1L0171 | 3/31/1999 | 1/11/1999 | 100,000 | - | 92.75 | PROCTER & GAMBLE CO |
| 1L0171 | 3/31/1999 | 1/11/1999 | 150,000 | - | 90.38 | MOBIL CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 70,000 | - | 90.25 | MOBIL CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 102,500 | - | 62.75 | GAP INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 150,000 | - | 16.38 | K MART CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 175,000 | - | 92.69 | PROCTER & GAMBLE CO |
| 1L0171 | 3/31/1999 | 1/11/1999 | 150,000 | - | 59.44 | PHARMACIA & UPJOHN INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 25,000 | - | 92.63 | PROCTER & GAMBLE CO |
| 1L0171 | 3/31/1999 | 1/11/1999 | 19,000 | - | 32.75 | BOISE CASCADE CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 100,000 | - | 16.31 | K MART CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 120,000 | - | 59.38 | PHARMACIA & UPJOHN INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 50,000 | - | 58.94 | BLACK & DECKER MFG CO |
| 1L0171 | 3/31/1999 | 1/11/1999 | 100,000 | - | 84.13 | JOHNSON & JOHNSON |
| 1L0171 | 3/31/1999 | 1/11/1999 | 125,000 | - | 69.38 | GTE CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 150,000 | - | 84.25 | JOHNSON & JOHNSON |
| 1L0171 | 3/31/1999 | 1/11/1999 | 175,000 | - | 69.44 | GTE CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 50,000 | - | 16.25 | K MART CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 79,000 | - | 84.19 | JOHNSON & JOHNSON |
| 1L0171 | 3/31/1999 | 1/11/1999 | 100,000 | - | 62.81 | GAP INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 200,000 | - | 62.88 | GAP INC |
| 1L0171 | 3/31/1999 | 1/11/1999 | 200,000 | - | 69.50 | GTE CORP |
| 1L0171 | 3/31/1999 | 1/11/1999 | 200,000 | - | 90.31 | MOBIL CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0171 | 3/31/1999 | 1/12/1999 | 14,980 | - | 80.38 | AETNA INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 50,000 | - | 35.19 | HALLIBURTON CO |
| 1L0171 | 3/31/1999 | 1/12/1999 | 75,000 | - | 35.13 | HALLIBURTON CO |
| 1L0171 | 3/31/1999 | 1/12/1999 | 75,000 | - | 35.25 | HALLIBURTON CO |
| 1L0171 | 3/31/1999 | 1/12/1999 | 300,000 | - | 63.88 | GAP INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 40,000 | - | 32.38 | BOISE CASCADE CORP |
| 1L0171 | 3/31/1999 | 1/12/1999 | 130,000 | - | 84.69 | WAL-MART STORES INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 150,000 | - | 84.81 | WAL-MART STORES INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 175,000 | - | 84.75 | WAL-MART STORES INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 8,000 | - | 13.38 | HIGHLANDS INSURANCE GROUP |
| 1L0171 | 3/31/1999 | 1/12/1999 | 100,000 | - | 84.94 | WAL-MART STORES INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 125,000 | - | 84.88 | WAL-MART STORES INC |
| 1L0171 | 3/31/1999 | 1/12/1999 | 150,000 | - | 63.75 | GAP INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 32,408 | - | 119.44 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 125,000 | - | 15.69 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 125,000 | - | 119.50 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 150,000 | - | 28.81 | USX-U S STEEL GROUP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 150,000 | - | 122.94 | XEROX CORP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 200,000 | - | 119.75 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 100,000 | - | 119.56 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 125,000 | - | 28.94 | USX-U S STEEL GROUP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 150,000 | - | 119.69 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 5,762 | - | 119.38 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 100,000 | - | 70.75 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 87 | - | 46.63 | RAYONIER INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 10,000 | - | 28.94 | USX-U S STEEL GROUP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 59,000 | - | 70.75 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 100,000 | - | 123.00 | XEROX CORP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 175,000 | - | 119.63 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 205,000 | - | 63.38 | GAP INC |
| 1L0171 | 3/31/1999 | 1/13/1999 | 52,000 | - | 35.25 | BOISE CASCADE CORP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 150,000 | - | 15.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/13/1999 | 150,000 | - | 28.88 | USX-U S STEEL GROUP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 18,850 | - | 52.69 | WASTE MANAGEMENT INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 72,500 | - | 52.75 | WASTE MANAGEMENT INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 170,000 | - | 71.13 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 175,000 | - | 71.25 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 159,400 | - | 42.19 | PEPSICO INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 60,000 | - | 34.75 | BOISE CASCADE CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 102,000 | - | 122.94 | XEROX CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 125,000 | - | 42.31 | PEPSICO INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 125,000 | - | 71.19 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 125,000 | - | 71.19 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 125,000 | - | 123.00 | XEROX CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 174,000 | - | 42.25 | PEPSICO INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 36,378 | - | 21.00 | NEWMONT MNG CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 58,000 | - | 15.38 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 150,000 | - | 77.50 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 3/31/1999 | 1/14/1999 | 32,400 | - | 50.69 | TRICON GLOBAL RESTAURANTS INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 150,000 | - | 15.38 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/14/1999 | 150,000 | - | 42.38 | PEPSICO INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 28,440 | - | 50.75 | TRICON GLOBAL RESTAURANTS INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 125,000 | - | 77.38 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 3/31/1999 | 1/14/1999 | 150,000 | - | 71.25 | MOTOROLA INC |
| 1L0171 | 3/31/1999 | 1/14/1999 | 68,000 | - | 77.31 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 3/31/1999 | 1/14/1999 | 175,000 | - | 77.44 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 3/31/1999 | 1/15/1999 | 10,750 | - | 43.81 | WASHINGTON MUTUAL INC |
| 1L0171 | 3/31/1999 | 1/15/1999 | 75,000 | - | 64.50 | ATLANTIC RICHFIELD CO |
| 1L0171 | 3/31/1999 | 1/15/1999 | 160,000 | - | 15.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/15/1999 | 175,000 | - | 43.94 | WASHINGTON MUTUAL INC |
| 1L0171 | 3/31/1999 | 1/15/1999 | 200,000 | - | 43.88 | WASHINGTON MUTUAL INC |
| 1L0171 | 3/31/1999 | 1/15/1999 | 71,000 | - | 64.63 | ATLANTIC RICHFIELD CO |
| 1L0171 | 3/31/1999 | 1/15/1999 | 82,000 | - | 15.69 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/15/1999 | 200,000 | - | 15.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 3/31/1999 | 1/15/1999 | 43,875 | - | 44.00 | WASHINGTON MUTUAL INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1L0171 | 3/31/1999 | 1/15/1999 | 150,000 | - | 44.00 | WASHINGTON MUTUAL INC |
| 1L0171 | 3/31/1999 | 1/15/1999 | 200,000 | - | 43.94 | WASHINGTON MUTUAL INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 90,000 | - | 47.06 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 150,000 | - | 47.06 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 150,000 | - | 47.13 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 200,000 | - | 47.13 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 150,000 | - | 46.94 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 175,000 | - | 47.00 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 200,000 | - | 47.13 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 147,000 | - | 47.00 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 150,000 | - | 47.06 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/19/1999 | 185,000 | - | 47.00 | APPLE COMPUTER INC |
| 1L0171 | 3/31/1999 | 1/21/1999 | 4,560 | - | 39.13 | SIEBEL SYSTEMS INC |
| 1L0171 | 3/31/1999 | 1/21/1999 | 34,350 | - | 40.19 | ITT INDUSTRIES INC INDIANA |
| 1L0171 | 3/31/1999 | 1/21/1999 | 50,000 | - | 40.25 | ITT INDUSTRIES INC INDIANA |
| 1L0171 | 3/31/1999 | 1/22/1999 | 130,000 | - | 13.13 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/22/1999 | 150,000 | - | 13.19 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/22/1999 | 32,000 | - | 13.06 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/22/1999 | 120,000 | - | 13.25 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 125,000 | - | 13.50 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 3,125 | - | 55.38 | NCR CORP |
| 1L0171 | 3/31/1999 | 1/25/1999 | 5,242 | - | 79.50 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 68,415 | - | 79.44 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 150,000 | - | 20.38 | NOVELL INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 180,000 | - | 13.38 | VLSI TECHNOLOGY INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 150,000 | - | 20.50 | NOVELL INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 40,000 | - | 55.50 | NCR CORP |
| 1L0171 | 3/31/1999 | 1/25/1999 | 56,585 | - | 79.63 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 150,000 | - | 79.75 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 175,000 | - | 79.81 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 32,875 | - | 55.44 | NCR CORP |
| 1L0171 | 3/31/1999 | 1/25/1999 | 75,000 | - | 20.50 | NOVELL INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 185,000 | - | 79.69 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 65,000 | - | 20.38 | NOVELL INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 130,000 | - | 79.56 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/25/1999 | 200,000 | - | 79.88 | MCI WORLDCOM INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 170,000 | - | 32.75 | THE LIMITED INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 126,000 | - | 58.50 | SBC COMMUNICATIONS INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 150,000 | - | 58.75 | SBC COMMUNICATIONS INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 160,000 | - | 58.56 | SBC COMMUNICATIONS INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 110 | - | 117.38 | UNITED TECHNOLOGIES CORP |
| 1L0171 | 3/31/1999 | 1/26/1999 | 120,000 | - | 58.69 | SBC COMMUNICATIONS INC |
| 1L0171 | 3/31/1999 | 1/26/1999 | 180,000 | - | 58.63 | SBC COMMUNICATIONS INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 180,000 | - | 68.75 | GATEWAY 2000 INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 150,000 | - | 23.94 | LSI LOGIC CORP |
| 1L0171 | 3/31/1999 | 1/28/1999 | 110,000 | - | 68.63 | GATEWAY 2000 INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 60,000 | - | 32.25 | THE LIMITED INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 92,000 | - | 68.69 | GATEWAY 2000 INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 150,000 | - | 68.88 | GATEWAY 2000 INC |
| 1L0171 | 3/31/1999 | 1/28/1999 | 250,000 | - | 68.81 | GATEWAY 2000 INC |
| 1L0171 | 3/31/1999 | 1/29/1999 | 52,800 | - | 171.38 | MICROSOFT CORP |
| 1L0171 | 3/31/1999 | 1/29/1999 | 100,000 | - | 171.50 | MICROSOFT CORP |
| 1L0171 | 3/31/1999 | 1/29/1999 | 120,000 | - | 33.38 | THE LIMITED INC |
| 1L0171 | 3/31/1999 | 1/29/1999 | 126,000 | - | 33.25 | THE LIMITED INC |
| 1L0171 | 3/31/1999 | 1/29/1999 | 170,000 | - | 24.00 | LSI LOGIC CORP |
| 1L0171 | 3/31/1999 | 1/29/1999 | 200,000 | - | 24.13 | LSI LOGIC CORP |
| 1L0171 | 3/31/1999 | 1/29/1999 | 50,000 | - | 171.44 | MICROSOFT CORP |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 110 | 72.00 | UNITED TECHNOLOGIES CORP |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 50,000 | 29.75 | ITT INDUSTRIES INC INDIANA |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 71,000 | 56.63 | ATLANTIC RICHFIELD CO |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 150,000 | 70.00 | MOBIL CORP |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 65,000 | 10.00 | NOVELL INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 120,000 | 40.81 | PHARMACIA & UPJOHN INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 150,000 | 10.06 | NOVELL INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 150,000 | 35.25 | SBC COMMUNICATIONS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 75,000 | 56.50 | ATLANTIC RICHFIELD CO |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 120,000 | 35.38 | SBC COMMUNICATIONS INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 180,000 | 35.50 | SBC COMMUNICATIONS INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 42,505 | 7.00 | SANTA FE ENERGY RES |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 75,000 | 9.94 | NOVELL INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 200,000 | 70.13 | MOBIL CORP |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 31,100 | 41.00 | PHARMACIA & UPJOHN INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 126,000 | 35.38 | SBC COMMUNICATIONS INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 150,000 | 40.75 | PHARMACIA & UPJOHN INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 160,000 | 40.94 | PHARMACIA & UPJOHN INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 150,000 | 9.94 | NOVELL INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 160,000 | 35.38 | SBC COMMUNICATIONS INC |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 34,350 | 30.00 | ITT INDUSTRIES INC INDIANA |
| 1L0171 | 1/31/1999 | 9/4/1999 | - | 70,000 | 70.25 | MOBIL CORP |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 120,000 | 7.56 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 125,000 | 7.50 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 200,000 | 34.25 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 36,378 | 14.13 | NEWMONT MNG CORP |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 180,000 | 7.63 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 32,000 | 7.81 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 147,000 | 34.38 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 150,000 | 34.19 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 87 | 37.00 | RAYONIER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 100,000 | 41.06 | BELL ATLANTIC CORP |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 175,000 | 34.38 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 150,000 | 7.69 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 150,000 | 34.25 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 150,000 | 40.88 | BELL ATLANTIC CORP |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 2,008 | 41.13 | BELL ATLANTIC CORP |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 130,000 | 7.75 | VLSI TECHNOLOGY INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 150,000 | 34.19 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/9/1999 | - | 175,000 | 41.00 | BELL ATLANTIC CORP |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 185,000 | 34.06 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 100,000 | 46.25 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 90,000 | 34.13 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 43,875 | 31.00 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 200,000 | 34.00 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 125,000 | 46.38 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 1/31/1999 | 9/10/1999 | - | 150,000 | 34.25 | APPLE COMPUTER INC |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 10,750 | 32.19 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 175,000 | 47.75 | GTE CORP |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 200,000 | 32.00 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 125,000 | 47.88 | GTE CORP |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 175,000 | 31.94 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 150,000 | 31.88 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/11/1999 | - | 200,000 | 32.25 | WASHINGTON MUTUAL INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 61,765 | 62.38 | AETNA INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 150,000 | 28.25 | PEPSICO INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 225,000 | 47.50 | GTE CORP |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 125,000 | 28.31 | PEPSICO INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 174,000 | 28.38 | PEPSICO INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 75,000 | 46.75 | GOODYEAR TIRE & RUBR CO |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 159,400 | 28.44 | PEPSICO INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 14,437 | 15.00 | ALLEGHENY TELEDYNE INC |
| 1L0171 | 1/31/1999 | 9/15/1999 | - | 137,000 | 47.63 | GTE CORP |
| 1L0171 | 1/31/1999 | 9/16/1999 | - | 200,000 | 47.00 | GTE CORP |
| 1L0171 | 1/31/1999 | 9/18/1999 | - | 150,000 | 20.88 | USX-U S STEEL GROUP |
| 1L0171 | 1/31/1999 | 9/21/1999 | - | 150,000 | 20.94 | USX-U S STEEL GROUP |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 25,000 | 65.88 | PROCTER & GAMBLE CO |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 125,000 | 8.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 200,000 | 8.50 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 150,000 | 8.63 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 175,000 | 65.75 | PROCTER & GAMBLE CO |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 32,875 | 23.94 | NCR CORP |
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 3,125 | 23.94 | NCR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|---------------------------|
| 1L0171 | 1/31/1999 | 9/22/1999 | - | 100,000 | 65.63 | PROCTER & GAMBLE CO |
| 1L0171 | 1/31/1999 | 9/23/1999 | - | 40,000 | 23.88 | NCR CORP |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 32,408 | 71.25 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 150,000 | 44.31 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 200,000 | 44.25 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 68,415 | 44.75 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 125,000 | 71.13 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 150,000 | 70.94 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 175,000 | 71.00 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 5,242 | 44.63 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 5,762 | 71.25 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 130,000 | 44.56 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 185,000 | 44.44 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 125,000 | 20.88 | USX-U S STEEL GROUP |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 175,000 | 44.38 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 200,000 | 70.88 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 10,000 | 20.88 | USX-U S STEEL GROUP |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 56,585 | 44.50 | MCI WORLDCOM INC |
| 1L0171 | 1/31/1999 | 9/24/1999 | - | 100,000 | 71.06 | LUCENT TECHNOLOGIES INC |
| 1L0171 | 1/31/1999 | 9/25/1999 | - | 125,000 | 78.38 | XEROX CORP |
| 1L0171 | 1/31/1999 | 9/25/1999 | - | 100,000 | 78.25 | XEROX CORP |
| 1L0171 | 1/31/1999 | 9/25/1999 | - | 102,000 | 78.63 | XEROX CORP |
| 1L0171 | 1/31/1999 | 9/25/1999 | - | 150,000 | 78.50 | XEROX CORP |
| 1L0171 | 1/31/1999 | 9/28/1999 | - | 100,000 | 25.13 | BOISE CASCADE CORP |
| 1L0171 | 1/31/1999 | 9/30/1999 | - | 10,000 | 12.06 | HIGHLANDS INSURANCE GROUP |
| 1L0171 | 1/31/1999 | 10/2/1999 | - | 205,000 | 51.38 | GAP INC |
| 1L0171 | 1/31/1999 | 10/2/1999 | - | 200,000 | 51.25 | GAP INC |
| 1L0171 | 1/31/1999 | 10/5/1999 | - | 300,000 | 51.94 | GAP INC |
| 1L0171 | 1/31/1999 | 10/5/1999 | - | 170,000 | 22.06 | THE LIMITED INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 50,000 | 50.88 | HEINZ H J CO |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 175,000 | 52.94 | WAL-MART STORES INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 120,000 | 21.63 | THE LIMITED INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 125,000 | 52.81 | WAL-MART STORES INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 60,000 | 21.75 | THE LIMITED INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 100,000 | 52.75 | WAL-MART STORES INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 130,000 | 53.00 | WAL-MART STORES INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 150,000 | 52.88 | WAL-MART STORES INC |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 76,000 | 50.94 | HEINZ H J CO |
| 1L0171 | 1/31/1999 | 10/6/1999 | - | 75,000 | 50.75 | HEINZ H J CO |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 79,000 | 73.38 | JOHNSON & JOHNSON |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 100,000 | 40.00 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 150,000 | 73.31 | JOHNSON & JOHNSON |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 3,144 | 32.13 | ABERCROMBIE & FITCH CO |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 79,000 | 23.38 | BOISE CASCADE CORP |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 100,000 | 73.50 | JOHNSON & JOHNSON |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 50,000 | 11.13 | K MART CORP |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 125,000 | 40.50 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 3,363 | 32.25 | ABERCROMBIE & FITCH CO |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 175,000 | 40.25 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 100,000 | 11.06 | K MART CORP |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 126,000 | 22.13 | THE LIMITED INC |
| 1L0171 | 1/31/1999 | 10/7/1999 | - | 150,000 | 11.00 | K MART CORP |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 75,000 | 39.25 | BLACK & DECKER MFG CO |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 150,000 | 42.94 | PENNEY J C INC |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 59,000 | 38.94 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 150,000 | 38.75 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 19,000 | 22.75 | BOISE CASCADE CORP |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 125,000 | 38.81 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 50,000 | 39.38 | BLACK & DECKER MFG CO |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 125,000 | 43.00 | PENNEY J C INC |
| 1L0171 | 1/31/1999 | 10/8/1999 | - | 170,000 | 38.88 | MOTOROLA INC |
| 1L0171 | 1/31/1999 | 10/9/1999 | - | 35,250 | 11.25 | CATELLUS DEVELOPMENT CORP |
| 1L0171 | 1/31/1999 | 10/9/1999 | - | 129,000 | 44.00 | PENNEY J C INC |
| 1L0171 | 1/31/1999 | 10/13/1999 | - | 150,000 | 11.31 | LSI LOGIC CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 4,560 | 15.50 | SIEBEL SYSTEMS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 200,000 | 32.25 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 50,000 | 88.13 | MICROSOFT CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 51,598 | 32.31 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 52,000 | 22.75 | BOISE CASCADE CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 52,800 | 88.25 | MICROSOFT CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 150,000 | 36.25 | GATEWAY 2000 INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 175,000 | 36.06 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 200,000 | 10.88 | LSI LOGIC CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 200,000 | 31.88 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 150,000 | 7.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 180,000 | 36.38 | GATEWAY 2000 INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 250,000 | 36.19 | GATEWAY 2000 INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 72,500 | 35.50 | WASTE MANAGEMENT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 75,000 | 25.31 | HALLIBURTON CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 92,000 | 36.31 | GATEWAY 2000 INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 110,000 | 36.50 | GATEWAY 2000 INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 175,000 | 32.00 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 50,000 | 25.75 | HALLIBURTON CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 250,000 | 32.13 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 28,440 | 32.00 | TRICON GLOBAL RESTAURANTS INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 68,000 | 36.25 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 75,000 | 7.75 | SILICON GRAPHICS INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 75,000 | 7.88 | SILICON GRAPHICS INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 100,000 | 88.00 | MICROSOFT CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 125,000 | 36.13 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 18,850 | 35.63 | WASTE MANAGEMENT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 40,000 | 68.56 | LILLY ELI & CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 44,920 | 63.00 | AETNA INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 125,000 | 32.06 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 150,000 | 31.94 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 150,000 | 36.00 | THE CHASE MANHATTAN CORP NEW |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 150,000 | 68.50 | LILLY ELI & CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 160,000 | 7.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 32,400 | 32.06 | TRICON GLOBAL RESTAURANTS INC |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 75,000 | 25.88 | HALLIBURTON CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 140,000 | 7.88 | NATIONAL SEMICONDUCTOR CORP |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 170,000 | 68.38 | LILLY ELI & CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 200,000 | 68.25 | LILLY ELI & CO |
| 1L0171 | 1/31/1999 | 10/14/1999 | - | 300,000 | 32.19 | HOME DEPOT INC |
| 1L0171 | 1/31/1999 | 10/15/1999 | - | 14,980 | 67.75 | AETNA INC |
| 1L0171 | 1/31/1999 | 10/15/1999 | - | 170,000 | 10.88 | LSI LOGIC CORP |
| 1L0171 | 1/31/1999 | 12/31/1999 | 53,600,000 | - | 98.82 | U S TREASURY BILL |
| 1L0171 | 1/31/1999 | 12/31/1999 | 55,000,000 | - | 99.07 | U S TREASURY BILL |
| 1L0171 | 1/31/1999 | 12/31/1999 | 65,351 | - | 1.00 | FIDELITY SPARTAN |
| 1L0171 | 1/31/1999 | 12/31/1999 | 53,500,000 | - | 98.99 | U S TREASURY BILL |
| 1L0173 | 3/31/1999 | 1/7/1999 | 18,000 | - | 186.00 | INTERNATIONAL BUSINESS MACHS |
| 1L0173 | 3/31/1999 | 1/11/1999 | 70,000 | - | 104.38 | GENERAL ELECTRIC CO |
| 1L0173 | 3/31/1999 | 1/11/1999 | 71,800 | - | 104.50 | GENERAL ELECTRIC CO |
| 1L0173 | 3/31/1999 | 1/12/1999 | 68,750 | - | 63.94 | GAP INC |
| 1L0173 | 3/31/1999 | 1/12/1999 | 19,000 | - | 84.75 | WAL-MART STORES INC |
| 1L0173 | 3/31/1999 | 1/12/1999 | 100,000 | - | 63.88 | GAP INC |
| 1L0173 | 3/31/1999 | 1/15/1999 | 175,000 | - | 32.75 | ADVANCED MICRO DEVICES |
| 1L0173 | 3/31/1999 | 1/15/1999 | 65,000 | - | 15.75 | NATIONAL SEMICONDUCTOR CORP |
| 1L0173 | 3/31/1999 | 1/15/1999 | 24,000 | - | 142.25 | INTEL CORP |
| 1L0173 | 3/31/1999 | 1/25/1999 | 34,020 | - | 50.00 | COMPAQ COMPUTER CORP |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 19,000 | 67.00 | WAL-MART STORES INC |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 37,500 | 56.69 | GAP INC |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 25,000 | 83.06 | GENERAL ELECTRIC CO |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 34,020 | 28.06 | COMPAQ COMPUTER CORP |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 41,800 | 83.13 | GENERAL ELECTRIC CO |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 65,000 | 9.63 | NATIONAL SEMICONDUCTOR CORP |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 75,000 | 83.00 | GENERAL ELECTRIC CO |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 75,000 | 16.94 | ADVANCED MICRO DEVICES |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 75,000 | 56.50 | GAP INC |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 100,000 | 17.00 | ADVANCED MICRO DEVICES |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------------|
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 24,000 | 86.75 | INTEL CORP |
| 1L0173 | 2/28/1999 | 10/26/1999 | - | 18,000 | 143.00 | INTERNATIONAL BUSINESS MACHS |
| 1L0173 | 6/30/2000 | 4/20/2000 | - | 22,400 | 76.00 | SIEBEL SYSTEMS INC |
| 1L0173 | 6/30/2000 | 4/20/2000 | 22,400 | - | 76.00 | SIEBEL SYSTEMS INC |
| 1L0177 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.54 | U S TREASURY BILL |
| 1L0177 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.48 | U S TREASURY BILL |
| 1L0177 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.54 | U S TREASURY BILL |
| 1L0177 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.48 | U S TREASURY BILL |
| 1L0300 | 12/31/2005 | 11/4/2005 | 75,000 | - | 2.79 | LUCENT TECHNOLOGIES INC |
| 1L0300 | 12/31/2005 | 11/7/2005 | 237,000 | - | 10.39 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/7/2005 | - | 50,000 | 63.09 | HALLIBURTON CO |
| 1L0300 | 12/31/2005 | 11/7/2005 | - | 54,000 | 58.77 | PEPSICO INC |
| 1L0300 | 12/31/2005 | 11/7/2005 | 228,000 | - | 10.41 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/7/2005 | - | 80,400 | 58.81 | PEPSICO INC |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 100,000 | 63.65 | HALLIBURTON CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 83,000 | 50.19 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 120,000 | 55.95 | PROCTER & GAMBLE CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 90,000 | 50.50 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 123,000 | 56.01 | PROCTER & GAMBLE CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 95,000 | 58.92 | PEPSICO INC |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 140,000 | 55.89 | PROCTER & GAMBLE CO |
| 1L0300 | 12/31/2005 | 11/8/2005 | - | 65,000 | 50.27 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 50,000 | 63.51 | HALLIBURTON CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 35,000 | 50.68 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 75,000 | 50.63 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 77,000 | 50.75 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 85,000 | 50.71 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 132,000 | 13.89 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 96,000 | 58.86 | PEPSICO INC |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 122,000 | 13.87 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 99,000 | 58.98 | PEPSICO INC |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 102,000 | 56.11 | PROCTER & GAMBLE CO |
| 1L0300 | 12/31/2005 | 11/9/2005 | - | 115,000 | 56.07 | PROCTER & GAMBLE CO |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 125,000 | 13.96 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 87,000 | 22.18 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 53,000 | 22.14 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 115,000 | 13.99 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 200,000 | 61.25 | JOHNSON & JOHNSON |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 50,000 | 50.85 | LILLY ELI & CO |
| 1L0300 | 12/31/2005 | 11/10/2005 | - | 60,000 | 22.24 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/10/2005 | 198,000 | - | 10.42 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 75,000 | 61.03 | JOHNSON & JOHNSON |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 89,000 | 22.13 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | 193,920 | - | 38.24 | YAHOO INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 155,000 | 14.12 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 130,000 | 14.04 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 89,884 | 22.07 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 158,000 | 61.13 | JOHNSON & JOHNSON |
| 1L0300 | 12/31/2005 | 11/14/2005 | 156,000 | - | 38.19 | YAHOO INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 91,000 | 22.22 | PFIZER INC |
| 1L0300 | 12/31/2005 | 11/14/2005 | - | 175,000 | 14.09 | XEROX CORP |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 56,880 | 49.62 | YUM BRANDS INC |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 64,800 | 49.79 | YUM BRANDS INC |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 75,000 | 60.77 | JOHNSON & JOHNSON |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 111,000 | 35.09 | HEINZ H J CO |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 150,000 | 60.99 | JOHNSON & JOHNSON |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 73,000 | 63.81 | MONSANTO CO |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 200,000 | 57.09 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 35,000 | 26.89 | CARMAX INC |
| 1L0300 | 12/31/2005 | 11/15/2005 | - | 90,000 | 35.13 | HEINZ H J CO |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 64,000 | 7.57 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 25,000 | 38.15 | J.P. MORGAN CHASE & CO |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 110,020 | 56.12 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 37,000 | 27.22 | CARMAX INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 65,152 | 59.37 | STARWOOD HOTEL RESORTS WLDWIDE |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------------|
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 20,605 | 66.21 | MONSANTO CO |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 155,000 | 56.80 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 72,000 | 7.65 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 80,000 | 7.68 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 50,000 | 28.61 | OFFICE MAX |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 86,000 | 7.55 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 88,000 | 7.72 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 132,000 | 38.19 | J.P. MORGAN CHASE & CO |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 50,000 | 7.54 | NOVELL INC |
| 1L0300 | 12/31/2005 | 11/16/2005 | - | 200,000 | 38.25 | J.P. MORGAN CHASE & CO |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 53,000 | 29.01 | OFFICE MAX |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 80,000 | 18.11 | APPLIED MATERIALS INC |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 26,500 | 26.13 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 88,000 | 57.20 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 155,000 | 57.14 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/17/2005 | - | 65,000 | 60.50 | STARWOOD HOTEL RESORTS WLDWIDE |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 70,000 | 66.12 | BP PLC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 88,000 | 36.88 | NORDSTROM INC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 94,000 | 27.31 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 53,000 | 25.89 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 60,300 | 27.39 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 73,000 | 27.40 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 76,000 | 91.01 | AETNA INC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 40 | 66.12 | BP PLC |
| 1L0300 | 12/31/2005 | 11/18/2005 | 102,000 | - | 45.29 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 39,750 | 26.00 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | 201,000 | - | 45.37 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 1,083 | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 56,000 | 37.03 | NORDSTROM INC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 100,000 | 27.71 | TYCO INTERNATIONAL LTD |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 38,398 | 90.99 | AETNA INC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 70,000 | 17.89 | APPLIED MATERIALS INC |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 180,000 | 57.25 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 200,000 | 57.31 | CHEVRON CORP |
| 1L0300 | 12/31/2005 | 11/18/2005 | - | 54,000 | 36.97 | NORDSTROM INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 57,000 | 27.53 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 30,200 | 92.99 | AETNA INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 53,000 | 26.09 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 155,000 | 28.09 | TYCO INTERNATIONAL LTD |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 6,625 | 26.01 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 89,000 | 27.71 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | 215,000 | - | 10.46 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | 235,000 | - | 10.51 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 75,400 | 65.09 | BP PLC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 164,000 | 57.19 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 229,000 | 64.77 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 36,000 | 57.11 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 135,000 | 64.90 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 184,000 | 64.83 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 99,000 | 64.69 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 131,298 | 28.52 | TYCO INTERNATIONAL LTD |
| 1L0300 | 12/31/2005 | 11/21/2005 | 196,000 | - | 45.97 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/21/2005 | 198,000 | - | 45.93 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/21/2005 | 225,000 | - | 10.50 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 61,200 | 27.61 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 71,000 | 27.67 | MICROSOFT CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 127,096 | 92.79 | AETNA INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | 199,000 | - | 45.80 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/21/2005 | 200,570 | - | 10.47 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 100,000 | 57.21 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/21/2005 | - | 140,000 | 64.74 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 61,000 | 65.31 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 96,000 | 57.77 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 200,000 | 57.79 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/22/2005 | 196,380 | - | 46.70 | BROADCOM CORP CL A |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 198,000 | 45.47 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 2,332 | 26.89 | BARRICK GOLD CORP |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 78,000 | 0.43 | SILICON GRAPHICS INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 182,000 | 45.27 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 74,000 | 65.66 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 115,000 | 28.99 | TYCO INTERNATIONAL LTD |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 161,000 | 65.53 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 6,726 | 61.32 | ABERCROMBIE & FITCH CO |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 26,000 | 65.59 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 112,926 | 57.81 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 70,000 | 34.40 | TOLL BROTHERS INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 72,000 | 65.48 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 75,000 | 29.12 | NETWORK APPLIANCE INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 130,000 | 29.03 | TYCO INTERNATIONAL LTD |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 243,000 | 65.67 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | 189,000 | - | 46.74 | BROADCOM CORP CL A |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 72,000 | 0.42 | SILICON GRAPHICS INC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 94,000 | 65.84 | BP PLC |
| 1L0300 | 12/31/2005 | 11/22/2005 | - | 186,000 | 45.32 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 67,000 | 65.23 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 74,000 | 30.42 | OFFICE MAX |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 165,000 | 45.98 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 200,000 | 65.23 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 179,000 | 46.11 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 200,000 | 58.03 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 150,000 | 45.84 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 200,000 | 58.00 | EXXON MOBIL CORP |
| 1L0300 | 12/31/2005 | 11/23/2005 | - | 73,000 | 30.45 | OFFICE MAX |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 148,000 | 25.78 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 163,000 | 25.93 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 108,000 | 45.79 | QUALCOMM INC |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 55,000 | 18.26 | ALTERA CORP |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 55,000 | 64.99 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 45,000 | 64.87 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/25/2005 | - | 149,000 | 25.87 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 68,000 | 66.57 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | 100,000 | - | 42.36 | YAHOO INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 199,000 | 66.55 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | 144,000 | - | 42.42 | YAHOO INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 192,000 | 31.64 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 188,000 | 31.72 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 177,000 | 66.42 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 43,000 | 66.51 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 150,000 | 25.89 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 160,000 | 25.99 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/28/2005 | - | 161,000 | 31.68 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 37,000 | 67.22 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 50,000 | 10.13 | DEL MONTE FOODS CO |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 125,000 | 42.19 | UNITED STATES STEEL CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | 132,000 | - | 10.51 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 648 | 31.88 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 43,000 | 67.63 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 100,000 | 22.49 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 154,000 | 25.92 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 65,000 | 22.61 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 161,000 | 25.88 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 59,000 | 66.99 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 81,000 | 67.80 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 165,000 | 26.01 | NATIONAL SEMICONDUCTOR CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 177,000 | 31.98 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 15,000 | 32.15 | TOO INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | 250,000 | - | 10.49 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 176,000 | 31.89 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/29/2005 | 205,000 | - | 10.50 | SIEBEL SYSTEMS INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 60,000 | 32.62 | NCR CORP |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|--------------------------|
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 100,000 | 42.25 | UNITED STATES STEEL CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 104,000 | 67.59 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 135,000 | 42.03 | UNITED STATES STEEL CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 5,000 | 32.51 | TOO INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 57,000 | 67.74 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 85,750 | 32.70 | NCR CORP |
| 1L0300 | 12/31/2005 | 11/29/2005 | - | 92,000 | 22.54 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 39,766 | 10.09 | DEL MONTE FOODS CO |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 198,000 | 31.87 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 75,000 | 68.61 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 117,000 | 68.62 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 130,000 | 41.21 | UNITED STATES STEEL CORP |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 143,000 | 67.93 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 151,000 | 67.82 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 15,142 | 31.63 | TOO INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 70,000 | 22.25 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 132,000 | 68.70 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 25,000 | 69.07 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 85,000 | 22.07 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 109,000 | 68.20 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 48,000 | 22.19 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 53,000 | 68.55 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 186,000 | 31.91 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 200,000 | 31.98 | VERIZON COMMUNICATIONS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 147,000 | 67.99 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 177,000 | 67.71 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 32,000 | 22.16 | LIMITED BRANDS |
| 1L0300 | 12/31/2005 | 11/30/2005 | - | 100,000 | 69.04 | APPLE COMPUTER INC |
| 1L0300 | 12/31/2005 | 11/30/2005 | 8,121 | - | 12.88 | AGERE SYSTEMS INC |
| 1L0300 | 3/31/2006 | 2/1/2006 | 71,125,000 | - | 98.01 | U S TREASURY BILL |
| 1L0300 | 4/30/2006 | 2/1/2006 | 40,000 | - | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 3/31/2006 | 2/1/2006 | 21,727 | - | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 1/31/2006 | 11/30/2006 | 160,000,000 | - | 97.98 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 11/30/2006 | 11,040 | - | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 1/31/2006 | 11/30/2006 | 163,300,000 | - | 98.07 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 12/1/2006 | - | 1,750,000 | 98.07 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 12/30/2006 | - | 203,225,000 | 98.41 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 12/30/2006 | 203 | - | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 1/31/2006 | 12/30/2006 | - | 20,619 | 1.00 | FIDELITY SPARTAN |
| 1L0300 | 1/31/2006 | 12/30/2006 | - | 115,250,000 | 98.33 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 12/30/2006 | 625,000 | - | 98.41 | U S TREASURY BILL |
| 1L0300 | 1/31/2006 | 12/30/2006 | - | 2 | 1.00 | FIDELITY SPARTAN |
| 1L0319 | 5/31/2008 | 4/1/2008 | - | 40,000 | 18.88 | BROADCOM CORP CL A |
| 1M0319 | 5/31/2008 | 4/1/2008 | 2,150 | - | 18.88 | BROADCOM CORP CL A |
| 1M0002 | 12/31/1999 | 11/15/1999 | - | 6,500 | 21.00 | ADVANCED MICRO DEVICES |
| 1M0002 | 2/29/2000 | 1/6/2000 | - | 6,000 | 83.00 | AMERICA ONLINE CORP |
| 1M0002 | 2/29/2000 | 1/25/2000 | - | 9,500 | 44.88 | ADVANCED MICRO DEVICES |
| 1M0002 | 11/30/2001 | 9/4/2001 | 2,500 | - | 51.05 | KLA TENCOR CORPORATION |
| 1M0002 | 11/30/2008 | 10/3/2008 | - | 1,200 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1M0002 | 11/30/2008 | 10/3/2008 | 1,200 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1M0011 | 6/30/2002 | 4/1/2002 | - | 2,410 | 7.00 | MCI GROUP |
| 1M0011 | 6/30/2002 | 4/1/2002 | - | 60,265 | 6.20 | WORLD COM GROUP |
| 1M0015 | 1/31/1996 | 12/5/1996 | - | 8,300 | 211.00 | UAL CORP NEW |
| 1M0015 | 8/31/1997 | 7/7/1997 | - | 8,800 | 139.00 | INTEL CORP |
| 1M0015 | 8/31/1999 | 7/22/1999 | - | 100,000 | 100.44 | MICROSOFT CORP |
| 1M0015 | 8/31/1999 | 7/22/1999 | - | 150,000 | 100.50 | MICROSOFT CORP |
| 1M0015 | 8/31/1999 | 7/22/1999 | - | 52,000 | 100.38 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 8,000 | 118.56 | HEWLETT PACKARD CO |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 175,000 | 118.38 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 5,600 | 164.25 | AMERICAN EXPRESS COMPANY |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 2,000 | 118.25 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 11,194 | 54.75 | MCI WORLD COM INC |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 125,000 | 118.31 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/6/2000 | 11,000 | - | 54.38 | SCHLUMBERGER LTD |
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 1,120 | 84.50 | LEHMAN BROS HOLDING INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1M0015 | 2/29/2000 | 1/6/2000 | - | 115,200 | 118.25 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/6/2000 | 2,000 | - | 118.25 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/10/2000 | 1,120 | - | 70.00 | LEHMAN BROS HOLDING INC |
| 1M0015 | 2/29/2000 | 1/10/2000 | 25,000 | - | 43.50 | 3COM CORP |
| 1M0015 | 2/29/2000 | 1/10/2000 | 32,000 | - | 47.00 | ALTERA CORP |
| 1M0015 | 2/29/2000 | 1/11/2000 | - | 17,600 | 58.00 | LUCENT TECHNOLOGIES INC |
| 1M0015 | 2/29/2000 | 1/11/2000 | 5,600 | - | 147.00 | AMERICAN EXPRESS COMPANY |
| 1M0015 | 3/31/2000 | 1/11/2000 | 2,129 | - | 32.00 | TRANSOCEAN SEDCO FOREX INC |
| 1M0015 | 2/29/2000 | 1/11/2000 | - | 18,000 | 48.50 | DELL COMPUTER CORP |
| 1M0015 | 2/29/2000 | 1/12/2000 | - | 1,100 | 24.00 | BAKER HUGHES INC |
| 1M0015 | 2/29/2000 | 1/13/2000 | - | 74,000 | 43.00 | SEAGATE TECHNOLOGY INC |
| 1M0015 | 2/29/2000 | 1/13/2000 | - | 91,000 | 12.25 | QUANTUM CORP DSSG |
| 1M0015 | 2/29/2000 | 1/13/2000 | - | 15,000 | 55.06 | FORD MOTOR COMPANY |
| 1M0015 | 2/29/2000 | 1/18/2000 | - | 25,000 | 46.00 | 3COM CORP |
| 1M0015 | 2/29/2000 | 1/19/2000 | - | 16,140 | 57.50 | CHUBB CORP |
| 1M0015 | 2/29/2000 | 1/19/2000 | - | 5,562 | 25.25 | ALLSTATE |
| 1M0015 | 2/29/2000 | 1/20/2000 | - | 45,200 | 37.38 | PFIZER INC |
| 1M0015 | 2/29/2000 | 1/20/2000 | - | 7,862 | 27.38 | ASSOCIATES FIRST CAPITAL CORP |
| 1M0015 | 2/29/2000 | 1/20/2000 | - | 13,000 | 78.00 | MICRON TECHNOLOGY INC |
| 1M0015 | 2/29/2000 | 1/20/2000 | - | 48,000 | 37.25 | PFIZER INC |
| 1M0015 | 2/29/2000 | 1/20/2000 | - | 52,000 | 37.31 | PFIZER INC |
| 1M0015 | 2/29/2000 | 1/21/2000 | - | 2,340 | 138.25 | MORGAN STANLEY DEAN WITTER CO |
| 1M0015 | 2/29/2000 | 1/24/2000 | - | 45,500 | 9.38 | QUANTUM CORP HDDG |
| 1M0015 | 2/29/2000 | 1/26/2000 | - | 32,000 | 72.88 | ALTERA CORP |
| 1M0015 | 2/29/2000 | 1/26/2000 | 125,000 | - | 104.31 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/26/2000 | - | 11,000 | 67.38 | SCHLUMBERGER LTD |
| 1M0015 | 2/29/2000 | 1/26/2000 | 2,000 | - | 104.25 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/26/2000 | 175,000 | - | 104.25 | MICROSOFT CORP |
| 1M0015 | 2/29/2000 | 1/27/2000 | - | 17,000 | 115.50 | CISCO SYSTEMS INC |
| 1M0015 | 2/29/2000 | 1/27/2000 | - | 21,000 | 22.06 | PHILIP MORRIS COMPANIES INC |
| 1M0015 | 2/29/2000 | 1/27/2000 | - | 71,875 | 50.50 | 3COM CORP |
| 1M0015 | 2/29/2000 | 1/27/2000 | 71,875 | - | 50.50 | 3COM CORP |
| 1M0015 | 2/29/2000 | 1/27/2000 | - | 25,000 | 50.38 | 3COM CORP |
| 1M0015 | 2/29/2000 | 1/31/2000 | 13,000 | - | 64.50 | MICRON TECHNOLOGY INC |
| 1M0015 | 2/29/2000 | 1/31/2000 | - | 14,000 | 87.69 | MERRILL LYNCH & CO INC |
| 1M0015 | 11/30/2001 | 1/5/2001 | - | 75,000 | 28.38 | PALM INC |
| 1M0015 | 11/30/2001 | 1/5/2001 | - | 95,000 | 30.13 | YAHOO INC |
| 1M0015 | 11/30/2001 | 1/5/2001 | 50,000 | - | 28.44 | PALM INC |
| 1M0015 | 11/30/2001 | 1/5/2001 | - | 100,000 | 30.00 | YAHOO INC |
| 1M0015 | 11/30/2001 | 1/5/2001 | - | 50,000 | 28.44 | PALM INC |
| 1M0015 | 11/30/2001 | 1/10/2001 | - | 75,000 | 15.25 | LUCENT TECHNOLOGIES INC |
| 1M0015 | 11/30/2001 | 1/10/2001 | - | 75,000 | 15.20 | LUCENT TECHNOLOGIES INC |
| 1M0015 | 11/30/2001 | 1/22/2001 | - | 75,000 | 34.63 | NORTEL NETWORKS CORP HLDS CO |
| 1M0015 | 11/30/2001 | 1/22/2001 | - | 80,000 | 34.50 | NORTEL NETWORKS CORP HLDS CO |
| 1M0015 | 11/30/2001 | 1/24/2001 | 90,000 | - | 64.81 | JDS UNIPHASE CORP |
| 1M0015 | 11/30/2001 | 1/24/2001 | - | 90,000 | 64.81 | JDS UNIPHASE CORP |
| 1M0015 | 11/30/2001 | 1/24/2001 | - | 72,500 | 64.75 | JDS UNIPHASE CORP |
| 1M0015 | 11/30/2001 | 8/29/2001 | - | 75,000 | 11.00 | ADAPTEC INC |
| 1M0015 | 11/30/2001 | 8/29/2001 | - | 125,000 | 10.85 | ADAPTEC INC |
| 1M0015 | 11/30/2001 | 8/29/2001 | - | 66,000 | 10.79 | ADAPTEC INC |
| 1M0015 | 11/30/2001 | 9/26/2001 | - | 50,000 | 25.69 | LOWES COS INC |
| 1M0015 | 11/30/2001 | 9/26/2001 | - | 75,000 | 25.20 | LOWES COS INC |
| 1M0015 | 11/30/2001 | 9/26/2001 | - | 125,000 | 25.52 | LOWES COS INC |
| 1M0015 | 11/30/2001 | 9/26/2001 | - | 50,000 | 55.31 | JOHNSON CTLS INC |
| 1M0015 | 11/30/2001 | 9/26/2001 | - | 70,000 | 55.06 | JOHNSON CTLS INC |
| 1M0015 | 11/30/2001 | 10/2/2001 | - | 50,000 | 32.26 | SYMANTEC CORP |
| 1M0015 | 11/30/2001 | 10/2/2001 | - | 70,000 | 33.05 | SYMANTEC CORP |
| 1M0015 | 11/30/2001 | 10/2/2001 | - | 125,000 | 32.00 | SYMANTEC CORP |
| 1M0015 | 2/28/2003 | 1/10/2003 | 26,000 | - | 10.82 | MICRON TECHNOLOGY INC |
| 1M0016 | 8/31/1997 | 7/7/1997 | - | 10,800 | 139.00 | INTEL CORP |
| 1M0016 | 8/31/1999 | 7/2/1999 | - | 6,965 | 94.50 | MCI WORLDCOM INC |
| 1M0016 | 8/31/1999 | 7/9/1999 | - | 24,997 | 32.25 | R J REYNOLDS TOBACCO HLDS |
| 1M0016 | 8/31/1999 | 7/13/1999 | - | 75,000 | 20.63 | NABISCO GRP HLDS |
| 1M0016 | 12/31/1999 | 11/2/1999 | - | 50,000 | 70.13 | HEWLETT PACKARD CO |
| 1M0016 | 12/31/1999 | 11/3/1999 | - | 25,000 | 79.75 | AMGEN INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 57,600 | 118.31 | MICROSOFT CORP |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 79,600 | 118.38 | MICROSOFT CORP |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 24,000 | 33.50 | IMATION CORP |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 50,000 | 118.50 | HEWLETT PACKARD CO |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 7,600 | 118.38 | HEWLETT PACKARD CO |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 75,000 | 10.50 | NABISCO GRP HLDS |
| 1M0016 | 2/29/2000 | 1/6/2000 | 24,997 | - | 18.00 | R J REYNOLDS TOBACCO HLDS |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 60,000 | 118.13 | MICROSOFT CORP |
| 1M0016 | 2/29/2000 | 1/6/2000 | - | 74,000 | 118.25 | MICROSOFT CORP |
| 1M0016 | 2/29/2000 | 1/7/2000 | - | 50,000 | 68.50 | GATEWAY INC |
| 1M0016 | 2/29/2000 | 1/10/2000 | 50,000 | - | 58.50 | AMGEN INC |
| 1M0016 | 2/29/2000 | 1/12/2000 | 35,200 | - | 78.00 | INTEL CORP |
| 1M0016 | 2/29/2000 | 1/13/2000 | - | 18,000 | 33.00 | IMATION CORP |
| 1M0016 | 2/29/2000 | 1/14/2000 | - | 50,000 | 72.00 | AMGEN INC |
| 1M0016 | 2/29/2000 | 1/14/2000 | - | 28,800 | 68.50 | AMR CORP |
| 1M0016 | 2/29/2000 | 1/18/2000 | 50,000 | - | 108.50 | HEWLETT PACKARD CO |
| 1M0016 | 2/29/2000 | 1/19/2000 | - | 7,044 | 25.25 | ALLSTATE |
| 1M0016 | 2/29/2000 | 1/20/2000 | - | 35,200 | 105.44 | INTEL CORP |
| 1M0016 | 2/29/2000 | 1/21/2000 | - | 24,997 | 20.25 | R J REYNOLDS TOBACCO HLDS |
| 1M0016 | 2/29/2000 | 1/21/2000 | - | 2,966 | 138.25 | MORGAN STANLEY DEAN WITTER CO |
| 1M0016 | 2/29/2000 | 1/27/2000 | - | 33,000 | 33.06 | IMATION CORP |
| 1M0016 | 2/29/2000 | 1/27/2000 | 75,000 | - | 8.75 | NABISCO GRP HLDS |
| 1M0016 | 11/30/2001 | 1/5/2001 | - | 90,000 | 30.13 | YAHOO INC |
| 1M0016 | 11/30/2001 | 1/5/2001 | - | 100,000 | 30.00 | YAHOO INC |
| 1M0016 | 11/30/2001 | 1/5/2001 | - | 50,000 | 28.44 | PALM INC |
| 1M0016 | 11/30/2001 | 1/5/2001 | - | 25,000 | 28.38 | PALM INC |
| 1M0016 | 11/30/2001 | 1/10/2001 | - | 70,000 | 15.25 | LUCENT TECHNOLOGIES INC |
| 1M0016 | 11/30/2001 | 1/10/2001 | - | 75,000 | 15.20 | LUCENT TECHNOLOGIES INC |
| 1M0016 | 11/30/2001 | 1/22/2001 | - | 70,000 | 34.63 | NORTEL NETWORKS CORP HLDS CO |
| 1M0016 | 11/30/2001 | 1/22/2001 | - | 80,000 | 34.50 | NORTEL NETWORKS CORP HLDS CO |
| 1M0016 | 11/30/2001 | 1/24/2001 | - | 70,000 | 64.81 | JDS UNIPHASE CORP |
| 1M0016 | 11/30/2001 | 8/24/2001 | - | 75,000 | 10.97 | ADAPTEC INC |
| 1M0016 | 11/30/2001 | 8/27/2001 | - | 66,000 | 10.81 | ADAPTEC INC |
| 1M0016 | 11/30/2001 | 8/28/2001 | - | 100,000 | 10.87 | ADAPTEC INC |
| 1M0016 | 11/30/2001 | 9/25/2001 | - | 40,000 | 56.75 | JOHNSON CTLS INC |
| 1M0016 | 11/30/2001 | 9/26/2001 | - | 100,000 | 25.53 | LOWES COS INC |
| 1M0016 | 11/30/2001 | 9/26/2001 | - | 50,000 | 25.70 | LOWES COS INC |
| 1M0016 | 11/30/2001 | 9/26/2001 | - | 50,000 | 55.35 | JOHNSON CTLS INC |
| 1M0016 | 11/30/2001 | 9/26/2001 | - | 30,000 | 55.10 | JOHNSON CTLS INC |
| 1M0016 | 11/30/2001 | 9/26/2001 | - | 70,000 | 25.25 | LOWES COS INC |
| 1M0016 | 11/30/2001 | 10/2/2001 | - | 100,000 | 32.05 | SYMANTEC CORP |
| 1M0016 | 11/30/2001 | 10/2/2001 | - | 50,000 | 32.27 | SYMANTEC CORP |
| 1M0016 | 11/30/2001 | 10/2/2001 | - | 50,000 | 33.06 | SYMANTEC CORP |
| 1M0016 | 1/31/2001 | 12/4/2001 | 100,000 | - | 66.69 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/4/2001 | 95,200 | - | 66.63 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/4/2001 | 76,000 | - | 66.50 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/20/2001 | - | 50,200 | 51.38 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/20/2001 | - | 95,000 | 51.50 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/20/2001 | - | 50,000 | 51.25 | MICROSOFT CORP |
| 1M0016 | 1/31/2001 | 12/20/2001 | - | 76,000 | 51.75 | MICROSOFT CORP |
| 1M0021 | 6/30/1999 | 5/26/1999 | 1,600,000 | - | 99.66 | U S TREASURY BILL |
| 1M0021 | 6/30/1999 | 5/26/1999 | - | 1,600,000 | 98.66 | U S TREASURY BILL |
| 1M0024 | 6/30/1999 | 5/26/1999 | - | 3,000,000 | 98.66 | U S TREASURY BILL |
| 1M0024 | 6/30/1999 | 5/26/1999 | 3,000,000 | - | 99.66 | U S TREASURY BILL |
| 1M0024 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.48 | U S TREASURY BILL |
| 1M0024 | 8/31/2002 | 7/31/2002 | - | 1,775,000 | 99.54 | U S TREASURY BILL |
| 1M0024 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.48 | U S TREASURY BILL |
| 1M0024 | 8/31/2002 | 7/31/2002 | 1,775,000 | - | 99.54 | U S TREASURY BILL |
| 1M0040 | 6/30/1999 | 5/26/1999 | - | 2,075,000 | 98.66 | U S TREASURY BILL |
| 1M0040 | 6/30/1999 | 5/26/1999 | 2,075,000 | - | 99.66 | U S TREASURY BILL |
| 1M0040 | 8/31/2002 | 7/31/2002 | - | 2,050,000 | 99.54 | U S TREASURY BILL |
| 1M0040 | 8/31/2002 | 7/31/2002 | 2,050,000 | - | 99.48 | U S TREASURY BILL |
| 1M0040 | 8/31/2002 | 7/31/2002 | - | 2,050,000 | 99.48 | U S TREASURY BILL |
| 1M0040 | 8/31/2002 | 7/31/2002 | 2,050,000 | - | 99.54 | U S TREASURY BILL |
| 1M0043 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1M0043 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1M0046 | 8/31/1998 | 7/31/1998 | - | 1,480,000 | 99.34 | U S TREASURY BILL |
| 1M0046 | 8/31/1998 | 7/31/1998 | 9,215 | - | 1.00 | FIDELITY SPARTAN |
| 1M0046 | 6/30/1999 | 5/26/1999 | - | 6,025,000 | 98.66 | U S TREASURY BILL |
| 1M0046 | 6/30/1999 | 5/26/1999 | 6,025,000 | - | 99.66 | U S TREASURY BILL |
| 1M0046 | 6/30/1999 | 5/28/1999 | 13,625 | - | 1.00 | FIDELITY SPARTAN |
| 1M0046 | 6/30/1999 | 5/28/1999 | - | 75,000 | 98.50 | U S TREASURY BILL |
| 1M0078 | 6/30/1999 | 5/26/1999 | - | 2,550,000 | 98.66 | U S TREASURY BILL |
| 1M0078 | 6/30/1999 | 5/26/1999 | 2,550,000 | - | 99.66 | U S TREASURY BILL |
| 1M0078 | 8/31/2002 | 7/31/2002 | - | 2,700,000 | 99.54 | U S TREASURY BILL |
| 1M0078 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.48 | U S TREASURY BILL |
| 1M0078 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.54 | U S TREASURY BILL |
| 1M0078 | 8/31/2002 | 7/31/2002 | 2,700,000 | - | 99.48 | U S TREASURY BILL |
| 1M0083 | 6/30/1999 | 5/26/1999 | - | 1,550,000 | 98.66 | U S TREASURY BILL |
| 1M0083 | 6/30/1999 | 5/26/1999 | 1,550,000 | - | 99.66 | U S TREASURY BILL |
| 1M0083 | 8/31/2002 | 7/31/2002 | 3,550,000 | - | 99.54 | U S TREASURY BILL |
| 1M0083 | 8/31/2002 | 7/31/2002 | - | 3,550,000 | 99.54 | U S TREASURY BILL |
| 1M0083 | 8/31/2002 | 7/31/2002 | 3,550,000 | - | 99.48 | U S TREASURY BILL |
| 1M0083 | 8/31/2002 | 7/31/2002 | - | 3,550,000 | 99.48 | U S TREASURY BILL |
| 1M0085 | 6/30/1999 | 5/26/1999 | 2,875,000 | - | 99.66 | U S TREASURY BILL |
| 1M0085 | 6/30/1999 | 5/26/1999 | - | 2,875,000 | 98.66 | U S TREASURY BILL |
| 1M0086 | 6/30/1999 | 5/26/1999 | - | 2,875,000 | 98.66 | U S TREASURY BILL |
| 1M0086 | 6/30/1999 | 5/26/1999 | 2,875,000 | - | 99.66 | U S TREASURY BILL |
| 1M0086 | 8/31/2002 | 7/31/2002 | - | 6,200,000 | 99.48 | U S TREASURY BILL |
| 1M0086 | 8/31/2002 | 7/31/2002 | 6,200,000 | - | 99.48 | U S TREASURY BILL |
| 1M0086 | 8/31/2002 | 7/31/2002 | - | 6,200,000 | 99.54 | U S TREASURY BILL |
| 1M0086 | 8/31/2002 | 7/31/2002 | 6,200,000 | - | 99.54 | U S TREASURY BILL |
| 1M0086 | 5/31/2005 | 4/20/2005 | 1,125,000 | - | 99.68 | U S TREASURY BILL |
| 1M0093 | 1/31/2006 | 12/19/2006 | 500,000 | - | 98.82 | U S TREASURY BILL |
| 1M0093 | 1/31/2006 | 12/19/2006 | - | 500,000 | 98.92 | U S TREASURY BILL |
| 1M0132 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1M0132 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1M0140 | 7/31/1998 | 1/7/1998 | 5,000 | - | 51.00 | DELL COMPUTER CORP |
| 1M0140 | 3/31/2002 | 1/4/2002 | 81,000 | - | 44.25 | MICROSOFT CORP |
| 1M0140 | 3/31/2002 | 1/4/2002 | 64,000 | - | 44.00 | MICROSOFT CORP |
| 1M0140 | 3/31/2002 | 1/4/2002 | 80,000 | - | 43.75 | MICROSOFT CORP |
| 1M0140 | 3/31/2002 | 1/14/2002 | - | 70,000 | 69.38 | MICROSOFT CORP |
| 1M0140 | 3/31/2002 | 1/14/2002 | - | 83,000 | 69.05 | MICROSOFT CORP |
| 1M0140 | 3/31/2002 | 1/14/2002 | - | 72,000 | 68.63 | MICROSOFT CORP |
| 1M0140 | 4/30/2004 | 3/14/2004 | 100,000 | - | 1.60 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/14/2004 | 290,000 | - | 1.59 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/14/2004 | 320,000 | - | 1.58 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/17/2004 | 155,000 | - | 1.50 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/17/2004 | 245,000 | - | 1.51 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/17/2004 | 310,000 | - | 1.52 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/17/2004 | 95,000 | - | 1.53 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/18/2004 | 135,000 | - | 1.55 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/18/2004 | 110,000 | - | 1.54 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/18/2004 | 190,000 | - | 1.56 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/19/2004 | 110,000 | - | 1.54 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/19/2004 | 140,000 | - | 1.58 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/19/2004 | 150,000 | - | 1.57 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/19/2004 | 230,000 | - | 1.55 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/19/2004 | 380,000 | - | 1.56 | LUCENT TECHNOLOGIES INC |
| 1M0140 | 4/30/2004 | 3/31/2004 | 3,850 | - | 1.00 | FIDELITY SPARTAN |
| 1M0141 | 7/31/1998 | 1/7/1998 | 5,000 | - | 51.00 | DELL COMPUTER CORP |
| 1M0142 | 7/31/1998 | 1/7/1998 | 5,000 | - | 51.00 | DELL COMPUTER CORP |
| 1M0142 | 3/31/2002 | 1/4/2002 | 81,000 | - | 44.25 | MICROSOFT CORP |
| 1M0142 | 3/31/2002 | 1/4/2002 | 64,000 | - | 44.00 | MICROSOFT CORP |
| 1M0142 | 3/31/2002 | 1/4/2002 | 80,000 | - | 43.75 | MICROSOFT CORP |
| 1M0142 | 3/31/2002 | 1/14/2002 | - | 72,000 | 68.63 | MICROSOFT CORP |
| 1M0142 | 3/31/2002 | 1/14/2002 | - | 83,000 | 69.05 | MICROSOFT CORP |
| 1M0142 | 3/31/2002 | 1/14/2002 | - | 70,000 | 69.38 | MICROSOFT CORP |
| 1M0142 | 4/30/2004 | 3/14/2004 | 100,000 | - | 1.60 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/14/2004 | 320,000 | - | 1.58 | LUCENT TECHNOLOGIES INC |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------------|
| 1M0142 | 4/30/2004 | 3/14/2004 | 290,000 | - | 1.59 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/17/2004 | 95,000 | - | 1.53 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/17/2004 | 310,000 | - | 1.52 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/17/2004 | 155,000 | - | 1.50 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/17/2004 | 245,000 | - | 1.51 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/18/2004 | 110,000 | - | 1.54 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/18/2004 | 190,000 | - | 1.56 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/18/2004 | 135,000 | - | 1.55 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/19/2004 | 230,000 | - | 1.55 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/19/2004 | 140,000 | - | 1.58 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/19/2004 | 150,000 | - | 1.57 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/19/2004 | 110,000 | - | 1.54 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/19/2004 | 380,000 | - | 1.56 | LUCENT TECHNOLOGIES INC |
| 1M0142 | 4/30/2004 | 3/31/2004 | 3,850 | - | 1.00 | FIDELITY SPARTAN |
| 1M0143 | 7/31/1998 | 1/7/1998 | 5,000 | - | 51.00 | DELL COMPUTER CORP |
| 1M0146 | 6/30/1999 | 5/26/1999 | - | 2,425,000 | 98.66 | U S TREASURY BILL |
| 1M0146 | 6/30/1999 | 5/26/1999 | 2,425,000 | - | 99.66 | U S TREASURY BILL |
| 1M0146 | 8/31/2002 | 7/31/2002 | - | 3,425,000 | 99.54 | U S TREASURY BILL |
| 1M0146 | 8/31/2002 | 7/31/2002 | - | 3,425,000 | 99.48 | U S TREASURY BILL |
| 1M0146 | 8/31/2002 | 7/31/2002 | 3,425,000 | - | 99.48 | U S TREASURY BILL |
| 1M0146 | 8/31/2002 | 7/31/2002 | 3,425,000 | - | 99.54 | U S TREASURY BILL |
| 1M0147 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.54 | U S TREASURY BILL |
| 1M0147 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.48 | U S TREASURY BILL |
| 1M0147 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.54 | U S TREASURY BILL |
| 1M0147 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.48 | U S TREASURY BILL |
| 1M0150 | 6/30/1999 | 5/26/1999 | - | 1,000,000 | 98.66 | U S TREASURY BILL |
| 1M0150 | 6/30/1999 | 5/26/1999 | 1,000,000 | - | 99.66 | U S TREASURY BILL |
| 1M0174 | 3/31/2002 | 1/4/2002 | 85,000 | - | 43.88 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/4/2002 | 91,000 | - | 44.25 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/4/2002 | 84,000 | - | 44.12 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/4/2002 | 90,000 | - | 43.75 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/14/2002 | - | 100,000 | 69.38 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/14/2002 | - | 77,000 | 69.10 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/14/2002 | - | 78,000 | 68.90 | MICROSOFT CORP |
| 1M0174 | 3/31/2002 | 1/14/2002 | - | 95,000 | 68.63 | MICROSOFT CORP |
| 1M0174 | 9/30/2005 | 1/21/2005 | 75,000 | - | 22.75 | APPLE COMPUTER INC |
| 1M0174 | 9/30/2005 | 1/21/2005 | 50,000 | - | 22.83 | APPLE COMPUTER INC |
| 1M0174 | 9/30/2005 | 3/8/2005 | - | 80,000 | 43.86 | APPLE COMPUTER INC |
| 1M0174 | 9/30/2005 | 3/8/2005 | - | 95,000 | 44.06 | APPLE COMPUTER INC |
| 1M0174 | 9/30/2005 | 3/8/2005 | - | 75,000 | 43.61 | APPLE COMPUTER INC |
| 1M0228 | 5/31/2007 | 12/29/2007 | 68,598 | - | 1.00 | FIDELITY SPARTAN |
| 1N0004 | 6/30/1999 | 5/26/1999 | - | 1,875,000 | 98.66 | U S TREASURY BILL |
| 1N0004 | 6/30/1999 | 5/26/1999 | 1,875,000 | - | 99.66 | U S TREASURY BILL |
| 1N0004 | 8/31/2002 | 7/31/2002 | 2,225,000 | - | 99.54 | U S TREASURY BILL |
| 1N0004 | 8/31/2002 | 7/31/2002 | - | 2,225,000 | 99.54 | U S TREASURY BILL |
| 1N0004 | 8/31/2002 | 7/31/2002 | 2,225,000 | - | 99.48 | U S TREASURY BILL |
| 1N0004 | 8/31/2002 | 7/31/2002 | - | 2,225,000 | 99.48 | U S TREASURY BILL |
| 1N0005 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1N0005 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1N0016 | 6/30/1999 | 5/26/1999 | - | 2,500,000 | 98.66 | U S TREASURY BILL |
| 1N0016 | 6/30/1999 | 5/26/1999 | 2,500,000 | - | 99.66 | U S TREASURY BILL |
| 1N0016 | 8/31/2002 | 7/31/2002 | - | 3,150,000 | 99.48 | U S TREASURY BILL |
| 1N0016 | 8/31/2002 | 7/31/2002 | - | 3,150,000 | 99.54 | U S TREASURY BILL |
| 1N0016 | 8/31/2002 | 7/31/2002 | 3,150,000 | - | 99.48 | U S TREASURY BILL |
| 1N0016 | 8/31/2002 | 7/31/2002 | 3,150,000 | - | 99.54 | U S TREASURY BILL |
| 1O0002 | 1/31/2007 | 4/6/2007 | - | 17 | 1.00 | FIDELITY SPARTAN |
| 1O0003 | 6/30/1999 | 5/26/1999 | 3,650,000 | - | 99.66 | U S TREASURY BILL |
| 1O0003 | 6/30/1999 | 5/26/1999 | - | 3,650,000 | 98.66 | U S TREASURY BILL |
| 1O0003 | 8/31/2002 | 7/31/2002 | - | 4,550,000 | 99.54 | U S TREASURY BILL |
| 1O0003 | 8/31/2002 | 7/31/2002 | 4,550,000 | - | 99.48 | U S TREASURY BILL |
| 1O0003 | 8/31/2002 | 7/31/2002 | 4,550,000 | - | 99.54 | U S TREASURY BILL |
| 1O0003 | 8/31/2002 | 7/31/2002 | - | 4,550,000 | 99.48 | U S TREASURY BILL |
| 1O0004 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1O0004 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1O0004 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.48 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1O0004 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.54 | U S TREASURY BILL |
| 1O0004 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.48 | U S TREASURY BILL |
| 1O0004 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.54 | U S TREASURY BILL |
| 1O0013 | 1/31/2007 | 4/6/2007 | - | 5 | 1.00 | FIDELITY SPARTAN |
| 1O0014 | 12/31/2005 | 11/30/2005 | - | 200,000 | 98.42 | U S TREASURY BILL |
| 1O0014 | 12/31/2005 | 11/30/2005 | 525 | - | 1.00 | FIDELITY SPARTAN |
| 1O0015 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.48 | U S TREASURY BILL |
| 1O0015 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.48 | U S TREASURY BILL |
| 1O0015 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.54 | U S TREASURY BILL |
| 1O0015 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.54 | U S TREASURY BILL |
| 1O0017 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1O0017 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1O0017 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1O0017 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1O0018 | 1/31/2007 | 4/6/2007 | - | 5 | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 3/31/1997 | 4/4/1997 | 17,250 | - | 31.63 | ORACLE CORPORATION |
| 1P0017 | 3/31/1997 | 4/4/1997 | - | 17,250 | 47.44 | ORACLE CORPORATION |
| 1P0017 | 3/31/1997 | 4/30/1997 | 272,765 | - | - | FIDELITY CASH RESERVES SBI |
| 1P0017 | 3/31/1998 | 8/12/1998 | - | 19,000 | 57.28 | ORACLE CORPORATION |
| 1P0017 | 3/31/1998 | 8/12/1998 | 19,000 | - | 38.19 | ORACLE CORPORATION |
| 1P0017 | 3/31/1998 | 8/20/1998 | 26,000 | - | 39.00 | ORACLE CORPORATION |
| 1P0017 | 3/31/1998 | 8/20/1998 | - | 26,000 | 58.50 | ORACLE CORPORATION |
| 1P0017 | 10/31/1998 | 9/4/1998 | - | 8,000 | 46.00 | AMERITECH CORP |
| 1P0017 | 10/31/1998 | 9/4/1998 | 16,000 | - | 48.63 | AT & T CORP |
| 1P0017 | 10/31/1998 | 9/4/1998 | - | 8,500 | 48.63 | AT & T CORP |
| 1P0017 | 10/31/1998 | 9/30/1998 | 3,313 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 3/31/1999 | 2/8/1999 | 7,250 | - | 82.00 | ALCOA INC |
| 1P0017 | 3/31/1999 | 2/8/1999 | - | 14,500 | 41.00 | ALCOA INC |
| 1P0017 | 3/31/1999 | 2/26/1999 | - | 9,911 | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 3/31/1999 | 2/26/1999 | 6,993 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 9/30/1999 | 8/31/1999 | 1,402 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 5/31/2000 | 4/10/2000 | - | 12,500 | 36.88 | PEPSICO INC |
| 1P0017 | 5/31/2000 | 4/28/2000 | 371 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 6/30/2000 | 5/9/2000 | - | 1,636 | 116.13 | DOW CHEMICAL CO |
| 1P0017 | 6/30/2000 | 5/9/2000 | - | 2,500 | 36.88 | PEPSICO INC |
| 1P0017 | 6/30/2000 | 5/9/2000 | 7,650 | - | 36.88 | PEPSICO INC |
| 1P0017 | 6/30/2000 | 5/31/2000 | 75 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 8/31/2000 | 7/31/2000 | 2,825 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 8/31/2000 | 7/31/2000 | 3,060,000 | - | 98.54 | U S TREASURY BILL |
| 1P0017 | 8/31/2000 | 7/31/2000 | - | 3,018,149 | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 6/30/2001 | 5/31/2001 | 5,399 | - | 1.00 | FIDELITY SPARTAN |
| 1P0017 | 6/30/2001 | 5/31/2001 | - | 5,495 | 1.00 | FIDELITY SPARTAN |
| 1P0019 | 8/31/1996 | 7/1/1996 | 97,000 | - | 13.63 | ADVANCED MICRO DEVICES |
| 1P0019 | 8/31/1996 | 7/1/1996 | 3,800 | - | 92.25 | AMR CORP |
| 1P0019 | 8/31/1996 | 7/2/1996 | 63,000 | - | 39.13 | ORACLE CORPORATION |
| 1P0019 | 8/31/1996 | 7/3/1996 | 45,600 | - | 50.75 | MCDONNELL DOUGLAS CORP |
| 1P0019 | 8/31/1996 | 7/5/1996 | 3,800 | - | 75.00 | ANHEUSER BUSCH COS INC |
| 1P0019 | 8/31/1996 | 7/9/1996 | 152 | - | 35.75 | EARTHGRAINS COMPANY |
| 1P0020 | 8/31/1996 | 7/1/1996 | 26,000 | - | 13.63 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/1/1996 | 20,000 | - | 92.25 | AMR CORP |
| 1P0020 | 8/31/1996 | 7/2/1996 | 65,000 | - | 13.25 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/2/1996 | 100,000 | - | 39.25 | ORACLE CORPORATION |
| 1P0020 | 8/31/1996 | 7/2/1996 | 16,900 | - | 83.13 | CITICORP |
| 1P0020 | 8/31/1996 | 7/2/1996 | 50,000 | - | 13.25 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/2/1996 | 100,000 | - | 13.25 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/2/1996 | 55,000 | - | 13.25 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/3/1996 | 31,000 | - | 44.75 | GTE CORP |
| 1P0020 | 8/31/1996 | 7/3/1996 | 2,000 | - | 45.25 | AMERICAN EXPRESS COMPANY |
| 1P0020 | 8/31/1996 | 7/3/1996 | 21,900 | - | 50.75 | MCDONNELL DOUGLAS CORP |
| 1P0020 | 8/31/1996 | 7/3/1996 | 400 | - | 25.38 | LEHMAN BROS HOLDING INC |
| 1P0020 | 8/31/1996 | 7/5/1996 | 63,376 | - | 71.50 | THE CHASE MANHATTAN CORP NEW |
| 1P0020 | 8/31/1996 | 7/5/1996 | 90,000 | - | 39.63 | ORACLE CORPORATION |
| 1P0020 | 8/31/1996 | 7/5/1996 | 62,000 | - | 39.63 | ORACLE CORPORATION |
| 1P0020 | 8/31/1996 | 7/8/1996 | 8,000 | - | 49.75 | JOHNSON & JOHNSON |
| 1P0020 | 8/31/1996 | 7/8/1996 | 35,800 | - | 75.75 | INTEL CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1P0020 | 8/31/1996 | 7/8/1996 | 69,000 | - | 50.25 | MCDONNELL DOUGLAS CORP |
| 1P0020 | 8/31/1996 | 7/9/1996 | 400 | - | 70.63 | CATERPILLAR INC |
| 1P0020 | 8/31/1996 | 7/9/1996 | 90,900 | - | 50.13 | MCDONNELL DOUGLAS CORP |
| 1P0020 | 8/31/1996 | 7/9/1996 | 8,000 | - | 49.63 | COCA COLA CO |
| 1P0020 | 8/31/1996 | 7/9/1996 | 80,000 | - | 13.50 | ADVANCED MICRO DEVICES |
| 1P0020 | 8/31/1996 | 7/9/1996 | 18,400 | - | 63.75 | BELL ATLANTIC CORP |
| 1P0020 | 8/31/1996 | 7/9/1996 | 24,800 | - | 48.13 | NYNEX CORP |
| 1P0020 | 8/31/1996 | 7/9/1996 | 30,800 | - | 122.63 | MICROSOFT CORP |
| 1P0020 | 8/31/1996 | 7/9/1996 | 39,200 | - | 43.13 | BELLSOUTH CORP |
| 1P0020 | 8/31/1996 | 7/16/1996 | 400 | - | 73.88 | PFIZER INC |
| 1P0020 | 8/31/1996 | 7/16/1996 | 2,000 | - | 79.75 | DOW CHEMICAL CO |
| 1P0020 | 8/31/1996 | 7/16/1996 | 41,800 | - | 26.13 | MCI COMMUNICATIONS CORP |
| 1P0023 | 8/31/1996 | 7/2/1996 | 41,300 | - | 83.13 | CITICORP |
| 1P0023 | 8/31/1996 | 7/2/1996 | 40,000 | - | 49.25 | JOHNSON & JOHNSON |
| 1P0023 | 8/31/1996 | 7/3/1996 | 19,687 | - | 98.88 | AMERICAN INTL GROUP INC |
| 1P0023 | 8/31/1996 | 7/3/1996 | 38,000 | - | 44.75 | GTE CORP |
| 1P0023 | 8/31/1996 | 7/3/1996 | 70,000 | - | 35.63 | PEPSICO INC |
| 1P0023 | 8/31/1996 | 7/3/1996 | 112,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1P0023 | 8/31/1996 | 7/3/1996 | 1,000 | - | 63.50 | THE WALT DISNEY CO |
| 1P0023 | 8/31/1996 | 7/5/1996 | 112,888 | - | 71.50 | THE CHASE MANHATTAN CORP NEW |
| 1P0023 | 8/31/1996 | 7/5/1996 | 71,600 | - | 42.88 | BELLSOUTH CORP |
| 1P0023 | 8/31/1996 | 7/8/1996 | 43,800 | - | 75.75 | INTEL CORP |
| 1P0023 | 8/31/1996 | 7/9/1996 | 30,000 | - | 49.50 | COCA COLA CO |
| 1P0023 | 8/31/1996 | 7/9/1996 | 85,000 | - | 49.50 | COCA COLA CO |
| 1P0023 | 8/31/1996 | 7/9/1996 | 38,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1P0023 | 8/31/1996 | 7/9/1996 | 135,000 | - | 49.63 | COCA COLA CO |
| 1P0023 | 8/31/1996 | 7/9/1996 | 150,000 | - | 49.63 | COCA COLA CO |
| 1P0023 | 8/31/1996 | 7/9/1996 | 37,800 | - | 122.63 | MICROSOFT CORP |
| 1P0023 | 8/31/1996 | 7/9/1996 | 16,200 | - | 59.38 | AMERITECH CORP |
| 1P0023 | 8/31/1996 | 7/9/1996 | 22,500 | - | 63.75 | BELL ATLANTIC CORP |
| 1P0023 | 8/31/1996 | 7/9/1996 | 75,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1P0023 | 8/31/1996 | 7/9/1996 | 135,000 | - | 49.50 | JOHNSON & JOHNSON |
| 1P0023 | 8/31/1996 | 7/10/1996 | 45,400 | - | 47.75 | NYNEX CORP |
| 1P0023 | 8/31/1996 | 7/16/1996 | 22,500 | - | 79.75 | DOW CHEMICAL CO |
| 1P0023 | 8/31/1996 | 7/16/1996 | 51,200 | - | 26.13 | MCI COMMUNICATIONS CORP |
| 1P0023 | 12/31/2002 | 1/8/2002 | - | 49,000 | 55.58 | MORGAN STANLEY |
| 1P0023 | 12/31/2002 | 1/8/2002 | 49,000 | - | 55.58 | MORGAN STANLEY |
| 1P0023 | 12/31/2002 | 1/14/2002 | - | 91,000 | 5.63 | PRICELINE.COM INC |
| 1P0023 | 12/31/2002 | 1/14/2002 | - | 1,000 | 43.50 | DAIMLER CHRYSLER AG |
| 1P0023 | 12/31/2002 | 1/14/2002 | 91,000 | - | 5.63 | PRICELINE.COM INC |
| 1P0023 | 12/31/2002 | 1/14/2002 | 1,000 | - | 43.50 | DAIMLER CHRYSLER AG |
| 1P0023 | 12/31/2002 | 1/17/2002 | - | 68,000 | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1P0023 | 12/31/2002 | 1/17/2002 | 50,000 | - | 24.10 | GEORGIA PACIFIC CORP COMMON |
| 1P0023 | 12/31/2002 | 1/17/2002 | - | 50,000 | 24.10 | GEORGIA PACIFIC CORP COMMON |
| 1P0023 | 12/31/2002 | 1/17/2002 | 68,000 | - | 24.00 | GEORGIA PACIFIC CORP COMMON |
| 1P0023 | 12/31/2002 | 1/22/2002 | - | 81,000 | 25.99 | TEXAS INSTRUMENTS INC |
| 1P0023 | 12/31/2002 | 1/22/2002 | 81,000 | - | 25.99 | TEXAS INSTRUMENTS INC |
| 1P0024 | 4/30/1999 | 3/9/1999 | 522 | - | 85.25 | AMERICA ONLINE CORP |
| 1P0024 | 4/30/1999 | 3/23/1999 | - | 522 | 108.63 | AMERICA ONLINE CORP |
| 1P0024 | 4/30/1999 | 3/31/1999 | 93,243 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 4/30/1999 | 3/31/1999 | - | 81,041 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 275,000 | 30.19 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 285,000 | 30.06 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 85,000 | 45.69 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 290,000 | 30.13 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 120,000 | 45.00 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/6/2000 | - | 165,000 | 45.38 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 50,000 | 108.25 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 90,000 | 108.00 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 100,000 | 70.00 | MICROSOFT CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 210,000 | 31.50 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 215,000 | 31.63 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 49,400 | 70.25 | MICROSOFT CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 75,000 | 108.50 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 100,000 | 70.50 | MICROSOFT CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 205,000 | 31.75 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 100,000 | 47.13 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 100,000 | 47.25 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/7/2000 | - | 84,800 | 47.00 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 90,000 | 46.13 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 100,000 | 111.50 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 120,000 | 46.63 | INTEL CORP |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 245,000 | 31.88 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 260,000 | 31.69 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 88,800 | 111.13 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 100,000 | 110.75 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/8/2000 | - | 250,000 | 31.50 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 130,000 | 112.34 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 130,000 | 111.50 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 190,000 | 31.75 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 230,000 | 31.94 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 115,000 | 113.13 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/9/2000 | - | 200,000 | 32.13 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 75,000 | 111.13 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 80,000 | 112.00 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/10/2000 | 150,000,000 | - | 98.33 | U S TREASURY BILL |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 131,200 | 31.75 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 185,000 | 32.00 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/10/2000 | 145,120,000 | - | 98.23 | U S TREASURY BILL |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 115,000 | 110.25 | SUN MICROSYSTEMS INC |
| 1P0024 | 12/31/2000 | 11/10/2000 | - | 180,000 | 32.25 | DELL COMPUTER CORP |
| 1P0024 | 12/31/2000 | 11/30/2000 | 4,324 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 4/30/2001 | 3/30/2001 | - | 72,762 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 4/30/2001 | 3/30/2001 | 53,212 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 9/30/2001 | 6/15/2001 | - | 65,000 | 117.12 | INTERNATIONAL BUSINESS MACHS |
| 1P0024 | 9/30/2001 | 6/15/2001 | - | 75,000 | 117.00 | INTERNATIONAL BUSINESS MACHS |
| 1P0024 | 9/30/2001 | 6/15/2001 | - | 53,950 | 41.95 | AMERICAN EXPRESS COMPANY |
| 1P0024 | 9/30/2001 | 6/15/2001 | - | 50,000 | 41.80 | AMERICAN EXPRESS COMPANY |
| 1P0024 | 9/30/2001 | 6/15/2001 | - | 68,000 | 117.25 | INTERNATIONAL BUSINESS MACHS |
| 1P0024 | 9/30/2001 | 6/26/2001 | - | 50,098 | 46.90 | JP MORGAN CHASE & CO |
| 1P0024 | 9/30/2001 | 6/26/2001 | - | 126,000 | 47.00 | JP MORGAN CHASE & CO |
| 1P0024 | 9/30/2001 | 6/26/2001 | - | 50,000 | 46.75 | JP MORGAN CHASE & CO |
| 1P0024 | 9/30/2001 | 6/26/2001 | - | 9,988 | 64.70 | MORGAN STANLEY DEAN WITTER CO |
| 1P0024 | 9/30/2001 | 6/29/2001 | 40,410,000 | - | 98.91 | U S TREASURY BILL |
| 1P0024 | 9/30/2001 | 6/29/2001 | 292 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 165,000 | 18.70 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 321,000 | 18.87 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 150,000 | 18.75 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 230,000 | 19.35 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 125,000 | 19.00 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/3/2001 | - | 200,000 | 19.50 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 204,000 | 19.40 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 225,000 | 19.70 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 262,000 | 19.37 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 195,000 | 19.49 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 200,000 | 19.75 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 210,000 | 19.62 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/5/2001 | - | 175,000 | 19.55 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 179,000 | 19.57 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 185,000 | 19.51 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 75,000 | 47.50 | ADOBE SYS INC |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 50,000 | 47.52 | ADOBE SYS INC |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 220,000 | 19.75 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 48,400 | 47.38 | ADOBE SYS INC |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 250,000 | 19.60 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 199,000 | 19.47 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 245,000 | 19.62 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/6/2001 | - | 207,000 | 19.39 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 275,000 | 19.40 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 205,000 | 19.59 | ORACLE CORPORATION |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 175,000 | 19.25 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 190,000 | 19.20 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 203,000 | 19.35 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 220,000 | 19.42 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 210,000 | 19.08 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/9/2001 | - | 200,000 | 19.15 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 250,000 | 19.70 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 53,974 | 52.85 | CITI GROUP INC |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 280,000 | 19.53 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 290,000 | 19.58 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 18,920 | 19.47 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 100,000 | 53.00 | CITI GROUP INC |
| 1P0024 | 9/30/2001 | 7/10/2001 | - | 275,000 | 19.65 | ORACLE CORPORATION |
| 1P0024 | 9/30/2001 | 7/31/2001 | 151,985,000 | - | 99.38 | U S TREASURY BILL |
| 1P0024 | 9/30/2001 | 7/31/2001 | 876 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 9/30/2001 | 8/31/2001 | - | 90,000 | 99.06 | U S TREASURY BILL |
| 1P0024 | 9/30/2001 | 8/31/2001 | 56,202 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2002 | 5/31/2002 | 8,432 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2002 | 5/31/2002 | - | 9,901 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 3/31/2005 | 2/1/2005 | 12,500 | - | 14.17 | ALCATEL ALSTHOMCGE |
| 1P0024 | 3/31/2005 | 2/1/2005 | 75,000 | - | 9.10 | SIEBEL SYSTEMS INC |
| 1P0024 | 3/31/2005 | 2/1/2005 | 49,565 | - | 63.49 | BIOGEN IDEC INC |
| 1P0024 | 3/31/2005 | 2/1/2005 | 50,000 | - | 9.06 | SIEBEL SYSTEMS INC |
| 1P0024 | 3/31/2005 | 2/1/2005 | 79,000 | - | 14.06 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/1/2005 | - | 7,375,000 | 98.81 | U S TREASURY BILL |
| 1P0024 | 3/31/2005 | 2/1/2005 | 71,900 | - | 9.00 | SIEBEL SYSTEMS INC |
| 1P0024 | 3/31/2005 | 2/1/2005 | 75,000 | - | 14.01 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/2/2005 | 95,000 | - | 14.10 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/2/2005 | 100,000 | - | 14.06 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/2/2005 | 100,000 | - | 9.11 | SIEBEL SYSTEMS INC |
| 1P0024 | 3/31/2005 | 2/2/2005 | 120,000 | - | 9.14 | SIEBEL SYSTEMS INC |
| 1P0024 | 3/31/2005 | 2/2/2005 | 82,000 | - | 14.09 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/3/2005 | - | 7,600,000 | 98.82 | U S TREASURY BILL |
| 1P0024 | 3/31/2005 | 2/3/2005 | 112,000 | - | 14.29 | CIRCUIT CITY STORES INC |
| 1P0024 | 3/31/2005 | 2/28/2005 | 20,151 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2005 | 7/14/2005 | - | 172,350,000 | 98.53 | U S TREASURY BILL |
| 1P0024 | 12/31/2005 | 7/14/2005 | 28,850,000 | - | 98.53 | U S TREASURY BILL |
| 1P0024 | 12/31/2005 | 7/19/2005 | 45,000 | - | 301.89 | GOOGLE |
| 1P0024 | 12/31/2005 | 7/19/2005 | 110,000 | - | 40.65 | APPLE COMPUTER INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 170,000 | - | 37.01 | AMAZON COM INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 90,000 | - | 301.53 | GOOGLE |
| 1P0024 | 12/31/2005 | 7/19/2005 | 200,000 | - | 40.53 | APPLE COMPUTER INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 180,000 | - | 59.04 | BURLINGTON RESOURCES INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 100,000 | - | 40.42 | APPLE COMPUTER INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 125,000 | - | 40.47 | APPLE COMPUTER INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 130,000 | - | 59.36 | BURLINGTON RESOURCES INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 150,000 | - | 59.13 | BURLINGTON RESOURCES INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 90,000 | - | 59.21 | BURLINGTON RESOURCES INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 55,000 | - | 301.67 | GOOGLE |
| 1P0024 | 12/31/2005 | 7/19/2005 | 100,000 | - | 58.99 | BURLINGTON RESOURCES INC |
| 1P0024 | 12/31/2005 | 7/19/2005 | 125,000 | - | 37.12 | AMAZON COM INC |
| 1P0024 | 12/31/2005 | 7/20/2005 | 150,000 | - | 37.07 | AMAZON COM INC |
| 1P0024 | 12/31/2005 | 7/20/2005 | 200,000 | - | 37.20 | AMAZON COM INC |
| 1P0024 | 12/31/2005 | 7/29/2005 | 22,665 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2005 | 10/31/2005 | 15,458 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2005 | 10/31/2005 | 50,000 | - | 99.08 | U S TREASURY BILL |
| 1P0024 | 12/31/2005 | 11/30/2005 | - | 47,107 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2005 | 11/30/2005 | - | 100,000 | 98.42 | U S TREASURY BILL |
| 1P0024 | 12/31/2005 | 11/30/2005 | 47,363 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2005 | 11/30/2005 | 100,000 | - | 98.42 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 1/12/2006 | - | 75,000 | 78.81 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 50,000 | - | 22.29 | AMR CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 75,000 | - | 22.53 | AMR CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 80,000 | - | 39.97 | ALLEGHENY TECHNOLOGIES INC |
| 1P0024 | 12/31/2006 | 1/12/2006 | 92,000 | - | 22.36 | AMR CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|----------------------------|
| 1P0024 | 12/31/2006 | 1/12/2006 | - | 110,000 | 79.95 | KB HOME |
| 1P0024 | 12/31/2006 | 1/12/2006 | - | 150,000 | 80.06 | KB HOME |
| 1P0024 | 12/31/2006 | 1/12/2006 | 85,000 | - | 38.89 | LAM RESEARCH CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 90,000 | - | 40.08 | ALLEGHENY TECHNOLOGIES INC |
| 1P0024 | 12/31/2006 | 1/12/2006 | 70,000 | - | 22.33 | AMR CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 8,425,000 | - | 98.02 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 1/12/2006 | 75,000 | - | 39.99 | ALLEGHENY TECHNOLOGIES INC |
| 1P0024 | 12/31/2006 | 1/12/2006 | 80,000 | - | 22.35 | AMR CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | - | 100,000 | 79.90 | KB HOME |
| 1P0024 | 12/31/2006 | 1/12/2006 | 25,000 | - | 39.11 | LAM RESEARCH CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 28,000 | - | 22.41 | AMR CORP |
| 1P0024 | 12/31/2006 | 1/12/2006 | 90,000 | - | 39.07 | LAM RESEARCH CORP |
| 1P0024 | 12/31/2006 | 1/13/2006 | - | 124,000 | 81.70 | KB HOME |
| 1P0024 | 12/31/2006 | 1/13/2006 | - | 120,000 | 79.21 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/13/2006 | 42,800,000 | - | 98.03 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 1/13/2006 | - | 110,000 | 79.00 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/13/2006 | - | 80,000 | 81.79 | KB HOME |
| 1P0024 | 12/31/2006 | 1/13/2006 | - | 90,000 | 78.89 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/17/2006 | - | 100,000 | 78.90 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/17/2006 | - | 85,000 | 79.06 | CENTEX CORP |
| 1P0024 | 12/31/2006 | 1/17/2006 | 14,875,000 | - | 98.24 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 1/31/2006 | 14,096 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 2/28/2006 | 1,276 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 2/28/2006 | - | 225,000 | 98.12 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 2/28/2006 | 80,000 | - | 98.12 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 3/31/2006 | 1,300 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 4/12/2006 | - | 80,000 | 24.63 | PALM INC |
| 1P0024 | 12/31/2006 | 4/12/2006 | 4,000,000 | - | 98.26 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/12/2006 | - | 80,000 | 24.70 | PALM INC |
| 1P0024 | 12/31/2006 | 4/13/2006 | - | 70,000 | 23.78 | PALM INC |
| 1P0024 | 12/31/2006 | 4/13/2006 | 1,675,000 | - | 98.27 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/17/2006 | 2,125,000 | - | 98.33 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/17/2006 | - | 90,000 | 23.29 | PALM INC |
| 1P0024 | 12/31/2006 | 4/25/2006 | - | 80,000 | 73.09 | EAGLE MATERIAL INC |
| 1P0024 | 12/31/2006 | 4/25/2006 | 5,925,000 | - | 98.42 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/26/2006 | 6,475,000 | - | 98.43 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/26/2006 | - | 70,000 | 72.39 | EAGLE MATERIAL INC |
| 1P0024 | 12/31/2006 | 4/26/2006 | - | 18,204 | 72.22 | EAGLE MATERIAL INC |
| 1P0024 | 12/31/2006 | 4/28/2006 | 375,000 | - | 98.09 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 4/28/2006 | 2,502 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 4/28/2006 | - | 300,000 | 98.09 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 5/31/2006 | 21,045 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 5/31/2006 | 49,013 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 5/31/2006 | - | 50,000 | 98.03 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 5/31/2006 | - | 225,000 | 98.03 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 5/31/2006 | 50,000 | - | 98.03 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 6/1/2006 | - | 68,187 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 6/15/2006 | 15,075,000 | - | 98.61 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 6/29/2006 | 52,300,000 | - | 97.93 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 6/30/2006 | 18,802 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 6/30/2006 | 20,782 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 6/30/2006 | 50,000 | - | 97.86 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/27/2006 | - | 80,000 | 97.97 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/27/2006 | - | 100,000 | 97.97 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/27/2006 | - | 225,000 | 97.97 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/27/2006 | 100,000 | - | 97.97 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/27/2006 | 80,000 | - | 97.97 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 7/31/2006 | 14,713 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 7/31/2006 | 31,287 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 8/24/2006 | 20,600,000 | - | 97.99 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 8/25/2006 | - | 35,495 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 8/31/2006 | 33,163 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 8/31/2006 | - | 150,000 | 98.00 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 9/13/2006 | 90,000 | - | 78.24 | RESEARCH IN MOTION LTD |
| 1P0024 | 12/31/2006 | 9/13/2006 | 45,000 | - | 78.38 | RESEARCH IN MOTION LTD |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-----------------------------|
| 1P0024 | 12/31/2006 | 9/13/2006 | 75,000 | - | 78.33 | RESEARCH IN MOTION LTD |
| 1P0024 | 12/31/2006 | 9/13/2006 | - | 16,750,000 | 98.31 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 9/28/2006 | 15,375,000 | - | 98.04 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 9/29/2006 | - | 275,000 | 98.90 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 9/29/2006 | 1,350 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 9/29/2006 | 22,973 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 9/29/2006 | 600 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 9/29/2006 | 375,000 | - | 98.90 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | - | 100,000 | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | 75,000 | - | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | - | 275,000 | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | - | 75,000 | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | 100,000 | - | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/26/2006 | 150,000 | - | 97.98 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 10/31/2006 | - | 105,647 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 10/31/2006 | 4,437 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 11/24/2006 | 53,250,000 | - | 98.19 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 11/30/2006 | - | 550,000 | 98.19 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 11/30/2006 | 16,409 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 12/31/2006 | 11/30/2006 | 75,000 | - | 98.19 | U S TREASURY BILL |
| 1P0024 | 12/31/2006 | 11/30/2006 | 325,000 | - | 98.19 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 1/25/2007 | - | 40,000 | 40.50 | AMR CORP |
| 1P0024 | 5/31/2007 | 1/25/2007 | 54,000 | - | 44.12 | HONEYWELL INTERNATIONAL INC |
| 1P0024 | 5/31/2007 | 1/25/2007 | 4,475,000 | - | 98.35 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 1/25/2007 | - | 113,000 | 40.27 | AMR CORP |
| 1P0024 | 5/31/2007 | 1/25/2007 | - | 70,000 | 40.55 | AMR CORP |
| 1P0024 | 5/31/2007 | 1/25/2007 | 50,000 | - | 44.09 | HONEYWELL INTERNATIONAL INC |
| 1P0024 | 5/31/2007 | 1/26/2007 | - | 80,000 | 38.09 | AMR CORP |
| 1P0024 | 5/31/2007 | 1/26/2007 | - | 92,000 | 38.00 | AMR CORP |
| 1P0024 | 5/31/2007 | 1/26/2007 | 2,625,000 | - | 98.37 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 1/26/2007 | 60,000 | - | 65.75 | EXPRESS SCRIPTS INC CL A |
| 1P0024 | 5/31/2007 | 1/31/2007 | 36,781 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 5/31/2007 | 2/14/2007 | - | 79,000 | 21.88 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/14/2007 | - | 110,000 | 21.79 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/14/2007 | 35,000 | - | 40.42 | PEABODY ENERGY CORP |
| 1P0024 | 5/31/2007 | 2/14/2007 | 45,000 | - | 40.34 | PEABODY ENERGY CORP |
| 1P0024 | 5/31/2007 | 2/14/2007 | 4,200,000 | - | 98.34 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 2/14/2007 | - | 100,000 | 21.98 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/14/2007 | - | 85,000 | 21.75 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/14/2007 | 20,000 | - | 40.58 | PEABODY ENERGY CORP |
| 1P0024 | 5/31/2007 | 2/15/2007 | 2,125,000 | - | 98.35 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 2/15/2007 | - | 84,000 | 21.37 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/15/2007 | 20,000 | - | 76.21 | TRANSOCEAN INC |
| 1P0024 | 5/31/2007 | 2/15/2007 | - | 85,000 | 21.50 | CIRCUIT CITY STORES INC |
| 1P0024 | 5/31/2007 | 2/28/2007 | 8,647 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2007 | 3/9/2007 | 26,000 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 52,000 | 29.70 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 100,000 | 29.55 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 75,000 | 29.72 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 35,600 | 62.35 | AMGEN INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 110,000 | 29.50 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | 13,650,000 | - | 98.15 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 3/12/2007 | - | 80,000 | 29.64 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/12/2007 | 50,000 | - | 23.33 | SCHERING PLOUGH CORP |
| 1P0024 | 5/31/2007 | 3/13/2007 | - | 97,000 | 29.47 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/13/2007 | 10,300,000 | - | 98.17 | U S TREASURY BILL |
| 1P0024 | 5/31/2007 | 3/13/2007 | - | 90,000 | 29.61 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/13/2007 | - | 86,000 | 29.54 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/13/2007 | - | 70,000 | 29.50 | TOLL BROTHERS INC |
| 1P0024 | 5/31/2007 | 3/30/2007 | 18,388 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 5/31/2007 | 4/3/2007 | - | 63,816 | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2007 | 4/30/2007 | 42,436 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2007 | 5/29/2007 | 3,250 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2007 | 5/31/2007 | 6,613 | - | 1.00 | FIDELITY SPARTAN |
| 1P0024 | 6/30/2007 | 5/31/2007 | - | 225,000 | 98.10 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1P0024 | 6/30/2007 | 5/31/2007 | 175,000 | - | 98.10 | U S TREASURY BILL |
| 1P0025 | 12/31/1999 | 11/16/1999 | - | 15,000 | 22.25 | ADVANCED MICRO DEVICES |
| 1P0025 | 2/29/2000 | 1/6/2000 | - | 19,800 | 77.25 | LUCENT TECHNOLOGIES INC |
| 1P0025 | 2/29/2000 | 1/12/2000 | - | 400 | 24.00 | BAKER HUGHES INC |
| 1P0025 | 2/29/2000 | 1/14/2000 | - | 4,700 | 58.38 | AETNA INC |
| 1P0025 | 2/29/2000 | 1/24/2000 | - | 23,400 | 47.75 | BOEING CO |
| 1P0025 | 2/29/2000 | 1/25/2000 | - | 15,000 | 44.88 | ADVANCED MICRO DEVICES |
| 1P0025 | 2/29/2000 | 1/28/2000 | - | 3,200 | 70.56 | AMGEN INC |
| 1P0025 | 2/29/2000 | 1/31/2000 | - | 3,120 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1P0025 | 2/28/2001 | 1/16/2001 | - | 1,200 | 43.38 | GENERAL ELECTRIC CO |
| 1P0025 | 11/30/2008 | 10/3/2008 | 4,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1P0025 | 11/30/2008 | 10/3/2008 | - | 4,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1P0031 | 9/30/1996 | 7/2/1996 | - | 53,417 | 25.75 | IOMEGA CORP |
| 1P0031 | 9/30/1996 | 7/2/1996 | - | 60,000 | 25.75 | IOMEGA CORP |
| 1P0031 | 11/30/1996 | 10/25/1996 | - | 50,000 | 42.50 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 11/30/1996 | 10/25/1996 | 3,500,000 | - | 120.13 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 11/30/1996 | 10/25/1996 | - | 49,290 | 42.50 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 11/30/1996 | 10/28/1996 | - | 3,500,000 | 120.13 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 11/30/1996 | 10/28/1996 | 49,290 | - | 42.50 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 11/30/1996 | 10/28/1996 | 50,000 | - | 42.50 | STORAGE TECHNOLOGY CORP |
| 1P0031 | 12/31/1997 | 9/30/1997 | 18,900,000 | - | 99.02 | U S TREASURY BILL |
| 1P0031 | 12/31/1997 | 9/30/1997 | - | 18,900,000 | 99.12 | U S TREASURY BILL |
| 1P0031 | 6/30/1998 | 5/21/1998 | 2,750,000 | - | 99.03 | U S TREASURY BILL |
| 1P0031 | 6/30/1998 | 5/21/1998 | - | 42,200 | 63.88 | WARNER LAMBERT CO |
| 1P0031 | 6/30/1998 | 5/21/1998 | 19,675 | - | 1.00 | FIDELITY SPARTAN |
| 1P0031 | 12/31/1999 | 11/29/1999 | - | 68,100 | 78.38 | AMERICA ONLINE CORP |
| 1P0031 | 1/31/2002 | 12/31/2002 | 19,495 | - | 1.00 | FIDELITY SPARTAN |
| 1P0031 | 1/31/2002 | 12/31/2002 | 75,725,000 | - | 99.59 | U S TREASURY BILL |
| 1P0031 | 1/31/2002 | 12/31/2002 | - | 75,434,023 | 1.00 | FIDELITY SPARTAN |
| 1P0031 | 8/31/2008 | 7/31/2008 | - | 50,000 | 99.76 | U S TREASURY BILL |
| 1P0031 | 8/31/2008 | 7/31/2008 | - | 2,040 | 1.00 | FIDELITY SPARTAN |
| 1P0043 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |
| 1P0043 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1P0045 | 6/30/1999 | 5/26/1999 | - | 1,800,000 | 98.66 | U S TREASURY BILL |
| 1P0045 | 6/30/1999 | 5/26/1999 | 1,800,000 | - | 99.66 | U S TREASURY BILL |
| 1P0045 | 8/31/2002 | 7/31/2002 | - | 2,250,000 | 99.48 | U S TREASURY BILL |
| 1P0045 | 8/31/2002 | 7/31/2002 | - | 2,250,000 | 99.54 | U S TREASURY BILL |
| 1P0045 | 8/31/2002 | 7/31/2002 | 2,250,000 | - | 99.48 | U S TREASURY BILL |
| 1P0045 | 8/31/2002 | 7/31/2002 | 2,250,000 | - | 99.54 | U S TREASURY BILL |
| 1P0046 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1P0046 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1P0046 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.54 | U S TREASURY BILL |
| 1P0046 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.48 | U S TREASURY BILL |
| 1P0046 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.54 | U S TREASURY BILL |
| 1P0046 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.48 | U S TREASURY BILL |
| 1P0049 | 6/30/1999 | 5/26/1999 | - | 2,950,000 | 98.66 | U S TREASURY BILL |
| 1P0049 | 6/30/1999 | 5/26/1999 | 2,950,000 | - | 99.66 | U S TREASURY BILL |
| 1P0049 | 8/31/2002 | 7/31/2002 | - | 2,700,000 | 99.48 | U S TREASURY BILL |
| 1P0049 | 8/31/2002 | 7/31/2002 | 2,700,000 | - | 99.48 | U S TREASURY BILL |
| 1P0049 | 8/31/2002 | 7/31/2002 | - | 2,700,000 | 99.54 | U S TREASURY BILL |
| 1P0049 | 8/31/2002 | 7/31/2002 | 2,700,000 | - | 99.54 | U S TREASURY BILL |
| 1P0050 | 5/31/1999 | 4/1/1999 | - | 1,150,000 | 98.45 | U S TREASURY BILL |
| 1P0050 | 5/31/1999 | 4/1/1999 | - | 11,150,000 | 98.45 | U S TREASURY BILL |
| 1P0050 | 5/31/1999 | 4/1/1999 | 1,110,000 | - | 98.45 | U S TREASURY BILL |
| 1P0050 | 5/31/1999 | 4/1/1999 | 1,150,000 | - | 98.45 | U S TREASURY BILL |
| 1P0050 | 8/31/2000 | 7/7/2000 | - | 50,000 | 11.75 | ABERCROMBIE & FITCH CO |
| 1P0050 | 8/31/2000 | 7/7/2000 | - | 40,000 | 26.25 | AMR CORP |
| 1P0050 | 7/31/2004 | 6/1/2004 | 1,125,000 | - | 99.35 | U S TREASURY BILL |
| 1P0050 | 7/31/2004 | 6/2/2004 | 1,050,000 | - | 99.35 | U S TREASURY BILL |
| 1P0050 | 7/31/2004 | 6/3/2004 | 1,100,000 | - | 99.35 | U S TREASURY BILL |
| 1P0050 | 7/31/2004 | 6/30/2004 | - | 3,275,000 | 99.41 | U S TREASURY BILL |
| 1P0050 | 7/31/2004 | 6/30/2004 | 2,050 | - | 1.00 | FIDELITY SPARTAN |
| 1P0050 | 12/31/2004 | 11/3/2004 | - | 15,000 | 45.01 | FOREST LABS INC |
| 1P0050 | 12/31/2004 | 11/30/2004 | 23,141 | - | 1.00 | FIDELITY SPARTAN |
| 1P0050 | 12/31/2004 | 11/30/2004 | 15,000 | - | 37.79 | FOREST LABS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1P0050 | 12/31/2005 | 11/30/2005 | 2,292 | - | 1.00 | FIDELITY SPARTAN |
| 1P0050 | 12/31/2005 | 11/30/2005 | - | 925,000 | 98.42 | U S TREASURY BILL |
| 1P0053 | 6/30/1999 | 5/26/1999 | 1,625,000 | - | 99.66 | U S TREASURY BILL |
| 1P0053 | 6/30/1999 | 5/26/1999 | - | 1,625,000 | 98.66 | U S TREASURY BILL |
| 1P0053 | 8/31/2002 | 7/31/2002 | - | 2,125,000 | 99.48 | U S TREASURY BILL |
| 1P0053 | 8/31/2002 | 7/31/2002 | 2,125,000 | - | 99.48 | U S TREASURY BILL |
| 1P0053 | 8/31/2002 | 7/31/2002 | - | 2,125,000 | 99.54 | U S TREASURY BILL |
| 1P0053 | 8/31/2002 | 7/31/2002 | 2,125,000 | - | 99.54 | U S TREASURY BILL |
| 1P0055 | 6/30/1999 | 5/26/1999 | - | 1,900,000 | 98.66 | U S TREASURY BILL |
| 1P0055 | 6/30/1999 | 5/26/1999 | 1,900,000 | - | 99.66 | U S TREASURY BILL |
| 1P0055 | 8/31/2002 | 7/31/2002 | - | 2,350,000 | 99.48 | U S TREASURY BILL |
| 1P0055 | 8/31/2002 | 7/31/2002 | 2,350,000 | - | 99.48 | U S TREASURY BILL |
| 1P0055 | 8/31/2002 | 7/31/2002 | 2,350,000 | - | 99.54 | U S TREASURY BILL |
| 1P0055 | 8/31/2002 | 7/31/2002 | - | 2,350,000 | 99.54 | U S TREASURY BILL |
| 1P0060 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.48 | U S TREASURY BILL |
| 1P0060 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.48 | U S TREASURY BILL |
| 1P0060 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.54 | U S TREASURY BILL |
| 1P0060 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.54 | U S TREASURY BILL |
| 1P0065 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1P0065 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1P0066 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1P0066 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1P0066 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.54 | U S TREASURY BILL |
| 1P0066 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.48 | U S TREASURY BILL |
| 1P0066 | 8/31/2002 | 7/31/2002 | 1,375,000 | - | 99.54 | U S TREASURY BILL |
| 1P0066 | 8/31/2002 | 7/31/2002 | - | 1,375,000 | 99.48 | U S TREASURY BILL |
| 1P0067 | 10/31/2000 | 9/21/2000 | 12,000 | - | 55.75 | INTEL CORP |
| 1P0067 | 10/31/2000 | 9/21/2000 | - | 24,000 | 55.75 | INTEL CORP |
| 1P0067 | 11/30/2001 | 9/4/2001 | 5,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1P0067 | 9/30/2002 | 4/4/2002 | - | 5,000 | 57.60 | ELECTRONIC DATA SYSTEMS CORP |
| 1P0067 | 9/30/2002 | 5/22/2002 | - | 2,150 | 19.75 | AMAZON COM INC |
| 1P0067 | 10/31/2002 | 5/22/2002 | 2,150 | - | 19.75 | AMAZON COM INC |
| 1P0067 | 9/30/2002 | 5/23/2002 | - | 1,500 | 55.20 | ELECTRONIC DATA SYSTEMS CORP |
| 1P0067 | 10/31/2002 | 9/16/2002 | 2,150 | - | 17.51 | AMAZON COM INC |
| 1P0067 | 5/31/2004 | 2/6/2004 | - | 7,500 | 66.51 | AMGEN INC |
| 1P0067 | 5/31/2004 | 2/6/2004 | 30,000 | - | 10.02 | PALMONE INC |
| 1P0067 | 11/30/2008 | 10/1/2008 | - | 53,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1P0067 | 11/30/2008 | 10/1/2008 | 53,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1P0084 | 7/31/1997 | 4/4/1997 | - | 400 | 36.50 | FANNIE MAE |
| 1P0084 | 7/31/1997 | 4/8/1997 | - | 1,500 | 57.75 | AMERICAN EXPRESS COMPANY |
| 1P0084 | 7/31/1997 | 4/8/1997 | - | 2,100 | 80.50 | AMR CORP |
| 1P0084 | 7/31/1997 | 4/9/1997 | - | 100 | 113.63 | PHILIP MORRIS COMPANIES INC |
| 1P0084 | 7/31/1997 | 4/9/1997 | - | 300 | 28.88 | LEHMAN BROS HOLDING INC |
| 1P0084 | 7/31/1997 | 4/11/1997 | - | 1,500 | 48.13 | AMERICAN BRANDS INC |
| 1P0084 | 7/31/1997 | 4/16/1997 | - | 4,000 | 53.13 | ABBOTT LABORATORIES |
| 1P0084 | 7/31/1997 | 4/17/1997 | - | 1,000 | 83.25 | PFIZER INC |
| 1P0084 | 7/31/1997 | 4/17/1997 | - | 3,000 | 62.13 | CHEVRON CORP |
| 1P0084 | 7/31/1997 | 5/7/1997 | - | 200 | 110.50 | GENERAL ELECTRIC CO |
| 1P0084 | 7/31/1997 | 5/8/1997 | - | 4,000 | 93.13 | CATERPILLAR INC |
| 1P0084 | 7/31/1997 | 5/13/1997 | - | 800 | 77.63 | PHELPS DODGE CORP |
| 1P0084 | 7/31/1997 | 5/19/1997 | - | 1,200 | 92.00 | COMPAQ COMPUTER CORP |
| 1P0084 | 7/31/1997 | 6/4/1997 | - | 400 | 58.75 | JOHNSON & JOHNSON |
| 1P0084 | 2/29/2000 | 1/6/2000 | - | 300 | 84.50 | LEHMAN BROS HOLDING INC |
| 1P0084 | 2/29/2000 | 1/6/2000 | - | 1,500 | 164.00 | AMERICAN EXPRESS COMPANY |
| 1P0084 | 2/29/2000 | 1/6/2000 | - | 1,500 | 33.00 | FORTUNE BRANDS INC |
| 1P0084 | 2/29/2000 | 1/12/2000 | - | 400 | 96.69 | JOHNSON & JOHNSON |
| 1P0084 | 2/29/2000 | 1/12/2000 | - | 800 | 72.75 | PHELPS DODGE CORP |
| 1P0084 | 2/29/2000 | 1/12/2000 | - | 8,000 | 35.94 | ABBOTT LABORATORIES |
| 1P0084 | 2/29/2000 | 1/12/2000 | - | 1,500 | 19.38 | GALLAHER GROUP PLC ADR |
| 1P0084 | 2/29/2000 | 1/12/2000 | - | 8,000 | 54.75 | CATERPILLAR INC |
| 1P0084 | 2/29/2000 | 1/14/2000 | - | 4,200 | 68.50 | AMR CORP |
| 1P0084 | 2/29/2000 | 1/14/2000 | - | 300 | 24.38 | PHILIP MORRIS COMPANIES INC |
| 1P0084 | 2/29/2000 | 1/19/2000 | - | 400 | 154.69 | GENERAL ELECTRIC CO |
| 1P0084 | 2/29/2000 | 1/20/2000 | - | 6,000 | 37.38 | PFIZER INC |
| 1P0084 | 2/29/2000 | 1/20/2000 | - | 400 | 64.63 | FANNIE MAE |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1P0084 | 2/29/2000 | 1/28/2000 | - | 3,000 | 90.13 | CHEVRON CORP |
| 1P0084 | 2/29/2000 | 1/28/2000 | - | 6,000 | 33.75 | COMPAQ COMPUTER CORP |
| 1P0084 | 2/28/2005 | 1/6/2005 | 4,000 | - | 37.14 | ANALOG DEVICES |
| 1P0111 | 1/31/2007 | 4/6/2007 | - | 10 | 1.00 | FIDELITY SPARTAN |
| 1R0016 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.48 | U S TREASURY BILL |
| 1R0016 | 8/31/2002 | 7/31/2002 | - | 1,525,000 | 99.54 | U S TREASURY BILL |
| 1R0016 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.48 | U S TREASURY BILL |
| 1R0016 | 8/31/2002 | 7/31/2002 | 1,525,000 | - | 99.54 | U S TREASURY BILL |
| 1R0028 | 6/30/1999 | 5/26/1999 | - | 775,000 | 98.66 | U S TREASURY BILL |
| 1R0028 | 6/30/1999 | 5/26/1999 | 775,000 | - | 99.66 | U S TREASURY BILL |
| 1R0028 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.54 | U S TREASURY BILL |
| 1R0028 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.54 | U S TREASURY BILL |
| 1R0028 | 8/31/2002 | 7/31/2002 | 1,850,000 | - | 99.48 | U S TREASURY BILL |
| 1R0028 | 8/31/2002 | 7/31/2002 | - | 1,850,000 | 99.48 | U S TREASURY BILL |
| 1R0029 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1R0029 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1R0029 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.48 | U S TREASURY BILL |
| 1R0029 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.54 | U S TREASURY BILL |
| 1R0029 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.48 | U S TREASURY BILL |
| 1R0029 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.54 | U S TREASURY BILL |
| 1R0032 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1R0032 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1R0041 | 2/29/2000 | 1/6/2000 | - | 800 | 84.50 | LEHMAN BROS HOLDING INC |
| 1R0041 | 2/29/2000 | 1/6/2000 | - | 1,860 | 84.50 | LEHMAN BROS HOLDING INC |
| 1R0041 | 2/29/2000 | 1/13/2000 | - | 4,000 | 8.25 | REEBOK INTL LTD |
| 1R0041 | 2/29/2000 | 1/27/2000 | - | 20,400 | 45.19 | MILLIPORE CORP |
| 1R0041 | 2/29/2000 | 1/31/2000 | - | 16,320 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1R0041 | 7/31/2001 | 6/5/2001 | 70,000 | - | 14.75 | ORACLE CORPORATION |
| 1R0041 | 7/31/2001 | 6/5/2001 | - | 70,000 | 14.75 | ORACLE CORPORATION |
| 1R0041 | 11/30/2001 | 9/4/2001 | 18,000 | - | 35.22 | PEOPLESFT INC |
| 1R0041 | 11/30/2001 | 9/4/2001 | 55,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1R0041 | 11/30/2008 | 10/3/2008 | 12,500 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0041 | 11/30/2008 | 10/3/2008 | - | 12,500 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0046 | 6/30/1999 | 5/26/1999 | 2,150,000 | - | 99.66 | U S TREASURY BILL |
| 1R0046 | 6/30/1999 | 5/26/1999 | - | 2,150,000 | 98.66 | U S TREASURY BILL |
| 1R0046 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.54 | U S TREASURY BILL |
| 1R0046 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.48 | U S TREASURY BILL |
| 1R0046 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.48 | U S TREASURY BILL |
| 1R0046 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.54 | U S TREASURY BILL |
| 1R0050 | 2/29/2000 | 1/6/2000 | - | 1,000 | 84.50 | LEHMAN BROS HOLDING INC |
| 1R0050 | 2/29/2000 | 1/13/2000 | - | 5,000 | 8.25 | REEBOK INTL LTD |
| 1R0050 | 2/29/2000 | 1/27/2000 | - | 27,700 | 45.00 | MILLIPORE CORP |
| 1R0050 | 2/29/2000 | 1/27/2000 | - | 22,800 | 45.06 | MILLIPORE CORP |
| 1R0050 | 2/29/2000 | 1/27/2000 | - | 16,500 | 45.19 | MILLIPORE CORP |
| 1R0050 | 2/29/2000 | 1/27/2000 | - | 17,000 | 45.13 | MILLIPORE CORP |
| 1R0050 | 2/29/2000 | 1/31/2000 | - | 20,400 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1R0050 | 11/30/2008 | 10/3/2008 | - | 12,600 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0050 | 11/30/2008 | 10/3/2008 | 12,600 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0055 | 2/29/2000 | 1/6/2000 | - | 100 | 84.50 | LEHMAN BROS HOLDING INC |
| 1R0055 | 2/29/2000 | 1/27/2000 | - | 27,600 | 45.19 | MILLIPORE CORP |
| 1R0055 | 2/29/2000 | 1/31/2000 | - | 2,040 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1R0055 | 10/31/2007 | 9/24/2007 | - | 25,000 | 34.33 | LIZ CLAIBORNE INC |
| 1R0055 | 10/31/2007 | 9/24/2007 | - | 90,000 | 34.30 | LIZ CLAIBORNE INC |
| 1R0063 | 2/29/2000 | 1/6/2000 | - | 600 | 84.50 | LEHMAN BROS HOLDING INC |
| 1R0063 | 2/29/2000 | 1/27/2000 | - | 39,600 | 45.19 | MILLIPORE CORP |
| 1R0063 | 2/29/2000 | 1/31/2000 | - | 12,240 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1R0063 | 10/31/2007 | 9/6/2007 | - | 75,000 | 38.41 | HOME DEPOT INC |
| 1R0063 | 10/31/2007 | 9/6/2007 | 46,000 | - | 38.41 | HOME DEPOT INC |
| 1R0063 | 11/30/2008 | 10/3/2008 | - | 25,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0063 | 11/30/2008 | 10/3/2008 | 25,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1R0089 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1R0089 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1R0091 | 11/30/2001 | 9/4/2001 | 20,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1R0091 | 11/30/2001 | 9/4/2001 | 33,000 | - | 35.22 | PEOPLESFT INC |
| 1R0099 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1R0099 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1R0108 | 6/30/1999 | 5/26/1999 | - | 1,650,000 | 98.66 | U S TREASURY BILL |
| 1R0108 | 6/30/1999 | 5/26/1999 | 1,650,000 | - | 99.66 | U S TREASURY BILL |
| 1R0108 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.54 | U S TREASURY BILL |
| 1R0108 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.48 | U S TREASURY BILL |
| 1R0108 | 8/31/2002 | 7/31/2002 | - | 2,675,000 | 99.54 | U S TREASURY BILL |
| 1R0108 | 8/31/2002 | 7/31/2002 | 2,675,000 | - | 99.48 | U S TREASURY BILL |
| 1R0111 | 6/30/1999 | 5/26/1999 | - | 675,000 | 98.66 | U S TREASURY BILL |
| 1R0111 | 6/30/1999 | 5/26/1999 | 675,000 | - | 99.66 | U S TREASURY BILL |
| 1R0172 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1R0172 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1R0172 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.48 | U S TREASURY BILL |
| 1R0172 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.54 | U S TREASURY BILL |
| 1R0172 | 8/31/2002 | 7/31/2002 | 2,075,000 | - | 99.48 | U S TREASURY BILL |
| 1R0172 | 8/31/2002 | 7/31/2002 | - | 2,075,000 | 99.54 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 1/6/1999 | 2,500,000 | - | 98.63 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 1/13/1999 | 35,000 | - | 98.73 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 1/22/1999 | - | 2,535,000 | 98.83 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,218 | - | 64.00 | BANKAMERICA CORP NEW |
| 1R0177 | 3/31/1999 | 1/25/1999 | 2,268 | - | 100.00 | GENERAL ELECTRIC CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 672 | - | 33.63 | BOEING CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 924 | - | 84.75 | PROCTER & GAMBLE CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 462 | - | 89.00 | GENERAL MOTORS CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 798 | - | 54.50 | DU PONT E I DE NEMOURS & CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,554 | - | 52.88 | CITI GROUP INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,680 | - | 162.88 | MICROSOFT CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 294 | - | 101.50 | AMERICAN EXPRESS COMPANY |
| 1R0177 | 3/31/1999 | 1/25/1999 | 630 | - | 193.50 | INTERNATIONAL BUSINESS MACHS |
| 1R0177 | 3/31/1999 | 1/25/1999 | 672 | - | 122.13 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0177 | 3/31/1999 | 1/25/1999 | 714 | - | 71.00 | EXXON CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 714 | - | 71.63 | HEWLETT PACKARD CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,008 | - | 38.44 | PEPSICO INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 252 | - | 98.88 | TEXAS INSTRUMENTS INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 924 | - | 80.25 | JOHNSON & JOHNSON |
| 1R0177 | 3/31/1999 | 1/25/1999 | 462 | - | 77.75 | MCDONALDS CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 672 | - | 51.00 | ORACLE CORPORATION |
| 1R0177 | 3/31/1999 | 1/25/1999 | 840 | - | 147.75 | MERCK & CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,050 | - | 105.38 | CISCO SYSTEMS INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 336 | - | 56.38 | PHARMACIA & UPJOHN INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 756 | - | 68.75 | AMERITECH CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 798 | - | 64.69 | FORD MOTOR COMPANY |
| 1R0177 | 3/31/1999 | 1/25/1999 | 840 | - | 100.75 | AMERICAN INTL GROUP INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,092 | - | 57.00 | BELL ATLANTIC CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,218 | - | 91.75 | AT & T CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,554 | - | 79.75 | WAL-MART STORES INC |
| 1R0177 | 3/31/1999 | 1/25/1999 | 798 | - | 53.25 | BANC ONE CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,092 | - | 36.88 | WELLS FARGO & CO NEW |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,134 | - | 138.00 | INTEL CORP |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,386 | - | 36.13 | THE WALT DISNEY CO |
| 1R0177 | 3/31/1999 | 1/25/1999 | 1,680 | - | 63.44 | COCA COLA CO |
| 1R0177 | 3/31/1999 | 2/17/1999 | 15,000 | - | 99.21 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 462 | 85.25 | MCDONALDS CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 462 | 85.75 | GENERAL MOTORS CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 798 | 52.69 | DU PONT E I DE NEMOURS & CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 924 | 86.31 | JOHNSON & JOHNSON |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,554 | 54.69 | CITI GROUP INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,680 | 79.13 | MERCK & CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.84 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,008 | 39.25 | PEPSICO INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,050 | 97.25 | CISCO SYSTEMS INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.67 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.75 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.93 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 252 | 94.75 | TEXAS INSTRUMENTS INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 630 | 174.38 | INTERNATIONAL BUSINESS MACHS |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 672 | 125.81 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,092 | 58.50 | BELL ATLANTIC CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,134 | 130.25 | INTEL CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,218 | 65.88 | BANKAMERICA CORP NEW |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,680 | 65.31 | COCA COLA CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 840 | 117.50 | AMERICAN INTL GROUP INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,680 | 148.75 | MICROSOFT CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 714 | 68.56 | EXXON CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 798 | 51.81 | BANC ONE CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 798 | 59.50 | FORD MOTOR COMPANY |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,092 | 36.81 | WELLS FARGO & CO NEW |
| 1R0177 | 3/31/1999 | 2/24/1999 | 325,000 | - | 98.84 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 336 | 54.00 | PHARMACIA & UPJOHN INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 672 | 35.75 | BOEING CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 672 | 53.50 | ORACLE CORPORATION |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 924 | 91.50 | PROCTER & GAMBLE CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 99.02 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | 375,000 | - | 99.11 | U S TREASURY BILL |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 294 | 104.25 | AMERICAN EXPRESS COMPANY |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 714 | 69.00 | HEWLETT PACKARD CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 756 | 64.25 | AMERITECH CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,218 | 86.75 | AT & T CORP |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,386 | 34.13 | THE WALT DISNEY CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 1,554 | 85.13 | WAL-MART STORES INC |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 2,268 | 101.13 | GENERAL ELECTRIC CO |
| 1R0177 | 3/31/1999 | 2/24/1999 | - | 15,000 | 99.27 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/6/1999 | - | 10,000,000 | 98.63 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/6/1999 | 5,000,000 | - | 98.63 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/13/1999 | - | 135,000 | 98.73 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/13/1999 | 65,000 | - | 98.73 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/22/1999 | - | 5,065,000 | 98.83 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/22/1999 | 10,135,000 | - | 98.83 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 1/25/1999 | 924 | - | 77.75 | MCDONALDS CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,344 | - | 33.63 | BOEING CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,344 | - | 51.00 | ORACLE CORPORATION |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,428 | - | 71.63 | HEWLETT PACKARD CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,680 | - | 100.75 | AMERICAN INTL GROUP INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,680 | - | 147.75 | MERCK & CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,848 | - | 84.75 | PROCTER & GAMBLE CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,100 | - | 105.38 | CISCO SYSTEMS INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,184 | - | 36.88 | WELLS FARGO & CO NEW |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,520 | 193.50 | INTERNATIONAL BUSINESS MACHS |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,032 | 38.44 | PEPSICO INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,200 | 105.38 | CISCO SYSTEMS INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,260 | - | 193.50 | INTERNATIONAL BUSINESS MACHS |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,512 | - | 68.75 | AMERITECH CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,596 | - | 53.25 | BANC ONE CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,848 | - | 80.25 | JOHNSON & JOHNSON |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,688 | 51.00 | ORACLE CORPORATION |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,688 | 122.13 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,856 | 71.00 | EXXON CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,192 | 53.25 | BANC ONE CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,360 | 100.75 | AMERICAN INTL GROUP INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,368 | 57.00 | BELL ATLANTIC CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,536 | 138.00 | INTEL CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 6,216 | 79.75 | WAL-MART STORES INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 6,720 | 162.88 | MICROSOFT CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 9,072 | 100.00 | GENERAL ELECTRIC CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 924 | - | 89.00 | GENERAL MOTORS CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 3,108 | - | 52.88 | CITI GROUP INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,192 | 54.50 | DU PONT E I DE NEMOURS & CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,368 | 36.88 | WELLS FARGO & CO NEW |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,872 | 64.00 | BANKAMERICA CORP NEW |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,016 | - | 38.44 | PEPSICO INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 3,360 | - | 63.44 | COCA COLA CO |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,428 | - | 71.00 | EXXON CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,436 | - | 64.00 | BANKAMERICA CORP NEW |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,436 | - | 91.75 | AT & T CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 1,008 | 98.88 | TEXAS INSTRUMENTS INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 1,344 | 56.38 | PHARMACIA & UPJOHN INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 1,848 | 77.75 | MCDONALDS CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 1,848 | 89.00 | GENERAL MOTORS CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,696 | 84.75 | PROCTER & GAMBLE CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 4,872 | 91.75 | AT & T CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 6,216 | 52.88 | CITI GROUP INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 672 | - | 56.38 | PHARMACIA & UPJOHN INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,596 | - | 54.50 | DU PONT E I DE NEMOURS & CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,184 | - | 57.00 | BELL ATLANTIC CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,772 | - | 36.13 | THE WALT DISNEY CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 1,176 | 101.50 | AMERICAN EXPRESS COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,688 | 33.63 | BOEING CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,024 | 68.75 | AMERITECH CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 6,720 | 63.44 | COCA COLA CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,344 | - | 122.13 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | 2,268 | - | 138.00 | INTEL CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | 3,360 | - | 162.88 | MICROSOFT CORP |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 2,856 | 71.63 | HEWLETT PACKARD CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,192 | 64.69 | FORD MOTOR COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,360 | 147.75 | MERCK & CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 3,696 | 80.25 | JOHNSON & JOHNSON |
| 1R0178 | 3/31/1999 | 1/25/1999 | - | 5,544 | 36.13 | THE WALT DISNEY CO |
| 1R0178 | 3/31/1999 | 1/25/1999 | 504 | - | 98.88 | TEXAS INSTRUMENTS INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 588 | - | 101.50 | AMERICAN EXPRESS COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | 1,596 | - | 64.69 | FORD MOTOR COMPANY |
| 1R0178 | 3/31/1999 | 1/25/1999 | 3,108 | - | 79.75 | WAL-MART STORES INC |
| 1R0178 | 3/31/1999 | 1/25/1999 | 4,536 | - | 100.00 | GENERAL ELECTRIC CO |
| 1R0178 | 3/31/1999 | 1/29/1999 | - | 11,471 | 1.00 | FIDELITY SPARTAN |
| 1R0178 | 3/31/1999 | 1/29/1999 | 11,471 | - | 1.00 | FIDELITY SPARTAN |
| 1R0178 | 3/31/1999 | 2/17/1999 | - | 60,000 | 99.21 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/17/1999 | 30,000 | - | 99.21 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 924 | 85.25 | MCDONALDS CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 3,360 | 79.13 | MERCK & CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 98.75 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,688 | - | 53.50 | ORACLE CORPORATION |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,032 | - | 39.25 | PEPSICO INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,872 | - | 86.75 | AT & T CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 6,216 | - | 85.13 | WAL-MART STORES INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 6,720 | - | 148.75 | MICROSOFT CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 60,000 | - | 99.27 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 650,000 | - | 98.84 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 924 | 85.75 | GENERAL MOTORS CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,428 | 68.56 | EXXON CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,596 | 59.50 | FORD MOTOR COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,848 | 86.31 | JOHNSON & JOHNSON |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,016 | 39.25 | PEPSICO INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,268 | 130.25 | INTEL CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,772 | 34.13 | THE WALT DISNEY CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 3,360 | 65.31 | COCA COLA CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 1,008 | - | 94.75 | TEXAS INSTRUMENTS INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,856 | - | 69.00 | HEWLETT PACKARD CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,696 | - | 86.31 | JOHNSON & JOHNSON |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,696 | - | 91.50 | PROCTER & GAMBLE CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,200 | - | 97.25 | CISCO SYSTEMS INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,428 | 69.00 | HEWLETT PACKARD CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,512 | 64.25 | AMERITECH CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,436 | 65.88 | BANKAMERICA CORP NEW |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 3,108 | 85.13 | WAL-MART STORES INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 4,536 | 101.13 | GENERAL ELECTRIC CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 30,000 | 99.27 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 98.84 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 98.93 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 99.02 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,872 | - | 65.88 | BANKAMERICA CORP NEW |
| 1R0178 | 3/31/1999 | 2/24/1999 | 6,216 | - | 54.69 | CITI GROUP INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 6,720 | - | 65.31 | COCA COLA CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 6,720 | - | 79.13 | MERCK & CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 672 | 54.00 | PHARMACIA & UPJOHN INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,596 | 52.69 | DU PONT E I DE NEMOURS & CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,848 | 91.50 | PROCTER & GAMBLE CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,184 | 36.81 | WELLS FARGO & CO NEW |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,300,000 | 98.84 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 98.67 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,500,000 | 99.11 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 1,848 | - | 85.75 | GENERAL MOTORS CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,368 | - | 36.81 | WELLS FARGO & CO NEW |
| 1R0178 | 3/31/1999 | 2/24/1999 | 9,072 | - | 101.13 | GENERAL ELECTRIC CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 588 | 104.25 | AMERICAN EXPRESS COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,260 | 174.38 | INTERNATIONAL BUSINESS MACHS |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,344 | 35.75 | BOEING CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,100 | 97.25 | CISCO SYSTEMS INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,192 | - | 59.50 | FORD MOTOR COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,368 | - | 58.50 | BELL ATLANTIC CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 4,536 | - | 130.25 | INTEL CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 5,544 | - | 34.13 | THE WALT DISNEY CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 98.67 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 504 | 94.75 | TEXAS INSTRUMENTS INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,344 | 53.50 | ORACLE CORPORATION |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,344 | 125.81 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,680 | 117.25 | AMERICAN INTL GROUP INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,436 | 86.75 | AT & T CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 3,108 | 54.69 | CITI GROUP INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 3,360 | 148.75 | MICROSOFT CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,856 | - | 68.56 | EXXON CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,024 | - | 64.25 | AMERITECH CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,192 | - | 51.81 | BANC ONE CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 98.75 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 98.93 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 99.02 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 99.11 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 1,596 | 51.81 | BANC ONE CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 1,344 | - | 54.00 | PHARMACIA & UPJOHN INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 1,848 | - | 85.25 | MCDONALDS CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,520 | - | 174.38 | INTERNATIONAL BUSINESS MACHS |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,688 | - | 125.81 | BRISTOL MYERS SQUIBB COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,360 | - | 117.25 | AMERICAN INTL GROUP INC |
| 1R0178 | 3/31/1999 | 2/24/1999 | 750,000 | - | 98.84 | U S TREASURY BILL |
| 1R0178 | 3/31/1999 | 2/24/1999 | - | 2,184 | 58.50 | BELL ATLANTIC CORP |
| 1R0178 | 3/31/1999 | 2/24/1999 | 1,176 | - | 104.25 | AMERICAN EXPRESS COMPANY |
| 1R0178 | 3/31/1999 | 2/24/1999 | 2,688 | - | 35.75 | BOEING CO |
| 1R0178 | 3/31/1999 | 2/24/1999 | 3,192 | - | 52.69 | DU PONT E I DE NEMOURS & CO |
| 1R0178 | 8/31/2002 | 7/31/2002 | 1,300,000 | - | 99.48 | U S TREASURY BILL |
| 1R0178 | 8/31/2002 | 7/31/2002 | 1,300,000 | - | 99.54 | U S TREASURY BILL |
| 1R0178 | 8/31/2002 | 7/31/2002 | - | 1,300,000 | 99.54 | U S TREASURY BILL |
| 1R0178 | 8/31/2002 | 7/31/2002 | - | 1,300,000 | 99.48 | U S TREASURY BILL |
| 1R0183 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.54 | U S TREASURY BILL |
| 1R0183 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.48 | U S TREASURY BILL |
| 1R0183 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.54 | U S TREASURY BILL |
| 1R0183 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.48 | U S TREASURY BILL |
| 1R0187 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.48 | U S TREASURY BILL |
| 1R0187 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.54 | U S TREASURY BILL |
| 1R0187 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.54 | U S TREASURY BILL |
| 1R0187 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.48 | U S TREASURY BILL |
| 1R0204 | 11/30/2005 | 10/21/2005 | - | 13,426 | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2005 | 10/26/2005 | - | 20,000 | 45.13 | AUTODESK INC |
| 1R0204 | 11/30/2005 | 10/31/2005 | 7,350 | - | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1R0204 | 7/31/2006 | 5/25/2006 | - | 400 | 75.06 | EXPRESS SCRIPTS INC CL A |
| 1R0204 | 7/31/2006 | 5/25/2006 | 24 | - | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 7/31/2006 | 6/5/2006 | - | 14,600 | 74.89 | EXPRESS SCRIPTS INC CL A |
| 1R0204 | 7/31/2006 | 6/5/2006 | 15,000 | - | 74.89 | EXPRESS SCRIPTS INC CL A |
| 1R0204 | 7/31/2006 | 6/13/2006 | - | 34,600 | 32.66 | AMAZON COM INC |
| 1R0204 | 7/31/2006 | 6/13/2006 | 32,560 | - | 32.66 | AMAZON COM INC |
| 1R0204 | 8/31/2006 | 6/30/2006 | 37,496 | - | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 8/31/2006 | 7/31/2006 | - | 46,004 | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 8/31/2006 | 7/31/2006 | 8,613 | - | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2006 | 10/19/2006 | 16,745 | - | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2006 | 10/19/2006 | - | 46,133 | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2008 | 8/28/2008 | - | 1,675,000 | 99.13 | U S TREASURY BILL |
| 1R0204 | 11/30/2008 | 8/28/2008 | 1,625,000 | - | 99.13 | U S TREASURY BILL |
| 1R0204 | 11/30/2008 | 8/29/2008 | 15,369 | - | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2008 | 9/11/2008 | - | 50,000 | 99.27 | U S TREASURY BILL |
| 1R0204 | 11/30/2008 | 9/11/2008 | - | 15,369 | 1.00 | FIDELITY SPARTAN |
| 1R0204 | 11/30/2008 | 9/30/2008 | 15,003 | - | 1.00 | FIDELITY SPARTAN |
| 1R0222 | 2/28/2005 | 1/28/2005 | - | 5,658 | 24.39 | SBC COMMUNICATIONS INC |
| 1R0226 | 10/31/2007 | 9/14/2007 | 19,717 | - | 1.00 | FIDELITY SPARTAN |
| 1R0226 | 10/31/2007 | 9/14/2007 | 4,525,000 | - | 98.62 | U S TREASURY BILL |
| 1R0226 | 10/31/2007 | 9/26/2007 | 35,292 | - | 1.00 | FIDELITY SPARTAN |
| 1R0226 | 10/31/2007 | 9/26/2007 | - | 35,291 | 1.00 | FIDELITY SPARTAN |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 54,000 | 37.75 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 54,200 | 37.63 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 58,000 | 37.50 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 56,000 | 37.69 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 60,000 | 37.56 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 51,000 | 37.38 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 49,000 | 37.31 | THE WALT DISNEY CO |
| 1S0004 | 2/29/2000 | 1/28/2000 | - | 42,000 | 37.44 | THE WALT DISNEY CO |
| 1S0004 | 11/30/2001 | 9/4/2001 | 50,000 | - | 45.25 | APPLIED MATERIALS INC |
| 1S0004 | 11/30/2001 | 9/4/2001 | 62,000 | - | 30.12 | ALTERA CORP |
| 1S0004 | 11/30/2001 | 9/4/2001 | 50,000 | - | 35.22 | PEOPLESOFT INC |
| 1S0004 | 3/31/2005 | 1/19/2005 | - | 76,000 | 103.51 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/19/2005 | - | 51,000 | 103.40 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/19/2005 | - | 49,000 | 103.30 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/19/2005 | - | 54,000 | 103.49 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/28/2005 | 49,000 | - | 80.36 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/28/2005 | 51,000 | - | 80.12 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/28/2005 | 54,000 | - | 79.62 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/28/2005 | 76,000 | - | 79.98 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/31/2005 | 80,000 | - | 80.54 | EBAY INC |
| 1S0004 | 3/31/2005 | 1/31/2005 | 20,000 | - | 80.64 | EBAY INC |
| 1S0004 | 2/28/2006 | 1/10/2006 | 30,000 | - | 59.75 | CONOCOPHILIPS |
| 1S0004 | 2/28/2006 | 1/10/2006 | 90,000 | - | 59.92 | CONOCOPHILIPS |
| 1S0005 | 2/29/2000 | 1/6/2000 | - | 640 | 84.50 | LEHMAN BROS HOLDING INC |
| 1S0005 | 2/29/2000 | 1/6/2000 | - | 6,816 | 16.25 | EARTHGRAINS COMPANY |
| 1S0005 | 2/29/2000 | 1/6/2000 | - | 3,200 | 164.00 | AMERICAN EXPRESS COMPANY |
| 1S0005 | 2/29/2000 | 1/12/2000 | - | 35,200 | 77.75 | ANHEUSER BUSCH COS INC |
| 1S0005 | 2/29/2000 | 1/12/2000 | - | 50,000 | 77.75 | ANHEUSER BUSCH COS INC |
| 1S0005 | 2/29/2000 | 1/18/2000 | - | 16,666 | 43.69 | BOISE CASCADE CORP |
| 1S0005 | 2/29/2000 | 1/20/2000 | - | 5,400 | 47.69 | FEDEX CORPORATION |
| 1S0005 | 2/29/2000 | 1/28/2000 | - | 50,000 | 37.50 | THE WALT DISNEY CO |
| 1S0005 | 2/29/2000 | 1/28/2000 | - | 43,000 | 37.63 | THE WALT DISNEY CO |
| 1S0005 | 2/29/2000 | 1/28/2000 | - | 50,000 | 37.56 | THE WALT DISNEY CO |
| 1S0005 | 2/29/2000 | 1/28/2000 | - | 43,000 | 37.69 | THE WALT DISNEY CO |
| 1S0005 | 2/29/2000 | 1/28/2000 | - | 34,200 | 37.75 | THE WALT DISNEY CO |
| 1S0005 | 2/29/2000 | 1/31/2000 | - | 15,600 | 87.69 | MERRILL LYNCH & CO INC |
| 1S0005 | 10/31/2002 | 9/9/2002 | 15,000 | - | 28.10 | SYMANTEC CORP |
| 1S0005 | 10/31/2002 | 9/9/2002 | - | 15,000 | 18.10 | SYMANTEC CORP |
| 1S0014 | 2/28/2003 | 1/29/2003 | - | 125,000 | 35.42 | WYETH |
| 1S0014 | 2/28/2003 | 1/29/2003 | - | 75,000 | 35.50 | WYETH |
| 1S0018 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1S0018 | 2/28/1998 | 1/23/1998 | - | 10,000 | 13.25 | IOMEGA CORP |
| 1S0018 | 2/29/2000 | 1/6/2000 | - | 20,000 | 67.25 | ADOBE SYS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1S0019 | 2/28/1998 | 1/16/1998 | 24,000 | - | 47.75 | PHILIP MORRIS COMPANIES INC |
| 1S0019 | 2/28/1998 | 1/23/1998 | - | 10,000 | 13.25 | IOMEGA CORP |
| 1S0019 | 2/29/2000 | 1/6/2000 | - | 10,000 | 67.25 | ADOBE SYS INC |
| 1S0045 | 8/31/1999 | 7/27/1999 | - | 10,860 | 69.63 | LUCENT TECHNOLOGIES INC |
| 1S0045 | 12/31/1999 | 11/3/1999 | - | 3,000 | 64.00 | LUCENT TECHNOLOGIES INC |
| 1S0045 | 2/29/2000 | 1/6/2000 | - | 13,860 | 77.25 | LUCENT TECHNOLOGIES INC |
| 1S0045 | 2/29/2000 | 1/6/2000 | - | 1,200 | 30.25 | SEARS ROEBUCK & CO |
| 1S0045 | 2/29/2000 | 1/10/2000 | 4,500 | - | 50.13 | CITI GROUP INC |
| 1S0045 | 2/29/2000 | 1/21/2000 | 13,860 | - | 51.00 | LUCENT TECHNOLOGIES INC |
| 1S0045 | 2/29/2000 | 1/24/2000 | - | 4,500 | 59.75 | CITI GROUP INC |
| 1S0045 | 2/29/2000 | 1/28/2000 | - | 800 | 68.75 | LEHMAN BROS HOLDING INC |
| 1S0045 | 11/30/2001 | 9/4/2001 | 250 | - | 51.05 | KLA TENCOR CORPORATION |
| 1S0102 | 6/30/1999 | 5/26/1999 | 1,425,000 | - | 99.66 | U S TREASURY BILL |
| 1S0102 | 6/30/1999 | 5/26/1999 | - | 1,425,000 | 98.66 | U S TREASURY BILL |
| 1S0102 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.48 | U S TREASURY BILL |
| 1S0102 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.54 | U S TREASURY BILL |
| 1S0102 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.54 | U S TREASURY BILL |
| 1S0102 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.48 | U S TREASURY BILL |
| 1S0110 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0110 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0110 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.48 | U S TREASURY BILL |
| 1S0110 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.54 | U S TREASURY BILL |
| 1S0110 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.48 | U S TREASURY BILL |
| 1S0110 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.54 | U S TREASURY BILL |
| 1S0135 | 7/31/1999 | 6/7/1999 | - | 66,000 | 57.50 | LUCENT TECHNOLOGIES INC |
| 1S0135 | 12/31/1999 | 11/12/1999 | - | 21,000 | 70.00 | GATEWAY INC |
| 1S0135 | 11/30/2001 | 9/4/2001 | 4,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1S0142 | 8/31/1999 | 7/21/1999 | - | 15,600 | 38.00 | PFIZER INC |
| 1S0142 | 8/31/1999 | 7/22/1999 | - | 4,200 | 67.25 | ALLIED SIGNAL INC |
| 1S0142 | 12/31/1999 | 11/4/1999 | - | 4,850 | 20.00 | ADVANCED MICRO DEVICES |
| 1S0142 | 12/31/1999 | 11/4/1999 | - | 1,400 | 74.50 | HEWLETT PACKARD CO |
| 1S0142 | 2/29/2000 | 1/6/2000 | 273 | - | 136.00 | MORGAN STANLEY DEAN WITTER CO |
| 1S0142 | 2/29/2000 | 1/6/2000 | - | 350 | 84.50 | LEHMAN BROS HOLDING INC |
| 1S0142 | 2/29/2000 | 1/6/2000 | - | 1,400 | 118.50 | HEWLETT PACKARD CO |
| 1S0142 | 2/29/2000 | 1/6/2000 | - | 375 | 8.00 | CONSOLIDATED FREIGHTWAYS CORP |
| 1S0142 | 2/29/2000 | 1/7/2000 | 15,600 | - | 31.00 | PFIZER INC |
| 1S0142 | 2/29/2000 | 1/10/2000 | - | 5,000 | 58.50 | AMGEN INC |
| 1S0142 | 2/29/2000 | 1/10/2000 | 4,850 | - | 28.50 | ADVANCED MICRO DEVICES |
| 1S0142 | 2/29/2000 | 1/10/2000 | 350 | - | 70.13 | LEHMAN BROS HOLDING INC |
| 1S0142 | 2/29/2000 | 1/10/2000 | - | 367 | 27.38 | COMPAQ COMPUTER CORP |
| 1S0142 | 2/29/2000 | 1/11/2000 | 1,400 | - | 102.06 | HEWLETT PACKARD CO |
| 1S0142 | 2/29/2000 | 1/12/2000 | - | 1,050 | 48.25 | FLUOR CORP |
| 1S0142 | 2/29/2000 | 1/13/2000 | - | 105 | 10.38 | HIGHLANDS INSURANCE GROUP |
| 1S0142 | 2/29/2000 | 1/20/2000 | - | 15,600 | 37.38 | PFIZER INC |
| 1S0142 | 2/29/2000 | 1/21/2000 | - | 273 | 138.50 | MORGAN STANLEY DEAN WITTER CO |
| 1S0142 | 2/29/2000 | 1/25/2000 | - | 4,850 | 44.88 | ADVANCED MICRO DEVICES |
| 1S0142 | 2/29/2000 | 1/27/2000 | - | 2,100 | 43.25 | HALLIBURTON CO |
| 1S0142 | 2/29/2000 | 1/28/2000 | - | 1,500 | 55.75 | PPG INDS INC |
| 1S0142 | 2/29/2000 | 1/31/2000 | - | 3,704 | 54.00 | BP AMOCO PLC |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 2,800 | 17.99 | HEWLETT PACKARD CO |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 2,500 | 38.04 | QUALCOMM INC |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 546 | 57.20 | MORGAN STANLEY |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 2,100 | 17.10 | HALLIBURTON CO |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 3,000 | 60.35 | MICROSOFT CORP |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 700 | 63.70 | LEHMAN BROS HOLDING INC |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 1,050 | 40.75 | FLUOR CORP NEW |
| 1S0142 | 7/31/2002 | 4/4/2002 | - | 1,050 | 17.42 | MASSEY ENERGY CO |
| 1S0144 | 8/31/1999 | 7/21/1999 | - | 15,600 | 38.00 | PFIZER INC |
| 1S0144 | 8/31/1999 | 7/22/1999 | - | 4,200 | 67.25 | ALLIED SIGNAL INC |
| 1S0144 | 12/31/1999 | 11/4/1999 | - | 1,400 | 74.50 | HEWLETT PACKARD CO |
| 1S0144 | 12/31/1999 | 11/4/1999 | - | 4,850 | 20.00 | ADVANCED MICRO DEVICES |
| 1S0144 | 2/29/2000 | 1/6/2000 | - | 1,400 | 118.50 | HEWLETT PACKARD CO |
| 1S0144 | 2/29/2000 | 1/6/2000 | 273 | - | 136.00 | MORGAN STANLEY DEAN WITTER CO |
| 1S0144 | 2/29/2000 | 1/6/2000 | - | 375 | 8.00 | CONSOLIDATED FREIGHTWAYS CORP |
| 1S0144 | 2/29/2000 | 1/6/2000 | - | 350 | 84.50 | LEHMAN BROS HOLDING INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------------|
| 1S0144 | 2/29/2000 | 1/7/2000 | 15,600 | - | 31.00 | PFIZER INC |
| 1S0144 | 2/29/2000 | 1/10/2000 | 350 | - | 70.13 | LEHMAN BROS HOLDING INC |
| 1S0144 | 2/29/2000 | 1/10/2000 | 4,850 | - | 28.50 | ADVANCED MICRO DEVICES |
| 1S0144 | 2/29/2000 | 1/10/2000 | - | 367 | 27.38 | COMPAQ COMPUTER CORP |
| 1S0144 | 2/29/2000 | 1/10/2000 | - | 5,000 | 52.00 | GATEWAY INC |
| 1S0144 | 2/29/2000 | 1/11/2000 | 1,400 | - | 102.06 | HEWLETT PACKARD CO |
| 1S0144 | 2/29/2000 | 1/12/2000 | - | 1,050 | 48.25 | FLUOR CORP |
| 1S0144 | 2/29/2000 | 1/13/2000 | - | 105 | 10.38 | HIGHLANDS INSURANCE GROUP |
| 1S0144 | 2/29/2000 | 1/20/2000 | - | 15,600 | 37.38 | PFIZER INC |
| 1S0144 | 2/29/2000 | 1/21/2000 | - | 273 | 138.50 | MORGAN STANLEY DEAN WITTER CO |
| 1S0144 | 2/29/2000 | 1/25/2000 | - | 4,850 | 44.88 | ADVANCED MICRO DEVICES |
| 1S0144 | 2/29/2000 | 1/27/2000 | - | 2,100 | 43.25 | HALLIBURTON CO |
| 1S0144 | 2/29/2000 | 1/28/2000 | - | 1,500 | 55.75 | PPG INDS INC |
| 1S0144 | 2/29/2000 | 1/31/2000 | - | 3,704 | 54.00 | BP AMOCO PLC |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 546 | 57.20 | MORGAN STANLEY |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 700 | 63.70 | LEHMAN BROS HOLDING INC |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 2,800 | 17.99 | HEWLETT PACKARD CO |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 3,000 | 60.35 | MICROSOFT CORP |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 7,400 | 42.90 | INTERNATIONAL PAPER CO |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 9,000 | 18.24 | CIRCUIT CITY STORES INC |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 15,600 | 39.99 | PFIZER INC |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 1,050 | 17.22 | MASSEY ENERGY CO |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 2,100 | 17.10 | HALLIBURTON CO |
| 1S0144 | 7/31/2002 | 4/4/2002 | - | 1,050 | 40.75 | FLUOR CORP NEW |
| 1S0146 | 6/30/1999 | 5/26/1999 | 1,500,000 | - | 99.66 | U S TREASURY BILL |
| 1S0146 | 6/30/1999 | 5/26/1999 | - | 1,500,000 | 98.66 | U S TREASURY BILL |
| 1S0146 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.48 | U S TREASURY BILL |
| 1S0146 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.54 | U S TREASURY BILL |
| 1S0146 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.48 | U S TREASURY BILL |
| 1S0146 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.54 | U S TREASURY BILL |
| 1S0147 | 2/29/2000 | 1/6/2000 | - | 27,683 | 78.06 | DAIMLER CHRYSLER AG |
| 1S0147 | 2/29/2000 | 1/6/2000 | - | 5,960 | 84.50 | LEHMAN BROS HOLDING INC |
| 1S0147 | 2/29/2000 | 1/6/2000 | - | 8,000 | 24.56 | GEORGIA PACIFIC TIMBER GROUP |
| 1S0147 | 2/29/2000 | 1/7/2000 | - | 4,600 | 7.25 | CONSOLIDATED FREIGHTWAYS CORP |
| 1S0147 | 3/31/2000 | 1/11/2000 | 3,562 | - | 32.00 | TRANSOCEAN SEDCO FOREX INC |
| 1S0147 | 2/29/2000 | 1/12/2000 | - | 16,000 | 51.56 | GEORGIA PACIFIC GROUP |
| 1S0147 | 2/29/2000 | 1/12/2000 | - | 50,000 | 96.63 | JOHNSON & JOHNSON |
| 1S0147 | 2/29/2000 | 1/12/2000 | - | 97,000 | 72.75 | PHELPS DODGE CORP |
| 1S0147 | 2/29/2000 | 1/12/2000 | - | 62,000 | 96.69 | JOHNSON & JOHNSON |
| 1S0147 | 2/29/2000 | 1/19/2000 | - | 12,792 | 25.25 | ALLSTATE |
| 1S0147 | 2/29/2000 | 1/19/2000 | - | 18,400 | 64.88 | PPG INDS INC |
| 1S0147 | 2/29/2000 | 1/19/2000 | - | 70,000 | 57.50 | CHUBB CORP |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 40,000 | 37.19 | PFIZER INC |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 57,400 | 57.50 | CHUBB CORP |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 62,000 | 37.38 | PFIZER INC |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 45,000 | 37.25 | PFIZER INC |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 20,800 | 37.13 | PFIZER INC |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 58,440 | 57.50 | CHUBB CORP |
| 1S0147 | 2/29/2000 | 1/20/2000 | - | 53,000 | 37.31 | PFIZER INC |
| 1S0147 | 2/29/2000 | 1/21/2000 | - | 9,200 | 34.06 | CNF TRANSPORTATION INC |
| 1S0147 | 2/29/2000 | 1/24/2000 | - | 67,600 | 47.75 | BOEING CO |
| 1S0147 | 2/29/2000 | 1/24/2000 | - | 33,800 | 47.75 | BOEING CO |
| 1S0147 | 2/29/2000 | 1/25/2000 | - | 6,900 | 34.19 | SEARS ROEBUCK & CO |
| 1S0147 | 2/29/2000 | 1/26/2000 | - | 18,400 | 67.38 | SCHLUMBERGER LTD |
| 1S0147 | 2/29/2000 | 1/27/2000 | - | 16,000 | 71.56 | GTE CORP |
| 1S0147 | 2/29/2000 | 1/31/2000 | - | 42,300 | 54.00 | NATIONAL SEMICONDUCTOR CORP |
| 1S0147 | 2/29/2000 | 1/31/2000 | - | 31,200 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1S0147 | 2/29/2000 | 1/31/2000 | - | 30,000 | 78.75 | THE CHASE MANHATTAN CORP NEW |
| 1S0165 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0165 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0165 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.54 | U S TREASURY BILL |
| 1S0165 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.48 | U S TREASURY BILL |
| 1S0165 | 8/31/2002 | 7/31/2002 | 1,700,000 | - | 99.48 | U S TREASURY BILL |
| 1S0165 | 8/31/2002 | 7/31/2002 | - | 1,700,000 | 99.54 | U S TREASURY BILL |
| 1S0166 | 6/30/1999 | 5/26/1999 | - | 150,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|--------------------------|
| 1S0166 | 6/30/1999 | 5/26/1999 | 150,000 | - | 99.66 | U S TREASURY BILL |
| 1S0166 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.54 | U S TREASURY BILL |
| 1S0166 | 8/31/2002 | 7/31/2002 | 1,575,000 | - | 99.48 | U S TREASURY BILL |
| 1S0166 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.54 | U S TREASURY BILL |
| 1S0166 | 8/31/2002 | 7/31/2002 | - | 1,575,000 | 99.48 | U S TREASURY BILL |
| 1S0176 | 6/30/1999 | 5/26/1999 | - | 1,400,000 | 98.66 | U S TREASURY BILL |
| 1S0176 | 6/30/1999 | 5/26/1999 | 1,400,000 | - | 99.66 | U S TREASURY BILL |
| 1S0183 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0183 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0188 | 2/28/2002 | 1/8/2002 | - | 100,000 | 14.70 | WORLDCOM GROUP |
| 1S0188 | 2/28/2002 | 1/8/2002 | - | 100,000 | 18.75 | TIME WARNER TELECOM CL A |
| 1S0197 | 6/30/1997 | 5/2/1997 | 200 | - | 81.00 | COMPAQ COMPUTER CORP |
| 1S0197 | 9/30/2002 | 6/3/2002 | 456 | - | 22.03 | TYCO INTERNATIONAL LTD |
| 1S0197 | 9/30/2002 | 6/3/2002 | - | 456 | 22.03 | TYCO INTERNATIONAL LTD |
| 1S0197 | 11/30/2006 | 10/2/2006 | 536 | - | 1.00 | FIDELITY SPARTAN |
| 1S0197 | 11/30/2006 | 10/2/2006 | - | 554 | 53.62 | BANK OF AMERICA |
| 1S0201 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1S0201 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1S0201 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1S0201 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1S0211 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0211 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0215 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.48 | U S TREASURY BILL |
| 1S0215 | 8/31/2002 | 7/31/2002 | 1,600,000 | - | 99.54 | U S TREASURY BILL |
| 1S0215 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.48 | U S TREASURY BILL |
| 1S0215 | 8/31/2002 | 7/31/2002 | - | 1,600,000 | 99.54 | U S TREASURY BILL |
| 1S0216 | 6/30/1999 | 5/26/1999 | - | 225,000 | 98.66 | U S TREASURY BILL |
| 1S0216 | 6/30/1999 | 5/26/1999 | 225,000 | - | 99.66 | U S TREASURY BILL |
| 1S0217 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0217 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0222 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.48 | U S TREASURY BILL |
| 1S0222 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.48 | U S TREASURY BILL |
| 1S0222 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.54 | U S TREASURY BILL |
| 1S0222 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.54 | U S TREASURY BILL |
| 1S0225 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.48 | U S TREASURY BILL |
| 1S0225 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.48 | U S TREASURY BILL |
| 1S0225 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.54 | U S TREASURY BILL |
| 1S0225 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.54 | U S TREASURY BILL |
| 1S0231 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0231 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0255 | 6/30/1999 | 5/26/1999 | 2,025,000 | - | 99.66 | U S TREASURY BILL |
| 1S0255 | 6/30/1999 | 5/26/1999 | - | 2,025,000 | 98.66 | U S TREASURY BILL |
| 1S0255 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.48 | U S TREASURY BILL |
| 1S0255 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.54 | U S TREASURY BILL |
| 1S0255 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.48 | U S TREASURY BILL |
| 1S0255 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.54 | U S TREASURY BILL |
| 1S0272 | 6/30/1999 | 5/26/1999 | - | 2,575,000 | 98.66 | U S TREASURY BILL |
| 1S0272 | 6/30/1999 | 5/26/1999 | 2,575,000 | - | 99.66 | U S TREASURY BILL |
| 1S0272 | 8/31/2002 | 7/31/2002 | - | 3,550,000 | 99.48 | U S TREASURY BILL |
| 1S0272 | 8/31/2002 | 7/31/2002 | - | 3,550,000 | 99.54 | U S TREASURY BILL |
| 1S0272 | 8/31/2002 | 7/31/2002 | 3,550,000 | - | 99.48 | U S TREASURY BILL |
| 1S0272 | 8/31/2002 | 7/31/2002 | 3,550,000 | - | 99.54 | U S TREASURY BILL |
| 1S0363 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0363 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0374 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0374 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0374 | 8/31/2002 | 7/31/2002 | - | 1,650,000 | 99.54 | U S TREASURY BILL |
| 1S0374 | 8/31/2002 | 7/31/2002 | 1,650,000 | - | 99.48 | U S TREASURY BILL |
| 1S0374 | 8/31/2002 | 7/31/2002 | 1,650,000 | - | 99.54 | U S TREASURY BILL |
| 1S0374 | 8/31/2002 | 7/31/2002 | - | 1,650,000 | 99.48 | U S TREASURY BILL |
| 1S0376 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.48 | U S TREASURY BILL |
| 1S0376 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.54 | U S TREASURY BILL |
| 1S0376 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.54 | U S TREASURY BILL |
| 1S0376 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.48 | U S TREASURY BILL |
| 1S0377 | 6/30/1999 | 5/26/1999 | - | 1,475,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1S0377 | 6/30/1999 | 5/26/1999 | 1,475,000 | - | 99.66 | U S TREASURY BILL |
| 1S0382 | 6/30/1999 | 5/26/1999 | 1,750,000 | - | 99.66 | U S TREASURY BILL |
| 1S0382 | 6/30/1999 | 5/26/1999 | - | 1,750,000 | 98.66 | U S TREASURY BILL |
| 1S0382 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.48 | U S TREASURY BILL |
| 1S0382 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.54 | U S TREASURY BILL |
| 1S0382 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.48 | U S TREASURY BILL |
| 1S0382 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.54 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 1/6/1999 | 2,500,000 | - | 98.63 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 1/13/1999 | 35,000 | - | 98.73 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 1/22/1999 | - | 2,535,000 | 98.83 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 1/25/1999 | 252 | - | 98.88 | TEXAS INSTRUMENTS INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 336 | - | 56.38 | PHARMACIA & UPJOHN INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 630 | - | 193.50 | INTERNATIONAL BUSINESS MACHS |
| 1S0389 | 3/31/1999 | 1/25/1999 | 840 | - | 100.75 | AMERICAN INTL GROUP INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,050 | - | 105.38 | CISCO SYSTEMS INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,386 | - | 36.13 | THE WALT DISNEY CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,680 | - | 63.44 | COCA COLA CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 462 | - | 77.75 | MCDONALDS CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 672 | - | 51.00 | ORACLE CORPORATION |
| 1S0389 | 3/31/1999 | 1/25/1999 | 756 | - | 68.75 | AMERITECH CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 840 | - | 147.75 | MERCK & CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,092 | - | 57.00 | BELL ATLANTIC CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 2,268 | - | 100.00 | GENERAL ELECTRIC CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 294 | - | 101.50 | AMERICAN EXPRESS COMPANY |
| 1S0389 | 3/31/1999 | 1/25/1999 | 672 | - | 122.13 | BRISTOL MYERS SQUIBB COMPANY |
| 1S0389 | 3/31/1999 | 1/25/1999 | 714 | - | 71.63 | HEWLETT PACKARD CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 798 | - | 64.69 | FORD MOTOR COMPANY |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,218 | - | 64.00 | BANKAMERICA CORP NEW |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,218 | - | 91.75 | AT & T CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,554 | - | 79.75 | WAL-MART STORES INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 462 | - | 89.00 | GENERAL MOTORS CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 798 | - | 53.25 | BANC ONE CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 924 | - | 84.75 | PROCTER & GAMBLE CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,008 | - | 38.44 | PEPSICO INC |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,092 | - | 36.88 | WELLS FARGO & CO NEW |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,134 | - | 138.00 | INTEL CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 672 | - | 33.63 | BOEING CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 714 | - | 71.00 | EXXON CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,680 | - | 162.88 | MICROSOFT CORP |
| 1S0389 | 3/31/1999 | 1/25/1999 | 798 | - | 54.50 | DU PONT E I DE NEMOURS & CO |
| 1S0389 | 3/31/1999 | 1/25/1999 | 924 | - | 80.25 | JOHNSON & JOHNSON |
| 1S0389 | 3/31/1999 | 1/25/1999 | 1,554 | - | 52.88 | CITI GROUP INC |
| 1S0389 | 3/31/1999 | 2/17/1999 | 15,000 | - | 99.21 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 672 | 35.75 | BOEING CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 672 | 53.50 | ORACLE CORPORATION |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 798 | 51.81 | BANC ONE CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 294 | 104.25 | AMERICAN EXPRESS COMPANY |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 798 | 59.50 | FORD MOTOR COMPANY |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,092 | 36.81 | WELLS FARGO & CO NEW |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,218 | 86.75 | AT & T CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,554 | 85.13 | WAL-MART STORES INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,680 | 148.75 | MICROSOFT CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 15,000 | 99.27 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.84 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 99.02 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 672 | 125.81 | BRISTOL MYERS SQUIBB COMPANY |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 840 | 117.50 | AMERICAN INTL GROUP INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,680 | 65.31 | COCA COLA CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 756 | 64.25 | AMERITECH CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 252 | 94.75 | TEXAS INSTRUMENTS INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 462 | 85.25 | MCDONALDS CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 714 | 69.00 | HEWLETT PACKARD CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 924 | 86.31 | JOHNSON & JOHNSON |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,386 | 34.13 | THE WALT DISNEY CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 336 | 54.00 | PHARMACIA & UPJOHN INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 924 | 91.50 | PROCTER & GAMBLE CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 2,268 | 101.13 | GENERAL ELECTRIC CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | 325,000 | - | 98.84 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.93 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 99.11 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 462 | 85.75 | GENERAL MOTORS CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 630 | 174.38 | INTERNATIONAL BUSINESS MACHS |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 798 | 52.69 | DU PONT E I DE NEMOURS & CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,008 | 39.25 | PEPSICO INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,050 | 97.25 | CISCO SYSTEMS INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,134 | 130.25 | INTEL CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,218 | 65.88 | BANKAMERICA CORP NEW |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,554 | 54.69 | CITI GROUP INC |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,680 | 79.13 | MERCK & CO |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.75 | U S TREASURY BILL |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 714 | 68.56 | EXXON CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | - | 1,092 | 58.50 | BELL ATLANTIC CORP |
| 1S0389 | 3/31/1999 | 2/24/1999 | 375,000 | - | 98.67 | U S TREASURY BILL |
| 1S0391 | 11/30/1999 | 9/16/1999 | 2,440 | - | 1.00 | FIDELITY SPARTAN |
| 1S0391 | 11/30/1999 | 9/16/1999 | 100,000 | - | 97.56 | U S TREASURY BILL |
| 1S0392 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.48 | U S TREASURY BILL |
| 1S0392 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.54 | U S TREASURY BILL |
| 1S0392 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.54 | U S TREASURY BILL |
| 1S0392 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.48 | U S TREASURY BILL |
| 1S0394 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1S0394 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1S0396 | 6/30/1999 | 5/26/1999 | 1,475,000 | - | 99.66 | U S TREASURY BILL |
| 1S0396 | 6/30/1999 | 5/26/1999 | - | 1,475,000 | 98.66 | U S TREASURY BILL |
| 1S0413 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.54 | U S TREASURY BILL |
| 1S0413 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.54 | U S TREASURY BILL |
| 1S0413 | 8/31/2002 | 7/31/2002 | 1,625,000 | - | 99.48 | U S TREASURY BILL |
| 1S0413 | 8/31/2002 | 7/31/2002 | - | 1,625,000 | 99.48 | U S TREASURY BILL |
| 1S0434 | 8/31/2002 | 7/31/2002 | 2,850,000 | - | 99.54 | U S TREASURY BILL |
| 1S0434 | 8/31/2002 | 7/31/2002 | - | 2,850,000 | 99.54 | U S TREASURY BILL |
| 1S0434 | 8/31/2002 | 7/31/2002 | - | 2,850,000 | 99.48 | U S TREASURY BILL |
| 1S0434 | 8/31/2002 | 7/31/2002 | 2,850,000 | - | 99.48 | U S TREASURY BILL |
| 1S0443 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.54 | U S TREASURY BILL |
| 1S0443 | 8/31/2002 | 7/31/2002 | 1,550,000 | - | 99.48 | U S TREASURY BILL |
| 1S0443 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.54 | U S TREASURY BILL |
| 1S0443 | 8/31/2002 | 7/31/2002 | - | 1,550,000 | 99.48 | U S TREASURY BILL |
| 1S0447 | 8/31/2002 | 7/31/2002 | - | 3,500,000 | 99.54 | U S TREASURY BILL |
| 1S0447 | 8/31/2002 | 7/31/2002 | - | 3,500,000 | 99.48 | U S TREASURY BILL |
| 1S0447 | 8/31/2002 | 7/31/2002 | 3,500,000 | - | 99.48 | U S TREASURY BILL |
| 1S0447 | 8/31/2002 | 7/31/2002 | 3,500,000 | - | 99.54 | U S TREASURY BILL |
| 1S0449 | 8/31/2002 | 7/31/2002 | 1,350,000 | - | 99.48 | U S TREASURY BILL |
| 1S0449 | 8/31/2002 | 7/31/2002 | - | 1,350,000 | 99.54 | U S TREASURY BILL |
| 1S0472 | 12/31/2002 | 10/17/2002 | 225,000 | - | 0.60 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/17/2002 | 265,000 | - | 0.60 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/17/2002 | 275,000 | - | 0.63 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/17/2002 | 250,000 | - | 0.64 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/17/2002 | 260,000 | - | 0.66 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/17/2002 | 225,000 | - | 0.68 | LUCENT TECHNOLOGIES INC |
| 1S0472 | 12/31/2002 | 10/24/2002 | 200,000 | - | 0.70 | NORTEL NETWORKS CORP HLDS CO |
| 1S0472 | 12/31/2002 | 10/24/2002 | 200,000 | - | 0.78 | NORTEL NETWORKS CORP HLDS CO |
| 1S0472 | 12/31/2002 | 10/24/2002 | 300,000 | - | 0.74 | NORTEL NETWORKS CORP HLDS CO |
| 1S0472 | 12/31/2002 | 10/25/2002 | 110,000 | - | 0.79 | NORTEL NETWORKS CORP HLDS CO |
| 1S0472 | 12/31/2002 | 10/25/2002 | 270,000 | - | 0.78 | NORTEL NETWORKS CORP HLDS CO |
| 1S0472 | 12/31/2002 | 10/25/2002 | 270,000 | - | 0.80 | NORTEL NETWORKS CORP HLDS CO |
| 1SH001 | 4/30/1999 | 3/29/1999 | - | 150 | 164.13 | MICROSOFT CORP |
| 1SH001 | 4/30/1999 | 3/29/1999 | 300 | - | 82.06 | MICROSOFT CORP |
| 1SH001 | 4/30/2007 | 3/6/2007 | 125,000 | - | 20.80 | MARVELL TECHNOLOGY GRP LTD |
| 1SH001 | 4/30/2007 | 3/6/2007 | 75,000 | - | 20.77 | MARVELL TECHNOLOGY GRP LTD |
| 1SH001 | 9/30/2008 | 8/6/2008 | 50,000 | - | 116.75 | PRICELINE COM INC |
| 1SH001 | 9/30/2008 | 8/28/2008 | - | 100,000 | 57.50 | PATRIOT COAL CORP |
| 1SH001 | 10/31/2008 | 9/9/2008 | - | 50,000 | 93.50 | PRICELINE COM INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-------------------------|
| 1SH001 | 10/31/2008 | 9/9/2008 | 50,000 | - | 93.50 | PRICELINE COM INC |
| 1SH001 | 11/30/2008 | 10/3/2008 | 25,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH001 | 11/30/2008 | 10/3/2008 | - | 25,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH005 | 1/31/1997 | 3/28/1997 | - | 78,000 | 37.00 | COMPAQ COMPUTER CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | 50,000 | - | 56.75 | CINEPLEX O'DEON CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | 50,000 | - | 56.75 | COMPAQ COMPUTER CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | 21,500 | - | 56.63 | COMPAQ COMPUTER CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | 35,750 | - | 56.75 | CINEPLEX O'DEON CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | - | 35,750 | 56.75 | COMPAQ COMPUTER CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | - | 35,750 | 56.75 | CINEPLEX O'DEON CORP |
| 1SH005 | 2/28/1998 | 1/15/1998 | - | 50,000 | 56.75 | CINEPLEX O'DEON CORP |
| 1SH005 | 9/30/1999 | 8/10/1999 | 32,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH005 | 9/30/1999 | 8/24/1999 | - | 32,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH005 | 10/31/2002 | 9/3/2002 | 46,000 | - | 58.21 | PRAXAIR INC |
| 1SH005 | 10/31/2002 | 9/3/2002 | 19,000 | - | 50.30 | MICROSOFT CORP |
| 1SH005 | 10/31/2002 | 9/3/2002 | 50,000 | - | 58.21 | PRAXAIR INC |
| 1SH005 | 10/31/2002 | 9/3/2002 | 54,000 | - | 58.21 | PRAXAIR INC |
| 1SH005 | 5/31/2003 | 4/23/2003 | 11,975,000 | - | 100.00 | FED HM LN BK BD |
| 1SH005 | 5/31/2003 | 4/23/2003 | - | 12,475,000 | 100.00 | FED HM LN BK BD |
| 1SH005 | 5/31/2003 | 4/30/2003 | 38,799 | - | 1.00 | FIDELITY SPARTAN |
| 1SH006 | 9/30/1999 | 8/10/1999 | 16,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH006 | 9/30/1999 | 8/24/1999 | - | 16,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH006 | 3/31/2002 | 2/1/2002 | 28,000 | - | 10.90 | SUN MICROSYSTEMS INC |
| 1SH006 | 10/31/2002 | 9/3/2002 | 9,700 | - | 50.30 | MICROSOFT CORP |
| 1SH007 | 4/30/2000 | 3/7/2000 | 55,000 | - | 139.00 | PALM INC |
| 1SH007 | 9/30/2000 | 8/1/2000 | - | 11,500 | 72.25 | SCHLUMBERGER LTD |
| 1SH007 | 9/30/2000 | 8/2/2000 | - | 6,000 | 28.13 | COMPAQ COMPUTER CORP |
| 1SH007 | 9/30/2000 | 8/2/2000 | 35,500 | - | 28.13 | COMPAQ COMPUTER CORP |
| 1SH007 | 10/31/2002 | 9/3/2002 | - | 9,700 | 50.30 | MICROSOFT CORP |
| 1SH009 | 9/30/1999 | 8/10/1999 | 20,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH009 | 9/30/1999 | 8/24/1999 | - | 20,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH009 | 3/31/2002 | 2/1/2002 | 40,000 | - | 10.90 | SUN MICROSYSTEMS INC |
| 1SH010 | 9/30/1999 | 8/10/1999 | 56,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH010 | 9/30/1999 | 8/24/1999 | - | 56,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH010 | 4/30/2000 | 3/7/2000 | 51,000 | - | 138.13 | PALM INC |
| 1SH010 | 10/31/2002 | 9/3/2002 | 9,700 | - | 50.30 | MICROSOFT CORP |
| 1SH011 | 7/31/1998 | 6/12/1998 | - | 57,000 | 86.00 | MICROSOFT CORP |
| 1SH011 | 2/28/1999 | 1/7/1999 | 31,000 | - | 140.00 | MICROSOFT CORP |
| 1SH011 | 3/31/2000 | 1/6/2000 | 39,960 | - | 54.63 | MCI WORLDCOM INC |
| 1SH011 | 7/31/2001 | 6/5/2001 | - | 29,248 | 14.75 | ORACLE CORPORATION |
| 1SH011 | 10/31/2008 | 9/4/2008 | - | 100,000 | 42.00 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/4/2008 | 100,000 | - | 42.00 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/5/2008 | - | 28,000 | 43.12 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/5/2008 | 28,000 | - | 43.12 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/5/2008 | - | 100,000 | 43.25 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/5/2008 | 100,000 | - | 43.25 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/8/2008 | - | 90,000 | 43.00 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/8/2008 | - | 50 | 43.00 | AETNA INC |
| 1SH011 | 10/31/2008 | 9/8/2008 | 90,000 | - | 43.00 | AETNA INC |
| 1SH011 | 11/30/2008 | 10/2/2008 | - | 75,000 | 39.99 | POWERSHARES QQQ TRUST |
| 1SH011 | 11/30/2008 | 10/2/2008 | 75,000 | - | 39.99 | POWERSHARES QQQ TRUST |
| 1SH011 | 11/30/2008 | 10/24/2008 | - | 75,000 | 31.59 | POWERSHARES QQQ TRUST |
| 1SH011 | 11/30/2008 | 10/24/2008 | 75,000 | - | 31.59 | POWERSHARES QQQ TRUST |
| 1SH012 | 8/31/2003 | 7/10/2003 | 27,000 | - | 28.42 | BROADCOM CORP CL A |
| 1SH012 | 8/31/2003 | 7/10/2003 | 75,000 | - | 28.30 | BROADCOM CORP CL A |
| 1SH013 | 8/31/2003 | 7/10/2003 | 35,000 | - | 28.42 | BROADCOM CORP CL A |
| 1SH013 | 8/31/2003 | 7/10/2003 | 89,000 | - | 28.30 | BROADCOM CORP CL A |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 2,720 | 69.52 | LEHMAN BROS HOLDING INC |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 23,400 | 32.38 | AOL TIME WARNER INC |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 80,000 | 25.06 | NETWORK APPLIANCE INC |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 125,000 | 25.10 | NETWORK APPLIANCE INC |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 57,000 | 49.07 | QUALCOMM INC |
| 1SH014 | 12/31/2002 | 1/14/2002 | - | 90,000 | 25.19 | NETWORK APPLIANCE INC |
| 1SH014 | 12/31/2002 | 1/15/2002 | - | 85,000 | 51.12 | BROADCOM CORP CL A |
| 1SH014 | 12/31/2002 | 1/15/2002 | - | 30,000 | 51.03 | BROADCOM CORP CL A |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1SH014 | 12/31/2002 | 1/15/2002 | - | 95,000 | 29.70 | CIRCUIT CITY STORES INC |
| 1SH014 | 12/31/2002 | 1/15/2002 | - | 75,000 | 29.62 | CIRCUIT CITY STORES INC |
| 1SH014 | 12/31/2002 | 1/16/2002 | - | 28,000 | 58.19 | MERRILL LYNCH & CO INC |
| 1SH014 | 12/31/2002 | 1/16/2002 | - | 80,000 | 28.75 | CIRCUIT CITY STORES INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | 95,000 | - | 37.44 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 95,000 | 37.44 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 80,000 | 37.19 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 80,000 | 37.30 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 90,000 | 37.06 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 80,000 | 37.19 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 90,000 | 37.06 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 80,000 | 37.30 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | 80,000 | - | 37.19 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | 80,000 | - | 37.30 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | 90,000 | - | 37.06 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 1/31/2002 | - | 95,000 | 37.44 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 2/5/2002 | - | 80,000 | 25.55 | ALTERA CORP |
| 1SH014 | 12/31/2002 | 3/7/2002 | - | 6,850 | 30.99 | PLUM CREEK TIMBER CO |
| 1SH014 | 12/31/2002 | 3/11/2002 | - | 75,000 | 62.33 | CENTEX CORP |
| 1SH014 | 12/31/2002 | 3/11/2002 | - | 32,000 | 61.07 | SCHLUMBERGER LTD |
| 1SH014 | 12/31/2002 | 3/11/2002 | - | 50,000 | 61.22 | SCHLUMBERGER LTD |
| 1SH014 | 12/31/2002 | 3/13/2002 | - | 30,000 | 7.28 | UNOVA INC |
| 1SH014 | 12/31/2002 | 3/15/2002 | 84,800 | - | 41.31 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | - | 70,000 | 41.16 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | - | 84,800 | 41.31 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | - | 70,000 | 41.16 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | 70,000 | - | 41.16 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | - | 18,000 | 63.21 | MERCK & CO |
| 1SH014 | 12/31/2002 | 3/15/2002 | - | 84,800 | 41.31 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 3/22/2002 | - | 12,000 | 65.55 | JOHNSON & JOHNSON |
| 1SH014 | 12/31/2002 | 3/22/2002 | - | 81,000 | 39.39 | BAKER HUGHES INC |
| 1SH014 | 12/31/2002 | 4/3/2002 | - | 13,232 | 53.35 | BP PLC |
| 1SH014 | 12/31/2002 | 4/18/2002 | - | 45,000 | 40.63 | HONEYWELL INTERNATIONAL INC |
| 1SH014 | 12/31/2002 | 4/24/2002 | - | 26,000 | 33.15 | ABERCROMBIE & FITCH CO |
| 1SH014 | 12/31/2002 | 4/25/2002 | - | 10,000 | 31.24 | GEORGIA PACIFIC CORP COMMON |
| 1SH014 | 12/31/2002 | 5/7/2002 | - | 12,000 | 42.98 | HEINZ H J CO |
| 1SH014 | 12/31/2002 | 5/17/2002 | - | 20,400 | 44.35 | AMERICAN EXPRESS COMPANY |
| 1SH014 | 12/31/2002 | 5/22/2002 | - | 11,994 | 76.81 | BANK OF AMERICA |
| 1SH014 | 12/31/2002 | 5/22/2002 | - | 82,000 | 41.99 | ADOBE SYS INC |
| 1SH014 | 12/31/2002 | 5/30/2002 | - | 15,875 | 38.72 | TRANSOCEAN INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | - | 90,000 | 19.00 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | - | 80,000 | 19.06 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | - | 80,000 | 19.15 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | 95,000 | - | 19.22 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | - | 95,000 | 19.22 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | 80,000 | - | 19.06 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | 80,000 | - | 19.15 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/10/2002 | 90,000 | - | 19.00 | SIEBEL SYSTEMS INC |
| 1SH014 | 12/31/2002 | 6/26/2002 | - | 27,858 | 129.59 | NORTHROP GRUMMAN CORP |
| 1SH014 | 12/31/2002 | 7/2/2002 | - | 75,000 | 37.12 | CNF INC |
| 1SH014 | 12/31/2002 | 9/5/2002 | - | 70,000 | 30.29 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 9/5/2002 | - | 84,800 | 30.31 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 9/5/2002 | 70,000 | - | 30.29 | GENERAL ELECTRIC CO |
| 1SH014 | 12/31/2002 | 9/5/2002 | 84,800 | - | 30.31 | GENERAL ELECTRIC CO |
| 1SH014 | 2/28/2003 | 1/31/2003 | 1,340 | - | 1.00 | FIDELITY SPARTAN |
| 1SH014 | 2/28/2003 | 1/31/2003 | - | 4,665 | 1.00 | FIDELITY SPARTAN |
| 1SH014 | 11/30/2003 | 7/24/2003 | - | 120,000 | 7.12 | ADVANCED MICRO DEVICES |
| 1SH014 | 11/30/2003 | 7/24/2003 | - | 150,000 | 7.15 | ADVANCED MICRO DEVICES |
| 1SH014 | 7/31/2007 | 5/1/2007 | - | 150,000 | 46.75 | AETNA INC |
| 1SH014 | 7/31/2007 | 5/1/2007 | - | 135,000 | 46.79 | AETNA INC |
| 1SH014 | 7/31/2007 | 5/2/2007 | - | 110,000 | 46.82 | AETNA INC |
| 1SH014 | 7/31/2007 | 5/2/2007 | - | 120,000 | 46.88 | AETNA INC |
| 1SH014 | 7/31/2007 | 5/4/2007 | - | 160,000 | 46.99 | AETNA INC |
| 1SH014 | 7/31/2007 | 5/4/2007 | - | 141,000 | 47.07 | AETNA INC |
| 1SH014 | 10/31/2008 | 9/23/2008 | 5,000 | - | 38.12 | AETNA INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|---------------------------|
| 1SH014 | 10/31/2008 | 9/23/2008 | - | 5,000 | 38.12 | AETNA INC |
| 1SH014 | 11/30/2008 | 10/1/2008 | - | 65,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH014 | 11/30/2008 | 10/1/2008 | 65,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH016 | 4/30/2000 | 3/7/2000 | 60,000 | - | 139.00 | PALM INC |
| 1SH018 | 10/31/2002 | 9/3/2002 | 4,000 | - | 50.30 | MICROSOFT CORP |
| 1SH019 | 9/30/1999 | 8/10/1999 | 56,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH019 | 9/30/1999 | 8/24/1999 | - | 56,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH019 | 4/30/2000 | 3/7/2000 | 59,000 | - | 138.25 | PALM INC |
| 1SH019 | 4/30/2000 | 3/7/2000 | 60,000 | - | 139.00 | PALM INC |
| 1SH020 | 5/31/2002 | 4/2/2002 | - | 60,000 | 37.62 | GENERAL ELECTRIC CO |
| 1SH020 | 10/31/2002 | 9/3/2002 | - | 46,000 | 58.21 | PRAXAIR INC |
| 1SH020 | 10/31/2002 | 9/3/2002 | - | 50,000 | 58.21 | PRAXAIR INC |
| 1SH020 | 10/31/2002 | 9/3/2002 | - | 54,000 | 58.21 | PRAXAIR INC |
| 1SH020 | 5/31/2003 | 4/23/2003 | 11,975,000 | - | 100.00 | FED HM LN BK BD |
| 1SH020 | 5/31/2003 | 4/23/2003 | - | 12,475,000 | 100.00 | FED HM LN BK BD |
| 1SH020 | 5/31/2003 | 4/30/2003 | 38,799 | - | 1.00 | FIDELITY SPARTAN |
| 1SH020 | 8/31/2007 | 7/19/2007 | 13,350,000 | - | 97.83 | U S TREASURY BILL |
| 1SH020 | 8/31/2007 | 7/31/2007 | - | 13,063,238 | 1.00 | FIDELITY SPARTAN |
| 1SH020 | 8/31/2007 | 7/31/2007 | 3,333 | - | 1.00 | FIDELITY SPARTAN |
| 1SH021 | 4/30/1996 | 1/16/1996 | - | - | - | DIV ADJUSTMENT |
| 1SH021 | 7/31/1999 | 6/4/1999 | - | 30,000 | 27.25 | BANK OF AMERICA |
| 1SH021 | 7/31/1999 | 6/4/1999 | 30,000 | - | 27.25 | LAM RESEARCH CORP |
| 1SH021 | 7/31/1999 | 6/29/1999 | 30,000 | - | 41.00 | BANK OF AMERICA |
| 1SH021 | 7/31/1999 | 6/29/1999 | - | 30,000 | 41.00 | LAM RESEARCH CORP |
| 1SH021 | 2/29/2000 | 1/6/2000 | - | 36,800 | 118.38 | MICROSOFT CORP |
| 1SH021 | 2/29/2000 | 1/6/2000 | - | 72,000 | 118.25 | MICROSOFT CORP |
| 1SH021 | 2/29/2000 | 1/6/2000 | - | 36,000 | 118.31 | MICROSOFT CORP |
| 1SH021 | 6/30/2000 | 5/4/2000 | - | 50,000 | 46.94 | NIKE INC |
| 1SH021 | 6/30/2000 | 5/4/2000 | 60,000 | - | 46.94 | NIKE INC |
| 1SH021 | 12/31/2002 | 11/27/2002 | - | 80,000 | 38.60 | R J REYNOLDS TOBACCO HLDS |
| 1SH021 | 12/31/2002 | 11/27/2002 | 16,000 | - | 38.60 | R J REYNOLDS TOBACCO HLDS |
| 1SH021 | 12/31/2003 | 11/5/2003 | 40,000 | - | 29.57 | PRICELINE COM INC |
| 1SH021 | 12/31/2003 | 11/5/2003 | 40,000 | - | 29.57 | PRICELINE COM INC |
| 1SH021 | 8/31/2007 | 7/18/2007 | 30,800 | - | 73.54 | MORGAN STANLEY |
| 1SH021 | 9/30/2008 | 8/29/2008 | 95,000 | - | 28.73 | WELLS FARGO & CO NEW |
| 1SH021 | 9/30/2008 | 8/29/2008 | 105,000 | - | 29.00 | WELLS FARGO & CO NEW |
| 1SH021 | 11/30/2008 | 10/1/2008 | - | 95,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH021 | 11/30/2008 | 10/1/2008 | 95,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH021 | 11/30/2008 | 10/3/2008 | - | 95,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH021 | 11/30/2008 | 10/3/2008 | 95,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH021 | 11/30/2008 | 10/6/2008 | - | 90,000 | 38.29 | POWERSHARES QQQ TRUST |
| 1SH021 | 11/30/2008 | 10/6/2008 | 90,000 | - | 38.29 | POWERSHARES QQQ TRUST |
| 1SH021 | 11/30/2008 | 10/7/2008 | - | 75,000 | 38.12 | POWERSHARES QQQ TRUST |
| 1SH021 | 11/30/2008 | 10/7/2008 | 75,000 | - | 38.12 | POWERSHARES QQQ TRUST |
| 1SH022 | 7/31/1998 | 6/4/1998 | - | 49,600 | 83.50 | MICROSOFT CORP |
| 1SH022 | 9/30/1999 | 8/10/1999 | 30,000 | - | 68.06 | SUN MICROSYSTEMS INC |
| 1SH022 | 9/30/1999 | 8/10/1999 | 42,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH022 | 9/30/1999 | 8/24/1999 | - | 30,000 | 72.81 | SUN MICROSYSTEMS INC |
| 1SH022 | 9/30/1999 | 8/24/1999 | - | 42,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH022 | 4/30/2000 | 3/7/2000 | 53,000 | - | 138.00 | PALM INC |
| 1SH022 | 4/30/2000 | 3/7/2000 | 71,000 | - | 140.00 | PALM INC |
| 1SH022 | 4/30/2000 | 3/7/2000 | 60,000 | - | 140.06 | PALM INC |
| 1SH024 | 12/31/2002 | 1/8/2002 | - | 1,750 | 21.00 | MASSEY ENERGY CO |
| 1SH024 | 12/31/2002 | 1/14/2002 | - | 85,000 | 36.50 | INTEL CORP |
| 1SH024 | 12/31/2002 | 1/14/2002 | - | 45,000 | 49.11 | QUALCOMM INC |
| 1SH024 | 12/31/2002 | 1/14/2002 | - | 95,000 | 17.76 | EMC CORPORATION MASS |
| 1SH024 | 12/31/2002 | 1/15/2002 | - | 70,000 | 29.70 | CIRCUIT CITY STORES INC |
| 1SH024 | 12/31/2002 | 1/15/2002 | - | 75,000 | 51.12 | BROADCOM CORP CL A |
| 1SH024 | 12/31/2002 | 1/16/2002 | - | 40,000 | 28.89 | CIRCUIT CITY STORES INC |
| 1SH024 | 12/31/2002 | 1/24/2002 | - | 2,132 | 49.59 | VERIZON COMMUNICATIONS |
| 1SH024 | 12/31/2002 | 1/31/2002 | - | 30,375 | 38.33 | COMPUTER ASSOC INTL INC |
| 1SH024 | 12/31/2002 | 1/31/2002 | 16,500 | - | 37.44 | SIEBEL SYSTEMS INC |
| 1SH024 | 12/31/2002 | 1/31/2002 | - | 16,500 | 37.44 | SIEBEL SYSTEMS INC |
| 1SH024 | 12/31/2002 | 2/11/2002 | - | 4,548 | 11.99 | COMPAQ COMPUTER CORP |
| 1SH024 | 12/31/2002 | 3/7/2002 | - | 980 | 30.99 | PLUM CREEK TIMBER CO |

IA Business Backdated Trade Detail¹
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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1SH024 | 12/31/2002 | 3/8/2002 | - | 6,000 | 39.27 | MICRON TECHNOLOGY INC |
| 1SH024 | 12/31/2002 | 3/12/2002 | - | 70,000 | 18.06 | LSI LOGIC CORP |
| 1SH024 | 12/31/2002 | 3/15/2002 | 40,800 | - | 41.31 | GENERAL ELECTRIC CO |
| 1SH024 | 12/31/2002 | 3/15/2002 | - | 40,800 | 41.31 | GENERAL ELECTRIC CO |
| 1SH024 | 12/31/2002 | 3/19/2002 | - | 3,750 | 49.06 | BOEING CO |
| 1SH024 | 12/31/2002 | 3/26/2002 | - | 1,540 | 90.63 | CHEVRONTEXACO CORP |
| 1SH024 | 12/31/2002 | 3/26/2002 | - | 5,432 | 48.06 | CHIRON CORP |
| 1SH024 | 12/31/2002 | 4/3/2002 | - | 8,866 | 53.35 | BP PLC |
| 1SH024 | 12/31/2002 | 4/15/2002 | - | 337 | 48.98 | EASTMAN CHEMICAL CO |
| 1SH024 | 12/31/2002 | 4/15/2002 | - | 13,200 | 83.07 | FANNIE MAE |
| 1SH024 | 12/31/2002 | 4/18/2002 | - | 13,400 | 40.63 | HONEYWELL INTERNATIONAL INC |
| 1SH024 | 12/31/2002 | 4/22/2002 | - | 1,750 | 44.24 | FLUOR CORP NEW |
| 1SH024 | 12/31/2002 | 4/25/2002 | - | 1,432 | 31.24 | GEORGIA PACIFIC CORP COMMON |
| 1SH024 | 12/31/2002 | 5/7/2002 | - | 2,599 | 42.98 | HEINZ H J CO |
| 1SH024 | 12/31/2002 | 5/22/2002 | - | 3,695 | 76.81 | BANK OF AMERICA |
| 1SH024 | 12/31/2002 | 6/10/2002 | - | 16,500 | 19.22 | SIEBEL SYSTEMS INC |
| 1SH024 | 12/31/2002 | 6/28/2002 | - | 5,000 | 93.33 | PROCTER & GAMBLE CO |
| 1SH024 | 12/31/2002 | 7/16/2002 | - | 2,000 | 60.50 | PPG INDS INC |
| 1SH024 | 12/31/2002 | 7/31/2002 | - | 6,466 | 78.77 | HERSHEY FOODS CORP |
| 1SH024 | 12/31/2002 | 9/5/2002 | - | 40,800 | 30.29 | GENERAL ELECTRIC CO |
| 1SH024 | 10/31/2003 | 4/4/2003 | 20,000 | - | 25.80 | BEST BUY CO INC |
| 1SH024 | 10/31/2003 | 4/4/2003 | 40,000 | - | 25.95 | BEST BUY CO INC |
| 1SH024 | 10/31/2003 | 4/15/2003 | 50,000 | - | 19.99 | MARVELL TECHNOLOGY GRP LTD |
| 1SH024 | 10/31/2003 | 4/15/2003 | 75,000 | - | 20.08 | MARVELL TECHNOLOGY GRP LTD |
| 1SH024 | 10/31/2003 | 7/1/2003 | 50,000 | - | 27.70 | EASTMAN KODAK CO |
| 1SH024 | 10/31/2003 | 7/8/2003 | 45,000 | - | 61.94 | MERCK & CO |
| 1SH024 | 10/31/2003 | 7/8/2003 | 35,000 | - | 61.91 | MERCK & CO |
| 1SH024 | 11/30/2003 | 7/25/2003 | - | 75,000 | 7.22 | ADVANCED MICRO DEVICES |
| 1SH024 | 11/30/2003 | 7/25/2003 | - | 100,000 | 7.25 | ADVANCED MICRO DEVICES |
| 1SH024 | 10/31/2003 | 9/5/2003 | 95,000 | - | 39.20 | PRICELINE COM INC |
| 1SH024 | 11/30/2008 | 10/1/2008 | - | 65,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH024 | 11/30/2008 | 10/1/2008 | 65,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH024 | 11/30/2008 | 10/3/2008 | 20,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH024 | 11/30/2008 | 10/3/2008 | - | 20,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH024 | 11/30/2008 | 10/6/2008 | - | 45,000 | 38.29 | POWERSHARES QQQ TRUST |
| 1SH024 | 11/30/2008 | 10/6/2008 | 45,000 | - | 38.29 | POWERSHARES QQQ TRUST |
| 1SH025 | 5/31/1997 | 4/17/1997 | 870,000 | - | 97.70 | U S TREASURY BILL |
| 1SH025 | 8/31/2000 | 7/31/2000 | 4,435 | - | 1.00 | FIDELITY SPARTAN |
| 1SH025 | 8/31/2000 | 7/31/2000 | 2,990,000 | - | 98.54 | U S TREASURY BILL |
| 1SH025 | 2/28/2002 | 1/31/2002 | 98,800,000 | - | 99.74 | U S TREASURY BILL |
| 1SH025 | 2/28/2002 | 1/31/2002 | - | 99,810,000 | 99.74 | U S TREASURY BILL |
| 1SH025 | 2/28/2002 | 1/31/2002 | 15,136 | - | 1.00 | FIDELITY SPARTAN |
| 1SH025 | 3/31/2004 | 1/8/2004 | - | 94,855,000 | 99.90 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 1/8/2004 | 75,000 | - | 39.09 | NCR CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 75,000 | - | 65.90 | AETNA INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 75,000 | - | 69.22 | ZIMMER HOLDINGS INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 83,000 | - | 26.02 | HALLIBURTON CO |
| 1SH025 | 3/31/2004 | 1/8/2004 | 90,000 | - | 23.40 | TRANSOCEAN INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 50,000 | - | 11.95 | DELTA AIRLINES INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 60,000 | - | 51.99 | QLOGIC CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 65,000 | - | 24.50 | CAREMARK RX INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 65,000 | - | 53.79 | QUALCOMM INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 75,000 | - | 23.31 | TRANSOCEAN INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 90,000 | - | 23.27 | ABERCROMBIE & FITCH CO |
| 1SH025 | 3/31/2004 | 1/8/2004 | 92,000 | - | 35.95 | BOSTON SCIENTIFIC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 40,000 | - | 11.91 | DELTA AIRLINES INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 60,000 | - | 13.70 | XEROX CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 70,000 | - | 45.56 | YAHOO INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 72,000 | - | 25.99 | HALLIBURTON CO |
| 1SH025 | 3/31/2004 | 1/8/2004 | 90,000 | - | 13.05 | AMR CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 52,000 | - | 53.84 | QUALCOMM INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 60,000 | - | 65.83 | AETNA INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 70,000 | - | 18.99 | PRICELINE COM INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 95,000 | - | 21.77 | APPLE COMPUTER INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 19,500 | - | 68.02 | FEDEX CORPORATION |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1SH025 | 3/31/2004 | 1/8/2004 | 50,000 | - | 13.77 | XEROX CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 50,000 | - | 24.42 | CAREMARK RX INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 50,000 | - | 67.51 | NIKE INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 70,000 | - | 23.12 | ALTERA CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 80,000 | - | 58.25 | SPX CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 95,000 | - | 28.00 | EMULEX CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 60,000 | - | 24.77 | ELECTRONIC DATA SYSTEMS CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 85,000 | - | 36.55 | JP MORGAN CHASE & CO |
| 1SH025 | 3/31/2004 | 1/8/2004 | 85,000 | - | 56.52 | CHIRON CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 35,000 | - | 43.09 | MILLIPORE CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 50,000 | - | 24.81 | ELECTRONIC DATA SYSTEMS CORP |
| 1SH025 | 3/31/2004 | 1/8/2004 | 55,000 | - | 24.60 | CAREMARK RX INC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 75,000 | - | 36.08 | BOSTON SCIENTIFIC |
| 1SH025 | 3/31/2004 | 1/8/2004 | 80,000 | - | 23.31 | ABERCROMBIE & FITCH CO |
| 1SH025 | 3/31/2004 | 1/8/2004 | 90,000 | - | 23.08 | ALTERA CORP |
| 1SH025 | 3/31/2004 | 1/9/2004 | 45,000 | - | 42.78 | MILLIPORE CORP |
| 1SH025 | 3/31/2004 | 1/9/2004 | 55,000 | - | 68.52 | ZIMMER HOLDINGS INC |
| 1SH025 | 3/31/2004 | 1/9/2004 | 75,000 | - | 19.02 | PRICELINE COM INC |
| 1SH025 | 3/31/2004 | 1/9/2004 | 75,000 | - | 13.00 | AMR CORP |
| 1SH025 | 3/31/2004 | 1/30/2004 | 4,729 | - | 1.00 | FIDELITY SPARTAN |
| 1SH025 | 3/31/2004 | 2/5/2004 | - | 80,000 | 52.19 | MILLIPORE CORP |
| 1SH025 | 3/31/2004 | 2/6/2004 | 4,250,000 | - | 99.77 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/12/2004 | - | 80,000 | 16.05 | AMR CORP |
| 1SH025 | 3/31/2004 | 2/12/2004 | - | 85,000 | 15.99 | AMR CORP |
| 1SH025 | 3/31/2004 | 2/13/2004 | 6,900,000 | - | 99.79 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/13/2004 | - | 6,900,000 | 99.79 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/13/2004 | 2,650,000 | - | 99.79 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/17/2004 | - | 50,000 | 78.64 | ZIMMER HOLDINGS INC |
| 1SH025 | 3/31/2004 | 2/17/2004 | - | 45,000 | 24.80 | PRICELINE COM INC |
| 1SH025 | 3/31/2004 | 2/17/2004 | - | 80,000 | 78.70 | ZIMMER HOLDINGS INC |
| 1SH025 | 3/31/2004 | 2/17/2004 | - | 100,000 | 24.39 | PRICELINE COM INC |
| 1SH025 | 3/31/2004 | 2/18/2004 | - | 75,000 | 15.26 | XEROX CORP |
| 1SH025 | 3/31/2004 | 2/19/2004 | - | 70,000 | 47.66 | YAHOO INC |
| 1SH025 | 3/31/2004 | 2/19/2004 | - | 35,000 | 15.30 | XEROX CORP |
| 1SH025 | 3/31/2004 | 2/19/2004 | - | 95,025,000 | 99.81 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/20/2004 | 18,800,000 | - | 99.81 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/20/2004 | - | 25,725,000 | 99.81 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/20/2004 | 25,725,000 | - | 99.81 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/23/2004 | - | 85,000 | 51.10 | CHIRON CORP |
| 1SH025 | 3/31/2004 | 2/26/2004 | - | 60,000 | 42.77 | QLOGIC CORP |
| 1SH025 | 3/31/2004 | 2/27/2004 | - | 83,000 | 30.99 | HALLIBURTON CO |
| 1SH025 | 3/31/2004 | 2/27/2004 | 44,650,000 | - | 99.82 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/27/2004 | - | 65,000 | 61.89 | QUALCOMM INC |
| 1SH025 | 3/31/2004 | 2/27/2004 | - | 72,000 | 30.84 | HALLIBURTON CO |
| 1SH025 | 3/31/2004 | 2/27/2004 | 16,404 | - | 1.00 | FIDELITY SPARTAN |
| 1SH025 | 3/31/2004 | 2/27/2004 | - | 52,000 | 62.02 | QUALCOMM INC |
| 1SH025 | 3/31/2004 | 2/27/2004 | - | 44,650,000 | 99.82 | U S TREASURY BILL |
| 1SH025 | 3/31/2004 | 2/27/2004 | 18,925,000 | - | 99.82 | U S TREASURY BILL |
| 1SH025 | 5/31/2005 | 4/4/2005 | 35,000 | - | 180.37 | GOOGLE |
| 1SH025 | 5/31/2005 | 4/4/2005 | - | 80,000 | 180.37 | GOOGLE |
| 1SH025 | 5/31/2005 | 4/29/2005 | 21,822 | - | 1.00 | FIDELITY SPARTAN |
| 1SH026 | 1/31/1997 | 3/28/1997 | - | 90,000 | 37.00 | COMPAQ COMPUTER CORP |
| 1SH026 | 9/30/1999 | 8/10/1999 | 50,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH026 | 9/30/1999 | 8/24/1999 | - | 50,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH026 | 2/28/2007 | 1/30/2007 | - | 1 | 42.88 | RAYONIER INC |
| 1SH028 | 12/31/2002 | 1/14/2002 | - | 50,000 | 49.11 | QUALCOMM INC |
| 1SH028 | 12/31/2002 | 1/14/2002 | - | 95,000 | 17.76 | EMC CORPORATION MASS |
| 1SH028 | 12/31/2002 | 1/14/2002 | - | 11,000 | 25.19 | NETWORK APPLIANCE INC |
| 1SH028 | 12/31/2002 | 1/14/2002 | - | 85,000 | 36.50 | INTEL CORP |
| 1SH028 | 12/31/2002 | 1/15/2002 | - | 75,000 | 51.12 | BROADCOM CORP CL A |
| 1SH028 | 12/31/2002 | 1/15/2002 | - | 70,000 | 29.70 | CIRCUIT CITY STORES INC |
| 1SH028 | 12/31/2002 | 1/16/2002 | - | 40,000 | 28.89 | CIRCUIT CITY STORES INC |
| 1SH028 | 12/31/2002 | 1/24/2002 | - | 2,132 | 49.59 | VERIZON COMMUNICATIONS |
| 1SH028 | 12/31/2002 | 1/31/2002 | - | 13,250 | 37.44 | SIEBEL SYSTEMS INC |
| 1SH028 | 12/31/2002 | 1/31/2002 | - | 30,375 | 38.33 | COMPUTER ASSOC INTL INC |

IA Business Backdated Trade Detail¹
December 1995 to November 30, 2008

| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1SH028 | 12/31/2002 | 1/31/2002 | 13,250 | - | 37.44 | SIEBEL SYSTEMS INC |
| 1SH028 | 12/31/2002 | 2/11/2002 | - | 4,548 | 11.99 | COMPAQ COMPUTER CORP |
| 1SH028 | 12/31/2002 | 3/7/2002 | - | 980 | 30.99 | PLUM CREEK TIMBER CO |
| 1SH028 | 12/31/2002 | 3/8/2002 | - | 4,600 | 39.27 | MICRON TECHNOLOGY INC |
| 1SH028 | 12/31/2002 | 3/12/2002 | - | 70,000 | 18.06 | LSI LOGIC CORP |
| 1SH028 | 12/31/2002 | 3/15/2002 | 40,800 | - | 41.31 | GENERAL ELECTRIC CO |
| 1SH028 | 12/31/2002 | 3/15/2002 | - | 40,800 | 41.31 | GENERAL ELECTRIC CO |
| 1SH028 | 12/31/2002 | 3/19/2002 | - | 3,750 | 49.06 | BOEING CO |
| 1SH028 | 12/31/2002 | 3/26/2002 | - | 5,432 | 48.06 | CHIRON CORP |
| 1SH028 | 12/31/2002 | 3/26/2002 | - | 1,540 | 90.63 | CHEVRONTXACO CORP |
| 1SH028 | 12/31/2002 | 4/3/2002 | - | 10,394 | 53.35 | BP PLC |
| 1SH028 | 12/31/2002 | 4/15/2002 | - | 13,200 | 83.07 | FANNIE MAE |
| 1SH028 | 12/31/2002 | 4/15/2002 | - | 337 | 48.98 | EASTMAN CHEMICAL CO |
| 1SH028 | 12/31/2002 | 4/18/2002 | - | 13,400 | 40.63 | HONEYWELL INTERNATIONAL INC |
| 1SH028 | 12/31/2002 | 4/25/2002 | - | 1,432 | 31.24 | GEORGIA PACIFIC CORP COMMON |
| 1SH028 | 12/31/2002 | 5/7/2002 | - | 2,599 | 42.98 | HEINZ H J CO |
| 1SH028 | 12/31/2002 | 5/22/2002 | - | 3,695 | 76.81 | BANK OF AMERICA |
| 1SH028 | 12/31/2002 | 6/10/2002 | - | 13,250 | 19.22 | SIEBEL SYSTEMS INC |
| 1SH028 | 12/31/2002 | 6/28/2002 | - | 4,300 | 93.33 | PROCTER & GAMBLE CO |
| 1SH028 | 12/31/2002 | 7/16/2002 | - | 2,000 | 60.50 | PPG INDS INC |
| 1SH028 | 12/31/2002 | 7/31/2002 | - | 6,466 | 78.77 | HERSHEY FOODS CORP |
| 1SH028 | 12/31/2002 | 9/5/2002 | - | 40,800 | 30.29 | GENERAL ELECTRIC CO |
| 1SH028 | 10/31/2003 | 4/4/2003 | 45,000 | - | 25.95 | BEST BUY CO INC |
| 1SH028 | 10/31/2003 | 4/4/2003 | 40,000 | - | 25.80 | BEST BUY CO INC |
| 1SH028 | 10/31/2003 | 4/15/2003 | 70,000 | - | 20.08 | MARVELL TECHNOLOGY GRP LTD |
| 1SH028 | 10/31/2003 | 4/15/2003 | 40,000 | - | 19.99 | MARVELL TECHNOLOGY GRP LTD |
| 1SH028 | 10/31/2003 | 7/1/2003 | 95,000 | - | 27.70 | EASTMAN KODAK CO |
| 1SH028 | 10/31/2003 | 7/8/2003 | 50,000 | - | 61.94 | MERCK & CO |
| 1SH028 | 10/31/2003 | 7/8/2003 | 35,000 | - | 61.91 | MERCK & CO |
| 1SH028 | 11/30/2003 | 7/25/2003 | - | 125,000 | 7.25 | ADVANCED MICRO DEVICES |
| 1SH028 | 11/30/2003 | 7/25/2003 | - | 75,000 | 7.22 | ADVANCED MICRO DEVICES |
| 1SH028 | 10/31/2003 | 9/4/2003 | 2,000 | - | 39.30 | PRICELINE COM INC |
| 1SH028 | 10/31/2003 | 9/8/2003 | 78,000 | - | 39.21 | PRICELINE COM INC |
| 1SH028 | 11/30/2008 | 10/1/2008 | - | 65,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH028 | 11/30/2008 | 10/1/2008 | 65,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH028 | 11/30/2008 | 10/3/2008 | - | 20,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH028 | 11/30/2008 | 10/3/2008 | 20,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH028 | 11/30/2008 | 10/6/2008 | 92,000 | - | 38.29 | POWERSHARES QQQ TRUST |
| 1SH028 | 11/30/2008 | 10/6/2008 | - | 92,000 | 38.29 | POWERSHARES QQQ TRUST |
| 1SH028 | 11/30/2008 | 10/7/2008 | - | 65,000 | 38.12 | POWERSHARES QQQ TRUST |
| 1SH028 | 11/30/2008 | 10/7/2008 | 65,000 | - | 38.12 | POWERSHARES QQQ TRUST |
| 1SH030 | 12/31/2002 | 1/8/2002 | - | 1,750 | 21.00 | MASSEY ENERGY CO |
| 1SH030 | 12/31/2002 | 1/14/2002 | - | 95,000 | 17.76 | EMC CORPORATION MASS |
| 1SH030 | 12/31/2002 | 1/14/2002 | - | 85,000 | 36.50 | INTEL CORP |
| 1SH030 | 12/31/2002 | 1/14/2002 | - | 42,000 | 49.11 | QUALCOMM INC |
| 1SH030 | 12/31/2002 | 1/14/2002 | - | 31,000 | 25.19 | NETWORK APPLIANCE INC |
| 1SH030 | 12/31/2002 | 1/15/2002 | - | 70,000 | 29.70 | CIRCUIT CITY STORES INC |
| 1SH030 | 12/31/2002 | 1/15/2002 | - | 75,000 | 51.12 | BROADCOM CORP CL A |
| 1SH030 | 12/31/2002 | 1/16/2002 | - | 40,000 | 28.89 | CIRCUIT CITY STORES INC |
| 1SH030 | 12/31/2002 | 1/24/2002 | - | 2,132 | 49.59 | VERIZON COMMUNICATIONS |
| 1SH030 | 12/31/2002 | 1/31/2002 | - | 12,900 | 37.44 | SIEBEL SYSTEMS INC |
| 1SH030 | 12/31/2002 | 1/31/2002 | - | 30,375 | 38.33 | COMPUTER ASSOC INTL INC |
| 1SH030 | 12/31/2002 | 1/31/2002 | 12,900 | - | 37.44 | SIEBEL SYSTEMS INC |
| 1SH030 | 12/31/2002 | 2/11/2002 | - | 4,548 | 11.99 | COMPAQ COMPUTER CORP |
| 1SH030 | 12/31/2002 | 3/7/2002 | - | 980 | 30.99 | PLUM CREEK TIMBER CO |
| 1SH030 | 12/31/2002 | 3/12/2002 | - | 70,000 | 18.06 | LSI LOGIC CORP |
| 1SH030 | 12/31/2002 | 3/15/2002 | 40,800 | - | 41.31 | GENERAL ELECTRIC CO |
| 1SH030 | 12/31/2002 | 3/15/2002 | - | 40,800 | 41.31 | GENERAL ELECTRIC CO |
| 1SH030 | 12/31/2002 | 3/19/2002 | - | 3,750 | 49.06 | BOEING CO |
| 1SH030 | 12/31/2002 | 3/26/2002 | - | 5,432 | 48.06 | CHIRON CORP |
| 1SH030 | 12/31/2002 | 3/26/2002 | - | 1,540 | 90.63 | CHEVRONTXACO CORP |
| 1SH030 | 12/31/2002 | 4/3/2002 | - | 10,394 | 53.35 | BP PLC |
| 1SH030 | 12/31/2002 | 4/15/2002 | - | 13,200 | 83.07 | FANNIE MAE |
| 1SH030 | 12/31/2002 | 4/15/2002 | - | 337 | 48.98 | EASTMAN CHEMICAL CO |
| 1SH030 | 12/31/2002 | 4/18/2002 | - | 13,400 | 40.63 | HONEYWELL INTERNATIONAL INC |

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|------------------|-------------------------|------------|---------------|----------------|--------|-----------------------------|
| 1SH030 | 12/31/2002 | 4/22/2002 | - | 1,750 | 44.24 | FLUOR CORP NEW |
| 1SH030 | 12/31/2002 | 4/25/2002 | - | 1,432 | 31.24 | GEORGIA PACIFIC CORP COMMON |
| 1SH030 | 12/31/2002 | 5/7/2002 | - | 2,599 | 42.98 | HEINZ H J CO |
| 1SH030 | 12/31/2002 | 5/22/2002 | - | 3,695 | 76.81 | BANK OF AMERICA |
| 1SH030 | 12/31/2002 | 6/10/2002 | - | 12,900 | 19.22 | SIEBEL SYSTEMS INC |
| 1SH030 | 12/31/2002 | 6/28/2002 | - | 8,000 | 93.33 | PROCTER & GAMBLE CO |
| 1SH030 | 12/31/2002 | 7/16/2002 | - | 2,000 | 60.50 | PPG INDS INC |
| 1SH030 | 12/31/2002 | 7/31/2002 | - | 6,466 | 78.77 | HERSHEY FOODS CORP |
| 1SH030 | 12/31/2002 | 9/5/2002 | - | 40,800 | 30.29 | GENERAL ELECTRIC CO |
| 1SH030 | 10/31/2003 | 4/4/2003 | 40,000 | - | 25.95 | BEST BUY CO INC |
| 1SH030 | 10/31/2003 | 4/4/2003 | 50,000 | - | 25.80 | BEST BUY CO INC |
| 1SH030 | 10/31/2003 | 4/15/2003 | 80,000 | - | 19.99 | MARVELL TECHNOLOGY GRP LTD |
| 1SH030 | 10/31/2003 | 4/15/2003 | 40,000 | - | 20.08 | MARVELL TECHNOLOGY GRP LTD |
| 1SH030 | 10/31/2003 | 7/1/2003 | 45,000 | - | 27.82 | EASTMAN KODAK CO |
| 1SH030 | 10/31/2003 | 7/1/2003 | 90,000 | - | 27.70 | EASTMAN KODAK CO |
| 1SH030 | 10/31/2003 | 7/8/2003 | 40,000 | - | 61.91 | MERCK & CO |
| 1SH030 | 10/31/2003 | 7/8/2003 | 55,000 | - | 61.94 | MERCK & CO |
| 1SH030 | 11/30/2003 | 7/25/2003 | - | 110,000 | 7.25 | ADVANCED MICRO DEVICES |
| 1SH030 | 11/30/2003 | 7/25/2003 | - | 65,000 | 7.22 | ADVANCED MICRO DEVICES |
| 1SH030 | 10/31/2003 | 9/3/2003 | 21,000 | - | 38.80 | PRICELINE COM INC |
| 1SH030 | 10/31/2003 | 9/4/2003 | 74,000 | - | 39.30 | PRICELINE COM INC |
| 1SH030 | 7/31/2007 | 5/1/2007 | 135,000 | - | 46.79 | AETNA INC |
| 1SH030 | 7/31/2007 | 5/1/2007 | 150,000 | - | 46.75 | AETNA INC |
| 1SH030 | 7/31/2007 | 5/2/2007 | 110,000 | - | 46.82 | AETNA INC |
| 1SH030 | 7/31/2007 | 5/2/2007 | 120,000 | - | 46.88 | AETNA INC |
| 1SH030 | 7/31/2007 | 5/4/2007 | 141,000 | - | 47.07 | AETNA INC |
| 1SH030 | 7/31/2007 | 5/4/2007 | 160,000 | - | 46.99 | AETNA INC |
| 1SH030 | 11/30/2008 | 10/1/2008 | - | 65,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH030 | 11/30/2008 | 10/1/2008 | 65,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH030 | 11/30/2008 | 10/3/2008 | - | 20,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH030 | 11/30/2008 | 10/3/2008 | 20,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH030 | 11/30/2008 | 10/6/2008 | - | 92,000 | 38.29 | POWERSHARES QQQ TRUST |
| 1SH030 | 11/30/2008 | 10/6/2008 | 92,000 | - | 38.29 | POWERSHARES QQQ TRUST |
| 1SH030 | 11/30/2008 | 10/7/2008 | 41,000 | - | 38.12 | POWERSHARES QQQ TRUST |
| 1SH030 | 11/30/2008 | 10/7/2008 | - | 41,000 | 38.12 | POWERSHARES QQQ TRUST |
| 1SH031 | 9/30/1999 | 8/10/1999 | 47,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH031 | 9/30/1999 | 8/10/1999 | 33,000 | - | 68.06 | SUN MICROSYSTEMS INC |
| 1SH031 | 9/30/1999 | 8/24/1999 | - | 47,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH031 | 9/30/1999 | 8/24/1999 | - | 33,000 | 72.81 | SUN MICROSYSTEMS INC |
| 1SH031 | 4/30/2000 | 3/7/2000 | 60,000 | - | 140.06 | PALM INC |
| 1SH031 | 4/30/2000 | 3/7/2000 | 55,000 | - | 138.00 | PALM INC |
| 1SH031 | 4/30/2000 | 3/7/2000 | 60,000 | - | 140.00 | PALM INC |
| 1SH032 | 1/31/1997 | 3/28/1997 | - | 30,000 | 37.00 | COMPAQ COMPUTER CORP |
| 1SH032 | 6/30/1997 | 5/30/1997 | - | 150,000 | 167.75 | INTEL CORP |
| 1SH032 | 6/30/1997 | 5/30/1997 | - | 150,000 | 168.00 | INTEL CORP |
| 1SH032 | 6/30/1997 | 5/30/1997 | - | 81,000 | 167.63 | INTEL CORP |
| 1SH032 | 6/30/1997 | 5/30/1997 | 33,000 | - | 167.63 | INTEL CORP |
| 1SH032 | 5/31/2002 | 4/2/2002 | 60,000 | - | 37.62 | GENERAL ELECTRIC CO |
| 1SH034 | 9/30/1999 | 8/10/1999 | 16,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH034 | 9/30/1999 | 8/24/1999 | - | 16,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH034 | 7/31/2002 | 8/27/2002 | 8,000 | - | - | GAP INC |
| 1SH035 | 9/30/1999 | 8/10/1999 | 16,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH035 | 9/30/1999 | 8/24/1999 | - | 16,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH036 | 1/31/1997 | 9/17/1997 | - | 44,000 | 128.13 | MICROSOFT CORP |
| 1SH036 | 1/31/1997 | 9/17/1997 | - | 100,000 | 128.00 | MICROSOFT CORP |
| 1SH036 | 2/28/1999 | 1/19/1999 | 700 | - | 103.00 | AMGEN INC |
| 1SH036 | 10/31/2002 | 9/3/2002 | 13,000 | - | 40.21 | BANC ONE CORP |
| 1SH036 | 5/31/2003 | 4/23/2003 | 11,975,000 | - | 100.00 | FED HM LN BK BD |
| 1SH036 | 5/31/2003 | 4/23/2003 | - | 12,475,000 | 100.00 | FED HM LN BK BD |
| 1SH036 | 5/31/2003 | 4/30/2003 | 38,799 | - | 1.00 | FIDELITY SPARTAN |
| 1SH036 | 2/28/2005 | 1/20/2005 | - | 50,000 | 66.46 | BIOGEN IDEC INC |
| 1SH037 | 11/30/1996 | 10/31/1996 | 725 | - | 42.00 | MERCANTILE BANCORPORATION |
| 1SH037 | 11/30/1996 | 10/31/1996 | - | 725 | 42.00 | MONSANTO CO |
| 1SH039 | 9/30/1999 | 8/10/1999 | 32,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH039 | 9/30/1999 | 8/24/1999 | - | 32,000 | 72.75 | SUN MICROSYSTEMS INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|----------------------------|
| 1SH039 | 3/31/2005 | 2/9/2005 | - | 16,000 | 56.02 | COACH INC |
| 1SH040 | 9/30/1999 | 8/10/1999 | 32,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH040 | 9/30/1999 | 8/24/1999 | - | 32,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH040 | 7/31/2002 | 8/27/2002 | 8,000 | - | - | GAP INC |
| 1SH041 | 9/30/1996 | 8/16/1996 | 82,729 | - | - | FIDELITY CASH RESERVES SBI |
| 1SH041 | 9/30/1996 | 8/30/1996 | 66,185 | - | - | FIDELITY CASH RESERVES SBI |
| 1SH041 | 9/30/1996 | 8/30/1996 | - | 149,094 | - | FIDELITY CASH RESERVES SBI |
| 1SH042 | 6/30/1997 | 5/30/1997 | 5,000 | - | 167.75 | INTEL CORP |
| 1SH042 | 6/30/1997 | 5/30/1997 | - | 10,000 | 167.75 | INTEL CORP |
| 1SH042 | 8/31/2003 | 7/10/2003 | 23,000 | - | 28.30 | BROADCOM CORP CL A |
| 1SH042 | 9/30/2008 | 8/29/2008 | 105,000 | - | 29.00 | WELLS FARGO & CO NEW |
| 1SH042 | 9/30/2008 | 8/29/2008 | 95,000 | - | 28.73 | WELLS FARGO & CO NEW |
| 1SH042 | 11/30/2008 | 10/3/2008 | 95,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH042 | 11/30/2008 | 10/3/2008 | - | 95,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1SH059 | 9/30/1999 | 8/10/1999 | 10,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH059 | 9/30/1999 | 8/24/1999 | - | 10,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH059 | 3/31/2002 | 2/1/2002 | 18,400 | - | 10.90 | SUN MICROSYSTEMS INC |
| 1SH079 | 2/29/2000 | 1/20/2000 | - | 14,000 | 78.13 | MICRON TECHNOLOGY INC |
| 1SH079 | 11/30/2003 | 3/3/2003 | 75,000 | - | 35.12 | GENENTECH INC |
| 1SH079 | 11/30/2003 | 3/4/2003 | 80,000 | - | 35.20 | GENENTECH INC |
| 1SH079 | 11/30/2003 | 3/5/2003 | 65,000 | - | 35.00 | GENENTECH INC |
| 1SH079 | 11/30/2003 | 3/11/2003 | - | 85,000 | 19.15 | SEARS ROEBUCK & CO |
| 1SH079 | 11/30/2003 | 3/12/2003 | - | 120,000 | 19.07 | SEARS ROEBUCK & CO |
| 1SH079 | 11/30/2003 | 3/13/2003 | - | 120,000 | 19.21 | SEARS ROEBUCK & CO |
| 1SH092 | 2/28/1999 | 1/19/1999 | 192 | - | 103.00 | AMGEN INC |
| 1SH093 | 2/28/1999 | 1/19/1999 | 192 | - | 103.00 | AMGEN INC |
| 1SH094 | 2/28/1999 | 1/19/1999 | 216 | - | 103.00 | AMGEN INC |
| 1SH139 | 9/30/1999 | 8/10/1999 | 13,700 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH139 | 9/30/1999 | 8/24/1999 | - | 13,700 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH140 | 9/30/1999 | 8/10/1999 | 1,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH140 | 9/30/1999 | 8/24/1999 | - | 1,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH141 | 9/30/1999 | 8/10/1999 | 880 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH141 | 9/30/1999 | 8/24/1999 | - | 880 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH142 | 9/30/1999 | 8/10/1999 | 880 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH142 | 9/30/1999 | 8/24/1999 | - | 880 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH143 | 6/30/1997 | 5/30/1997 | - | 100,000 | 167.63 | INTEL CORP |
| 1SH143 | 6/30/1997 | 5/30/1997 | - | 100,000 | 167.88 | INTEL CORP |
| 1SH143 | 6/30/1997 | 5/30/1997 | - | 54,000 | 167.50 | INTEL CORP |
| 1SH143 | 9/30/1999 | 8/10/1999 | 49,000 | - | 68.06 | SUN MICROSYSTEMS INC |
| 1SH143 | 9/30/1999 | 8/10/1999 | 41,000 | - | 68.13 | SUN MICROSYSTEMS INC |
| 1SH143 | 9/30/1999 | 8/10/1999 | 58,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH143 | 9/30/1999 | 8/24/1999 | - | 41,000 | 72.88 | SUN MICROSYSTEMS INC |
| 1SH143 | 9/30/1999 | 8/24/1999 | - | 49,000 | 72.81 | SUN MICROSYSTEMS INC |
| 1SH143 | 9/30/1999 | 8/24/1999 | - | 58,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH143 | 4/30/2000 | 3/7/2000 | - | 55,000 | 138.00 | PALM INC |
| 1SH143 | 4/30/2000 | 3/7/2000 | - | 60,000 | 140.06 | PALM INC |
| 1SH143 | 4/30/2000 | 3/7/2000 | - | 60,000 | 140.00 | PALM INC |
| 1SH143 | 4/30/2000 | 3/31/2000 | 16,960,000 | - | 98.36 | U S TREASURY BILL |
| 1SH143 | 2/28/2007 | 1/31/2007 | - | 1,325,000 | 98.44 | U S TREASURY BILL |
| 1SH143 | 2/28/2007 | 1/31/2007 | 46,630 | - | 1.00 | FIDELITY SPARTAN |
| 1SH144 | 6/30/1997 | 5/30/1997 | - | 52,000 | 167.63 | INTEL CORP |
| 1SH144 | 6/30/1997 | 5/30/1997 | - | 100,000 | 167.75 | INTEL CORP |
| 1SH144 | 6/30/1997 | 5/30/1997 | - | 100,000 | 168.00 | INTEL CORP |
| 1SH144 | 9/30/1999 | 8/10/1999 | 49,000 | - | 68.06 | SUN MICROSYSTEMS INC |
| 1SH144 | 9/30/1999 | 8/10/1999 | 41,000 | - | 68.13 | SUN MICROSYSTEMS INC |
| 1SH144 | 9/30/1999 | 8/10/1999 | 54,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH144 | 9/30/1999 | 8/24/1999 | - | 54,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH144 | 9/30/1999 | 8/24/1999 | - | 49,000 | 72.81 | SUN MICROSYSTEMS INC |
| 1SH144 | 9/30/1999 | 8/24/1999 | - | 41,000 | 72.88 | SUN MICROSYSTEMS INC |
| 1SH144 | 4/30/2000 | 3/7/2000 | - | 71,000 | 140.00 | PALM INC |
| 1SH144 | 4/30/2000 | 3/7/2000 | - | 53,000 | 138.00 | PALM INC |
| 1SH144 | 4/30/2000 | 3/7/2000 | - | 60,000 | 140.06 | PALM INC |
| 1SH144 | 4/30/2000 | 3/31/2000 | 18,470,000 | - | 98.36 | U S TREASURY BILL |
| 1SH144 | 2/28/2007 | 1/31/2007 | - | 16,520 | 1.00 | FIDELITY SPARTAN |
| 1SH144 | 2/28/2007 | 1/31/2007 | - | 1,450,000 | 98.44 | U S TREASURY BILL |

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|------------------|-------------------------|------------|---------------|----------------|--------|----------------------|
| 1SH144 | 2/28/2007 | 1/31/2007 | 15,530 | - | 1.00 | FIDELITY SPARTAN |
| 1SH145 | 6/30/1997 | 5/30/1997 | 84,000 | - | 167.88 | INTEL CORP |
| 1SH145 | 6/30/1997 | 5/30/1997 | - | 150,000 | 167.88 | INTEL CORP |
| 1SH145 | 4/30/2000 | 3/7/2000 | - | 51,000 | 138.13 | PALM INC |
| 1SH145 | 4/30/2000 | 3/7/2000 | - | 55,000 | 139.00 | PALM INC |
| 1SH145 | 4/30/2000 | 3/31/2000 | 14,860,000 | - | 98.36 | U S TREASURY BILL |
| 1SH146 | 4/30/2000 | 3/7/2000 | - | 59,000 | 138.25 | PALM INC |
| 1SH146 | 4/30/2000 | 3/7/2000 | - | 60,000 | 139.00 | PALM INC |
| 1SH146 | 4/30/2000 | 3/31/2000 | 16,710,000 | - | 98.36 | U S TREASURY BILL |
| 1SH147 | 6/30/1997 | 5/30/1997 | - | 100,000 | 167.75 | INTEL CORP |
| 1SH147 | 6/30/1997 | 5/30/1997 | - | 150,000 | 167.50 | INTEL CORP |
| 1SH147 | 4/30/2000 | 3/7/2000 | - | 60,000 | 139.00 | PALM INC |
| 1SH147 | 4/30/2000 | 3/31/2000 | 8,420,000 | - | 98.36 | U S TREASURY BILL |
| 1SH147 | 10/31/2002 | 9/3/2002 | - | 13,000 | 40.21 | BANC ONE CORP |
| 1SH147 | 2/28/2005 | 1/20/2005 | 50,000 | - | 66.46 | BIOGEN IDEC INC |
| 1SH160 | 9/30/1999 | 8/10/1999 | 23,600 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH160 | 9/30/1999 | 8/24/1999 | - | 23,600 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH161 | 10/31/2001 | 9/28/2001 | 243 | - | 1.00 | FIDELITY SPARTAN |
| 1SH161 | 10/31/2001 | 9/28/2001 | - | 2,770,000 | 99.47 | U S TREASURY BILL |
| 1SH161 | 10/31/2001 | 9/28/2001 | 1,665,000 | - | 99.47 | U S TREASURY BILL |
| 1SH163 | 9/30/1999 | 8/10/1999 | 1,600 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH163 | 9/30/1999 | 8/24/1999 | - | 1,600 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH164 | 9/30/1999 | 8/10/1999 | 40,000 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH164 | 9/30/1999 | 8/24/1999 | - | 40,000 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH164 | 3/31/2003 | 2/20/2003 | - | 1,550,000 | 99.75 | U S TREASURY BILL |
| 1SH164 | 3/31/2003 | 2/27/2003 | 775,000 | - | 99.77 | U S TREASURY BILL |
| 1SH164 | 3/31/2003 | 2/27/2003 | - | 2,075,000 | 99.77 | U S TREASURY BILL |
| 1SH164 | 3/31/2003 | 2/28/2003 | 43,205 | - | 1.00 | FIDELITY SPARTAN |
| 1SH165 | 9/30/1999 | 8/10/1999 | 18,800 | - | 68.00 | SUN MICROSYSTEMS INC |
| 1SH165 | 9/30/1999 | 8/24/1999 | - | 18,800 | 72.75 | SUN MICROSYSTEMS INC |
| 1SH165 | 3/31/2003 | 2/20/2003 | 400,000 | - | 99.75 | U S TREASURY BILL |
| 1SH165 | 3/31/2003 | 2/27/2003 | 650,000 | - | 99.77 | U S TREASURY BILL |
| 1SH165 | 3/31/2003 | 2/28/2003 | 12,082 | - | 1.00 | FIDELITY SPARTAN |
| 1SH168 | 6/30/1999 | 5/26/1999 | 200,000 | - | 99.66 | U S TREASURY BILL |
| 1SH168 | 6/30/1999 | 5/26/1999 | - | 200,000 | 98.66 | U S TREASURY BILL |
| 1SH174 | 2/28/2006 | 1/10/2006 | - | 63,000 | 58.09 | EXXON MOBIL CORP |
| 1SH174 | 2/28/2006 | 1/13/2006 | 3,725,000 | - | 98.19 | U S TREASURY BILL |
| 1SH174 | 2/28/2006 | 1/17/2006 | - | 67,000 | 54.65 | FANNIE MAE |
| 1SH174 | 2/28/2006 | 1/20/2006 | 11,250,000 | - | 98.27 | U S TREASURY BILL |
| 1SH174 | 2/28/2006 | 1/20/2006 | - | 85,000 | 43.97 | INCO LTD |
| 1SH174 | 2/28/2006 | 1/20/2006 | - | 45,000 | 81.37 | FLUOR CORP NEW |
| 1SH174 | 2/28/2006 | 1/23/2006 | - | 41,500 | 88.07 | PEABODY ENERGY CORP |
| 1SH174 | 2/28/2006 | 1/23/2006 | - | 41,500 | 88.07 | PEABODY ENERGY CORP |
| 1SH174 | 2/28/2006 | 1/27/2006 | 7,425,000 | - | 98.31 | U S TREASURY BILL |
| 1SH174 | 2/28/2006 | 1/31/2006 | 17,513 | - | 1.00 | FIDELITY SPARTAN |
| 1SH175 | 2/28/2006 | 1/10/2006 | - | 34,000 | 58.09 | EXXON MOBIL CORP |
| 1SH175 | 2/28/2006 | 1/12/2006 | - | 33,000 | 56.90 | NEWMONT MNG CORP |
| 1SH175 | 2/28/2006 | 1/13/2006 | 3,900,000 | - | 98.19 | U S TREASURY BILL |
| 1SH175 | 2/28/2006 | 1/17/2006 | - | 36,000 | 54.65 | FANNIE MAE |
| 1SH175 | 2/28/2006 | 1/20/2006 | - | 24,000 | 81.37 | FLUOR CORP NEW |
| 1SH175 | 2/28/2006 | 1/20/2006 | - | 45,500 | 43.97 | INCO LTD |
| 1SH175 | 2/28/2006 | 1/20/2006 | 6,025,000 | - | 98.27 | U S TREASURY BILL |
| 1SH175 | 2/28/2006 | 1/23/2006 | - | 24,000 | 88.07 | PEABODY ENERGY CORP |
| 1SH175 | 2/28/2006 | 1/27/2006 | 2,125,000 | - | 98.31 | U S TREASURY BILL |
| 1SH175 | 2/28/2006 | 1/31/2006 | 47,991 | - | 1.00 | FIDELITY SPARTAN |
| 1SH176 | 2/28/2006 | 1/10/2006 | - | 20,000 | 58.09 | EXXON MOBIL CORP |
| 1SH176 | 2/28/2006 | 1/12/2006 | - | 21,000 | 56.90 | NEWMONT MNG CORP |
| 1SH176 | 2/28/2006 | 1/13/2006 | 2,400,000 | - | 98.19 | U S TREASURY BILL |
| 1SH176 | 2/28/2006 | 1/17/2006 | - | 22,000 | 54.65 | FANNIE MAE |
| 1SH176 | 2/28/2006 | 1/20/2006 | - | 28,000 | 43.97 | INCO LTD |
| 1SH176 | 2/28/2006 | 1/20/2006 | 2,475,000 | - | 98.27 | U S TREASURY BILL |
| 1SH176 | 2/28/2006 | 1/23/2006 | - | 3,400 | 88.07 | PEABODY ENERGY CORP |
| 1SH176 | 2/28/2006 | 1/23/2006 | - | 28,500 | 81.38 | FLUOR CORP NEW |
| 1SH176 | 2/28/2006 | 1/27/2006 | 2,650,000 | - | 98.31 | U S TREASURY BILL |
| 1SH176 | 2/28/2006 | 1/31/2006 | 25,525 | - | 1.00 | FIDELITY SPARTAN |

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|------------------|-------------------------|------------|---------------|----------------|-------|---------------------|
| 1SH177 | 2/28/2006 | 1/10/2006 | - | 11,000 | 58.09 | EXXON MOBIL CORP |
| 1SH177 | 2/28/2006 | 1/12/2006 | - | 11,000 | 56.90 | NEWMONT MNG CORP |
| 1SH177 | 2/28/2006 | 1/13/2006 | 1,275,000 | - | 98.19 | U S TREASURY BILL |
| 1SH177 | 2/28/2006 | 1/20/2006 | 670,000 | - | 98.27 | U S TREASURY BILL |
| 1SH177 | 2/28/2006 | 1/20/2006 | - | 15,000 | 43.97 | INCO LTD |
| 1SH177 | 2/28/2006 | 1/23/2006 | - | 14,000 | 88.07 | PEABODY ENERGY CORP |
| 1SH177 | 2/28/2006 | 1/23/2006 | - | 8,000 | 81.38 | FLUOR CORP NEW |
| 1SH177 | 2/28/2006 | 1/27/2006 | 1,900,000 | - | 98.31 | U S TREASURY BILL |
| 1SH177 | 2/28/2006 | 1/31/2006 | 30,144 | - | 1.00 | FIDELITY SPARTAN |
| 1SH178 | 2/28/2006 | 1/10/2006 | - | 11,000 | 58.09 | EXXON MOBIL CORP |
| 1SH178 | 2/28/2006 | 1/12/2006 | - | 11,000 | 56.90 | NEWMONT MNG CORP |
| 1SH178 | 2/28/2006 | 1/13/2006 | 1,275,000 | - | 98.19 | U S TREASURY BILL |
| 1SH178 | 2/28/2006 | 1/17/2006 | 11,000 | - | 54.65 | FANNIE MAE |
| 1SH178 | 2/28/2006 | 1/17/2006 | - | 11,000 | 54.65 | FANNIE MAE |
| 1SH178 | 2/28/2006 | 1/20/2006 | - | 15,000 | 43.97 | INCO LTD |
| 1SH178 | 2/28/2006 | 1/20/2006 | 650,000 | - | 98.27 | U S TREASURY BILL |
| 1SH178 | 2/28/2006 | 1/23/2006 | - | 13,000 | 88.07 | PEABODY ENERGY CORP |
| 1SH178 | 2/28/2006 | 1/23/2006 | - | 8,000 | 81.38 | FLUOR CORP NEW |
| 1SH178 | 2/28/2006 | 1/27/2006 | 1,825,000 | - | 98.31 | U S TREASURY BILL |
| 1SH178 | 2/28/2006 | 1/31/2006 | 35,462 | - | 1.00 | FIDELITY SPARTAN |
| 1SH179 | 2/28/2006 | 1/10/2006 | - | 33,000 | 58.09 | EXXON MOBIL CORP |
| 1SH179 | 2/28/2006 | 1/12/2006 | - | 33,000 | 56.90 | NEWMONT MNG CORP |
| 1SH179 | 2/28/2006 | 1/13/2006 | 3,850,000 | - | 98.19 | U S TREASURY BILL |
| 1SH179 | 2/28/2006 | 1/17/2006 | - | 35,000 | 54.65 | FANNIE MAE |
| 1SH179 | 2/28/2006 | 1/20/2006 | 3,725,000 | - | 98.27 | U S TREASURY BILL |
| 1SH179 | 2/28/2006 | 1/20/2006 | - | 40,000 | 43.97 | INCO LTD |
| 1SH179 | 2/28/2006 | 1/23/2006 | - | 25,000 | 81.38 | FLUOR CORP NEW |
| 1SH179 | 2/28/2006 | 1/24/2006 | - | 23,500 | 87.79 | PEABODY ENERGY CORP |
| 1SH179 | 2/28/2006 | 1/27/2006 | 4,150,000 | - | 98.31 | U S TREASURY BILL |
| 1SH179 | 2/28/2006 | 1/31/2006 | 59,128 | - | 1.00 | FIDELITY SPARTAN |
| 1SH182 | 2/28/2006 | 1/10/2006 | - | 33,000 | 58.09 | EXXON MOBIL CORP |
| 1SH182 | 2/28/2006 | 1/12/2006 | - | 33,000 | 56.90 | NEWMONT MNG CORP |
| 1SH182 | 2/28/2006 | 1/13/2006 | 3,850,000 | - | 98.19 | U S TREASURY BILL |
| 1SH182 | 2/28/2006 | 1/17/2006 | - | 35,000 | 54.65 | FANNIE MAE |
| 1SH182 | 2/28/2006 | 1/20/2006 | 3,725,000 | - | 98.27 | U S TREASURY BILL |
| 1SH182 | 2/28/2006 | 1/20/2006 | - | 40,000 | 43.97 | INCO LTD |
| 1SH182 | 2/28/2006 | 1/23/2006 | - | 25,000 | 81.38 | FLUOR CORP NEW |
| 1SH182 | 2/28/2006 | 1/24/2006 | - | 23,800 | 87.79 | PEABODY ENERGY CORP |
| 1SH182 | 2/28/2006 | 1/27/2006 | 4,175,000 | - | 98.31 | U S TREASURY BILL |
| 1SH182 | 2/28/2006 | 1/31/2006 | 44,602 | - | 1.00 | FIDELITY SPARTAN |
| 1SH183 | 2/28/2006 | 1/9/2006 | - | 80,000 | 58.12 | EXXON MOBIL CORP |
| 1SH183 | 2/28/2006 | 1/11/2006 | - | 80,000 | 57.97 | NEWMONT MNG CORP |
| 1SH183 | 2/28/2006 | 1/13/2006 | 9,450,000 | - | 98.19 | U S TREASURY BILL |
| 1SH183 | 2/28/2006 | 1/18/2006 | - | 78,000 | 54.79 | FANNIE MAE |
| 1SH183 | 2/28/2006 | 1/19/2006 | - | 60,000 | 81.35 | FLUOR CORP NEW |
| 1SH183 | 2/28/2006 | 1/20/2006 | 9,300,000 | - | 98.27 | U S TREASURY BILL |
| 1SH183 | 2/28/2006 | 1/24/2006 | - | 71,500 | 87.79 | PEABODY ENERGY CORP |
| 1SH183 | 2/28/2006 | 1/24/2006 | - | 95,000 | 43.39 | INCO LTD |
| 1SH183 | 2/28/2006 | 1/27/2006 | 10,575,000 | - | 98.31 | U S TREASURY BILL |
| 1SH183 | 2/28/2006 | 1/31/2006 | 25,999 | - | 1.00 | FIDELITY SPARTAN |
| 1SH185 | 2/28/2006 | 1/9/2006 | - | 3,400 | 58.12 | EXXON MOBIL CORP |
| 1SH185 | 2/28/2006 | 1/11/2006 | - | 3,000 | 57.97 | NEWMONT MNG CORP |
| 1SH185 | 2/28/2006 | 1/13/2006 | 375,000 | - | 98.19 | U S TREASURY BILL |
| 1SH185 | 2/28/2006 | 1/18/2006 | - | 4,000 | 54.79 | FANNIE MAE |
| 1SH185 | 2/28/2006 | 1/19/2006 | - | 2,000 | 81.35 | FLUOR CORP NEW |
| 1SH185 | 2/28/2006 | 1/20/2006 | 375,000 | - | 98.27 | U S TREASURY BILL |
| 1SH185 | 2/28/2006 | 1/24/2006 | - | 5,000 | 43.39 | INCO LTD |
| 1SH185 | 2/28/2006 | 1/24/2006 | - | 6,000 | 87.79 | PEABODY ENERGY CORP |
| 1SH185 | 2/28/2006 | 1/27/2006 | 750,000 | - | 98.31 | U S TREASURY BILL |
| 1SH185 | 2/28/2006 | 1/31/2006 | 22,988 | - | 1.00 | FIDELITY SPARTAN |
| 1SH186 | 2/28/2007 | 1/31/2007 | - | 1,375,000 | 98.44 | U S TREASURY BILL |
| 1SH186 | 2/28/2007 | 1/31/2007 | - | 8,358 | 1.00 | FIDELITY SPARTAN |
| 1SH186 | 2/28/2007 | 1/31/2007 | - | 23,065 | 1.00 | FIDELITY SPARTAN |
| 1SH186 | 2/28/2007 | 1/31/2007 | 8,358 | - | 1.00 | FIDELITY SPARTAN |
| 1SH190 | 2/28/2007 | 1/4/2007 | 37,299 | - | 1.00 | FIDELITY SPARTAN |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1SH190 | 2/28/2007 | 1/4/2007 | 100,000 | - | 98.54 | U S TREASURY BILL |
| 1SH191 | 2/28/2007 | 1/31/2007 | - | 23,648 | 1.00 | FIDELITY SPARTAN |
| 1SH191 | 2/28/2007 | 1/31/2007 | 2,700,000 | - | 98.44 | U S TREASURY BILL |
| 1SH191 | 2/28/2007 | 1/31/2007 | 51,838 | - | 1.00 | FIDELITY SPARTAN |
| 1SH191 | 3/31/2007 | 2/28/2007 | 2,760 | - | 1.00 | FIDELITY SPARTAN |
| 1SH191 | 4/30/2007 | 3/5/2007 | 5,563 | - | 1.00 | FIDELITY SPARTAN |
| 1SH191 | 4/30/2007 | 3/5/2007 | - | 425,000 | 98.90 | U S TREASURY BILL |
| 1SH194 | 11/30/2008 | 10/1/2008 | - | 55,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH194 | 11/30/2008 | 10/1/2008 | 55,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH195 | 11/30/2008 | 10/1/2008 | - | 50,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH195 | 11/30/2008 | 10/1/2008 | 50,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH196 | 11/30/2008 | 10/1/2008 | 40,000 | - | 120.98 | SPDR TRUST SER 1 UNITS |
| 1SH196 | 11/30/2008 | 10/1/2008 | - | 40,000 | 120.98 | SPDR TRUST SER 1 UNITS |
| 1T0003 | 11/30/2001 | 10/5/2001 | - | 10,000 | 19.15 | BROADCOM CORP CL A |
| 1T0004 | 4/30/1998 | 3/19/1998 | - | 400 | 45.63 | TANDY CORP |
| 1T0004 | 4/30/1998 | 3/25/1998 | - | 9,000 | 47.94 | DIGITAL EQUIPMENT CORP |
| 1T0004 | 4/30/1998 | 3/26/1998 | - | 4,000 | 103.06 | THE WALT DISNEY CO |
| 1T0004 | 4/30/1998 | 3/31/1998 | - | 5,400 | 16.75 | DSC COMMUNICATIONS CORP |
| 1T0004 | 2/29/2000 | 1/14/2000 | - | 40,000 | 72.00 | AMGEN INC |
| 1T0004 | 2/29/2000 | 1/18/2000 | - | 15,000 | 118.13 | PROCTER & GAMBLE CO |
| 1T0004 | 2/29/2000 | 1/28/2000 | - | 8,505 | 33.56 | COMPAQ COMPUTER CORP |
| 1T0004 | 3/31/2001 | 2/12/2001 | - | 15,000 | 64.75 | MICROSOFT CORP |
| 1T0004 | 3/31/2001 | 2/13/2001 | - | 5,700 | 89.00 | QUALCOMM INC |
| 1T0004 | 11/30/2001 | 9/4/2001 | 8,000 | - | 35.22 | PEOPLESOFT INC |
| 1T0004 | 11/30/2001 | 9/4/2001 | 15,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1T0004 | 11/30/2001 | 10/5/2001 | 10,000 | - | 19.15 | BROADCOM CORP CL A |
| 1T0004 | 8/31/2003 | 7/14/2003 | - | 34,000 | 23.32 | NATIONAL SEMICONDUCTOR CORP |
| 1T0004 | 8/31/2003 | 7/14/2003 | - | 75,000 | 22.35 | NATIONAL SEMICONDUCTOR CORP |
| 1T0004 | 11/30/2003 | 7/24/2003 | - | 90,000 | 7.15 | ADVANCED MICRO DEVICES |
| 1T0004 | 9/30/2003 | 8/12/2003 | - | 80,000 | 33.03 | MARVELL TECHNOLOGY GRP LTD |
| 1T0004 | 11/30/2003 | 9/29/2003 | - | 48,000 | 40.00 | INTERNATIONAL PAPER CO |
| 1T0004 | 11/30/2003 | 10/7/2003 | 90,000 | - | 60.80 | AETNA INC |
| 1T0004 | 11/30/2003 | 10/7/2003 | 10,000 | - | 60.68 | AETNA INC |
| 1T0004 | 3/31/2005 | 2/1/2005 | - | 35,000 | 42.04 | AMAZON COM INC |
| 1T0004 | 3/31/2005 | 2/7/2005 | 50,000 | - | 29.43 | NEXTEL COMMUNICATIONS |
| 1T0004 | 11/30/2008 | 10/3/2008 | - | 5,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1T0004 | 11/30/2008 | 10/3/2008 | 5,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1T0007 | 3/31/1997 | 2/28/1997 | - | 3,393 | 1.00 | FIDELITY SPARTAN |
| 1T0007 | 4/30/1998 | 3/20/1998 | - | 11,000 | 40.50 | STARBUCKS CORPORATION |
| 1T0007 | 8/31/1999 | 7/13/1999 | - | 600 | 58.75 | AT & T CORP |
| 1T0007 | 8/31/1999 | 7/14/1999 | - | 320 | 129.00 | AMERICA ONLINE CORP |
| 1T0007 | 12/31/1999 | 11/4/1999 | - | 11,340 | 48.25 | ORACLE CORPORATION |
| 1T0007 | 2/29/2000 | 1/6/2000 | - | 1,440 | 83.00 | AMERICA ONLINE CORP |
| 1T0007 | 2/29/2000 | 1/6/2000 | - | 11,340 | 124.63 | ORACLE CORPORATION |
| 1T0007 | 3/31/2000 | 2/29/2000 | 81,791 | - | 1.00 | FIDELITY SPARTAN |
| 1T0007 | 3/31/2001 | 2/8/2001 | - | 12,000 | 68.00 | SIEBEL SYSTEMS INC |
| 1T0007 | 3/31/2001 | 2/13/2001 | - | 3,520 | 51.00 | STARBUCKS CORPORATION |
| 1T0007 | 3/31/2001 | 2/13/2001 | - | 27,000 | 89.00 | QUALCOMM INC |
| 1T0007 | 11/30/2001 | 9/4/2001 | 10,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1T0007 | 5/31/2003 | 4/4/2003 | 20,000 | - | 40.35 | HCA INC |
| 1T0020 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.54 | U S TREASURY BILL |
| 1T0020 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.54 | U S TREASURY BILL |
| 1T0020 | 8/31/2002 | 7/31/2002 | 1,325,000 | - | 99.48 | U S TREASURY BILL |
| 1T0020 | 8/31/2002 | 7/31/2002 | - | 1,325,000 | 99.48 | U S TREASURY BILL |
| 1T0024 | 6/30/2002 | 5/31/2002 | - | 530 | 1.00 | FIDELITY SPARTAN |
| 1T0026 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1T0026 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1T0027 | 6/30/1999 | 5/26/1999 | - | 36,175,000 | 98.66 | U S TREASURY BILL |
| 1T0027 | 6/30/1999 | 5/26/1999 | 36,175,000 | - | 99.66 | U S TREASURY BILL |
| 1T0027 | 8/31/2002 | 7/31/2002 | 47,275,000 | - | 99.48 | U S TREASURY BILL |
| 1T0027 | 8/31/2002 | 7/31/2002 | - | 47,275,000 | 99.54 | U S TREASURY BILL |
| 1T0027 | 8/31/2002 | 7/31/2002 | - | 47,275,000 | 99.48 | U S TREASURY BILL |
| 1T0027 | 8/31/2002 | 7/31/2002 | 47,275,000 | - | 99.54 | U S TREASURY BILL |
| 1T0031 | 4/30/1998 | 3/19/1998 | - | 934 | 99.50 | INTERNATIONAL BUSINESS MACHS |
| 1T0031 | 4/30/1998 | 3/25/1998 | - | 5,600 | 47.94 | DIGITAL EQUIPMENT CORP |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------------|
| 1T0031 | 4/30/1998 | 3/26/1998 | - | 2,600 | 136.00 | AMR CORP |
| 1T0031 | 8/31/1999 | 7/13/1999 | - | 67,500 | 58.75 | AT & T CORP |
| 1T0031 | 2/29/2000 | 1/11/2000 | 67,500 | - | 48.00 | AT & T CORP |
| 1T0031 | 2/29/2000 | 1/19/2000 | - | 37,500 | 55.75 | AT & T CORP |
| 1T0031 | 2/29/2000 | 1/19/2000 | - | 30,000 | 55.63 | AT & T CORP |
| 1T0031 | 2/29/2000 | 1/28/2000 | - | 5,292 | 33.63 | COMPAQ COMPUTER CORP |
| 1T0031 | 8/31/2003 | 7/31/2003 | - | 50,000 | 47.20 | AMERADA HESS CORP |
| 1T0031 | 11/30/2003 | 9/29/2003 | 48,000 | - | 40.00 | INTERNATIONAL PAPER CO |
| 1T0031 | 11/30/2003 | 10/7/2003 | - | 10,000 | 60.68 | AETNA INC |
| 1T0031 | 11/30/2003 | 10/7/2003 | - | 90,000 | 60.80 | AETNA INC |
| 1T0031 | 1/31/2004 | 12/1/2004 | 11,000 | - | 42.44 | GENERAL MOTORS CORP |
| 1T0035 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1T0035 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1T0036 | 4/30/1998 | 3/19/1998 | - | 200 | 45.63 | TANDY CORP |
| 1T0036 | 4/30/1998 | 3/19/1998 | - | 233 | 99.50 | INTERNATIONAL BUSINESS MACHS |
| 1T0036 | 4/30/1998 | 3/20/1998 | - | 5,000 | 47.63 | PHILLIPS PETROLEUM CO |
| 1T0036 | 8/31/1999 | 7/13/1999 | - | 3,150 | 58.75 | AT & T CORP |
| 1T0036 | 8/31/1999 | 7/14/1999 | - | 1,780 | 129.00 | AMERICA ONLINE CORP |
| 1T0036 | 12/31/1999 | 11/4/1999 | - | 59,535 | 48.25 | ORACLE CORPORATION |
| 1T0036 | 2/29/2000 | 1/6/2000 | - | 5,880 | 67.25 | ADOBE SYS INC |
| 1T0036 | 2/29/2000 | 1/6/2000 | - | 59,535 | 124.63 | ORACLE CORPORATION |
| 1T0036 | 3/31/2001 | 2/13/2001 | - | 18,480 | 51.00 | STARBUCKS CORPORATION |
| 1T0036 | 11/30/2001 | 9/4/2001 | 5,000 | - | 51.05 | KLA TENCOR CORPORATION |
| 1T0036 | 11/30/2003 | 7/24/2003 | - | 10,000 | 7.15 | ADVANCED MICRO DEVICES |
| 1T0036 | 7/31/2004 | 6/3/2004 | 30,000 | - | 44.21 | WASHINGTON MUTUAL INC |
| 1T0036 | 7/31/2004 | 6/3/2004 | - | 30,000 | 44.21 | WASHINGTON MUTUAL INC |
| 1T0036 | 10/31/2004 | 9/1/2004 | - | 5,000 | 72.99 | CONOCOPHILIPS |
| 1T0036 | 10/31/2004 | 9/2/2004 | - | 6,400 | 72.74 | CATERPILLAR INC |
| 1T0036 | 11/30/2008 | 10/3/2008 | 10,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1T0036 | 11/30/2008 | 10/3/2008 | - | 10,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1T0047 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1U0047 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1U0013 | 6/30/1999 | 5/26/1999 | - | 1,650,000 | 98.66 | U S TREASURY BILL |
| 1U0013 | 6/30/1999 | 5/26/1999 | 1,650,000 | - | 99.66 | U S TREASURY BILL |
| 1U0013 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.48 | U S TREASURY BILL |
| 1U0013 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.48 | U S TREASURY BILL |
| 1U0013 | 8/31/2002 | 7/31/2002 | - | 2,200,000 | 99.54 | U S TREASURY BILL |
| 1U0013 | 8/31/2002 | 7/31/2002 | 2,200,000 | - | 99.54 | U S TREASURY BILL |
| 1U0021 | 6/30/1998 | 5/21/1998 | - | 7,900 | 63.88 | WARNER LAMBERT CO |
| 1U0021 | 6/30/1998 | 5/21/1998 | 18,350 | - | 1.00 | FIDELITY SPARTAN |
| 1U0021 | 6/30/1998 | 5/21/1998 | 500,000 | - | 99.03 | U S TREASURY BILL |
| 1U0021 | 1/31/2002 | 12/31/2002 | 9,450,000 | - | 99.59 | U S TREASURY BILL |
| 1U0021 | 1/31/2002 | 12/31/2002 | - | 13,672 | 1.00 | FIDELITY SPARTAN |
| 1U0021 | 1/31/2002 | 12/31/2002 | 13,672 | - | 1.00 | FIDELITY SPARTAN |
| 1U0021 | 1/31/2002 | 12/31/2002 | 13,572 | - | 1.00 | FIDELITY SPARTAN |
| 1U0021 | 1/31/2002 | 12/31/2002 | - | 9,424,827 | 1.00 | FIDELITY SPARTAN |
| 1U0021 | 8/31/2008 | 7/31/2008 | - | 10,030 | 1.00 | FIDELITY SPARTAN |
| 1U0022 | 6/30/2002 | 5/21/2002 | 650 | - | 26.99 | APPLIED MATERIALS INC |
| 1U0022 | 6/30/2002 | 5/31/2002 | - | 75,000 | 99.44 | U S TREASURY BILL |
| 1U0022 | 6/30/2002 | 5/31/2002 | 2,344 | - | 1.00 | FIDELITY SPARTAN |
| 1U0022 | 6/30/2002 | 5/31/2002 | 55,000 | - | 99.44 | U S TREASURY BILL |
| 1U0023 | 8/31/2002 | 7/31/2002 | - | 3,800,000 | 99.48 | U S TREASURY BILL |
| 1U0023 | 8/31/2002 | 7/31/2002 | - | 3,800,000 | 99.54 | U S TREASURY BILL |
| 1U0023 | 8/31/2002 | 7/31/2002 | 3,800,000 | - | 99.54 | U S TREASURY BILL |
| 1U0023 | 8/31/2002 | 7/31/2002 | 3,800,000 | - | 99.48 | U S TREASURY BILL |
| 1W0006 | 6/30/1999 | 5/26/1999 | 6,000,000 | - | 99.66 | U S TREASURY BILL |
| 1W0006 | 6/30/1999 | 5/26/1999 | - | 6,000,000 | 98.66 | U S TREASURY BILL |
| 1W0016 | 3/31/2007 | 1/9/2007 | 8,500 | - | 480.00 | GOOGLE |
| 1W0016 | 3/31/2007 | 4/3/2007 | - | 15,000 | 30.25 | OFFICE MAX |
| 1W0016 | 3/31/2007 | 4/3/2007 | 5,000 | - | 30.25 | OFFICE MAX |
| 1W0016 | 3/31/2007 | 6/2/2007 | - | 15,000 | 372.50 | GOOGLE |
| 1W0016 | 3/31/2007 | 10/6/2007 | - | 55,000 | 50.27 | APOLLO GROUP INC CLASS A |
| 1W0016 | 3/31/2007 | 10/31/2007 | 55,000 | - | 36.02 | APOLLO GROUP INC CLASS A |
| 1W0016 | 3/31/2007 | 11/3/2007 | - | 10,000 | 78.56 | ABERCROMBIE & FITCH CO |
| 1W0016 | 3/31/2007 | 11/7/2007 | 13,200 | - | 78.75 | APPLE INC |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|--------|------------------------|
| 1W0016 | 3/31/2007 | 12/11/2007 | 10,000 | - | 66.65 | ABERCROMBIE & FITCH CO |
| 1W0016 | 11/30/2008 | 10/3/2008 | 20,000 | - | 116.70 | SPDR TRUST SER 1 UNITS |
| 1W0016 | 11/30/2008 | 10/3/2008 | - | 20,000 | 116.70 | SPDR TRUST SER 1 UNITS |
| 1W0043 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.48 | U S TREASURY BILL |
| 1W0043 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.54 | U S TREASURY BILL |
| 1W0043 | 8/31/2002 | 7/31/2002 | - | 2,150,000 | 99.54 | U S TREASURY BILL |
| 1W0043 | 8/31/2002 | 7/31/2002 | 2,150,000 | - | 99.48 | U S TREASURY BILL |
| 1W0047 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1W0047 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1W0047 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1W0047 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1W0066 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1W0066 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1W0097 | 6/30/1999 | 5/26/1999 | 1,875,000 | - | 99.66 | U S TREASURY BILL |
| 1W0097 | 6/30/1999 | 5/26/1999 | - | 1,875,000 | 98.66 | U S TREASURY BILL |
| 1W0100 | 6/30/1999 | 5/26/1999 | - | 550,000 | 98.66 | U S TREASURY BILL |
| 1W0100 | 6/30/1999 | 5/26/1999 | 550,000 | - | 99.66 | U S TREASURY BILL |
| 1W0100 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.54 | U S TREASURY BILL |
| 1W0100 | 8/31/2002 | 7/31/2002 | 1,900,000 | - | 99.48 | U S TREASURY BILL |
| 1W0100 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.48 | U S TREASURY BILL |
| 1W0100 | 8/31/2002 | 7/31/2002 | - | 1,900,000 | 99.54 | U S TREASURY BILL |
| 1W0104 | 6/30/1999 | 5/26/1999 | 300,000 | - | 99.66 | U S TREASURY BILL |
| 1W0104 | 6/30/1999 | 5/26/1999 | - | 300,000 | 98.66 | U S TREASURY BILL |
| 1W0106 | 8/31/2002 | 7/31/2002 | 6,175,000 | - | 99.48 | U S TREASURY BILL |
| 1W0106 | 8/31/2002 | 7/31/2002 | 6,175,000 | - | 99.54 | U S TREASURY BILL |
| 1W0106 | 8/31/2002 | 7/31/2002 | - | 6,175,000 | 99.48 | U S TREASURY BILL |
| 1W0106 | 8/31/2002 | 7/31/2002 | - | 6,175,000 | 99.54 | U S TREASURY BILL |
| 1W0108 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.54 | U S TREASURY BILL |
| 1W0108 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.48 | U S TREASURY BILL |
| 1Y0006 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1Y0006 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1Y0006 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.48 | U S TREASURY BILL |
| 1Y0006 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.48 | U S TREASURY BILL |
| 1Y0006 | 8/31/2002 | 7/31/2002 | - | 1,800,000 | 99.54 | U S TREASURY BILL |
| 1Y0006 | 8/31/2002 | 7/31/2002 | 1,800,000 | - | 99.54 | U S TREASURY BILL |
| 1Y0013 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.48 | U S TREASURY BILL |
| 1Y0013 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.48 | U S TREASURY BILL |
| 1Y0013 | 8/31/2002 | 7/31/2002 | 1,400,000 | - | 99.54 | U S TREASURY BILL |
| 1Y0013 | 8/31/2002 | 7/31/2002 | - | 1,400,000 | 99.54 | U S TREASURY BILL |
| 1Z0012 | 4/30/2004 | 3/22/2004 | 25,000 | - | 99.82 | U S TREASURY BILL |
| 1Z0023 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1Z0023 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZA003 | 6/30/1999 | 5/26/1999 | - | 2,725,000 | 98.66 | U S TREASURY BILL |
| 1ZA003 | 6/30/1999 | 5/26/1999 | 2,725,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA003 | 8/31/2002 | 7/31/2002 | 2,100,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA003 | 8/31/2002 | 7/31/2002 | 2,100,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA003 | 8/31/2002 | 7/31/2002 | - | 2,100,000 | 99.54 | U S TREASURY BILL |
| 1ZA003 | 8/31/2002 | 7/31/2002 | - | 2,100,000 | 99.48 | U S TREASURY BILL |
| 1ZA101 | 6/30/1999 | 5/26/1999 | 1,700,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA101 | 6/30/1999 | 5/26/1999 | - | 1,700,000 | 98.66 | U S TREASURY BILL |
| 1ZA101 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA101 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.54 | U S TREASURY BILL |
| 1ZA101 | 8/31/2002 | 7/31/2002 | 1,825,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA101 | 8/31/2002 | 7/31/2002 | - | 1,825,000 | 99.48 | U S TREASURY BILL |
| 1ZA106 | 6/30/1999 | 5/26/1999 | 7,475,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA106 | 6/30/1999 | 5/26/1999 | - | 7,475,000 | 98.66 | U S TREASURY BILL |
| 1ZA106 | 8/31/2002 | 7/31/2002 | - | 7,975,000 | 99.54 | U S TREASURY BILL |
| 1ZA106 | 8/31/2002 | 7/31/2002 | 7,975,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA106 | 8/31/2002 | 7/31/2002 | - | 7,975,000 | 99.48 | U S TREASURY BILL |
| 1ZA106 | 8/31/2002 | 7/31/2002 | 7,975,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA134 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA134 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZA217 | 8/31/2002 | 7/31/2002 | - | 225,000 | 99.54 | U S TREASURY BILL |
| 1ZA217 | 8/31/2002 | 7/31/2002 | 225,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA246 | 6/30/1999 | 5/26/1999 | - | 100,000 | 98.66 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1ZA246 | 6/30/1999 | 5/26/1999 | 100,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA323 | 1/31/2006 | 12/19/2006 | 2,775,000 | - | 98.82 | U S TREASURY BILL |
| 1ZA323 | 1/31/2006 | 12/19/2006 | - | 2,775,000 | 98.92 | U S TREASURY BILL |
| 1ZA395 | 8/31/2002 | 7/31/2002 | - | 3,250,000 | 99.54 | U S TREASURY BILL |
| 1ZA395 | 8/31/2002 | 7/31/2002 | 3,250,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA395 | 8/31/2002 | 7/31/2002 | 3,250,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA395 | 8/31/2002 | 7/31/2002 | - | 3,250,000 | 99.48 | U S TREASURY BILL |
| 1ZA467 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA467 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZA534 | 6/30/1999 | 5/26/1999 | - | 2,850,000 | 98.66 | U S TREASURY BILL |
| 1ZA534 | 6/30/1999 | 5/26/1999 | 2,850,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA534 | 8/31/2002 | 7/31/2002 | - | 3,825,000 | 99.48 | U S TREASURY BILL |
| 1ZA534 | 8/31/2002 | 7/31/2002 | 3,825,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA534 | 8/31/2002 | 7/31/2002 | 3,825,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA534 | 8/31/2002 | 7/31/2002 | - | 3,825,000 | 99.54 | U S TREASURY BILL |
| 1ZA781 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA781 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZA789 | 6/30/1999 | 5/26/1999 | 1,725,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA789 | 6/30/1999 | 5/26/1999 | - | 1,725,000 | 98.66 | U S TREASURY BILL |
| 1ZA836 | 6/30/1999 | 5/26/1999 | 50,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA836 | 6/30/1999 | 5/26/1999 | - | 50,000 | 98.66 | U S TREASURY BILL |
| 1ZA850 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA850 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZA873 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA873 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZA873 | 1/31/2006 | 12/19/2006 | - | 2,700,000 | 98.92 | U S TREASURY BILL |
| 1ZA873 | 1/31/2006 | 12/19/2006 | 2,700,000 | - | 98.82 | U S TREASURY BILL |
| 1ZA874 | 6/30/1999 | 5/26/1999 | 1,525,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA874 | 6/30/1999 | 5/26/1999 | - | 1,525,000 | 98.66 | U S TREASURY BILL |
| 1ZA874 | 8/31/2002 | 7/31/2002 | - | 3,600,000 | 99.54 | U S TREASURY BILL |
| 1ZA874 | 8/31/2002 | 7/31/2002 | 3,600,000 | - | 99.54 | U S TREASURY BILL |
| 1ZA874 | 8/31/2002 | 7/31/2002 | 3,600,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA874 | 8/31/2002 | 7/31/2002 | - | 3,600,000 | 99.48 | U S TREASURY BILL |
| 1ZA902 | 6/30/1999 | 5/26/1999 | - | 1,725,000 | 98.66 | U S TREASURY BILL |
| 1ZA902 | 6/30/1999 | 5/26/1999 | 1,725,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA903 | 8/31/2002 | 7/31/2002 | - | 50,000 | 99.54 | U S TREASURY BILL |
| 1ZA903 | 8/31/2002 | 7/31/2002 | 50,000 | - | 99.48 | U S TREASURY BILL |
| 1ZA979 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZA979 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZA991 | 6/30/1999 | 5/26/1999 | - | 1,050,000 | 98.66 | U S TREASURY BILL |
| 1ZA991 | 6/30/1999 | 5/26/1999 | 1,050,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB015 | 6/30/1999 | 5/26/1999 | 1,425,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB015 | 6/30/1999 | 5/26/1999 | - | 1,425,000 | 98.66 | U S TREASURY BILL |
| 1ZB015 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.54 | U S TREASURY BILL |
| 1ZB015 | 8/31/2002 | 7/31/2002 | - | 1,875,000 | 99.48 | U S TREASURY BILL |
| 1ZB015 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB015 | 8/31/2002 | 7/31/2002 | 1,875,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB016 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZB016 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB021 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB021 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZB032 | 8/31/2002 | 7/31/2002 | 2,475,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB032 | 8/31/2002 | 7/31/2002 | - | 2,475,000 | 99.48 | U S TREASURY BILL |
| 1ZB032 | 8/31/2002 | 7/31/2002 | - | 2,475,000 | 99.54 | U S TREASURY BILL |
| 1ZB032 | 8/31/2002 | 7/31/2002 | 2,475,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB046 | 6/30/1999 | 5/26/1999 | 7,700,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB046 | 6/30/1999 | 5/26/1999 | - | 7,700,000 | 98.66 | U S TREASURY BILL |
| 1ZB046 | 8/31/2002 | 7/31/2002 | - | 7,850,000 | 99.48 | U S TREASURY BILL |
| 1ZB046 | 8/31/2002 | 7/31/2002 | 7,850,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB046 | 8/31/2002 | 7/31/2002 | - | 7,850,000 | 99.54 | U S TREASURY BILL |
| 1ZB046 | 8/31/2002 | 7/31/2002 | 7,850,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB085 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB085 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZB085 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.54 | U S TREASURY BILL |
| 1ZB085 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.48 | U S TREASURY BILL |

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| Master Account # | Customer Statement Date | Trade Date | Long Position | Short Position | Price | Description |
|------------------|-------------------------|------------|---------------|----------------|-------|-------------------|
| 1ZB085 | 8/31/2002 | 7/31/2002 | - | 1,275,000 | 99.48 | U S TREASURY BILL |
| 1ZB085 | 8/31/2002 | 7/31/2002 | 1,275,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB107 | 6/30/1999 | 5/26/1999 | 50,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB107 | 6/30/1999 | 5/26/1999 | - | 50,000 | 98.66 | U S TREASURY BILL |
| 1ZB125 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.48 | U S TREASURY BILL |
| 1ZB125 | 8/31/2002 | 7/31/2002 | - | 1,250,000 | 99.54 | U S TREASURY BILL |
| 1ZB125 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB125 | 8/31/2002 | 7/31/2002 | 1,250,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB132 | 7/31/1997 | 6/30/1997 | 200,000 | - | 99.17 | U S TREASURY BILL |
| 1ZB143 | 6/30/1999 | 5/26/1999 | 1,450,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB143 | 6/30/1999 | 5/26/1999 | - | 1,450,000 | 98.66 | U S TREASURY BILL |
| 1ZB249 | 6/30/1999 | 5/26/1999 | - | 1,700,000 | 98.66 | U S TREASURY BILL |
| 1ZB249 | 6/30/1999 | 5/26/1999 | 1,700,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB249 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.54 | U S TREASURY BILL |
| 1ZB249 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB249 | 8/31/2002 | 7/31/2002 | 2,625,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB249 | 8/31/2002 | 7/31/2002 | - | 2,625,000 | 99.48 | U S TREASURY BILL |
| 1ZB251 | 8/31/2002 | 7/31/2002 | 200,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB251 | 8/31/2002 | 7/31/2002 | - | 200,000 | 99.54 | U S TREASURY BILL |
| 1ZB255 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZB255 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB258 | 3/31/2002 | 1/28/2002 | 25,000 | - | 99.62 | U S TREASURY BILL |
| 1ZB258 | 3/31/2002 | 2/21/2002 | 25,000 | - | 99.74 | U S TREASURY BILL |
| 1ZB262 | 1/31/2006 | 12/19/2006 | 30,300,000 | - | 98.82 | U S TREASURY BILL |
| 1ZB262 | 1/31/2006 | 12/19/2006 | - | 30,300,000 | 98.92 | U S TREASURY BILL |
| 1ZB295 | 6/30/1999 | 5/26/1999 | - | 175,000 | 98.66 | U S TREASURY BILL |
| 1ZB295 | 6/30/1999 | 5/26/1999 | 175,000 | - | 99.66 | U S TREASURY BILL |
| 1ZB295 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.54 | U S TREASURY BILL |
| 1ZB295 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB295 | 8/31/2002 | 7/31/2002 | 2,000,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB295 | 8/31/2002 | 7/31/2002 | - | 2,000,000 | 99.48 | U S TREASURY BILL |
| 1ZB309 | 9/30/2006 | 8/30/2006 | - | 315 | 1.00 | FIDELITY SPARTAN |
| 1ZB309 | 9/30/2006 | 8/30/2006 | - | 50,000 | 98.83 | U S TREASURY BILL |
| 1ZB324 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB324 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.48 | U S TREASURY BILL |
| 1ZB324 | 8/31/2002 | 7/31/2002 | 1,500,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB324 | 8/31/2002 | 7/31/2002 | - | 1,500,000 | 99.54 | U S TREASURY BILL |
| 1ZB359 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.54 | U S TREASURY BILL |
| 1ZB359 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB359 | 8/31/2002 | 7/31/2002 | - | 1,425,000 | 99.48 | U S TREASURY BILL |
| 1ZB359 | 8/31/2002 | 7/31/2002 | 1,425,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB428 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.48 | U S TREASURY BILL |
| 1ZB428 | 8/31/2002 | 7/31/2002 | - | 1,725,000 | 99.54 | U S TREASURY BILL |
| 1ZB428 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB428 | 8/31/2002 | 7/31/2002 | 1,725,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB434 | 8/31/2002 | 7/31/2002 | - | 3,575,000 | 99.54 | U S TREASURY BILL |
| 1ZB434 | 8/31/2002 | 7/31/2002 | 3,575,000 | - | 99.48 | U S TREASURY BILL |
| 1ZB434 | 8/31/2002 | 7/31/2002 | - | 3,575,000 | 99.48 | U S TREASURY BILL |
| 1ZB434 | 8/31/2002 | 7/31/2002 | 3,575,000 | - | 99.54 | U S TREASURY BILL |
| 1ZB543 | 1/31/2006 | 12/19/2006 | 150,000 | - | 98.82 | U S TREASURY BILL |
| 1ZB543 | 1/31/2006 | 12/19/2006 | - | 150,000 | 98.92 | U S TREASURY BILL |
| 1ZR098 | 6/30/1999 | 5/26/1999 | - | 25,000 | 98.66 | U S TREASURY BILL |
| 1ZR098 | 6/30/1999 | 5/26/1999 | 25,000 | - | 99.66 | U S TREASURY BILL |
| 1ZR110 | 6/30/1999 | 5/26/1999 | - | 75,000 | 98.66 | U S TREASURY BILL |
| 1ZR110 | 6/30/1999 | 5/26/1999 | 75,000 | - | 99.66 | U S TREASURY BILL |
| 1ZR284 | 6/30/1999 | 5/26/1999 | - | 675,000 | 98.66 | U S TREASURY BILL |
| 1ZR284 | 6/30/1999 | 5/26/1999 | 675,000 | - | 99.66 | U S TREASURY BILL |
| 1ZR288 | 6/30/1999 | 5/26/1999 | - | 175,000 | 98.66 | U S TREASURY BILL |
| 1ZR288 | 6/30/1999 | 5/26/1999 | 175,000 | - | 99.66 | U S TREASURY BILL |

1. Trade detail based on Settled Cash (SETCSH17).